



SANTA ANA WATERSHED  
PROJECT AUTHORITY

# Cloud Based Financial Software and Implementation Services Request for Proposal

SAWPA Commission

Agenda Item No. 6.A

Dean Unger

Information Systems and Technology Manager

September 2, 2025

# Recommendation

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- That the SAWPA Commission authorize the release of the Request for Proposals for Cloud Based Financial Software and Implementation Services to replace the current Microsoft Dynamics Great Plains System Software.

# SAWPA Current Financial Software Summary

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## Current Financial System Overview

- **Platform:** Microsoft Dynamics Great Plains (GP) 2018.6
- **Hosting:** On-premise (hosted onsite)

## Modules In Use

- Financial Management
- Project Accounting (including grant tracking)
- Sales & Purchasing
- HR & Payroll
- Procure-to-Pay (Purchase Order & Accounts Payable)
- Revenue Management (Cash Receipts)

## Integrations

- **Journyx:** Timesheet tracking
- **Greenshades:** Self-service HR and benefits management
- **BI360:** Reporting

# Financial Systems Program Summary

- GP Modules

General Ledger	Accounts Receivable/Cash Receipts
Budgeting	Fixed Assets/Inventory
Project and Grant Accounting	Human Resources
Purchasing	Payroll
Accounts Payable	Reporting

- Additional Integrated Software Systems

Software	Function	Integrate/Interface
Journyx	Timekeeping	Yes
OnBase	Document Management	Yes
Greenshades	Human Resources	Yes
Mikorma	Check Printing	Yes
Bi360	Reporting	Yes

# Current Financial Systems Summary

Functional Management	Number
Annual Accounts Payable Payments Processed	1300
Annual Accounts Payable Invoices Processed	1500
Annual Managed Grants	27
Annual Accounts Receivable Invoices Entered	362
Annual Checks Received	281
Annual Deposits Processed	80
W2's Processed Annually	40
Payroll Checks Processed Bi-Weekly	30

- Finance has been using GP for 23 years (Microsoft has announced end of life for Microsoft Dynamic is currently set for 2027)

- GP integrates with OnBase for stored copies of financial records
- GP integrates with Journyx for time management
- GP integrates with Greenshades for self service Human Resources and benefits

# Cloud Based Financial System- RFP

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## Technical Specifications

- System should be part of an Enterprise Resource Planning system.
- The system will support current functionality.
- The system will integrate or replace current 3<sup>rd</sup> party software
- Accessible across the internet with high levels of security

## Chosen Vendor will Provide:

- Software development and configuration
- Installation and application testing
- Training and documentation
- Ongoing technical support.

# Tentative Schedule

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September 2, 2025	Issuance of Request for Proposals
September 26, 2025 (4:00 pm PST)	Deadline to submit questions
October 3, 2025 (4:00 pm PST)	Deadline to respond to questions
October 16, 2025 (4:00 pm PST)	Proposal must be received
October 24, 2025	Selection of Finalist
November 3, 2025	Software Demonstrations
November 18, 2025	Recommend Award
December 1, 2025	Finalize Agreement, Begin Work

# Recommendation

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# Questions?

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SANTA ANA WATERSHED  
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# Statement of Investment Policy Update

Karen Williams, General Manager



# Recommendation

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- Adopt Resolution No. 2025-3
- Approve the Statement of Investment Policy
- Delegate authority to the General Manager and/or Chief Financial Officer to manage investments in accordance with the Policy

# Investment Policy Overview

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- Guided by Federal and State laws
- Requires annual adoption by the Commission
- Allows Commission to delegate investment authority to CFO for up to one year
- CFO provides monthly reports to the Commission
- All investments must comply with the adopted Investment Policy

# Advisor Review

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- Chandler Asset Management selected as SAWPA investment advisor on July 15, 2025
- Conducted review of current Investment Policy
- Found policy to be well-written and effective
- Recommended minor revisions for clarity, compliance, and best practices

# Key Recommended Changes

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- Align with current laws and best practices
- Clarify roles, standards, and constraints
- Improve risk management and transparency

# Recommended Changes by Section

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## Section 5.0 - Delegation of Authority

- Adds language recognizing measured investment losses as part of a prudent long-term strategy

## Section 6.0 – Ethics and Conflict of Interest

- Reinforces responsibility to act as custodians of public trust
- Emphasizes accountability and integrity

## Section 7.0 – Financial Institutions and Dealers

- List authorized financial institutions
- Requires competitive bidding for transparency and fairness

# Recommended Changes by Section

## Section 9.0 – Authorized Investments

- **U.S. Agency Obligations:**
  - ✓ Limit single agency issuer to 30%
  - ✓ Limit callable securities to 20%
- **Commerical Paper:**
  - ✓ Clarifies issuer requirements
- **Local Government Investment Pools (LGIPs):**
  - ✓ Consolidates types (LAIF, JPAs, County Pools)
  - ✓ Adds JPA-specific limitations
- **Supranationals & Asset/Mortgage-Backed Securities:**
  - ✓ Integrates for diversification
- **Prohibited Investments:**
  - ✓ Expanded per 2024 code update



# Recommended Changes by Section

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## Section 14.0 – Risk Management

- New section on managing credit and market risk
- Supports portfolio stability and diversification

## Section 16.0 – Performance Standards

- Allows benchmark adjustments for:
  - ✓ Market conditions
  - ✓ Cash flow needs

## Glossary of Terms

- Updated glossary:
  - ✓ Adds and refines definitions
  - ✓ Improves clarity of investment terminology

# Recommendation

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- Approve the Statement of Investment Policy
- Delegate authority to the General Manager and/or Chief Financial Officer to manage investments in accordance with the Policy

# Questions?

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