



S A W P A

SANTA ANA WATERSHED PROJECT AUTHORITY
11615 Sterling Avenue, Riverside, California 92503 • (951) 354-4220

PURSUANT TO THE PROVISIONS OF AB 361, THIS MEETING WILL BE CONDUCTED VIRTUALLY WITH THE OPPORTUNITY FOR PUBLIC COMMENT. ALL VOTES TAKEN WILL BE CONDUCTED BY ORAL ROLL CALL.

This meeting will be accessible as follows:

Meeting Access Via Computer (Zoom)*:	Meeting Access Via Telephone*:
<ul style="list-style-type: none"> https://sawpa.zoom.us/j/81083251436 Meeting ID: 810 8325 1436 	<ul style="list-style-type: none"> 1 (669) 900-6833 Meeting ID: 810 8325 1436
* Participation in the meeting via the Zoom app (a free download) is strongly encouraged.	

REGULAR COMMISSION MEETING TUESDAY, SEPTEMBER 20, 2022 – 9:30 A.M.

AGENDA

1. CALL TO ORDER/PLEDGE OF ALLEGIANCE (Marco Tule, Chair)

2. ROLL CALL

3. PUBLIC COMMENTS

Members of the public may address the Commission on items within the jurisdiction of the Commission; however, no action may be taken on an item not appearing on the agenda unless the action is otherwise authorized by Government Code §54954.2(b).

4. ITEMS TO BE ADDED OR DELETED

5. CONSENT CALENDAR

All matters listed on the Consent Calendar are considered routine and non-controversial and will be acted upon by the Commission by one motion as listed below.

- A. APPROVAL OF MEETING MINUTES: AUGUST 16, 2022.....7
Recommendation: Approve as posted.
- B. TREASURER’S REPORT: JULY 2022.....13
Recommendation: Approve as posted.
- C. TREASURER’S REPORT: AUGUST 2022.....19
Recommendation: Approve as posted.
- D. RESOLUTION ON CONTINUATION OF REMOTE COMMISSION AND COMMITTEE MEETINGS (CM#2022.60).....25
Recommendation: Adopt Resolution No. 2022-15 Proclaiming A State of Emergency Persists, Re-Ratifying the Proclamation of a State of Emergency by Governor Gavin Newsom, and Re-Authorizing Remote Teleconference Meetings of all Commission and Committee meetings of the Santa Ana Watershed Project Authority for the period of September 23, 2022 to October 22, 2022 pursuant to Brown Act Provisions.

6. WORKSHOP DISCUSSION AGENDA

A. LEGISLATIVE REPORT

Presenter: Michael Boccadoro and Beth Olhasso, West Coast Advisors

Recommendation: Receive and file.

7. NEW BUSINESS

**A. PROPOSITION 1 ROUND 2 INTEGRATED REGIONAL WATER MANAGEMENT
CALL FOR PROJECTS UPDATE (CM#2022.61).....33**

Presenter: Ian Achimore

Recommendation: Receive and file.

8. INFORMATIONAL REPORTS

Recommendation: Receive for information.

A. CASH TRANSACTIONS REPORT – JULY 202281

Presenter: Karen Williams

B. INTER-FUND BORROWING – JULY 2022 (CM#2022.62)87

Presenter: Karen Williams

C. PERFORMANCE INDICATORS/FINANCIAL REPORTING – JULY 2022 (CM#2022.63)93

Presenter: Karen Williams

D. PROJECT AGREEMENT 25 – OWOW FUND – FINANCIAL REPORT, JULY 2022.....115

Presenter: Karen Williams

**E. PROJECT AGREEMENT 26 – ROUNDTABLE FUND – FINANCIAL REPORT,
JULY 2022119**

Presenter: Karen Williams

F. FOURTH QUARTER FYE 2022 EXPENSE REPORT.....123

- General Manager
- Staff

Presenter: Karen Williams

G. GENERAL MANAGER REPORT127

Presenter: Jeff Mosher

H. STATE LEGISLATIVE REPORT133

Presenter: Jeff Mosher

I. CHAIR’S COMMENTS/REPORT

J. COMMISSIONERS’ COMMENTS

K. COMMISSIONERS’ REQUEST FOR FUTURE AGENDA ITEMS

9. CLOSED SESSION

There were no Closed Session items anticipated at the time of the posting of this agenda.

10. ADJOURNMENT

PLEASE NOTE:

Americans with Disabilities Act: If you require any special disability related accommodations to participate in this meeting, call (951) 354-4220 or email svilla@sawpa.org. 48-hour notification prior to the meeting will enable staff to make reasonable arrangements to ensure accessibility for this meeting. Requests should specify the nature of the disability and the type of accommodation requested.

Materials related to an item on this agenda submitted to the Commission after distribution of the agenda packet are available for public inspection during normal business hours at the SAWPA office, 11615 Sterling Avenue, Riverside, and available at www.sawpa.org, subject to staff's ability to post documents prior to the meeting.

Declaration of Posting

I, Sara Villa, Clerk of the Board of the Santa Ana Watershed Project Authority declare that on September 15, 2022, a copy of this agenda has been uploaded to the SAWPA website at www.sawpa.org and posted at SAWPA's office at 11615 Sterling Avenue, Riverside, California.

2022 SAWPA Commission Meetings/Events

First and Third Tuesday of the Month

(NOTE: All meetings begin at 9:30 a.m., unless otherwise noticed, and are held at SAWPA.)

January	February
1/4/22 Commission Workshop [cancelled]	2/1/22 Commission Workshop
1/18/22 Regular Commission Meeting	2/15/22 Regular Commission Meeting
March	April
3/1/22 Commission Workshop	4/5/22 Commission Workshop
3/15/22 Regular Commission Meeting	4/19/22 Regular Commission Meeting
May	June
5/3/22 Commission Workshop [cancelled]	6/7/22 Commission Workshop
5/17/22 Regular Commission Meeting	6/21/22 Regular Commission Meeting
5/3 – 5/6/22 ACWA Spring Conference, Sacramento, CA	
July	August
7/5/22 Commission Workshop [cancelled]	8/2/22 Commission Workshop [cancelled]
7/19/22 Regular Commission Meeting	8/16/22 Regular Commission Meeting
September	October
9/6/22 Commission Workshop [cancelled]	10/4/22 Commission Workshop
9/20/22 Regular Commission Meeting	10/18/22 Regular Commission Meeting
November	December
11/1/22 Commission Workshop	12/6/22 Commission Workshop
11/15/22 Regular Commission Meeting	12/20/22 Regular Commission Meeting
	11/29 – 12/2/22 ACWA Fall Conference, Indian Wells, CA

2023 SAWPA Commission Meetings/Events

First and Third Tuesday of the Month

(NOTE: All meetings begin at 9:30 a.m., unless otherwise noticed, and are held at SAWPA.)

January 1/3/23 Commission Workshop 1/17/23 Regular Commission Meeting	February 2/7/23 Commission Workshop 2/21/23 Regular Commission Meeting
March 3/7/23 Commission Workshop 3/21/23 Regular Commission Meeting	April 4/4/23 Commission Workshop 4/18/23 Regular Commission Meeting
May 5/2/23 Commission Workshop 5/16/23 Regular Commission Meeting 5/9 – 5/11/23 ACWA Spring Conference, Monterey, CA	June 6/6/23 Commission Workshop 6/20/23 Regular Commission Meeting
July 7/4/23 Commission Workshop 7/18/23 Regular Commission Meeting	August 8/1/23 Commission Workshop 8/15/23 Regular Commission Meeting
September 9/5/23 Commission Workshop 9/19/23 Regular Commission Meeting	October 10/3/23 Commission Workshop 10/17/23 Regular Commission Meeting
November 11/7/23 Commission Workshop 11/21/23 Regular Commission Meeting 11/28 – 11/30/23 ACWA Fall Conference, Indian Wells, CA	December 12/5/23 Commission Workshop 12/19/23 Regular Commission Meeting

SAWPA COMPENSABLE MEETINGS

IMPORTANT NOTE: Due to the spread of COVID-19, and until further notice, the Santa Ana Watershed Project Authority will be holding all upcoming meetings by teleconferencing. Participation information will be included on each posted agenda or meeting notice.

In addition to Commission meetings, Commissioners and Alternate Commissioners will receive compensation for attending the meetings listed below, pursuant to the Commission Compensation, Expense Reimbursement, and Ethics Training Policy.

IMPORTANT NOTE: These meetings are subject to change. Prior to attending any meetings listed below, please confirm meeting details by viewing the website calendar using the following link:

<https://sawpa.org/sawpa-calendar/>

MONTH OF: September 2022

DATE	TIME	MEETING DESCRIPTION	LOCATION
9/6/22	10:00 AM	PA 24 Committee Mtg	CANCELLED
9/13/22	8:30 AM	PA 22 Committee Mtg	CANCELLED
9/22/22	11:00 AM	OWOW Steering Committee Mtg	VIRTUAL/TELECONFERENCE
9/26/22	1:00 PM	Joint Regional Water Quality Monitoring/MSAR TMDL Task Force Mtg	VIRTUAL/TELECONFERENCE
9/27/22	9:30 AM	Lake Elsinore/Canyon Lake TMDL Task Force Mtg	VIRTUAL/TELECONFERENCE
9/27/22	1:30 PM	Basin Monitoring Program Task Force Mtg	VIRTUAL/TELECONFERENCE

MONTH OF: October 2022

DATE	TIME	MEETING DESCRIPTION	LOCATION
10/4/22	8:30 AM	PA 23 Committee Mtg	CANCELLED
10/4/22	10:00 AM	PA 24 Committee Mtg	VIRTUAL/TELECONFERENCE
10/20/22	4:00 PM	LESJWA Board of Directors Mtg	VIRTUAL/TELECONFERENCE
10/31/22	2:30 PM	Emerging Constituents Program Task Force Mtg	VIRTUAL/TELECONFERENCE

Please Note: We strive to ensure the list of Compensable Meetings set forth above is accurate and up-to-date; the list is compiled based on input from SAWPA staff and Department Managers regarding meeting purpose and content.

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**SAWPA COMMISSION
REGULAR MEETING MINUTES
AUGUST 16, 2022**

COMMISSIONERS PRESENT

Marco Tule, Chair, Inland Empire Utilities Agency
Bruce Whitaker, Vice Chair, Orange County Water District
Mike Gardner, Secretary-Treasurer, Western Municipal Water District
David J. Slawson, Eastern Municipal Water District
June D. Hayes, San Bernardino Valley Municipal Water District

COMMISSIONERS ABSENT

None

**ALTERNATE COMMISSIONERS
PRESENT; NON-VOTING**

Kelly Rowe, Orange County Water District
T. Milford Harrison, San Bernardino Valley Municipal Water District

STAFF PRESENT

Jeff Mosher, Karen Williams, Mark Norton, David Ruhl, Edina Goode,
Rick Whetsel, Marie Jauregui, Sara Villa, Haley Mullay, Jessica
McDermott, Dean Unger, John Leete, Neftali Guerrero Navarrete

OTHERS PRESENT

Andrew Turner, Lagerlof, LLP; Joe Mouawad, Eastern Municipal
Water District; Nick Kanetis, Eastern Municipal Water District; Cathy
Pieroni, Inland Empire Utilities Agency; Michael Markus, Orange
County Water District; Greg Woodside, Orange County Water
District; Shawn Nevill, Orange County Water District; Craig Miller,
Western Municipal Water District; Mallory Gandara, Western
Municipal Water District; Brooke Jones, Yorba Linda Water District;
Wayne Miller, Yorba Linda Water District; Brian Dickinson, City of
Colton; Ryan Kearns, CWE; Frank McDonough, Desert Research
Institute; Richard Meyerhoff, GEI Consultants

The Regular Meeting of the Santa Ana Watershed Project Authority Commission was called to order at 9:32 a.m. by Chair Marco Tule on behalf of the Santa Ana Watershed Project Authority, 11615 Sterling Avenue, Riverside, California.

1. CALL TO ORDER

Pursuant to the provisions of AB 361, this meeting was conducted virtually, and all votes were taken by oral roll call.

2. ROLL CALL

An oral roll call was duly noted and recorded by the Clerk of the Board.

3. PUBLIC COMMENTS

There were no public comments; there were no public comments received via email.

4. ITEMS TO BE ADDED OR DELETED

There were no items to be added or deleted.

5. CONSENT CALENDAR

A. APPROVAL OF MEETING MINUTES: JULY 19, 2022

Recommendation: Approve as posted.

B. TREASURER'S REPORT: JUNE 2022

Recommendation: Approve as posted.

C. RESOLUTION ON CONTINUATION OF REMOTE COMMISSION AND COMMITTEE MEETINGS (CM#2022.54)

Recommendation: Adopt Resolution No. 2022-14 Proclaiming A State of Emergency Persists, Re-Ratifying the Proclamation of a State of Emergency by Governor Gavin Newsom, and Re-Authorizing Remote Teleconference Meetings of all Commission and Committee meetings of the Santa Ana Watershed Project Authority for the period of August 23, 2022 to September 22, 2022 pursuant to Brown Act Provisions.

MOVED, to approve the Consent Calendar as posted.

Result:	Adopted by Roll Call Vote
Motion/Second:	Hayes/Gardner
Ayes:	Gardner, Hayes, Slawson, Tule, Whitaker
Nays:	None
Abstentions:	None
Absent:	None

6. NEW BUSINESS

A. SANTA ANA RIVER WATERSHED WEATHER MODIFICATION PILOT PROJECT - VALIDATION SUPPORT (CM#2022.55)

Mark Norton briefed the SAWPA Commission on the effort to validate the results of the Santa Ana River Watershed Weather Modification Pilot Project (Pilot Project). The Pilot Project is scheduled to start in mid-November timeframe, and preparations are underway with North American Weather Consultants (NAWC) to conduct the work. SAWPA Staff will be preparing a contract with Desert Research Institute (DRI) to work in parallel with NAWC to ensure that their results of the Pilot Project can be verified and validated. It is anticipated that the contract with DRI will be brought forward for SAWPA Commission approval in September.

Mr. Norton introduced Frank McDonough of DRI and he provided a presentation on the Validation Plan for the SAWPA Cloud Seeding Program, contained in the agenda packet on pages 31-46. Mr. McDonough provided a brief background of DRI, which is located in Reno, Nevada and is a research institution part of the Nevada System for Higher Education, founded in 1959. DRI's research topics include global climate change, water quality and availability, air quality, the sustainability of desert lands, and education. DRI's Cloud Seeding Program started in 1960 and it was led by several well-funded Bureau of Reclamation programs (Sierra Cooperative Pilot Program and Sky water Research Program).

Mr. McDonough noted that the Santa Ana River Watershed Weather Modification Feasibility Study was done by NAWC, and their results showed that most of the snowfall occurs above 5,000 to 7,000 feet and observes about 100 to 150 inches of snow annually. NAWC's results of an assessment of fifty-eight storms show that 51% had the cloud seeding conditions (sub freezing liquid water drops). Four (4) target areas were identified; the Northwest, Northeast, Southwest, and Southeast for additional snowfall that would generate stream flow in the Santa Ana River.

Mr. McDonough provided a detailed description of each of the validation tasks for SAWPA's Cloud Seeding Program:

Task 1 – Score the seeding program. Review all of the storms crossing the area during operational winter and assess the cloud seeding operations.

Task 2 – Target assessment using snow chemistry.

Task 3 – Calculate the seeding snow water equivalent (SWE) or rainfall increases for each of the seeded storms.

Task 4 – Precipitation, Full Seasonal Target-Control Evaluations.

Task 5 – Streamflow Analysis Target-Control Evaluation.

Commissioner Gardner noted that the focus is primarily on snowfall, and cloud seeding can end up with an increase in rain; are we able to do water chemistry testing instead of snow chemistry testing to see if there is an increase of rainfall? Mr. McDonough noted that the snow chemistry testing only works with snow, the snow has to be captured in bags to maintain it frozen at all times. Commission Rowe and Commissioner Whitaker thanked Mr. McDonough for his presentation and noted that they are looking forward to seeing the future analysis for this project. Jeff Mosher noted that the first year of seeding will start in November and the scope of work has been reviewed by the SAWPA member agency staff. It is anticipated to bring back the contract with DRI in September for Commission approval.

This item was for informational purposes; no action was taken on Agenda Item No. 6.A.

**B. UPPER SANTA ANA RIVER WATERSHED HOMELESS ENCAMPMENT – PHASE 1A
WATER QUALITY MONITORING AND TRASH ASSESSMENT – STATUS REPORT
(CM#2022.56)**

Rick Whetsel introduced Richard Meyerhoff of GEI Consultants, who provided a presentation on the Homeless Encampment Impacts on Water Quality – Dry Weather Conditions, contained in the agenda packet on pages 51-65. GEI Consultants and CWE partnered together and completed a two-part study in 2020. The study included assessing current nature and extent of stream and waterbody adjacent homeless encampments in the upper watershed and developed a proposed program to assess potential impacts of homeless encampments on water quality and habitat. SAWPA, San Bernardino, and Riverside County MS4 agencies funded a preliminary dry weather monitoring program for FY 2021-22. The project objectives were to assess potential impacts of three areas of concentrated homeless encampment activity on water quality during dry weather conditions and quantify potential water quality and trash deposition impacts caused by homeless encampments.

Assessments conducted upstream and downstream of three areas of homeless encampments: Market Street Bridge, Mission Boulevard Bridge, and Van Buren Boulevard Bridge. Four dry weather sampling events were completed: September 2021, October 2021, November 2021, and January 2022 (following a 2-week wet weather event). The data collection included water samples analyzed for Total Suspended Solids (TSS), E. coli, and human bacteria marker. Sonde measurements for temperature, dissolved oxygen, pH, specific conductivity, and turbidity. The California's State Water Resources Control Board protocols were followed for the rapid trash assessment, and the bacteria markers for dogs and pigs were added to the final two sampling events (November 2021 and January 2022).

The findings for the rapid trash assessment were that the trash was typically greater downstream of areas of concentrated homeless encampments, and the trash conditions improved at all monitoring sites following the December's wet weather event. The human marker was observed at some sites, especially Van Buren Bridge encampment area, and the pig markers was the most significant detection in November 2021 and January 2022 at the

downstream Mission Boulevard Bridge site and upstream/downstream of the Van Buren Bridge sites. The final report was submitted to SAWPA in June 2022, and the Middle Santa Ana River TMDL Task Force added a pig marker analysis to selected watershed monitoring sites as part of ongoing regional bacteria monitoring program to determine spatial/temporal extent of presence of pig source bacteria. The study report does not recommend additional study of impacts to water quality from homeless encampments, under dry weather conditions, though SAWPA may want to consider funding additional monitoring studies to evaluate potential impacts under wet weather conditions. The proposed monitoring under a Phase 2 would address wet weather conditions is estimated about \$750,000.

Commissioner Gardner noted that he attended some of the workshops in shaping the study and he is impressed with the way it has moved forward. The pig population has been an ongoing issue in terms of total impact of water quality for decades. Commissioner Harrison noted that the deposit of the pigs' feces in the river which has over time embedded itself into the base of the river is a continuous source of E. coli contamination. Commissioner Whitaker asked what examples of toxic trash that were found. Ryan Kearns of CWE noted batteries, containers of motor oil, spray paint cans, lighters, cigarette butts, and syringes.

This item was for informational purposes; no action was taken on Agenda Item No. 6.B.

7. INFORMATIONAL REPORTS

Recommendation: Receive for Information.

- A. CASH TRANSACTIONS REPORT – JUNE 2022**
- B. INTER-FUND BORROWING – JUNE 2022 (CM#2022.57)**
- C. PERFORMANCE INDICATORS/FINANCIAL REPORTING – JUNE 2022 (CM#2022.58)**
- D. PROJECT AGREEMENT 25 – OWOW FUND – FINANCIAL REPORT, JUNE 2022**
- E. PROJECT AGREEMENT 26 – ROUNDTABLE FUND – FINANCIAL REPORT
JUNE 2022**
- F. BUDGET VS ACTUAL VARIANCE REPORT – FYE 2022 FOURTH QUARTER – JUNE
30, 2022 (CM#2022.59)**
- G. FINANCIAL REPORT FOR THE FOURTH QUARTER ENDING JUNE 30, 2022**
- H. OWOW QUARTERLY STATUS REPORT: APRIL – JUNE 2022**
- I. ROUNDTABLES QUARTERLY STATUS REPORT: APRIL – JUNE 2022**
- J. GENERAL MANAGER REPORT**

Jeff Mosher introduced SAWPA's new hire, Daniel Vasquez as SAWPA's Operations Manager. Mr. Vasquez worked at South Orange Coast Wastewater Authority and is now reporting to David Ruhl in our Engineering and Operations Department. Mr. Vasquez noted that his education is in mechanical and nuclear engineering, and he has a few years of wastewater experience. He thanked the Commission for the opportunity and looks forward to working with SAWPA staff.

K. STATE LEGISLATIVE REPORT

L. CHAIR'S COMMENTS/REPORT

There were no Chair comments. Thanked the presenters.

M. COMMISSIONERS' COMMENTS

There were no Commissioners' comments.

N. COMMISSIONERS' REQUEST FOR FUTURE AGENDA ITEMS

There were no Commissioners' request for future Agenda items.

8. CLOSED SESSION

There was no closed session.

9. ADJOURNMENT

There being no further business for review, Chair Marco Tule adjourned the meeting at 10:46 a.m.

Approved at a Regular Meeting of the Santa Ana Watershed Project Authority Commission on Tuesday, September 20, 2022.

Marco Tule, Chair

Attest:

Sara Villa, Clerk of the Board

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*Santa Ana Watershed
Project Authority*



Finance Department

Santa Ana Watershed Project Authority
TREASURER'S REPORT

July 2022

During the month of July 2022, the Agency's actively managed temporary idle cash earned a return of 1.581%, representing interest earnings of \$9,606. Additionally, the Agency's position in overnight funds L.A.I.F. generated \$47,980 in interest, resulting in \$57,586 of interest income from all sources. Please note that this data represents monthly earnings only, and does not indicate actual interest received. There were zero (0) investment positions purchased, zero (0) positions sold, one (1) position matured, and zero (0) positions were called.

This Treasurer's Report is in compliance with SAWPA's Statement of Investment Policy. Based upon the liquidity of the Agency's investments, this report demonstrates the ability to meet customary expenditures during the next six months.

August 8, 2022

Prepared and Submitted by:

A handwritten signature in black ink that reads 'Karen Williams'.

Karen L. Williams, Deputy GM/Chief Financial Officer

Santa Ana Watershed Project Authority
INVESTMENT PORTFOLIO - MARKED TO MARKET - UNREALIZED GAINS & LOSSES
July 31, 2022

SAWPA primarily maintains a "Buy and Hold" investment philosophy, with all investments held by US Bank via a third-party safekeeping contract.

Investment Type	Security Type	CUSIP	Dealer	Purchase Date	Maturity Date	Call Date (if appl)	Par Value	Yield To Maturity	Investment Cost	Market Value Current Month	Unrealized Gain / (Loss)	Coupon Rate	Interest Earned
Agency	FHLB	3130A3GE8	MBS	02-04-20	12-13-24	No Call	\$ 500,000.00	1.414%	\$ 531,250.00	\$ 497,579.50	\$ (33,671)	2.750%	\$ 600.51
Agency	FHLB	3130ASDS5	MBS	06-21-22	06-28-24	No Call	\$ 1,000,000.00	3.204%	\$ 991,130.00	\$ 996,735.00	\$ 5,605	2.750%	\$ 2,721.09
Agency	FNMA	3135G0X24	MBS	02-04-20	01-07-25	No Call	\$ 500,000.00	1.398%	\$ 505,380.00	\$ 484,636.50	\$ (20,744)	1.625%	\$ 593.71
Agency	FNMA	3135G05X7	WMS	10-30-20	08-25-25	No Call	\$ 1,000,000.00	0.460%	\$ 995,952.00	\$ 926,788.00	\$ (69,164)	0.375%	\$ 390.68
Agency	USTN	91282CAZ4	WMS	04-19-21	11-30-25	No Call	\$ 1,000,000.00	0.761%	\$ 982,500.00	\$ 922,383.00	\$ (60,117)	0.375%	\$ 646.04
Agency	USTN	91282ZTO	WMS	09-15-21	05-31-25	No Call	\$ 1,000,000.00	0.530%	\$ 989,726.56	\$ 929,531.00	\$ (60,196)	0.250%	\$ 450.29
CORP	Apple Inc	037833AK6	WMS	10-15-18	05-03-23	No Call	\$ 500,000.00	3.360%	\$ 479,898.50	\$ 497,468.00	\$ 17,570	2.400%	\$ 1,426.86
CORP	Toyota Motor Corp Credit	89236TFNO	WMS	10-15-18	09-20-23	No Call	\$ 500,000.00	3.550%	\$ 497,747.50	\$ 501,946.00	\$ 4,199	3.450%	\$ 1,507.53
CD	Morgan Stanley Bank NA	6169OUHP8	MBS	07-05-19	07-05-22	No Call	\$ -	0.000%	\$ -	\$ -	\$ -	0.000%	\$ 74.44
CD	Goldman Sachs Bank USA	38148PUV7	WMS	12-20-17	12-20-22	No Call	\$ 248,000.00	2.500%	\$ 248,000.00	\$ 247,990.82	\$ (9)	2.500%	\$ 526.58
CD	Capital One Bank USA NA	14042TGJ0	MBS	05-25-22	05-25-27	No Call	\$ 246,000.00	3.200%	\$ 246,000.00	\$ 242,292.29	\$ (3,708)	3.200%	\$ 668.58

Total Actively Invested Funds							\$ 6,494,000.00		\$ 6,467,584.56	\$ 6,247,350.11	\$ (220,234)	1.581%	\$ 9,606.31
Total Local Agency Investment Fund									\$51,780,394.13			1.091%	\$ 47,979.86
Total Invested Cash							\$ 6,494,000.00		\$58,247,978.69			1.146%	\$ 57,586.16

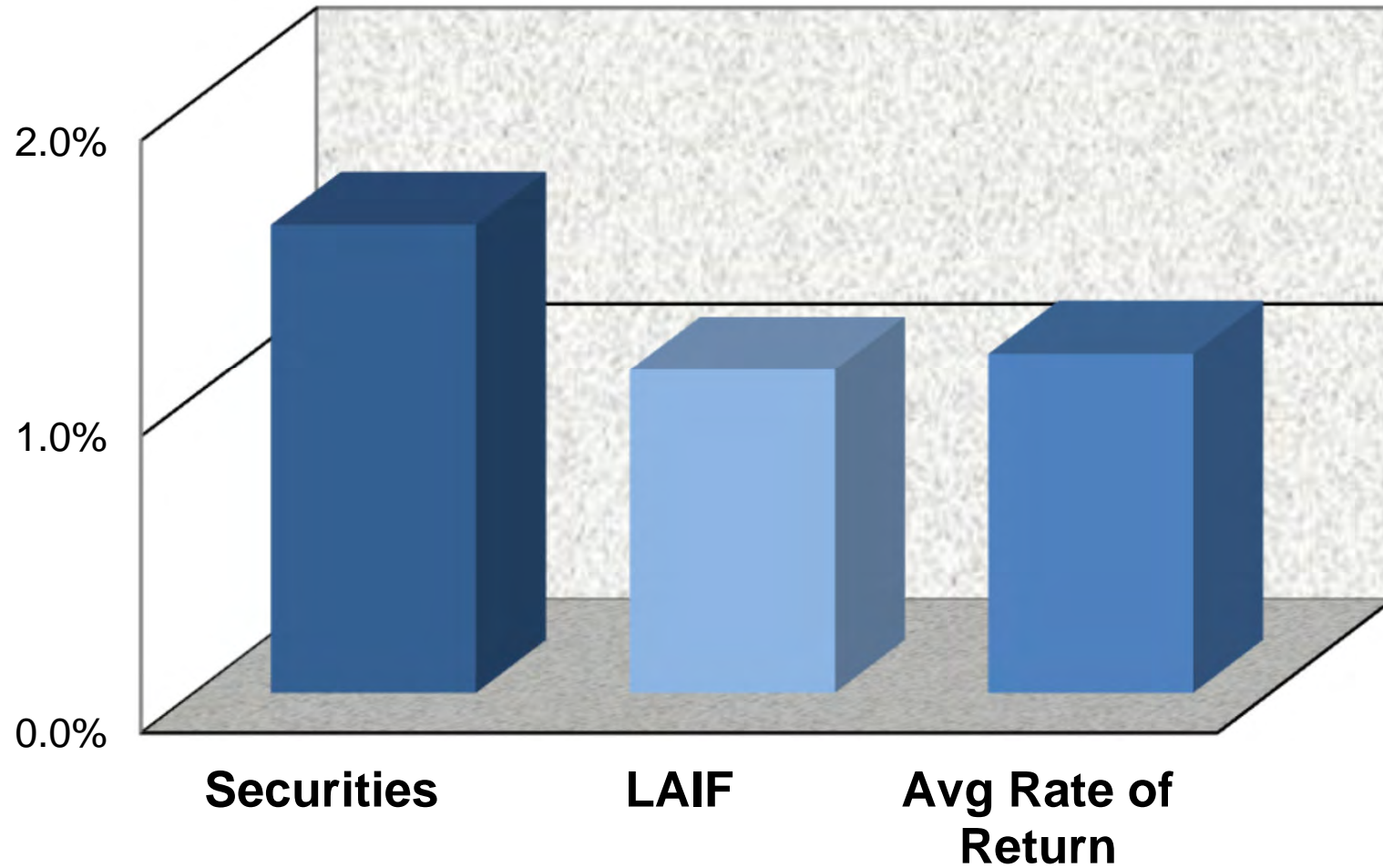
Key to Security Type:

FHLB	= Federal Home Loan Bank
FHLMC	= Federal Home Loan Mortgage Corporation
FNMA	= Federal National Mortgage Association
USTN	= US Treasury Note
CORP	= Corporate Note
CD	= Certificate of Deposit
GDB	= Goldman Sachs Bank
AEC	= American Express Centurion

Key to Dealers:

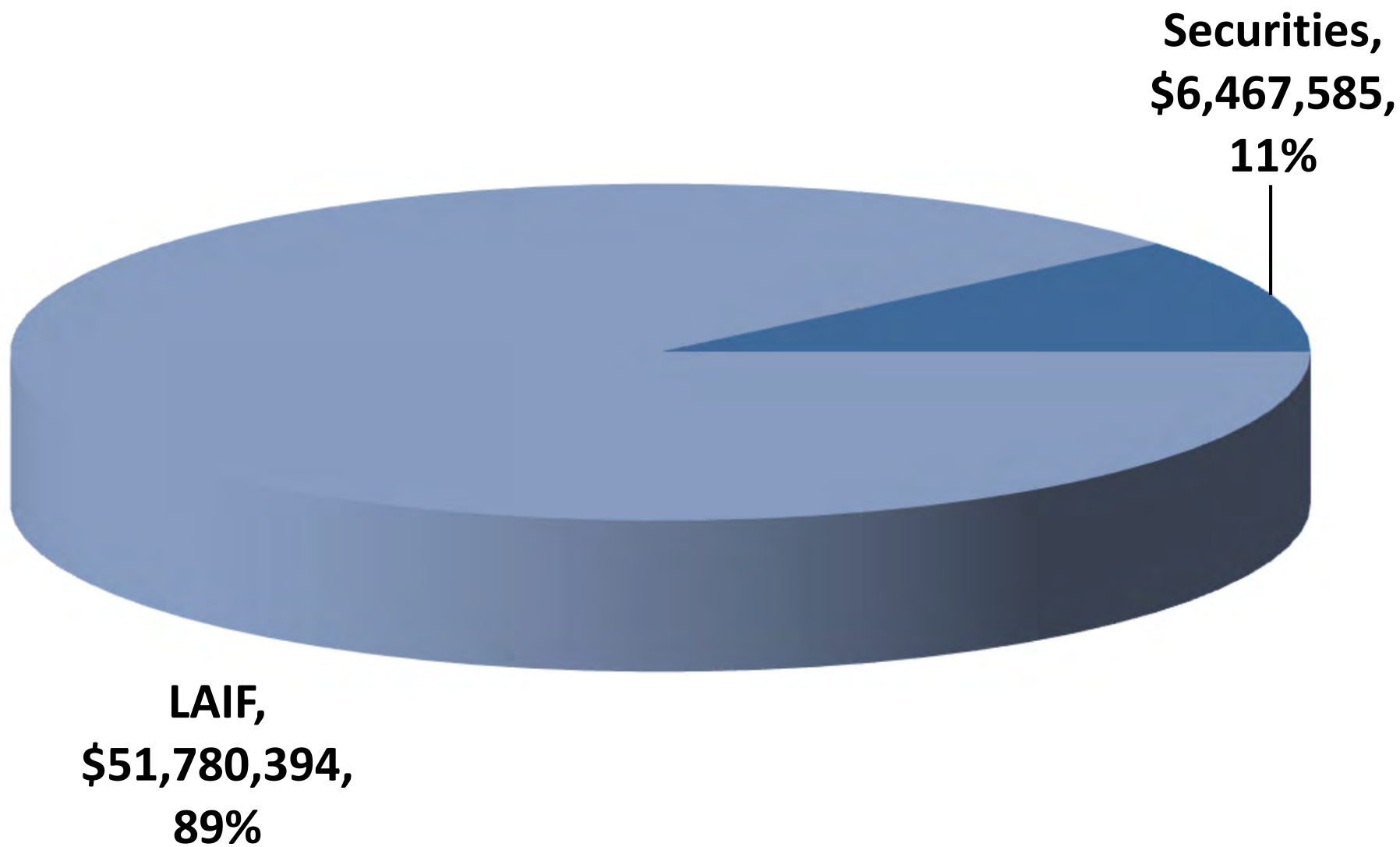
FCS	= FinaCorp Securities
MBS	= Multi-Bank Securities
MS	= Mutual Securities
RCB	= RBC Dain Rauscher
SA	= Securities America
TVI	= Time Value Investments
WMS	= Wedbush Morgan Securities

Interest Rate Analysis

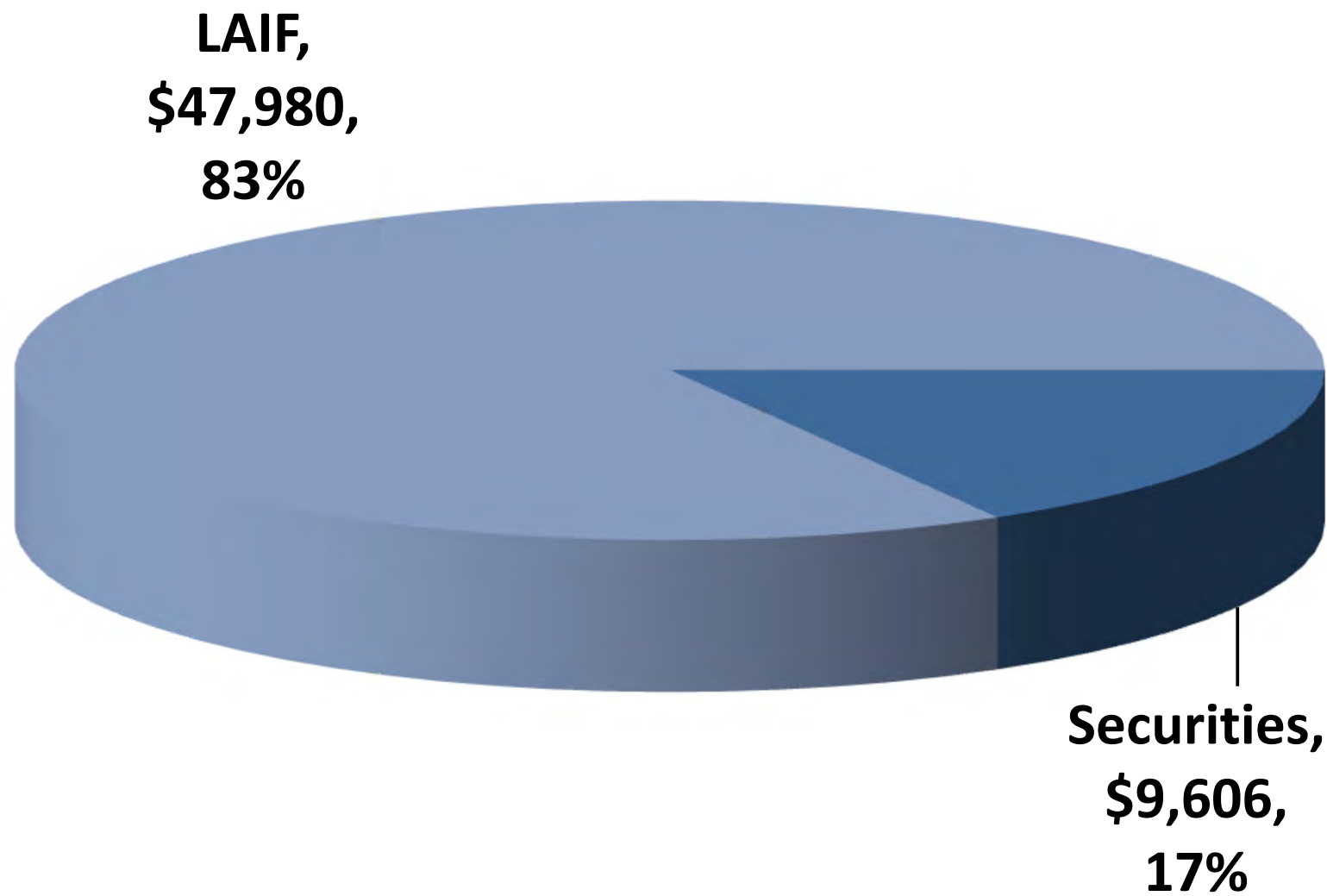


Investments

\$58,247,979



Interest
\$57,586



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*Santa Ana Watershed
Project Authority*



Finance Department

Santa Ana Watershed Project Authority
TREASURER'S REPORT

August 2022

During the month of August 2022, the Agency's actively managed temporary idle cash earned a return of 1.693%, representing interest earnings of \$10,429. Additionally, the Agency's position in overnight funds L.A.I.F. generated \$56,167 in interest, resulting in \$65,596 of interest income from all sources. Please note that this data represents monthly earnings only, and does not indicate actual interest received. There were four (4) investment positions purchased, zero (0) positions sold, zero (0) positions matured, and zero (0) positions were called.

This Treasurer's Report is in compliance with SAWPA's Statement of Investment Policy. Based upon the liquidity of the Agency's investments, this report demonstrates the ability to meet customary expenditures during the next six months.

September 8, 2022

Prepared and Submitted by:

A handwritten signature in black ink that reads 'Karen Williams'.

Karen L. Williams, Deputy GM/Chief Financial Officer

Santa Ana Watershed Project Authority

INVESTMENT PORTFOLIO - MARKED TO MARKET - UNREALIZED GAINS & LOSSES

August 31, 2022

SAWPA primarily maintains a "Buy and Hold" investment philosophy, with all investments held by US Bank via a third-party safekeeping contract.

Investment	Security			Purchase Maturity		Call Date		Yield To	Investment	Market Value	Unrealized	Coupon	Interest
Type	Type	CUSIP	Dealer	Date	Date	(if appl)	Par Value	Maturity	Cost	Current Month	Gain / (Loss)	Rate	Earned
Agency	FHLB	3130A3GE8	MBS	02-04-20	12-13-24	No Call	\$ 500,000.00	1.414%	\$ 531,250.00	\$ 491,768.00	\$ (39,482)	2.750%	\$ 600.51
Agency	FHLB	3130ASDS5	MBS	06-21-22	06-28-24	No Call	\$ 1,000,000.00	3.204%	\$ 991,130.00	\$ 987,000.00	\$ (4,130)	2.750%	\$ 2,721.09
Agency	FHLB	3130ASWP0	MBS	08-30-22	11-27-24	No Call	\$ 1,000,000.00	3.652%	\$ 1,000,000.00	\$ 999,550.00	\$ (450)	3.650%	\$ 100.05
Agency	FNMA	3135G0X24	MBS	02-04-20	01-07-25	No Call	\$ 500,000.00	1.398%	\$ 505,380.00	\$ 479,555.00	\$ (25,825)	1.625%	\$ 593.71
Agency	FNMA	3135G05X7	WMS	10-30-20	08-25-25	No Call	\$ 1,000,000.00	0.460%	\$ 995,952.00	\$ 911,902.00	\$ (84,050)	0.375%	\$ 390.68
Agency	USTN	91282CAZ4	WMS	04-19-21	11-30-25	No Call	\$ 1,000,000.00	0.761%	\$ 982,500.00	\$ 904,805.00	\$ (77,695)	0.375%	\$ 646.04
Agency	USTN	91282ZTO	WMS	09-15-21	05-31-25	No Call	\$ 1,000,000.00	0.530%	\$ 989,726.56	\$ 915,586.00	\$ (74,141)	0.250%	\$ 450.29
CORP	Apple Inc	037833AK6	WMS	10-15-18	05-03-23	No Call	\$ 500,000.00	3.360%	\$ 479,898.50	\$ 496,496.00	\$ 16,598	2.400%	\$ 1,426.86
CORP	Toyota Motor Corp Credit	89236TFNO	WMS	10-15-18	09-20-23	No Call	\$ 500,000.00	3.550%	\$ 497,747.50	\$ 499,201.00	\$ 1,454	3.450%	\$ 1,507.53
CD	American Express Natl Bank	02589ADS8	MBS	08-17-22	08-19-24	No Call	\$ 245,000.00	3.350%	\$ 245,000.00	\$ 245,031.61	\$ 32	3.350%	\$ 314.81
CD	Beal Bank USA	07371DEV5	MBS	08-17-22	08-12-26	No Call	\$ 245,000.00	3.200%	\$ 245,000.00	\$ 243,205.87	\$ (1,794)	3.200%	\$ 408.11
CD	Synchrony Bank	87164XP34	MBS	08-12-22	08-12-25	No Call	\$ 245,000.00	3.350%	\$ 245,000.00	\$ 244,833.40	\$ (167)	3.350%	\$ 74.44
CD	Goldman Sachs Bank USA	38148PUV7	WMS	12-20-17	12-20-22	No Call	\$ 248,000.00	2.500%	\$ 248,000.00	\$ 247,933.04	\$ (67)	2.500%	\$ 526.58
CD	Capital One Bank USA NA	14042TGJ0	MBS	05-25-22	05-25-27	No Call	\$ 246,000.00	3.200%	\$ 246,000.00	\$ 243,073.58	\$ (2,926)	3.200%	\$ 668.58
Total Actively Invested Funds							\$ 8,229,000.00		\$ 8,202,584.56	\$ 7,909,940.50	\$ (292,644)	1.693%	\$ 10,429.28
Total Local Agency Investment Fund									\$51,827,257.11			1.276%	\$ 56,166.55
Total Invested Cash							\$ 8,229,000.00		\$60,029,841.67			1.325%	\$ 66,595.83

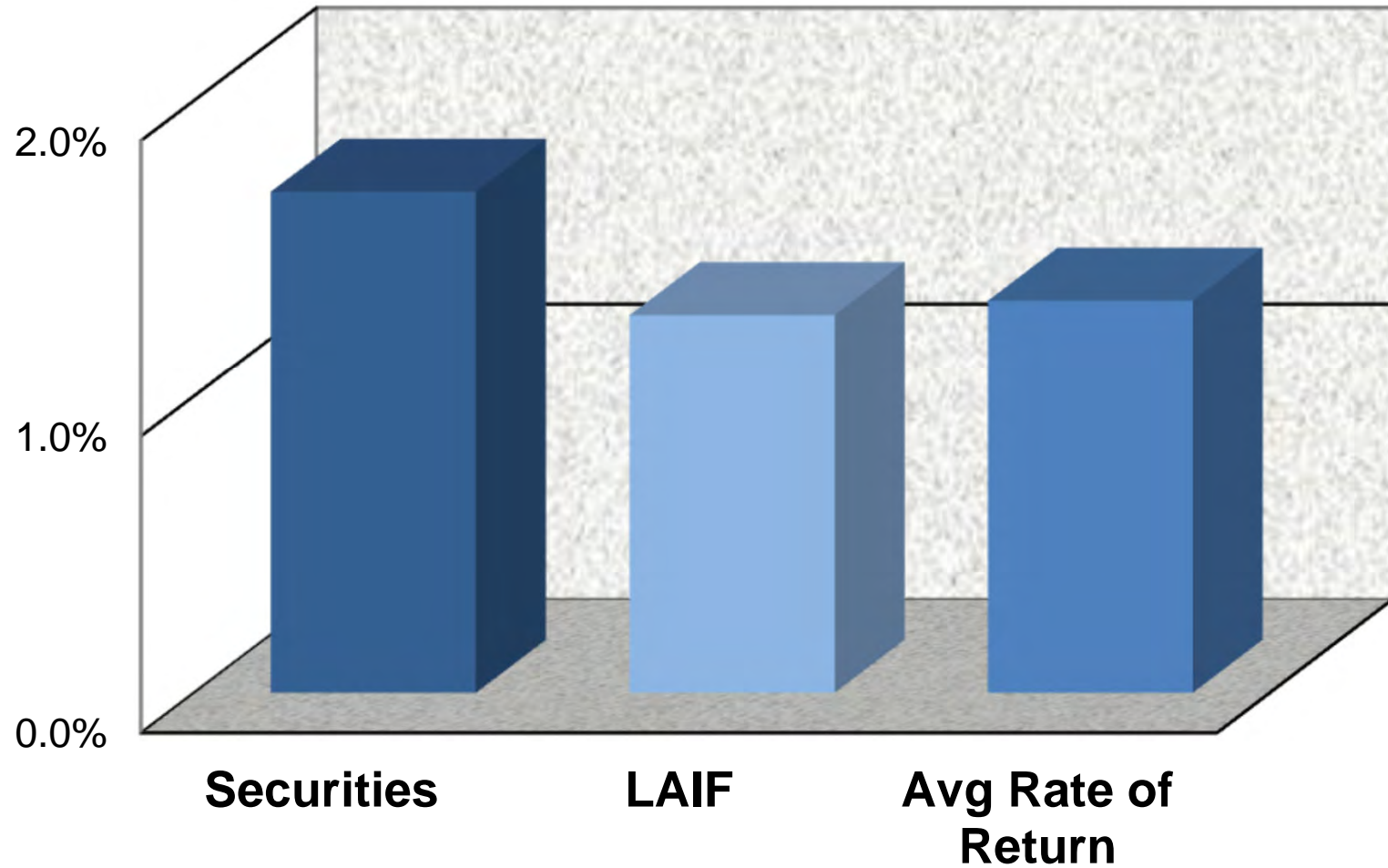
Keyto Security Type:

FHLB	=	Federal Home Loan Bank
FHLMC	=	Federal Home Loan Mortgage Corporation
FNMA	=	Federal National Mortgage Association
USTN	=	US Treasury Note
CORP	=	Corporate Note
CD	=	Certificate of Deposit
GDB	=	Goldman Sachs Bank
AEC	=	American Express Centurion

Keyto Dealers:

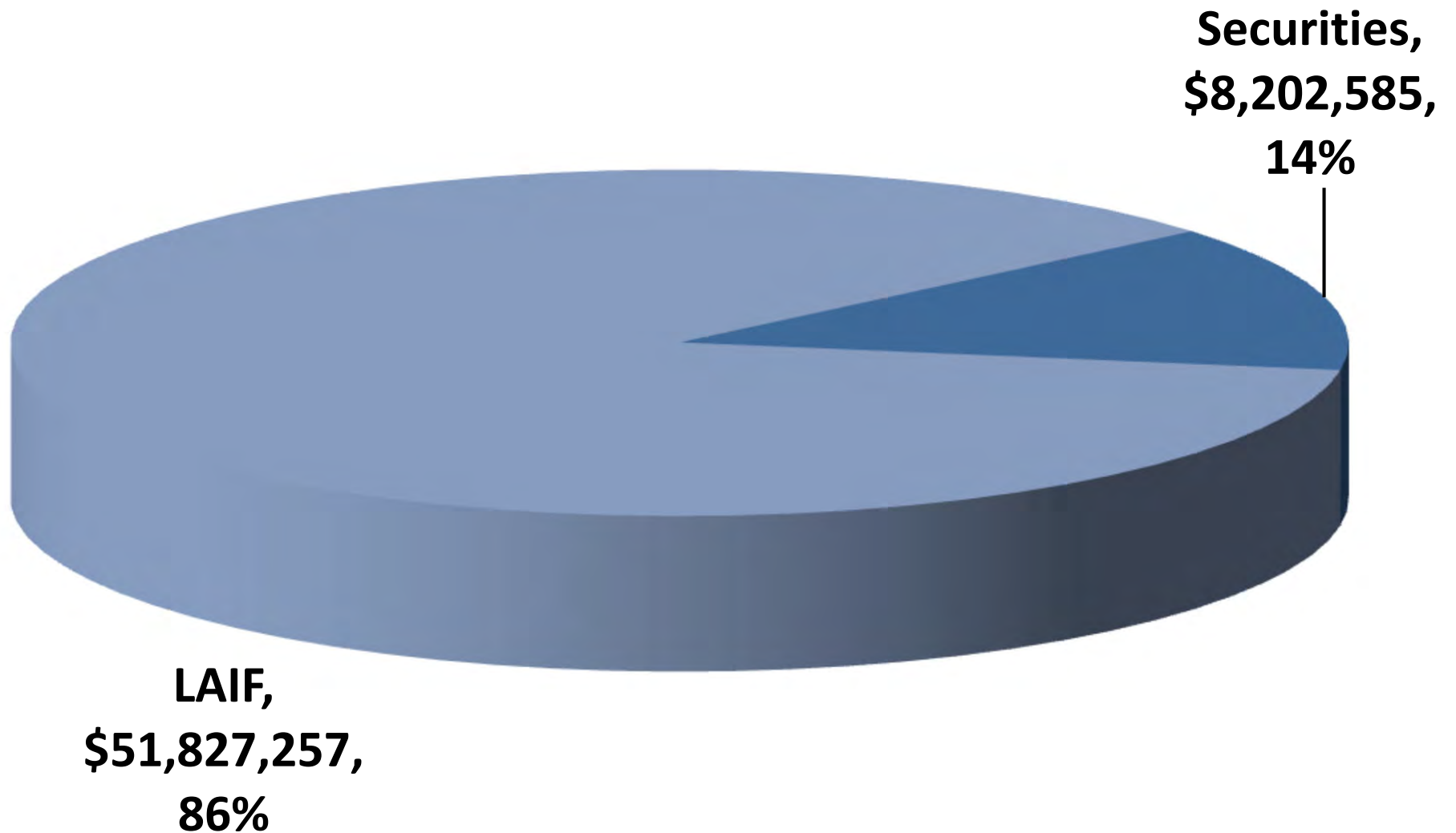
FCS	=	FinaCorp Securities
MBS	=	Multi-Bank Securities
MS	=	Mutual Securities
RCB	=	RBC Dain Rauscher
SA	=	Securities America
TVI	=	Time Value Investments
WMS	=	Wedbush Morgan Securities

Interest Rate Analysis

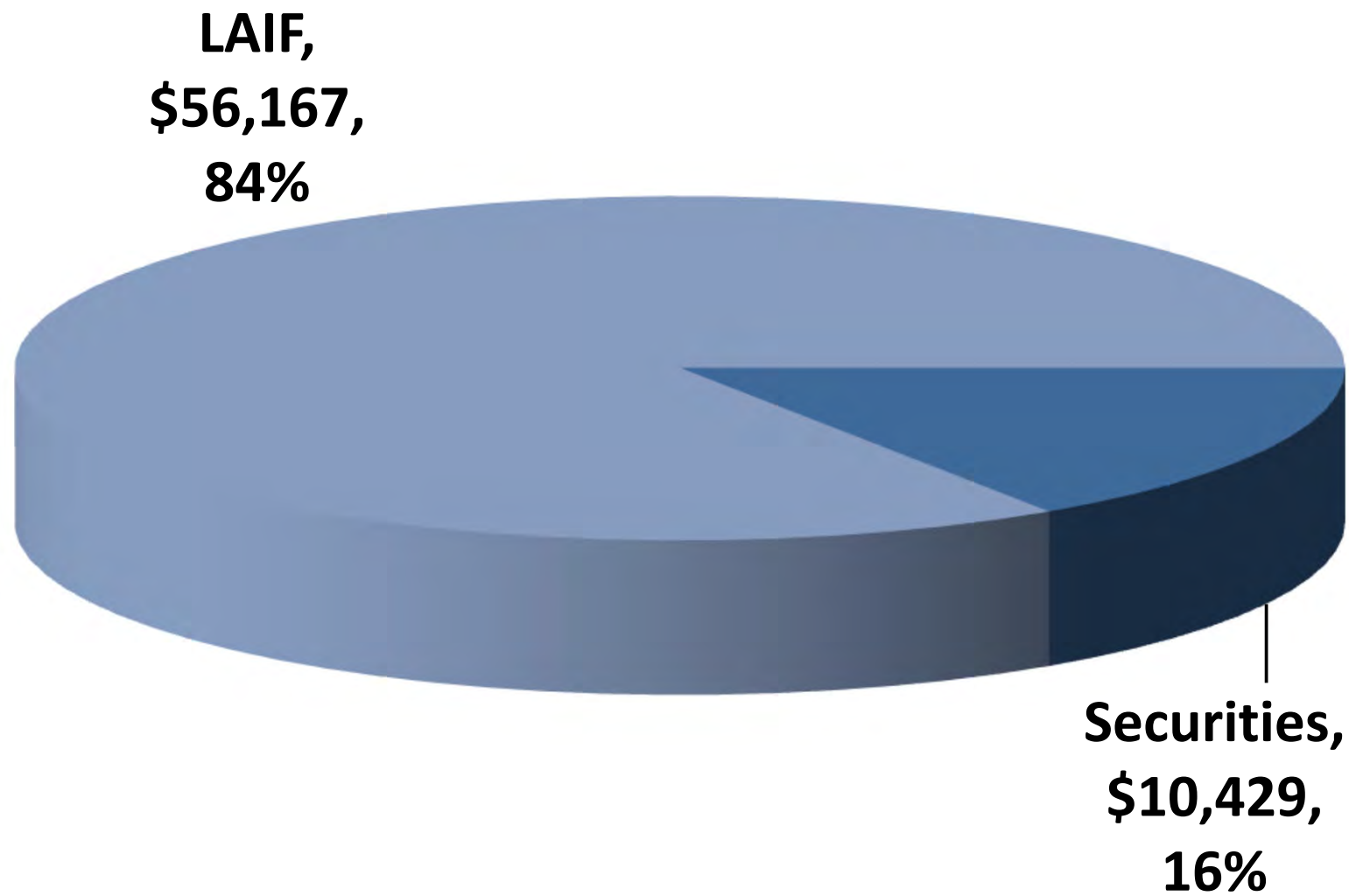


Investments

\$60,029,842



Interest
\$66,596



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COMMISSION MEMORANDUM NO. 2022.60

DATE: September 20, 2022

TO: SAWPA Commission

SUBJECT: Resolution on Continuation of Remote Commission and Committee Meetings

PREPARED BY: Jeff Mosher, General Manager

RECOMMENDATION

That the Commission consider adopting Resolution No. 2022-15 Proclaiming A State of Emergency Persists, Re-Ratifying the Proclamation of a State of Emergency by Governor Gavin Newsom, and Re-Authorizing Remote Teleconference Meetings of all Commission and Committee meetings of the Santa Ana Watershed Project Authority for the period of September 23, 2022 to October 22, 2022 pursuant to Brown Act Provisions.

DISCUSSION

On September 16, Governor Newsom signed into law AB 361, which suspended the Brown Act's existing teleconferencing requirements so long as the state-declared state of emergency in California remains in place. A Resolution must be executed every 30 days under AB 361 for the initial and subsequent findings under AB 361 in order to continue to utilize the relaxed teleconferencing requirements for board meetings (including committee meetings) subject to the Brown Act. AB 361 applies only to a state-declared state of emergency and not to a locally-declared emergency; and AB 361 will only remain in effect until January 1, 2024, unless the State Legislature takes action to extend it or make it permanent. The following is a brief summary of AB 361's pertinent provisions.

- 1. Posting of Agendas.** The Brown Act currently requires that a local agency post agendas at all teleconference locations. Thus, if a director is calling in from a hotel room in Las Vegas, the director would need to post the agenda on his or her hotel room door. AB 361 removes the requirement that agendas must be posted at all teleconference locations. Therefore, under AB 361, the director can call from his or her Las Vegas hotel room without having to post the agenda on the hotel room door.

AB 361 does not change the general agenda posting requirements under the Brown Act. Thus, agencies should continue to post their agendas at least 72 hours before a regular board meeting and 24 hours before a special board meeting, and those postings should occur in the usual locations, including on the agency's website.

- 2. Location of Teleconferencing Participants.** The Brown Act currently requires a local agency that uses teleconferencing, to identify each teleconference location in the notice and agenda of the meeting or proceeding, and each teleconference location must be accessible to the public. Under this requirement, if a director was calling into a meeting from the Las Vegas hotel room, the director would need to allow members of the public into his or her hotel room for the meeting. Also, the Brown Act currently requires that at least a quorum of

the members of a legislative body must participate in the meeting (even if by teleconference) from locations within the agency's boundaries.

AB 361 excuses compliance with those requirements and agendas for meetings held in accordance with AB 361 are not required to identify each teleconference location and each location does not need to be accessible to the public (but see Item 3, below). In addition, there is no requirement under AB 361 that at least a quorum of the board members must be located within the agency's boundaries.

- 3. Public Access and Comments.** As stated above, local agencies are not required to make each teleconference location accessible to the public. However, the board meetings must remain open to the public and the agenda must include the manner by which members of the public may access the meeting remotely to offer public comment, including by a call-in option or an internet-based service option, such as meeting invite web address or call-in phone number, with passcode. Members of the public must be allowed to access the meeting and to address the legislative body directly, either during a general public comment period or before any individual actions are taken. Also, AB 361 clarifies that an agency may not require members of the public to submit their comments in advance of a meeting.

Public comments, either written or made by remote connection, must be accepted until the point at which the public comment period is formally closed. Any registration or sign-up period for public comments can only be closed when the public comment period is formally closed. Where public comments are accepted in a public comment period for each agenda item, the agency must allow a reasonable amount of time during each agenda item to allow the public the opportunity to provide comments, including time for members of the public to register or otherwise be recognized for the purpose of providing public comment.

- 4. Registration Issue.** The Brown Act has long prohibited the use of mandatory registration or "sign-ups" to attend public meetings or to provide public comment. Based on that prohibition, the Brown Act would present a significant problem for meetings that use a teleconference platform that requires participants to register for an account, even when it is not the local agency establishing that requirement. AB 361 solves that problem by allowing local agencies to use platforms which, incidental to their use and deployment, require users to register for an account with that platform, so long as the platform is not under the control of the local agency. Thus, an agency can use a platform that requires a registration to participate without violating the Brown Act.
- 5. Technological Disruption of Meeting.** AB 361 addresses what must occur in the event a technical difficulty interrupts a board meeting. Under AB 361, if a public comment line unexpectedly disconnects, a meeting agenda was sent out with the incorrect web link or dial-in information, the local agency's internet connection is interrupted, or other similar circumstances occur, the agency must stop the ongoing meeting and try to resolve the issue before continuing with the meeting agenda. If the meeting disruption cannot be resolved, the agency should not take any further action on agenda items and should end the meeting.

Failure to do so risks having any actions that were taken during the period of disruption set aside in a legal action.

6. Required Findings. AB 361 allows for teleconferencing under its provisions to occur in three scenarios, including:

- 1) The local agency is holding a meeting during a proclaimed state of emergency, and state or local officials have imposed or recommended measures to promote social distancing; or
- 2) The local agency is holding a meeting during a proclaimed state of emergency and has determined, by majority vote, that, as a result of the emergency, meeting in person would present imminent risks to the health or safety of attendees.

AB 361 provides that if a state of emergency remains active, or state or local officials have imposed or recommended measures to promote social distancing, in order to continue to teleconference without complying with the Brown Act's existing teleconferencing requirements, the agency's board of directors must, no later than 30 days after teleconferencing for the first time under AB 361, and every 30 days thereafter, making the following findings by at least majority vote:

- 1) The legislative body has reconsidered the circumstances of the state of emergency; and
- 2) Any of the following circumstances exist: (a) the state of emergency continues to directly impact the ability of the members to meet safely in person; or (b) state or local officials continue to impose or recommend measures to promote social distancing.

CRITICAL SUCCESS FACTORS

None.

RESOURCE IMPACTS

None.

Attachments:

1. Resolution No. 2022-15

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RESOLUTION NO. 2022-15

A RESOLUTION OF THE COMMISSION OF THE SANTA ANA WATERSHED PROJECT AUTHORITY (SAWPA) PROCLAIMING A STATE OF EMERGENCY PERSISTS, RE-RATIFYING THE PROCLAMATION OF A STATE OF EMERGENCY BY GOVERNOR GAVIN NEWSOM, AND RE-AUTHORIZING REMOTE TELECONFERENCE MEETINGS OF ALL COMMISSION AND COMMITTEE MEETINGS OF SAWPA FOR THE PERIOD SEPTEMBER 23, 2022 TO OCTOBER 22, 2022 PURSUANT TO BROWN ACT PROVISIONS.

WHEREAS, the Commission of the Santa Ana Watershed Project Authority (“SAWPA”) is committed to preserving and nurturing public access and participation in meetings of its Commissioners; and

WHEREAS, all meetings of SAWPA’s Commission and its standing committees (PA 22, PA 23, PA 24, and OWOW Steering Committee) are open and public, as required by the Ralph M. Brown Act (California Government Code Sections 54950 – 54963), so that any member of the public may attend, participate, and watch those bodies conduct their business; and

WHEREAS, the Brown Act, in Government Code Section 54953(e), makes provision for remote teleconferencing participation in meetings by members of a legislative body, without compliance with the requirements of Government Code Section 54953(b)(3), subject to the existence of certain conditions; and

WHEREAS, a required condition for application of Section 54953(e) is that a state of emergency is declared by the Governor pursuant to Government Code Section 8625, proclaiming the existence of conditions of disaster or of extreme peril to the safety of persons and property within the state caused by conditions as described in Government Code Section 8558; and

WHEREAS, a proclamation is made when there is an actual incident, threat of disaster, or extreme peril to the safety of persons and property within the jurisdictions that are within the District’s boundaries, caused by natural, technological or human-caused disasters; and

WHEREAS, it is further required that state or local officials have imposed or recommended measures to promote social distancing, or, the legislative body meeting in person would present imminent risks to the health and safety of attendees; and

WHEREAS, the SAWPA Commission previously adopted a Resolution, Resolution No. 2021-8 on October 19, 2021 finding that the requisite conditions exist for the SAWPA Commission and standing committees to conduct remote teleconference meetings without compliance with paragraph (3) of subdivision (b) of Section 54953; and

WHEREAS, as a condition of extending the use of the provisions found in Section 54953(e), the SAWPA Commission must reconsider the circumstances of the state of emergency that exists in SAWPA, and the Commission has done so; and

WHEREAS, emergency conditions persist in SAWPA, specifically, COVID-19, and its Omicron variant, remain highly contagious and, therefore, a threat to the health, safety and well-being of the SAWPA’s employees, directors, vendors, contractors, customers and residents; and

WHEREAS, SAWPA's Commission does hereby find that a state of emergency continues to exist within SAWPA's service area as a result of the continuing presence of COVID-19 and resulting local, state and federal orders and guidance, which has caused, and will continue to cause, conditions of peril to the safety of persons within SAWPA that are likely to be beyond the control of services, personnel, equipment, and facilities of SAWPA, and the Commission desires to affirm a local emergency exists and re-ratify the proclamation of state of emergency by the Governor of the State of California; and

WHEREAS, as a consequence of the local emergency persisting, SAWPA does hereby find that the SAWPA's Commission and all standing committees shall continue to conduct their meetings without compliance with paragraph (3) of subdivision (b) of Government Code Section 54953, as authorized by subdivision (e) of Section 54953, and that such legislative bodies shall continue to comply with the requirements to provide the public with access to the meetings as prescribed in paragraph (2) of subdivision (e) of Section 54953; and

WHEREAS, SAWPA will continue to provide proper notice to the public regarding all SAWPA's Commission and standing committee meetings, in accordance with Government Code Section 54953(e)(2)(A) and shall provide notice to the public of how they may access any such meeting via call-in number and/or internet link.

NOW, THEREFORE, the SAWPA Commission does hereby resolve as follows:

Section 1. Recitals. The Recitals set forth above are true and correct and are incorporated into this Resolution by this reference.

Section 2. Affirmation that Local Emergency Persists. The Commission hereby considers the conditions of the state of emergency in SAWPA and proclaims that a local emergency persists throughout SAWPA, and that conducting SAWPA Commission and standing committee meetings virtually will minimize the possible spread COVID-19 and any variant thereof.

Section 3. Re-ratification of Governor's Proclamation of a State of Emergency. The Commission hereby ratifies the Governor of the State of California's Proclamation of State of Emergency regarding COVID-19, dated March 4, 2020.

Section 4. Remote Teleconference Meetings. The SAWPA's General Manager, or his or her delegee, and the Commission and standing committees of SAWPA are hereby authorized and directed to take all actions necessary to carry out the intent and purpose of this Resolution, including continuing to conduct open and public meetings in accordance with Government Code section 54953(e) and other applicable provisions of the Brown Act.

Section 5. Effective Date of Resolution. This Resolution shall take effect immediately upon its adoption and shall be effective until the earlier of (i) the expiration of thirty (30) days from the date this Resolution was adopted, as set forth below, or (ii) such time as the SAWPA adopts a subsequent resolution in accordance with Government Code section 54953(e)(3) to extend the time during which the SAWPA Commission and standing committees of SAWPA may continue to teleconference without compliance with paragraph (3) of subdivision (b) of section 54953.

ADOPTED this 20th Day of September, 2022.

SANTA ANA WATERSHED PROJECT AUTHORITY

By:

Marco Tule, Chair

Attest:

Sara Villa, Clerk of the Board

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COMMISSION MEMORANDUM NO. 2022.61

DATE: September 20, 2022

TO: SAWPA Commission

SUBJECT: Proposition 1 Round 2 Integrated Regional Water Management Call for Projects Update

PREPARED BY: Ian Achimore, Senior Watershed Manager

RECOMMENDATION

Receive and file.

DISCUSSION

The OWOW Call for Projects was open from January 11, 2022 to June 1, 2022 and SAWPA received 24 applications requesting Proposition 1 Round 2 Integrated Regional Water Management (IRWM) grant funding. The Call for Projects application form reflected the rating and ranking criteria adopted by the SAWPA Commission as well as the IRWM proposal solicitation package (PSP) released by the California Department of Water Resources (DWR). Per the PSP, there are two categories for IRWM grant funding – “Disadvantaged Community Implementation” and “General Implementation.” To be eligible for Disadvantaged Community Implementation funding, a project needs to benefit a region that is considered “disadvantaged” using U.S. Census data or local income survey data.

The amount of projects received through the OWOW Call for Projects, as well as State Proposition 1 Round 2 IRWM grant funding available within each category is shown in Table 1 below. Also shown is the amount allocated for SAWPA’s grant administration effort to be utilized over the Round 2 implementation period from Summer 2023 to December 2027, and the amount allocated to the North Orange County IRWM group per the 2019 Cooperative Agreement approved by the Commission. Lastly, \$2 million from Prop 1 Round 1 is available as “roll over” funding as a project included in the DWR grant agreement withdrew due to the inability to move forward with project construction.

Table 1: Prop 1 Round 2 Available Funding

Category	Project Applications	Grant Requested	Grant Available
Disadvantaged Community	6	\$13,116,020	\$4,095,000
OWOW General Implementation	18	\$54,700,206	\$14,435,100
North OC - General Implementation	4	NA	\$7,175,543
SAWPA - Grant Administration (4.7% of total grant available)	NA	NA	\$1,352,929
Rollover available from Prop 1 Round 1	NA	NA	\$2,000,000
Total	28	\$67,816,226	\$29,058,572

On September 22, 2022, the OWOW Steering Committee will receive a recommendation from staff to move forward with the top ten OWOW ranked projects and a grant funding allocation using the ranking process. Those projects and their grant amounts are shown in Table 2 below. If approved by the OWOW Steering Committee and then by the SAWPA Commission at their October 4, 2022 meeting, staff will work with these ten projects to submit a final application to the DWR by their deadline of February 1, 2023. SAWPA has released a request for proposals (RFP) on August 30, 2022 to assist SAWPA with coordinating and compiling the grant application to the DWR. This is a budgeted action included in the two-year SAWPA budget and staff will present a consultant for approval, based on the RFP results, at the October 4, 2022 Commission meeting.

Table 2: OWOW Projects Recommended for Funding

Project Name	Project Lead	Recommended Grant Award	Total Cost
One Water One Watershed – General Implementation Projects			
Santa Ana River Watershed Weather Modification Pilot Project	Santa Ana Watershed Project Authority	\$861,400	\$1,722,800
Etiwanda Intervalley Water Quality and Water Resiliency Project Phase-1A	Jurupa Community Services District	\$2,954,213	\$28,505,400
Wellhead Nitrate Treatment for Wells 4 & 27	Monte Vista Water District	\$2,533,492	\$6,950,000
Cable Creek Basin Upper	San Bernardino County Flood Control District	\$2,521,678	\$20,000,000
Phase 1 - Lake Elsinore Algae Harvesting and Nutrient Removal Project	Lake Elsinore and San Jacinto Watersheds Authority	\$1,500,000	\$3,000,000
Lake Rialto Habitat Management and Community Open Space Project	City of Rialto	\$2,149,748	\$8,000,000
Well 2 Replacement	Monte Vista Water District	\$2,006,311	\$8,675,000
Disadvantaged Community Implementation Projects			
New Washington Well Project	City of Santa Ana	\$3,394,743	\$7,387,555
Water Quality: Lead Service Line Replacements in the Bloomington DAC	West Valley Water District	\$315,000	\$390,000
Box Springs Mutual Water Company Well Improvement Project	California Rural Water Association	\$1,885,257	\$1,893,000
Grand Totals		\$20,121,843	\$86,523,755

Per the 2019, Cooperative Agreement with the North County IRWM group that the Commission approved, the September 22nd recommendation to the OWOW Steering Committee also includes confirmation that the highest ranked North Orange County IRWM projects meet State requirements and thus be included in the DWR application to receive grant funding. Orange County Public Works is the administrator of that process, and SAWPA staff has coordinated closely with their staff to ensure the three projects meet eligibility requirements. Three projects were selected through the North Orange County IRWM group process and are shown below in Table 3 below.

Table 3: North OC Top Ranked Projects

Project Name	Project Lead	Grant Award	Total Cost
North Orange County - General Implementation Projects			
Orange County Regional PFAS Groundwater Treatment Program in Santa Ana & Garden Grove	Orange County Water District	\$4,200,000	\$11,100,000
Santa Ana Zoo Stormwater Capture and Diversion Project	City of Santa Ana	\$2,603,525	\$5,000,000
Making Conservation an Orange County Way of Life	Municipal Water District of Orange County	\$780,275	\$2,783,200
Grand Totals		\$7,583,800	\$18,883,200

As mentioned above, a project selected through the Prop 1 Round 1 OWOW process has withdrawn itself for funding due to the inability to move forward in construction, and \$2 million is now available for Round 2. Due to the funding roll over of \$2 million from Round 1, which can only be provided to other OWOW projects, and a split of the “watershed wide” funding between the OWOW process (66%) and the North Orange County IRWM group (34%) as specified in the 2019 Cooperative Agreement, the total funding allocation per category equates to different amounts shown in Table 1. As background, the Cooperative Agreement specifies three funding categories that projects can receive funding:

- 1) Upper Watershed projects that are selected through the OWOW process and take place in Riverside and San Bernardino counties,
- 2) North Orange County projects that are selected through the North Orange County IRWM group process, and
- 3) Watershed-wide projects that are selected through the OWOW process and benefit all three counties in the Santa Ana River Watershed.

If there are no high ranked projects in the watershed-wide category, or if the high ranked watershed-wide projects don’t use the full allotment, then the unused funding is distributed between the OWOW and North OC IRWM process using the 66% and 34% ratio. The final funding amounts by category are shown in Table 4 below. Note that although the amounts have changed for most of the categories, the grand total is the same as shown above in Table 1.

Table 4: Final Prop 1 Round 2 Amounts by Category

Category	Projects Recommended	Grant Available
Disadvantaged Community	3	\$5,595,000*
OWOW - General Implementation	7	\$14,526,843**
North OC - General Implementation	3	\$7,583,800***
SAWPA - Grant Administration (4.7% of total grant available)	NA	\$1,352,929
Grand Total	13	\$29,058,572

*Reflects an increase of \$1.5 million from the Table 1 amount (from \$2 million available from Round 1).

**Reflects an increase of \$500k from Table 1 amount (from \$2 million available from Round 1), and a reduction of \$408,257 due to transferring a portion of the unutilized watershed-wide amount to the North Orange County IRWM group.

***Reflects an increase of \$408,257 due to the transferring of a portion of the unutilized watershed-wide amount.

It is important to note that 26 projects were also submitted by applicants to be included in the Santa Ana River Watershed’s State-approved IRWM plan, known as the “OWOW Plan Update 2018.” Entities often take this action to be eligible for other State grant opportunities. On October 4, 2022, Staff will also recommend that these projects be included in the OWOW Plan Update 2018.

BACKGROUND

After the Call for Projects closed on June 1, 2022, SAWPA staff reviewed the 24 projects and posed questions to the project applications. Four workshops were also held in July and August per the approved “Participatory Budgeting” process. Project applicants and stakeholders from the watershed attended these meetings. Questions posed to the applicants by staff, stakeholders, and fellow applicants focused on several key issues:

- Are the benefits claimed realistic and accurate?
- Are the geographic areas claimed realistic and follow a similar methodology to those used by other applicants?
- Are there any double counting of benefits between projects?
- Are there any downstream effects from one project to another region in the watershed?

Announcements of the workshops and copies of the project applications were sent to the over 1,500 registrants on SAWPA’s OWOW email distribution list. Materials from the workshops were continually provided on a dedicated SAWPA webpage: <https://sawpa.org/owow/future-funding-opportunities/>

CRITICAL SUCCESS FACTORS

- Active participation of a diverse group of stakeholders representing counties, cities, and water districts, as well as the private sector and the regulatory, environmental, and environmental

justice communities who integrate the different interests in the watershed beyond political boundaries. Ensuring all perspectives are heard and valued.

- Distribution of benefits from the implementation of all integrated water resources management activities across the watershed in a fair and equitable fashion. Recognition that upstream conditions affect downstream water quality and quantity.
- OWOW criteria and values are transparent to watershed-wide stakeholders, and
- Administration of the OWOW process and plan in a highly efficient and cost-effective manner.

RESOURCE IMPACTS

None

Attachments:

1. PowerPoint Presentation
2. Request for Proposals - Grant Application Support for Integrated Regional Water Management Program

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Proposition 1 Round 2 Integrated Regional Water Management Call for Projects Update

Ian Achimore, Senior Watershed Manager
SAWPA Commission | September 20, 2022
Agenda Item 7.A.

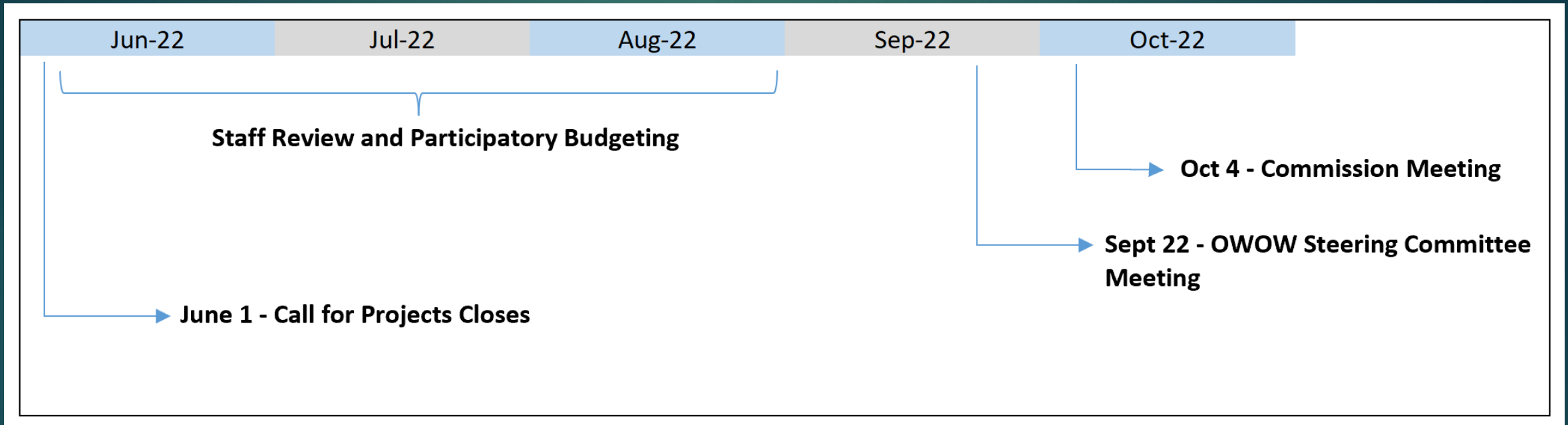


Recommendation

- ▶ Receive and file.

Note: Pending OWOW Steering Committee approval of the Prop 1 Round 2 projects on Thursday September 22nd, SAWPA will thereafter present the projects for adoption by the SAWPA Commission on October 4, 2022.

Prop 1 Round 2 Schedule



Notes:

- ▶ Application to Department of Water Resources (DWR) due February 1, 2023.
- ▶ DWR/SAWPA grant agreement likely executed October 2023.

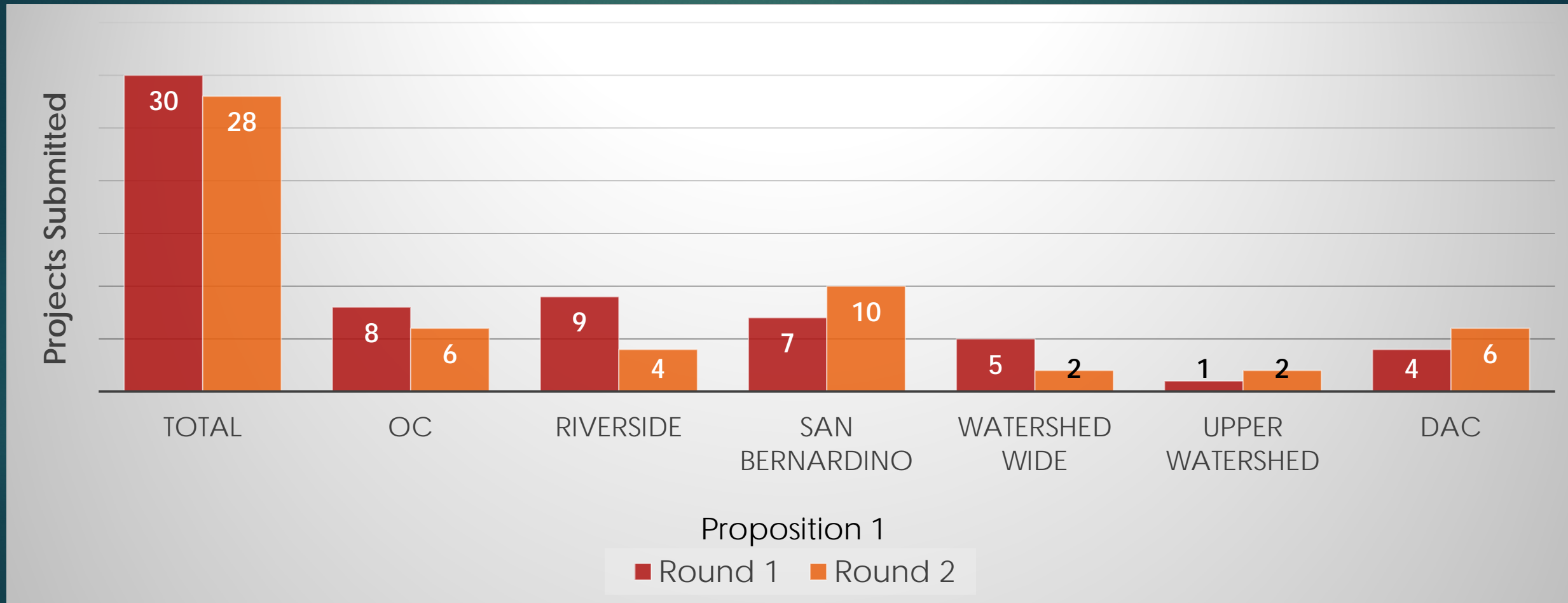
Prop 1 Round 2 Grant Funding Available for OWOW Process

Category	Project Applications	Grant Requested	Grant Available
Disadvantaged Community	6	\$13,116,020	\$4,095,000
OWOW General Implementation	18	\$54,700,206	\$14,435,100
North OC - General Implementation	4	NA	\$7,175,543
SAWPA - Grant Administration (4.7% of total grant available)	NA	NA	\$1,352,929
Rollover available from Prop 1 Round 1	NA	NA	\$2,000,000
Total	28	\$67,816,226	\$29,058,572

26 projects also submitted applicants in order to be included in the Santa Ana River Watershed IRWM OWOW Plan. Entities often take this action in order to be eligible for other State grant opportunities.

Projects Submitted by County/Region

5



Note: Includes projects submitted through the North OC IRWM process.

OWOW - Staff Review and Participatory Budgeting

- ▶ Staff provided comments to project proponents on June 24,
- ▶ There was an opportunity for stakeholders to comment on all projects (comment period from June 29 to July 18),
- ▶ Four participatory budgeting workshops held with the lead applicants for them to:
 - ▶ Explain/defend their benefits claimed and the geographic areas benefiting, and
 - ▶ Come to consensus on the final recommended funding list of DAC and General Implementation projects.
- ▶ Consensus was reached with the ranked list and grant funding allocation.



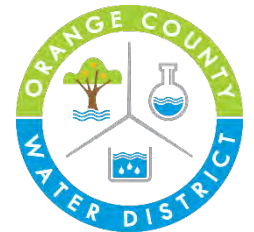
North Orange County IRWM Cooperative Agreement

- ▶ In 2018, North OC requested 38% of available Proposition 1 IRWM grant funds to projects in Orange County as selected by their ranking process in the OC IRWM Plan.
- ▶ In February 2019, SAWPA Commission adopted the agreement which agreed to the following allocation:
 - ▶ North Orange County ranked projects: 30%
 - ▶ OWOW ranked projects located in San Bernardino/Riverside counties: 60%
 - ▶ OWOW ranked projects that have watershed-wide benefits: 10%
 - ▶ There is also a formula in the agreement that allocates any of the unused watershed-wide 10% funding
- ▶ Note: DAC Implementation category not allocated by county.



OC SAN

OC Public Works



(North OC IRWM Group)

SAWPA's Role in North OC IRWM Projects

- ▶ OC Public Works administers process and has a thorough ranking process,
- ▶ Per the 2019 agreement, SAWPA to ensure all projects are eligible per Prop 1 requirements released by the State,
 - ▶ Agreement states - "projects will be reviewed by the Committee only for compliance with the requirements of the applicable DWR project solicitation."
- ▶ These eligibility requirements include:
 - ▶ Project to be completed by December 2027,
 - ▶ Sufficient local match (at least 50%) provided, and
 - ▶ Project has a "useful life" consistent with Government Code 16727.



Funding Categories

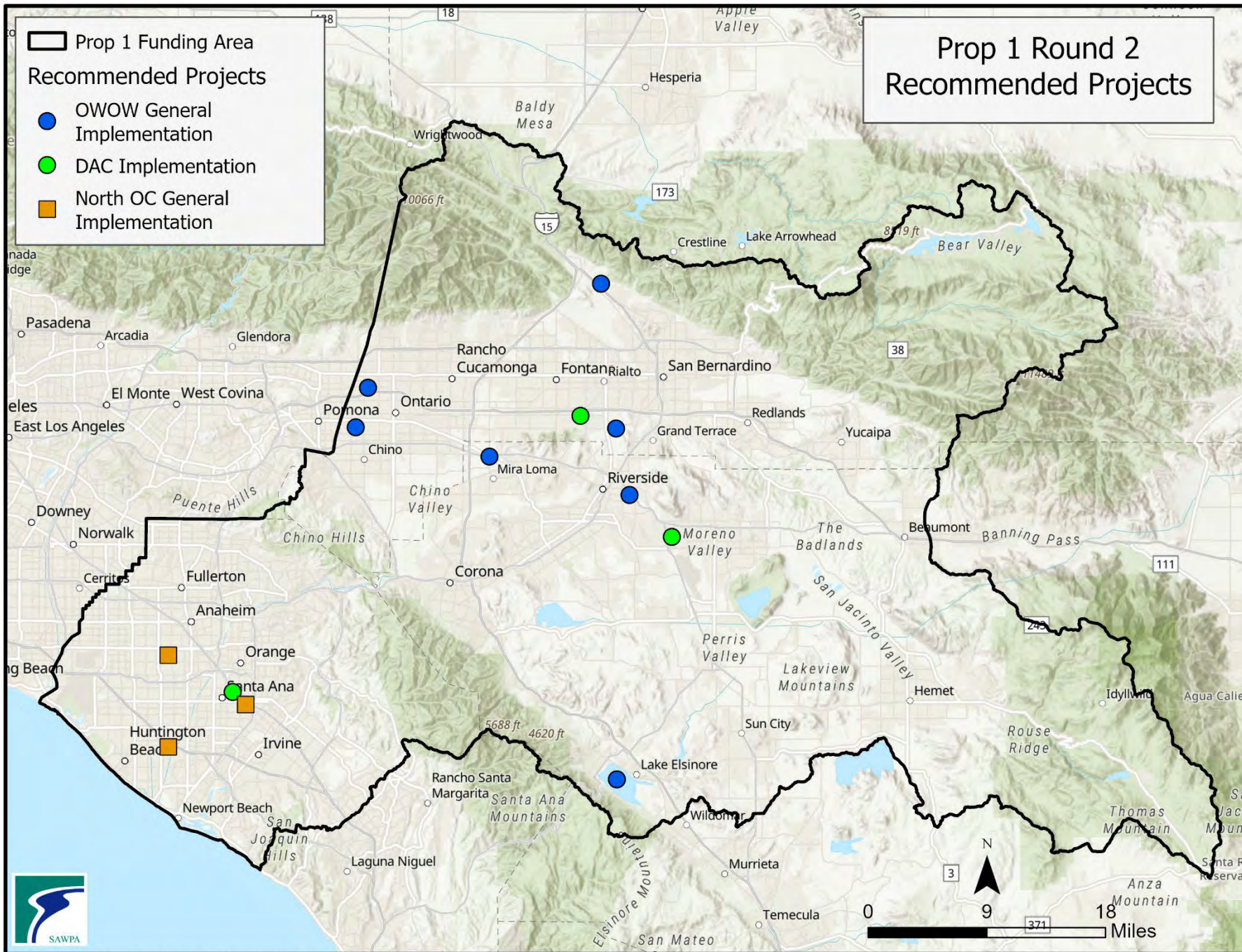
Competition Pools	Grant Amount
DAC	\$4,095,000
General Implementation	\$14,435,100
Upper Watershed*	\$12,372,943
Watershed Wide*	\$2,062,157
Watershed Wide Not Utilized	\$2,062,157 - \$861,400 = \$1,200,757

*Not a competition pool, funding gets distributed after projects are submitted and highest scoring projects are determined.

How Non-Utilized Funding Gets Dispersed

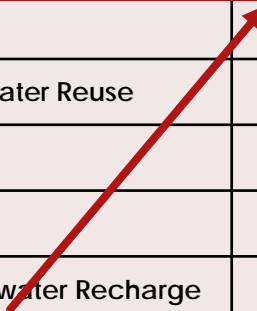
- ▶ Language included in the North OC Cooperative Agreement:
 - ▶ “In the event that selected projects that benefit both the upper and the lower watersheds do not expend any portion of the 10% allocation, any unexpended funds shall be allocated as follows:
 - ▶ Thirty-four percent (34%) through the OC Plan...., and
 - ▶ Sixty-six percent (66%) through the OWOW for the upper watershed (area in San Bernardino County or Riverside County).”

Non-Utilized Funds	North OC	OWOW
\$1,200,757	\$408,257	\$792,500
100%	34%	66%



General Implementation Project	Applicant	Watershed Wide	Water Supply (AFY)	Water Quality (MGD)	Greenhouse Gas*	Habitat (Acres)	Flood Protection (Acres)	DAC %	Score	Funding Recommendation
Santa Ana River Watershed Weather Modification Pilot	SAWPA	Y	8,200	4.40	6,265	-	-	46%	31.45	\$861,400
Etiwanda Intervalley Water Quality and Water Resiliency Phase-1A	JCSD	-	4,355	4.00	3,311	-	-	30%	17.51	\$2,954,213
Wellhead Nitrate Treatment for Wells 4 & 27	MVWD	-	4,516	4.03	-	-	-	42%	15.01	\$2,533,492
Cable Creek Basin (Upper)	SBCFCD	-	859	-	-	-	390	65%	14.94	\$2,521,678
Phase 1 - Lake Elsinore Algae Harvesting and Nutrient Removal	LESJWA	-	-	1.00	-	3,000	-	42%	12.84	\$1,500,000
Lake Rialto Habitat Management and Community Open Space	Rialto, City	-	-	5.90	7	10	-	51%	12.74	\$2,149,748
Well 2 Replacement	MVWD	-	3,226	2.88	-	-	-	42%	11.89	\$2,006,311
City of Rialto Recycled Intertie	IEUA	-	3,500	3.10	-	-	-	25%	10.87	
Santa Ana River Sustainable Parks & Tributaries Water Reuse	SBVMWD	-	5,109	-	-	187	-	38%	10.03	
Calimesa Aquifer Storage and Recovery	YVWD	-	2,890	-	2,197	-	-	37%	9.49	
Well Pump Replacements	MVWD	-	4,194	-	-	-	-	42%	8.90	
Improving Recycled Water Used in Local Groundwater Recharge	WMWD	-	985	3.0	749	-	-	26%	8.81	
Well 4 Replacement	MVWD	-	1,936	1.73	-	-	-	42%	8.76	
Water Well RN #6 Nitrate Removal System	RHWC	-	1,300	1.20	-	-	-	56%	8.22	
Improved Lake Circulation at Prado Regional Park	SBCRP	-	-	4.3	-	62	-	12%	7.47	
Large Landscape Water Efficiency Program	IEUA	-	671	0.04	510	-	-	43%	6.40	
Regional Water Distribution System Leak Detection and Repair	MWDOC	Y	1,338	1.19	1,017	-	-	12%	5.59	
Cactus Basins Connector Pipeline	SBVMWD	-	1,360	-	-	-	-	41%	5.28	50

Top project threshold.



*Measured in tons of Greenhouse Gas reduced.

DAC Project	Applicant	Water Supply (AFY)	Water Quality (MGD)	Flood (Acres)	DAC %	Score	Funding Recommendation
New Washington Well	Santa Ana, City	4,000	3.57		85%	17.00	\$3,394,743
Lead Service Line Replacements in the Bloomington DAC	WVWD	3,454	3.10		100%	14.72	\$315,000
Box Springs Mutual Water Company Well Improvement	California Rural WA	411	0.37		100%	1.93	\$1,885,257
Recycled Water Use Expansion	Santa Ana, City	370	-		63%	0.83	
Shamrock and Meridian Septic to Sewer Conversion	Rialto, City	-	0.13		100%	0.29	
Cottonwood Avenue Recycled Water Pipeline (East)	EMWD	90	-		100%	0.20	

Notes:

Top project threshold.

\$1.5 million (of \$2 million) rolled over from Round 1 to DAC Implementation and the Box Springs Project

\$500k (of \$2 million) rolled over from Round 1 to General Implementation through the ranking formula.

Further Information on \$2 Million Roll Over from Round 1

14

- ▶ Box Springs Mutual Water Company Well Improvement Project (in DAC Implementation competition pool) not able to move forward due to low grant allocation.
- ▶ To solve issue, SAWPA moved \$1.5 Million (from the \$2 Million roll over from Prop 1 Round 1) to Box Springs.
- ▶ The other \$500,000 (of the \$2 Million roll over) was allocated to the top General Implementation projects using the ranking formula.

Justification of \$1.5M/\$500k Split

15

- ▶ Same question posed in Prop 1 Round 1, but all projects able to move forward in the past with initial amount due to inquiries by SAWPA as the Regional Water Management Group (RWMG),
 - ▶ We have a unique issue this round due to the low score with the 3rd top DAC project.
- ▶ SAWPA/RWMG interested in projects able to move forward with grant provided.
- ▶ SAWPA wants to be responsive to comments received in participatory budgeting workshops regarding “per capita” benefits.
- ▶ Box Springs’s nitrate removal and water quality focused project is consistent with the Prop 1 State requirements and OWOW/IRWM Plan’s focus on small water systems.

“Back up” Projects

- ▶ Due to DWR’s grant application requirements, we are required to provide “back up” projects that are ranked just below the top projects.
- ▶ “Back up” projects are needed in case a selected project cannot proceed forward during the implementation phase from Summer 2023 to December 2027.
- ▶ There will be two back up projects – one for DAC and one for OWOW General Implementation.
 - ▶ DAC: Shamrock and Meridian Septic to Sewer Conversion
 - ▶ OWOW General: City of Rialto Recycled Intertie
- ▶ The North OC IRWM group prefers to have their funding reallocated between the remaining two projects if one of their three projects cannot move forward.

Final Grant Funding With Changes from Slide 4

17

Category	Projects Recommended	Grant Available
Disadvantaged Community	3	\$5,595,000*
OWOW - General Implementation	7	\$14,526,843**
North OC - General Implementation	3	\$7,583,800***
SAWPA - Grant Administration (4.7% of total grant available)	NA	\$1,352,929
Grand Total	13	\$29,058,572

*Reflects an increase of \$1.5 million (from \$2 million available from Round 1).

**Reflects an increase of \$500k (from \$2 million available from Round 1), and a reduction of \$408,257 due to transferring a portion of the unutilized watershed-wide amount to North Orange County IRWM.

***Reflects an increase of \$408,257 due to the transferring of a portion of the unutilized watershed-wide amount.

North OC IRWM Projects

Project Name	Project Lead	Grant Award	Total Cost
Orange County Regional PFAS Groundwater Treatment Program in Santa Ana and Garden Grove	Orange Count Water District	\$4,200,000	\$11,100,000
Santa Ana Zoo Stormwater Capture and Diversion Project	City of Santa Ana	\$2,603,525	\$5,000,000
Making Conservation an Orange County Way of Life	Municipal Water District of Orange County	\$780,275	\$2,783,200
Grand Totals		\$7,583,800	\$18,883,200

Next Steps

19

- ▶ August 30 (Previous step): Released RFP for consultant to assist with grant application,
- ▶ September 22: Present projects to OWOW Steering Committee,
- ▶ October 4: Present projects for final approval to Commission,
 - ▶ Commission considers adding 26 “plan only” projects to the OWOW Plan Update 2018.
 - ▶ Commission to also consider results of RFP and approve contract for grant consultant,
- ▶ February 1, 2023: Submit application to DWR.



Recommendation

20

► Receive and file.

Note: Pending OWOW Steering Committee approval of the Prop 1 Round 2 projects on Thursday September 22nd, SAWPA will thereafter present the projects for adoption by the SAWPA Commission on October 4, 2022.



REQUEST FOR PROPOSALS

Grant Application Support for Integrated Regional Water Management Program

SANTA ANA WATERSHED PROJECT AUTHORITY

AUGUST 30, 2022



Table of Contents

Contents

INTRODUCTION..... 2

ABOUT SAWPA..... 3

SCOPE OF WORK SUMMARY 3

DETAILED SCOPE OF WORK 4

PROPOSAL REQUIREMENTS..... 6

SUBMITTAL LOGISTICS AND QUESTIONS 7

GENERAL CONTRACT TERMS..... 7

RFP AND PROPOSAL SCHEDULE 8

EVALUATION CRITERIA..... 8

GENERAL REQUIREMENTS 8

Figures

Figure 1: Map of Santa Ana Funding Area and IRWM Region..... 2

Tables

Table 1: Prop 1 Round 2 Projects 4

Appendixes

- 1. Schedule
- 2. Fee Proposal
- 3. Standard Contract Documents – Task Order and GSA

February 1, 2023 to the DWR. SAWPA held a “Call for Projects” from January to June 2022 and received 26 applications for Proposition 1 Round 2. Ultimately 10 were selected for funding through this process.

It is important to note that SAWPA executed a cooperative agreement with the North Orange County IRWM group in 2019 that ensures they have access to a portion of the Proposition 1 Round 2 IRWM funding that can be distributed to Orange County-based projects. The administrator for that group is County of Orange Department of Public Works. Three projects were selected through their process and will also be included in the Proposition 1 Round 2 application to DWR bringing the total projects for the SAWPA application for DWR’s Proposition 1 Round 2 to 13 projects

ABOUT SAWPA

SAWPA was formed in 1968 as a joint power authority under California law, and is composed of five member agencies: Eastern Municipal Water District, Inland Empire Utilities Agency, Orange County Water District, San Bernardino Valley Municipal Water District, and Western Municipal Water District. SAWPA’s office is located at 11615 Sterling Avenue, Riverside, CA 92503 and its website is www.sawpa.org.

SAWPA focuses on a broad range of water resource issues in its service area – the Santa Ana River Watershed. These include water supply reliability, water quality improvement, recycled water, wastewater treatment, groundwater management, brine disposal, and integrated regional planning.

SAWPA’s stated mission is to develop and maintain regional plans, programs, and projects that will protect the Santa Ana River Watershed water resources to maximize beneficial uses within the watershed in an economically and environmentally responsible manner.

SCOPE OF WORK SUMMARY

This RFP is requesting proposals to complete the following overall scope of work:

Work on 13 projects to receive, review and compile Project Proponent’s sections of the application to the Department of Water Resources for Proposition 1 Round 2 IRWM funding. Some of the projects are led by the same entity, so although there are 13 projects, there are 10 different agencies (i.e. “Project Proponents”) for the Consultant to work with. The list of Project Proponents is provided in the table below. For the three North Orange County projects, the Consultant will work directly with the Project Proponents, although the Orange County Department of Public Works staff contact, who led their call for projects, will be involved to answer questions as necessary.

Per DWR requirements, there will be two “back up” projects that will require minimal information to be included in the grant application (identified in the table as projects “A” and “B”). This minimal information, which will be gathered and developed by SAWPA, includes a brief description of the project scope, the numeric benefits associated with the projects (i.e. acre-feet per year of water), high-level schedules, and high-level budgets. The project labeled “I” in the table, Grant Administration, will also be developed by SAWPA without assistance by the Consultant.

Table 1: Prop 1 Round 2 Projects

Project ID	Project Name	Project Proponent	Grant Award	Total Cost
i	Grant Administration	Santa Ana Watershed Project Authority	\$1,352,929	\$1,352,929
One Water One Watershed – General Implementation Projects				
1	Santa Ana River Watershed Weather Modification Pilot Project	Santa Ana Watershed Project Authority	\$861,400	\$1,722,800
2	Etiwanda Intervalley Water Quality and Water Resiliency Project Phase-1A	Jurupa Community Services District	\$2,954,213	\$28,505,400
3	Wellhead Nitrate Treatment for Wells 4 & 27	Monte Vista Water District*	\$2,533,492	\$6,950,000
4	Cable Creek Basin (Upper)	San Bernardino County Flood Control District	\$2,521,678	\$20,000,000
5	Phase 1 - Lake Elsinore Algae Harvesting and Nutrient Removal Project	Lake Elsinore and San Jacinto Watersheds Authority	\$1,500,000	\$3,000,000
6	Lake Rialto Habitat Management and Community Open Space Project	Rialto, City*	\$2,149,748	\$8,000,000
7	Well 2 Replacement	Monte Vista Water District*	\$2,006,311	\$8,675,000
A	<i>City of Rialto Recycled Intertie (Back up project)</i>	<i>Inland Empire Utilities Agency</i>	NA	\$53,000,000
One Water One Watershed – Disadvantaged Community Implementation Projects				
8	New Washington Well Project	Santa Ana, City*	\$3,394,743	\$7,387,555
9	Water Quality: Lead Service Line Replacements in the Bloomington DAC	West Valley Water District	\$315,000	\$390,000
10	Box Springs Mutual Water Company Well Improvement Project	California Rural Water Association	\$1,885,257	\$1,893,000
B	<i>Shamrock and Meridian Septic to Sewer Conversion Project (Back up project)</i>	<i>Rialto, City*</i>	NA	\$3,143,400
North Orange County IRWM Projects				
11	Orange County Regional PFAS Groundwater Treatment Program	Orange County Water District	\$4,200,000	\$11,100,000
12	Santa Ana Zoo Stormwater Capture and Diversion Project	Santa Ana, City*	\$2,603,525	\$5,000,000
13	Making Conservation an Orange County Way of Life	Municipal Water District of Orange County	\$780,275	\$2,783,200
Grand Totals			\$29,058,572	\$162,903,284

*Indicates Project Proponent with more than one project.

DETAILED SCOPE OF WORK

The Consultant will perform the following scope of work. These tasks and the descriptions will be included in the agreement (along with the Scope of Work Summary above) with the

Consultant and SAWPA. The Consultant will not work on projects “I”, “A” and “B” identified in the table above.

Task 1 – Kick Off Meeting with SAWPA and Overall Project Management

Consultant will organize a virtual kick-off meeting with SAWPA staff to accomplish the following:

- Review Consultant plan to collect all tasks, including schedule.
- Develop a “data request” to collect all relevant documents germane to all projects.
- Agree to a schedule for Consultant and SAWPA staff to have virtual check-in meetings on application development.

Consultant will maintain regular communication with the SAWPA project manager and Project Proponents throughout the project and will provide responses within an efficient time frame.

Consultant will develop a methodology to inform SAWPA project manager of the progress being made throughout the development of the application including status reports of the individual Project Proponents.

Task 2 – Meetings with Project Proponents

Consultant will schedule a joint virtual meeting with all Project Proponents and SAWPA staff to outline the overall timeline for application development, including dates for the Project Proponents to prepare a complete draft and final application to the Consultant with enough time for editing, incorporating feedback, and final submittal; discuss roles, responsibilities and expectations; provide the Project Proponent with a detailed project tracking sheet that will outline each component of the application as it relates to needed information or that will be generated, and deadlines for each.

Soon after the joint virtual meeting, the Consultant will schedule individual virtual meetings with each of the Project Proponents. At each initial Project Proponent meeting, the Consultant will share a schedule a series of coordination meetings and identify a point person representing the Project Proponent for clear communication in between meetings to streamline the process and ensure that information is gathered in a timely manner and efficiently incorporated into the grant application.

Task 3 – Grant Application Compilation

Consultant will work with SAWPA and the Project Proponents to compile an application that will effectively demonstrate the need and importance of each project in achieving the Region’s goals as laid out in SAWPA’s OWOW Plan Update 2018 and in contributing to DWR’s statewide priorities. Consultant will use a checklist to track all items needed from the Project Proponents. The draft and final application shall include all the necessary documentation and narratives that reflect the DWR Proposal Solicitation Package (PSP): <https://water.ca.gov/-/media/DWR-Website/Web-Pages/Work-With-Us/Grants-And-Loans/IRWM-Grants/Files/Prop-1-Implementation/Round-2/Accessible-Implementation-Grant-Proposal-Solicitation-Package-v2.pdf>

Consultant will make sure that materials for each project are internally consistent, accurate, and articulated. Consultant will conduct the following items to submit a complete, comprehensive, and competitive application:

Sub-task 3.1 Information Gathering. Consultant will work closely with each Project Proponent to acquire all necessary project information, including a detailed budget, timeline, and scope of work. Consultant will synthesize information and data required to generate thorough, complete, and technically competent application material for each project individually and for the application as a whole.

Sub-task 3.2 Application Compilation and Editing. Consultant will synthesize and edit information and data required to generate thorough, complete, and technically competent application material for each project individually and for the application as a whole. This includes generating disadvantaged community, economically distressed area, and other attachments as required. Prior to submitting the application to SAWPA for review, the Consultant will format and edit the overall application, so it has a consistent structure.

Sub-task 3.3 Application Finalization. Once draft application package comments are received from SAWPA, Consultant will prepare a final application for submittal, verifying that the exact application specifications are met, including page limit, font size, format, file size, file naming convention, and inclusion of all required documents and certifications. Consultant will submit the final application through the DWR GRanTS database by January 30, 2023 using login information provided by SAWPA.

Task 4 – Grant Application Follow-Up

Consultant will stay in contact with SAWPA as needed during their application review. Consultant will be available to answer any questions and provide any necessary follow-up material that may be requested by DWR as well as stay abreast of any changes in the review and associated award timeline.

PROPOSAL REQUIREMENTS

Responses to this RFP must be made according to the requirements set forth in this section for content and sequence. Failure to adhere to these requirements or to include conditions, limitations, or misrepresentations may be cause for rejection of the proposal. Any correction and resubmission by the proposer will not extend the time for evaluation of the proposal. Responses to this RFP shall be prepared as concise as possible. The proposal should be no more than 20 pages long (on pages sized 8.5" by 11"), not including resumes. Each page should be numbered. Submittal of boilerplate marketing materials is discouraged.

All proposals must include the following information. When responding to this RFP, number your responses using the same numbering used below:

1. Name, telephone number, address of the proposer and signature of individual qualified to submit a proposal on behalf of the business/organization and enter into an agreement with SAWPA. Suggested length is one page.
2. Background information about the proposer, including technical qualifications, size of their organization and licenses. Description of the proposer's business/organization; i.e., individual, partnership, joint venture, resource conservation district, etc., and background

information of any subcontractors to be used. Suggested length is approximately three pages.

3. Description of the proposer's experience in relation to this Project and any subcontractors to be used. Include a list of similar services and project descriptions undertaken by the proposer (including experience of personnel dedicated to the project), with beginning and ending dates, name, address, phone number, and e-mail address of a contact person to act as a reference for the projects. Suggested length is approximately three to four pages
4. Organizational chart showing proposed management and project team, including any subcontractors to be used. Also include the names and qualifications of the individuals who make up the management and project team. Suggested length three to four pages.
5. Detailed schedule with interim deadlines. Schedule should reflect the deadlines shown in Appendix 1. Suggested length is one to two pages.
6. Complete the Fee Proposal utilizing **Appendix 2**. Also include a narrative to explain the proposer's fee proposal. Suggested length of narrative is one to two pages.
7. Proposers shall thoroughly review the contents of this RFP's Scope of Work Summary and Detailed Scope of Work sections and shall submit any proposed exceptions.
8. Proposers shall thoroughly review SAWPA's standard contract documents shown in **Appendix 3** and shall submit any proposed exceptions to the documents.

SUBMITTAL LOGISTICS AND QUESTIONS

Submit proposals as a single response file through the Planet Bids website:
<https://pbsystem.planetbids.com/portal/52676/portal-home>. Hard copies will not be reviewed.

All proposals must be received by 12:00 p.m. PST on September 22, 2022. Proposals received after the stated time will not be considered.

If additional information is needed, use the Planet Bids Q&A system to pose questions to SAWPA staff. Questions may be submitted at any time until 12:00 p.m. PST on September 20, 2022. Responses will be posted to Planet Bids and updated in a timely manner to address all questions received by the September 20th deadline.

GENERAL CONTRACT TERMS

The SAWPA contract period will be from October 4, 2022 to October 31, 2023.

The individual tasks and the descriptions from the Detailed Scope of Work will be included in the contract with the selected consultant and SAWPA. The Scope of Work Summary will also be included in the contracts.

The SAWPA contract includes the agency’s standard general services agreement (GSA) it utilizes for its consultant contracts. The scope of work will be incorporated in a task order document with the consultant. The GSA and task order (shown in **Appendix 3**) as well as any potential change orders comprise the overall SAWPA contract with the consultant.

RFP AND PROPOSAL SCHEDULE

RFP Published	August 30, 2022
Question Deadline	September 20, 2022 at 12:00 PM PST
RFP Responses Due	September 22, 2022 at 12:00 PM PST
Possible Interviews for Top Responders	September 27 and/or 28, 2022
SAWPA Commission Approval	October 4, 2022 at 9:30 AM PST
Kick-Off Meeting	October 5 or October 6, 2022

EVALUATION CRITERIA

Evaluation of qualifications will be conducted using the following criteria:

- A. Responsiveness and attention-to-detail reflected in RFP response,
- B. Experience and qualifications of the assigned team of individuals, and
- C. Appropriateness of proposed fees in relation to the anticipated value and quality of services to be provided.

SAWPA reserves the sole right to evaluate and select the successful proposal.

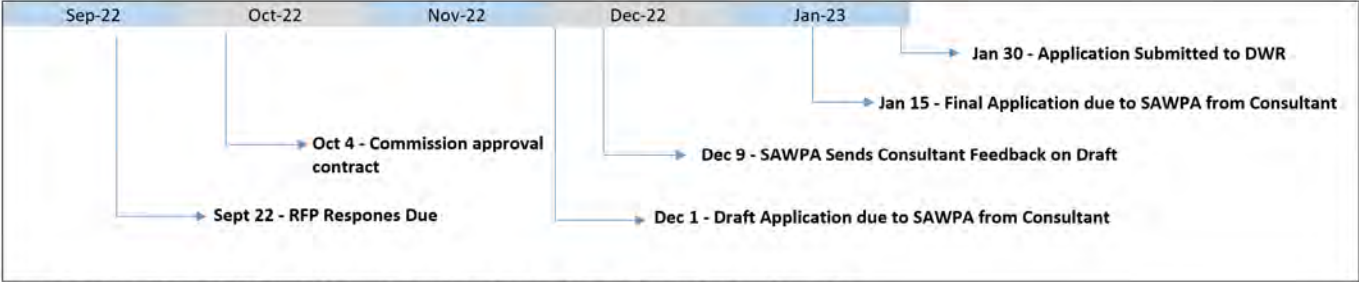
The selection process may include an interview as shown in the schedule above.

GENERAL REQUIREMENTS

1. All proposers are hereby advised that this RFP is an informal solicitation and is not a commitment or offer to enter into an agreement or engage into any competitive bidding or negotiation pursuant to any statute, ordinance, rule, or regulation. SAWPA reserves the right to negotiate with any qualified source. SAWPA reserves the right to reject any or all proposals for any reason or for no reason at all.
2. SAWPA reserves the right to request further information from the proposer either in writing or orally. Such request will be addressed to that person or persons authorized by the proposer to represent the proposer.
3. SAWPA reserves the sole right to judge the proposers’ representations, either written or oral.

4. Proposers understand and agree that submission of a proposal constitutes acknowledgement and acceptance of, and a willingness to comply with, all of the terms, conditions, and criteria contained in this RFP.
5. False, incomplete, or unresponsive statements in connection with a proposal may be sufficient cause for the rejection of the proposal. The valuation and determination of the fulfillment of the above requirement will be SAWPA's responsibility and its decision shall be final.
6. SAWPA reserves the right to interpret or change any provisions of this RFP at any time prior to the proposal submission date. Such interpretations or changes will be in the form of addenda to this RFP. Such addenda will become part of this RFP and may become part of any resultant contract. Such addenda will be made available on Planet Bids. Should such addenda require additional information not previously requested, a proposer's failure to address the requirements of such addenda might result in the proposal not being considered.
7. The selected proposal submitted in response to this RFP will become the exclusive property of SAWPA. At such time as SAWPA's recommendation to the SAWPA Commission relative to proposal selection appears on the SAWPA Commission agendas, all proposals become a matter of public record, and shall be regarded as public records, with the exception of those parts of each proposal which are defined by the proposer as business or trade secrets, and so marked, as "confidential" or "proprietary" in the RFP response from the proposer. SAWPA shall not in any way be liable or responsible for the disclosure of any such proposals or any part thereof if disclosure of any such proposals or any part thereof if disclosure is required under the Public Records Act.
8. SAWPA shall not in any way be liable for any costs incurred in connection with the preparation of any proposal submitted in response to this RFP.

Appendix 1: Schedule



Note: Schedule ends on October 31, 2023 in order to include time for Task 4 "Grant Application Follow-Up".

Appendix 2: Fee Proposal

Task	Task Name	Total Budget Proposal (Labor & Materials)	Associated Labor Hours	Associated Material Expenses*
1	Kick Off Meeting with SAWPA and Overall Project Management			
2	Meetings with Project Proponents			
3	Grant Application Compilation			
4	Grant Application Follow-Up			
Totals				

*If any non-labor costs are necessary, please reflect those costs in this column by task as well as a total in the bottom row. Describe material costs (by task) as part of the budget narrative description. Note that any non-labor costs should also be reflected in the Total Budget Proposal column.

Appendix 3: Standard Contract Documents
Task Order and General Services Agreement (GSA)



**SANTA ANA WATERSHED PROJECT AUTHORITY
TASK ORDER NO. _____**

CONSULTANT: [Name]
[Address]

VENDOR NO.:XXX

COST: \$xxxx

PAYMENT: Upon Receipt of Proper Invoice

REQUESTED BY: [Manager], [Title] [Date]

FINANCE: _____
Karen Williams, Deputy GM/CFO Date

FINANCING SOURCE: Acct. Coding xx
Acct. Description xx

COMMISSION AUTHORIZATION REQUIRED FOR THIS TASK ORDER: YES () NO ()
Authorization: [Date]; CM#2022.xx

This Task Order is issued upon approval and acceptance by the Santa Ana Watershed Project Authority (SAWPA) and _____ (Consultant) pursuant to the Agreement for Services between SAWPA and Consultant, entered into on [date], expiring [date].

I. PROJECT NAME OR DESCRIPTION

Grant Application Support for Integrated Regional Water Management Program

II. SCOPE OF WORK / TASKS TO BE PERFORMED

See RFP

III. PERFORMANCE TIME FRAME

Consultant shall begin work [date] and shall complete performance of such services by **October 31, 2023.**

IV. SAWPA LIAISON

Ian Achimore shall serve as liaison between SAWPA and Consultant

V. COMPENSATION

For all services rendered by Consultant pursuant to this Task Order, Consultant shall receive a not-to-exceed sum of \$_____. Each invoice from the Consultant shall be provided to SAWPA within 15 days after the end of the calendar year's quarter in which the services were performed. The Consultant's invoice will bill for dedicated landscape meter customers per the Fee Table attached [Appendix 2 in RFP].



SANTA ANA WATERSHED PROJECT AUTHORITY
GENERAL SERVICES AGREEMENT FOR SERVICES BY INDEPENDENT CONSULTANT

This Agreement is made this ___ day of _____, 20__ by and between the Santa Ana Watershed Project Authority ("SAWPA") located at 11615 Sterling Ave., Riverside, California, 92503 and _____ ("Consultant") whose address is _____.

RECITALS

This Agreement is entered into on the basis of the following facts, understandings, and intentions of the parties to this Agreement:

- SAWPA desires to engage the professional services of Consultant to perform such professional consulting services as may be assigned, from time to time, by SAWPA in writing;
- Consultant agrees to provide such services pursuant to, and in accordance with, the terms and conditions of this Agreement and warrants to SAWPA that Consultant possesses the necessary skills, qualifications, personnel, and equipment to provide such services; and
- The services to be performed by Consultant shall be specifically described in one or more written Task Orders issued by SAWPA to Consultant pursuant to this Agreement.

AGREEMENT

Now, therefore, in consideration of the foregoing Recitals and mutual covenants contained herein, SAWPA and Consultant agree to the following:

ARTICLE I

TERM OF AGREEMENT

1.01 This agreement shall become effective on the date first above written and shall continue until **December 31, 20__**, unless extended or sooner terminated as provided for herein.

ARTICLE II

SERVICES TO BE PERFORMED

2.01 Consultant agrees to provide such professional consulting services as may be assigned, from time to time, in writing by the Commission and the General Manager of SAWPA. Each assignment shall be made in the form of a written Task Order. Each such Task Order shall include, but shall not be limited to, a description of the nature and scope of the services to be performed by Consultant, the amount of compensation to be paid, and the expected time of completion.

2.02 Consultant may at Consultant's sole cost and expense, employ such competent and qualified independent professional associates, subcontractors, and consultants as Consultant deems necessary to perform each assignment; provided that Consultant shall not subcontract any work to be performed without the prior written consent of SAWPA.

ARTICLE III

COMPENSATION

3.01 In consideration for the services to be performed by Consultant, SAWPA agrees to pay Consultant as provided for in each Task Order.

3.02 Each Task Order shall specify a total not-to-exceed sum of money and shall be based upon the regular hourly rates customarily charged by Consultant to its clients.

3.03 Consultant shall not be compensated for any services rendered nor reimbursed for any expenses incurred in excess of those authorized in any Task Order unless approved in advance by the Commission and General Manager of SAWPA, in writing.

3.04 Unless otherwise provided for in any Task Order issued pursuant to this Agreement, payment of compensation earned shall be made in monthly installments after receipt from Consultant of a timely, detailed, corrected, written invoice by SAWPA's Project Manager, describing, without limitation, the services performed, when such services were performed, the time spent performing such services, the hourly rate charged therefore, and the identity of individuals performing such services for the benefit of SAWPA. Such invoices shall also include a detailed itemization of expenses incurred. Upon approval by an authorized SAWPA employee, SAWPA will pay within 30 days after receipt of a valid invoice from Consultant.

ARTICLE IV

CONSULTANT OBLIGATIONS

4.01 Consultant agrees to perform all assigned services in accordance with the terms and conditions of this Agreement including those specified in each Task Order. In performing the services required by this Agreement and any related Task Order Consultant shall comply with all local, state and federal laws, rules and regulations. Consultant shall also obtain and pay for any permits required for the services it performs under this Agreement and any related Task Order.

4.02 Except as otherwise provided for in each Task Order, Consultant will supply all personnel and equipment required to perform the assigned services.

4.03 Consultant shall be solely responsible for the health and safety of its employees, agents and subcontractors in performing the services assigned by SAWPA.

4.04 Insurance Coverage: Consultant shall procure and maintain for the duration of this Agreement insurance against claims for injuries or death to persons or damages to property which may arise from or in connection with the performance of the work hereunder and the results of that work by the Consultant, its agents, representatives, employees or sub-contractors.

4.04(a) Coverage - Coverage shall be at least as broad as the following:

- 1. Commercial General Liability (CGL)** - Insurance Services Office (ISO) Commercial General Liability Coverage (Occurrence Form CG 00 01) including products and completed operations, property damage, bodily injury, personal and advertising injury with limit of at least two million dollars (\$2,000,000) per occurrence or the full per occurrence limits of the policies available, whichever is greater. If a general aggregate limit applies, either the general aggregate limit shall apply separately to this project/location (coverage as broad as the ISO CG 25 03, or ISO CG 25 04 endorsement provided to SAWPA) or the general aggregate limit shall be twice the required occurrence limit.
- 2. Automobile Liability** – (if necessary) Insurance Services Office (ISO) Business Auto Coverage (Form CA 00 01), covering Symbol 1 (any auto) or if Consultant has no owned autos, Symbol 8 (hired) and 9 (non-owned) with limit of one million dollars (\$1,000,000) for bodily injury and property damage each accident.
- 3. Workers' Compensation Insurance** - as required by the State of California, with Statutory Limits, and Employer's Liability Insurance with limit of no less than \$1,000,000 per accident for bodily injury or disease.
- 4. Professional Liability** - (Also known as Errors & Omission) Insurance appropriate to the Consultant profession, with limits no less than \$1,000,000 per occurrence or claim, and \$2,000,000 policy aggregate.
- 5. Cyber Liability Insurance (Technology Professional Liability – Errors and Omissions)** – If Consultant will be providing technology services, limits not less than \$2,000,000 per occurrence or claim, and \$2,000,000 aggregate or the full per occurrence limits of the policies available, whichever is greater. Coverage shall be sufficiently broad to respond to the duties and obligations as is undertaken by Consultant in this Agreement and shall include, but not be limited to, claims involving infringement of intellectual property, including but not limited to infringement of copyright, trademark, trade dress,

invasion of privacy violations, information theft, damage to or destruction of electronic information, release of private information, alteration of electronic information, extortion and network security. The policy shall provide coverage for breach response costs as well as regulatory fines and penalties as well as credit monitoring expenses with limits sufficient to respond to these obligations.

If the Consultant maintains broader coverage and/or higher limits than the minimums shown above, SAWPA requires and shall be entitled to the broader coverage and/or higher limits maintained by the Consultant. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to SAWPA.

4.04(b) If Claims Made Policies:

1. The Retroactive Date must be shown and must be before the date of the contract or the beginning of contract work.
2. Insurance must be maintained and evidence of insurance must be provided **for at least five (5) years after completion of the contract of work.**
3. If coverage is canceled or non-renewed, and not **replaced with another claims-made policy form with a Retroactive Date** prior to the contract effective date, the Consultant must purchase "extended reporting" coverage for a minimum of **five (5) years** after completion of contract work.

4.04(c) Waiver of Subrogation: The insurer(s) named above agree to waive all rights of subrogation against SAWPA, its elected or appointed officers, officials, agents, authorized volunteers and employees for losses paid under the terms of this policy which arise from work performed by the Named Insured for the Agency; but this provision applies regardless of whether or not SAWPA has received a waiver of subrogation from the insurer.

4.04(d) Other Required Provisions - The general liability policy must contain, or be endorsed to contain, the following provisions:

1. **Additional Insured Status:** SAWPA, its directors, officers, employees, and authorized volunteers are to be given insured status (at least as broad as ISO Form CG 20 10 10 01), with respect to liability arising out of work or operations performed by or on behalf of the Consultant including materials, parts, or equipment furnished in connection with such work or operations.
2. **Primary Coverage:** For any claims related to this project, the Consultant's insurance coverage shall be primary at least as broad as ISO CG 20 01 04 13 as respects to SAWPA, its directors, officers, employees and authorized volunteers. Any insurance or self-insurance maintained by the Member Water Agency its directors, officers, employees and authorized volunteers shall be excess of the Consultant's insurance and shall not contribute with it.

4.04(e) Notice of Cancellation: Each insurance policy required above shall provide that coverage shall not be canceled, except with notice to SAWPA.

4.04(f) Self-Insured Retentions - Self-insured retentions must be declared to and approved by SAWPA. SAWPA may require the Consultant to provide proof of ability to pay losses and related investigations, claim administration, and defense expenses within the retention. The policy language shall provide, or be endorsed to provide, that the self-insured retention may be satisfied by either the named insured or SAWPA.

4.04(g) Acceptability of Insurers - Insurance is to be placed with insurers having a current A.M. Best rating of no less than A: VII or as otherwise approved by SAWPA.

4.04(h) Verification of Coverage – Consultant shall furnish SAWPA with certificates and amendatory endorsements or copies of the applicable policy language effecting coverage required by this clause. All certificates and endorsements are to be received and approved by SAWPA before work commences. However, failure to obtain the required documents prior to the work beginning shall not waive the Consultant's obligation to provide them. SAWPA reserves the right to require complete, certified copies of all required insurance policies, including policy Declaration pages and Endorsement pages.

4.04(i) Subcontractors - Consultant shall require and verify that all subcontractors maintain insurance meeting all the requirements stated herein, and Consultant shall ensure that SAWPA, its directors, officers, employees and authorized volunteers are additional insureds on Commercial General Liability Coverage.

4.05 Consultant hereby covenants and agrees that SAWPA, its officers, employees, and agents shall not be liable for any claims, liabilities, penalties, fines or any damage to property, whether real or personal, nor for any personal injury or death caused by, or resulting from, or claimed to have been caused by or resulting from, any negligence, recklessness, or willful misconduct of Consultant. To the extent permitted by law, Consultant shall hold harmless, defend at its own expense, and indemnify SAWPA, its directors, officers, employees, and authorized volunteers, against any and all liability, claims, losses, damages, or expenses, including reasonable attorney's fees and costs, arising from all acts or omissions of Consultant or its officers, agents, or employees in rendering services under this Agreement and any Task Order issued hereunder; excluding, however, such liability, claims, losses, damages or expenses arising from SAWPA's sole negligence or willful acts.

4.06 In the event that SAWPA requests that specific employees or agents of Consultant supervise or otherwise perform the services specified in each Task Order, Consultant shall ensure that such individual(s) shall be appointed and assigned the responsibility of performing the services.

4.07 In the event Consultant is required to prepare plans, drawings, specifications and/or estimates, the same shall be furnished with a registered professional engineer's number and shall conform to local, state and federal laws, rules and regulations. Consultant shall obtain all necessary permits and approvals in connection with this Agreement, any Task Order or Change Order. However, in the event SAWPA is required to obtain such an approval or permit from another governmental entity, Consultant shall provide all necessary supporting documents to be filed with such entity, and shall facilitate the acquisition of such approval or permit.

4.08 Consultant shall comply with all local, state and federal laws, rules and regulations including those regarding nondiscrimination and the payment of prevailing wages, if required by law.

ARTICLE V

SAWPA OBLIGATIONS

5.01 SAWPA shall:

5.01a Furnish all existing studies, reports and other available data pertinent to each Task Order that are in SAWPA's possession;

5.01b Designate a person to act as liaison between Consultant and the General Manager and Commission of SAWPA.

ARTICLE VI

ADDITIONAL SERVICES, CHANGES AND DELETIONS

6.01 During the term of this Agreement, the Commission of SAWPA may, from time to time and without affecting the validity of this Agreement or any Task Order issued pursuant thereto, order changes, deletions, and additional services by the issuance of written Change Orders authorized and approved by the Commission of SAWPA.

6.02 In the event Consultant performs additional or different services than those described in any Task Order or authorized Change Order without the prior written approval of the Commission of SAWPA, Consultant shall not be compensated for such services.

6.03 Consultant shall promptly advise SAWPA as soon as reasonably practicable upon gaining knowledge of a condition, event, or accumulation of events, which may affect the scope and/or cost of services to be provided pursuant to this Agreement. All proposed changes, modifications, deletions, and/or requests for additional services shall be reduced to writing for review and approval or rejection by the Commission of SAWPA.

6.04 In the event that SAWPA orders services deleted or reduced, compensation shall be deleted or reduced by a comparable amount as determined by SAWPA and Consultant shall only be compensated for services actually performed. In the event additional services are properly authorized, payment for the same shall be made as provided in Article III above.

ARTICLE VII

CONSTRUCTION PROJECTS: CONSULTANT CHANGE ORDERS

7.01 In the event SAWPA authorizes Consultant to perform construction management services for SAWPA, Consultant may determine, in the course of providing such services, that a Change Order should be issued to the construction contractor, or Consultant may receive a request for a Change Order from the construction contractor. Consultant shall, upon receipt of any requested Change Order or upon gaining knowledge of any condition, event, or accumulation of events, which may necessitate issuing a Change Order to the construction contractor, promptly consult with the liaison, General Manager and Commission of SAWPA. No Change Order shall be issued or executed without the prior approval of the Commission of SAWPA.

ARTICLE VIII

TERMINATION OF AGREEMENT

8.01 In the event the time specified for completion of an assigned task in a Task Order exceeds the term of this Agreement, the term of this Agreement shall be automatically extended for such additional time as is necessary to complete such Task Order and thereupon this Agreement shall automatically terminate without further notice.

8.02 Notwithstanding any other provision of this Agreement, SAWPA, at its sole option, may terminate this Agreement at any time by giving 10 day written notice to Consultant, whether or not a Task Order has been issued to Consultant.

8.03 In the event of termination, the payment of monies due Consultant for work performed prior to the effective date of such termination shall be paid after receipt of an invoice as provided in this Agreement.

ARTICLE IX

CONSULTANT STATUS

9.01 Consultant shall perform the services assigned by SAWPA in Consultant's own way as an independent contractor, in pursuit of Consultant's independent calling and not as an employee of SAWPA. Consultant shall be under the control of SAWPA only as to the result to be accomplished and the personnel assigned to perform services. However, Consultant shall regularly confer with SAWPA's liaison, General Manager, and Commission as provided for in this Agreement.

9.02 Consultant hereby specifically represents and warrants to SAWPA that the services to be rendered pursuant to this Agreement shall be performed in accordance with the standards customarily applicable to an experienced and competent professional consulting organization rendering the same or similar services. Furthermore, Consultant represents and warrants that the individual signing this Agreement on behalf of Consultant has the full authority to bind Consultant to this Agreement.

ARTICLE X

AUDIT AND OWNERSHIP OF DOCUMENTS

10.01 All draft and final reports, plans, drawings, specifications, data, notes, and all other documents of any kind or nature prepared or developed by Consultant in connection with the performance of services assigned to it by SAWPA are the sole property of SAWPA, and Consultant shall promptly deliver all such materials to SAWPA. Consultant may retain copies of the original documents, at its option and expense. Use of such documents by SAWPA for project(s) not the subject of this Agreement shall be at SAWPA's sole risk without legal liability or exposure to Consultant. SAWPA agrees to not release any software "code" without prior written approval from the Consultant.

10.02 Consultant shall retain and maintain, for a period not less than four years following termination of this Agreement, all time records, accounting records, and vouchers and all other records with respect to all matters concerning services performed, compensation paid and expenses reimbursed. At any time during normal business hours and as often as SAWPA may deem necessary, Consultant shall make available to SAWPA's agents for examination of all such records and will permit SAWPA's agents to audit, examine and reproduce such records.

ARTICLE XI

MISCELLANEOUS PROVISIONS

11.01 This Agreement supersedes any and all previous agreements, either oral or written, between the parties hereto with respect to the rendering of services by Consultant for SAWPA and contains all of the covenants and agreements between the parties with respect to the rendering of such services in any manner whatsoever. Any modification of this Agreement will be effective only if it is in writing signed by both parties.

11.02 Consultant shall not assign or otherwise transfer any rights or interest in this Agreement without the prior written consent of SAWPA. Unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under this Agreement.

11.03 In the event Consultant is an individual person and dies prior to completion of this Agreement or any Task Order issued hereunder, any monies earned that may be due Consultant from SAWPA as of the date of death will be paid to Consultant's estate.

11.04 Time is of the essence in the performance of services required hereunder. Extensions of time within which to perform services may be granted by SAWPA if requested by Consultant and agreed to in writing by SAWPA. All such requests must be documented and substantiated and will only be granted as the result of unforeseeable and unavoidable delays not caused by the lack of foresight on the part of Consultant.

11.05 SAWPA expects that Consultant will devote its full energies, interest, abilities and productive time to the performance of its duties and obligations under this Agreement, and shall not engage in any other consulting activity that would interfere with the performance of Consultant's duties under this Agreement or create any conflicts of interest. If required by law, Consultant shall file a Conflict of Interest Statement with SAWPA.

11.06 Any dispute which may arise by and between SAWPA and the Consultant, including the Consultants, its employees, agents and subcontractors, shall be submitted to binding arbitration. Arbitration shall be conducted by a neutral, impartial arbitration service that the parties mutually agree upon, in accordance with its rules and procedures. The arbitrator must decide each and every dispute in accordance with the laws of the State of California, and all other applicable laws. Unless the parties stipulate to the contrary prior to the appointment of the arbitrator, all disputes shall first be submitted to non-binding mediation conducted by a neutral, impartial mediation service that the parties mutually agree upon, in accordance with its rules and procedures.

11.07 During the performance of the Agreement, Consultant and its subcontractors shall not unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of sex, race, color, ancestry, religious creed, national origin, physical disability (including HIV and AIDS), mental disability, medical condition (cancer), age (over 40), marital status and denial of family care leave. Consultant and its subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. Consultant and its subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Government Code, Section 12290 et seq.) and the applicable regulations promulgated there under (California Code of Regulations, Title 2, Section 7285 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code Section 12990 et seq., set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations, are incorporated into this Agreement by reference and made a part hereof as if set forth in full. Consultant and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement. Consultant shall include the

Santa Ana Watershed Project Authority
Cash Transaction Report
Month of July 2022

Below is a summary of cash transactions completed during the month in the Authority's checking account with US Bank. Attached are summaries by major revenue and expense classifications.

Cash Receipts and Deposits to Account	\$	3,839,588.43
Net Investment Transfers		253,757.17
Cash Disbursements		<u>(4,433,212.66)</u>
Net Change for Month	\$	(339,867.06)
Balance at Beginning of Month		<u>3,189,473.18</u>
Balance at End of Month per General Ledger	\$	<u>2,849,606.12</u>
Collected Balance per Bank Statement	\$	2,925,532.04

ACCOUNTS PAYABLE RECONCILIATION

Accounts Payable Balance @ 06/30/2022	\$	15,227,573.90
Invoices Received for July 2022		1,291,128.22
Invoices Paid by check/wire during July 2022 (see attached register)		<u>(4,015,305.57)</u>
Accounts Payable Balance @ 07/31/2022	\$	<u>12,503,396.55</u>

CASH RECEIPTS

Brine Line Operating Revenues	\$	1,154,869.36
Payment on Aqua Manza Lateral - RBF	\$	260,432.00
Member Agency Contributions		626,174.00
Participant Fees		90,574.00
LESJWA Admin Reimbursement		9,258.92
Grant Proceeds - Prop 84		137,263.78
Grant Proceeds - Prop 84 Pass-throughs		1,556,409.27
Other		4,607.10
		<u>4,607.10</u>
Total Receipts and Deposits	\$	3,839,588.43

INVESTMENT TRANSFERS

Transfer of Funds:		
From (to) US Bank	\$	-
From (to) LAIF		-
From (to) Legal Defense Fund		-
From (to) LESJWA		-
From (to) Investments		253,757.17
		<u>253,757.17</u>
Total Investment Transfers	\$	253,757.17

CASH DISBURSEMENTS

By Check:		
Payroll	\$	-
Operations		4,015,305.57
		<u>4,015,305.57</u>
Total Checks Drawn	\$	4,015,305.57
By Cash Transfer:		
Payroll	\$	279,072.20
Payroll Taxes		137,724.67
Take Care (AFLAC)		1,110.22
		<u>1,110.22</u>
Total Cash Transfers	\$	417,907.09
Total Cash Disbursements	\$	<u><u>4,433,212.66</u></u>

Santa Ana Watershed Project Authority
Check Detail
Jul-22

Category	Check #	Check Date	Type	Vendor	Check Amount
Asset Clearing	EFT04647	7/20/2022	CHK	Automated Gate Services, Inc	\$ 32,719.20
Asset Clearing Total					\$ 32,719.20
Auto Expense	5289	7/14/2022	CHK	County of Riverside	\$ 1,383.59
Auto Expense	5297	7/20/2022	CHK	County of Riverside	\$ 1,624.54
Auto Expense Total					\$ 3,008.13
Bank Fees	WDL000005758	7/15/2022	WDL	US Bank	\$ 1,170.30
Bank Fees Total					\$ 1,170.30
Benefits	5287	7/14/2022	CHK	Cal PERS Long Term Care Program	\$ 231.11
Benefits	5295	7/20/2022	CHK	AFLAC	\$ 339.65
Benefits	5299	7/20/2022	CHK	Mutual Of Omaha	\$ 3,192.35
Benefits	5302	7/28/2022	CHK	Cal PERS Long Term Care Program	\$ 231.11
Benefits	5304	7/28/2022	CHK	WageWorks	\$ 402.00
Benefits	EFT04617	7/14/2022	CHK	ACWA/JPIA	\$ 45,955.03
Benefits	EFT04620	7/14/2022	CHK	Vantagepoint Transfer Agents	\$ 3,513.55
Benefits	EFT04629	7/14/2022	CHK	Vantagepoint Transfer Agents	\$ 417.41
Benefits	EFT04651	7/28/2022	CHK	Vantagepoint Transfer Agents	\$ 3,513.55
Benefits	EFT04656	7/28/2022	CHK	Vantagepoint Transfer Agents	\$ 427.58
Benefits	P043165	7/14/2022	WDL	Public Employees' Retirement	\$ 164,525.00
Benefits	P043166	7/14/2022	WDL	Public Employees' Retirement	\$ 103,418.00
Benefits	P043167	7/14/2022	WDL	Public Employees' Retirement	\$ 11,992.00
Benefits	P043168	7/14/2022	WDL	CalPERS Supplemental Income	\$ 3,233.75
Benefits	P043169	7/14/2022	WDL	Public Employees' Retirement	\$ 20,447.11
Benefits	P043243	7/28/2022	WDL	CalPERS Supplemental Income	\$ 3,270.46
Benefits	P043244	7/28/2022	WDL	Public Employees' Retirement	\$ 20,917.81
Benefits	WDL000005743	7/5/2022	WDL	Takecare	\$ 345.79
Benefits	WDL000005754	7/8/2022	WDL	Takecare	\$ 542.13
Benefits	WDL000005756	7/12/2022	WDL	Takecare	\$ 30.00
Benefits	WDL000005759	7/19/2022	WDL	Takecare	\$ 192.30
Benefits Total					\$ 387,137.69
Building Lease	5305	7/28/2022	CHK	Wilson Property Services, Inc	\$ 1,998.00
Building Lease	5306	7/28/2022	CHK	Wilson Property Services, Inc	\$ 1,984.00
Building Lease Total					\$ 3,982.00
Cloud Storage	EFT04659	7/28/2022	CHK	Accent Computer Solutions Inc	\$ 1,159.78
Cloud Storage Total					\$ 1,159.78
Computer Hardware	EFT04630	7/14/2022	CHK	Accent Computer Solutions Inc	\$ 4,631.48
Computer Hardware Total					\$ 4,631.48
Consulting	EFT04630	7/14/2022	CHK	Accent Computer Solutions Inc	\$ 1,248.17
Consulting	EFT04633	7/14/2022	CHK	California Rural Water Association	\$ 5,435.00
Consulting	EFT04637	7/14/2022	CHK	Kahn Soares & Conway	\$ 4,325.00
Consulting	EFT04638	7/14/2022	CHK	Gillis & Panichapan Architects	\$ 6,845.00
Consulting	EFT04639	7/14/2022	CHK	West Yost & Associates, Inc.	\$ 48,977.50
Consulting	EFT04645	7/20/2022	CHK	Woodard & Curran Inc.	\$ 8,153.75
Consulting	EFT04648	7/20/2022	CHK	Alliance Resource Consulting	\$ 14,250.00
Consulting	EFT04653	7/28/2022	CHK	West Coast Advisors	\$ 9,750.00
Consulting	EFT04655	7/28/2022	CHK	CDM Smith, Inc.	\$ 22,055.46
Consulting	EFT04657	7/28/2022	CHK	Integrated Systems Solutions	\$ 925.00
Consulting	EFT04659	7/28/2022	CHK	Accent Computer Solutions Inc	\$ 2,825.56
Consulting	EFT04663	7/28/2022	CHK	Sol Media	\$ 5,940.00
Consulting	EFT04665	7/28/2022	CHK	JPW Communications	\$ 2,916.85
Consulting Total					\$ 133,647.29
Contributions	5291	7/14/2022	CHK	Public Policy Institute of CA	\$ 10,000.00
Contributions	EFT04646	7/20/2022	CHK	Isle Inc.	\$ 4,000.00
Contributions Total					\$ 14,000.00
Credit Cards	P043245	7/11/2022	WDL	US Bank	\$ 10,003.10
Credit Cards Total					\$ 10,003.10
Director Costs	EFT04619	7/14/2022	CHK	Eastern Municipal Water District	\$ 720.00
Director Costs Total					\$ 720.00
Employee Reimbursement	EFT04628	7/14/2022	CHK	Sara Villa	\$ 21.00
Employee Reimbursement	EFT04631	7/14/2022	CHK	Ian Achimore	\$ 44.80
Employee Reimbursement	EFT04634	7/14/2022	CHK	Sonya San Juan	\$ 150.00
Employee Reimbursement	EFT04641	7/14/2022	CHK	Alfredo Vasquez	\$ 120.64

Santa Ana Watershed Project Authority
Check Detail
Jul-22

Category	Check #	Check Date	Type	Vendor	Check Amount
Employee Reimbursement	EFT04642	7/14/2022	CHK	Jessica McDermott	\$ 21.00
Employee Reimbursement Total					\$ 357.44
Equipment Rented	EFT04622	7/14/2022	CHK	Konica Minolta Business Solutions	\$ 678.07
Equipment Rented Total					\$ 678.07
Equipment Repair/Maintenance	5307	7/28/2022	CHK	Heating Air Conditioning Services	\$ 117.50
Equipment Repair/Maintenance Total					\$ 117.50
Facility Repair & Maintenance	5307	7/28/2022	CHK	Heating Air Conditioning Services	\$ 1,758.03
Facility Repair & Maintenance	5309	7/28/2022	CHK	Barr Door, Inc.	\$ 6,833.54
Facility Repair & Maintenance	EFT04624	7/14/2022	CHK	Western Exterminator Co.	\$ 134.05
Facility Repair & Maintenance	EFT04627	7/14/2022	CHK	Innerline Engineering	\$ 15,277.92
Facility Repair & Maintenance	EFT04635	7/14/2022	CHK	Riverside Cleaning	\$ 1,625.00
Facility Repair & Maintenance	EFT04644	7/20/2022	CHK	United Storm Water Inc.	\$ 9,491.13
Facility Repair & Maintenance	EFT04652	7/28/2022	CHK	Western Exterminator Co.	\$ 250.00
Facility Repair & Maintenance	EFT04658	7/28/2022	CHK	TNT Elevator Inc	\$ 272.00
Facility Repair & Maintenance Total					\$ 35,641.67
Insurance Expense	EFT04636	7/14/2022	CHK	Zenith Insurance Company	\$ 4,595.00
Insurance Expense Total					\$ 4,595.00
Lab Costs	EFT04626	7/14/2022	CHK	E. S. Babcock & Sons, Inc.	\$ 1,988.00
Lab Costs	EFT04643	7/20/2022	CHK	E. S. Babcock & Sons, Inc.	\$ 639.00
Lab Costs Total					\$ 2,627.00
Landscape Maintenance	EFT04654	7/28/2022	CHK	Green Meadows Landscape	\$ 720.00
Landscape Maintenance Total					\$ 720.00
Legal	EFT04664	7/28/2022	CHK	Lagerlof, LLP	\$ 4,360.00
Legal Total					\$ 4,360.00
Materials & Supplies	5293	7/14/2022	CHK	San Bernardino Paint	\$ 967.78
Materials & Supplies	5300	7/20/2022	CHK	San Bernardino Paint	\$ 119.40
Materials & Supplies	EFT04632	7/14/2022	CHK	KH Metals and Supply	\$ 43.51
Materials & Supplies Total					\$ 1,130.69
Office Expense	5290	7/14/2022	CHK	Printing Connection, Inc.	\$ 48.94
Office Expense	EFT04649	7/28/2022	CHK	Aramark Refreshment Services	\$ 188.21
Office Expense	EFT04667	7/28/2022	CHK	Konica Minolta Business Solutions	\$ 318.12
Office Expense Total					\$ 555.27
Payroll	WDL000005719	7/1/2022	WDL	Direct Deposit 7/1/2022	\$ 75,499.17
Payroll	WDL000005723	7/1/2022	WDL	PR Tax - Federal	\$ 33,991.73
Payroll	WDL000005724	7/1/2022	WDL	PR Tax - State	\$ 7,360.68
Payroll	WDL000005725	7/1/2022	WDL	PR Tax - State AZ	\$ 116.78
Payroll	WDL000005746	7/15/2022	WDL	Direct Deposit 7/15/2022	\$ 86,071.92
Payroll	WDL000005747	7/15/2022	WDL	Direct Deposit 7/15/2022	\$ 29,982.25
Payroll	WDL000005748	7/15/2022	WDL	PR Tax - Federal	\$ 36,692.74
Payroll	WDL000005749	7/15/2022	WDL	PR Tax - State	\$ 8,160.86
Payroll	WDL000005750	7/15/2022	WDL	PR Tax - State AZ	\$ 121.70
Payroll	WDL000005751	7/15/2022	WDL	PR Tax - Federal	\$ 6,111.97
Payroll	WDL000005752	7/15/2022	WDL	PR Tax - State	\$ 974.07
Payroll	WDL000005755	7/15/2022	WDL	PR Tax - State	\$ 403.16
Payroll	WDL000005761	7/29/2022	WDL	Direct Deposit 7/29/2022	\$ 87,518.86
Payroll	WDL000005762	7/29/2022	WDL	PR Tax - Federal	\$ 35,432.16
Payroll	WDL000005763	7/29/2022	WDL	PR Tax - State	\$ 8,232.20
Payroll	WDL000005764	7/29/2022	WDL	PR Tax - State AZ	\$ 126.62
Payroll Total					\$ 416,796.87
Payroll Garnishment	5294	7/14/2022	CHK	Riverside County Sheriff	\$ 526.46
Payroll Garnishment	5308	7/28/2022	CHK	Riverside County Sheriff	\$ 10.92
Payroll Garnishment Total					\$ 537.38
Prop 84	5292	7/14/2022	CHK	City of Riverside	\$ 450,000.00
Prop 84	EFT04618	7/14/2022	CHK	Inland Empire Utilities Agency	\$ 47,881.64
Prop 84	EFT04619	7/14/2022	CHK	Eastern Municipal Water District	\$ 1,831,206.31
Prop 84	EFT04621	7/14/2022	CHK	Orange County Water District	\$ 1,022,152.58
Prop 84	EFT04623	7/14/2022	CHK	Western Municipal Water District	\$ 6,970.50
Prop 84 Total					\$ 3,358,211.03
Safety	EFT04625	7/14/2022	CHK	Underground Service Alert	\$ 186.95
Safety Total					\$ 186.95

Santa Ana Watershed Project Authority
Check Detail
Jul-22

Category	Check #	Check Date	Type	Vendor	Check Amount
Security	5298	7/20/2022	CHK	SafeT	\$ 443.40
Security	5303	7/28/2022	CHK	SafeT	\$ 127.33
Security Total					\$ 570.73
Shipping/Postage	EFT04650	7/28/2022	CHK	General Logistics Systems US	\$ 7.04
Shipping/Postage Total					\$ 7.04
Software	EFT04630	7/14/2022	CHK	Accent Computer Solutions Inc	\$ 5,200.12
Software	EFT04659	7/28/2022	CHK	Accent Computer Solutions Inc	\$ 1,867.25
Software	EFT04666	7/28/2022	CHK	Konica Minolta Business Solutions	\$ 1,747.00
Software Total					\$ 8,814.37
Subscriptions	EFT04640	7/14/2022	CHK	Verizon Connect	\$ 104.70
Subscriptions Total					\$ 104.70
Utilities	5285	7/14/2022	CHK	Riverside, City of	\$ 115.36
Utilities	5286	7/14/2022	CHK	Riverside, City of	\$ 2,023.50
Utilities	5288	7/14/2022	CHK	Southern California Edison	\$ 10.32
Utilities	5296	7/20/2022	CHK	Burrtec Waste Industries, Inc	\$ 195.33
Utilities	5301	7/28/2022	CHK	AT&T	\$ 967.97
Utilities	EFT04660	7/28/2022	CHK	Verizon Wireless Services LLC	\$ 353.41
Utilities	EFT04661	7/28/2022	CHK	Verizon Wireless Services LLC	\$ 130.61
Utilities	EFT04662	7/28/2022	CHK	Verizon Wireless Services LLC	\$ 1,225.48
Utilities Total					\$ 5,021.98
Grand Total					\$ 4,433,212.66

	Accounts Payable
Checks	\$ 3,676,328.04
Wire Transfers	\$ 338,977.53
	<u>\$ 4,015,305.57</u>
Take Care	\$ 1,110.22
Other	\$ -
Payroll	\$ 416,796.87
	<u>\$ 4,433,212.66</u>

Total Disbursements for July 2022

Santa Ana Watershed Project Authority
Consulting
Jul-22

Check #	Check Date	Task #	Task Description	Vendor Name	Total Contract	Check Amount	Remaining Contract Amount	Notes/Comments	
EFT04630	7/14/2022	ACS100-25	Firewall Refresh	Accent Computer Solutions	\$ 8,473.14	\$ 1,248.17	\$ 151.83		
EFT04659	7/28/2022	ACS100-23	IT Services	Accent Computer Solutions	\$ 107,375.00	\$ 2,825.56	\$ 40,767.27		
EFT04648	7/20/2022	ARC100-01	Water Resources Planning Manager Recruitment	Alliance Resource Consulting	\$ 28,500.00	\$ 14,250.00	\$ 7,125.00		
EFT04633	7/14/2022	CRWA WO2022-32	Grant Preparation DCI - Phase II	California Rural Water Association	\$ 15,000.00	\$ 5,435.00	\$ -		
EFT04655	7/28/2022	CDM386-16	Implementation of SAR Regional Bacteria Monitoring Program	CDM Smith	\$ 1,098,435.00	\$ 22,055.46	\$ 659,031.74		
EFT04638	7/14/2022	GPA100-01	SAWPA Building Renovations	Gillis & Panichpan Architects, Inc.	\$ 72,700.00	\$ 6,845.00	\$ 33,048.35		
EFT04657	7/28/2022	INSOL100-19	HRIS - Greenshades	Integrated Systems Solutions	\$ 23,437.36	\$ 925.00	\$ 1,720.50		
EFT04665	7/28/2022	JPW392-01	Emerging Constituents Program Social Media Support	JPW Communications	\$ 105,000.00	\$ 2,916.85	\$ 32,458.40		
EFT04637	7/14/2022	KSC374-02	Basin Monitoring Program TF Regulatory Support	Kahn, Soares, & Conway	\$ 116,000.00	\$ 4,325.00	\$ 77,085.00		
EFT04663	7/28/2022	SOL100-12	Website Special Projects	Sol Media	\$ 5,940.00	\$ 5,940.00	\$ -		
EFT04653	7/28/2022	WCA100-03-04	State Legislative Consulting Services	West Coast Advisors	\$ 240,000.00	\$ 9,750.00	\$ 45,000.00		
EFT04639	7/14/2022	WEST374-01	Workplan for Basin Monitoring Program TF Planning Priorities	West Yost & Associates	\$ 339,102.00	\$ 48,977.50	\$ 62,428.97		
EFT04645	7/20/2022	RMC504-401-08	SARCCUP Program Mgmt Services	Woodard & Curran	\$ 132,872.00	\$ 8,153.75	\$ 69,641.50		
					\$ 133,647.29				

COMMISSION MEMORANDUM NO. 2022.62

DATE: September 20, 2022
TO: SAWPA Commission
SUBJECT: Inter-Fund Borrowing – July 2022
PREPARED BY: Karen Williams, DGM/CFO

RECOMMENDATION

It is recommended that the Commission receive and file the informational report on short-term, cash-flow inter-fund borrowing.

DISCUSSION

On December 13, 2005, the Commission approved Resolution No. 452, Inter-Fund and Inter-Project Loan Policy. Staff was directed to bring back an accounting of the loans each month for review when the total exceeded \$250,000 in aggregate.

The following projects, with negative cash flow, are listed below with the amounts borrowed from SAWPA General Fund Reserves in July 2023. The total amount borrowed is over the aggregate \$250,000 amount recommended in Resolution No. 452, Inter-Fund and Inter-Project Loan Policy. The Commission has requested that this item be brought back each month as an informational item when the loan amount is over the \$250,000 aggregate amount.

Fund	Fund Name	06/30/2022 Balance	Loan Receipts	New Charges	07/31/2022 Balance
135	Proposition 84 Admin R2	\$76,959.54	(\$0.00)	\$0.00	\$76,959.54
145	Proposition 84 Admin R4	483,112.73	(104,497.04)	31,259.20	409,874.89
150	Proposition 1 – Admin	102,602.98	(0.00)	19,084.00	121,686.98
377	PFAS Study	111.09	(111.09)	0.00	0.00
378	Weather Modification	0.00	(0.00)	358.84	358.84
397	Energy – Water DAC	3,553.02	(0.00)	943.52	4,496.54
398	Proposition 1 – DACI Grant	197.51	(197.51)	0.00	0.00
477	LESJWA Administration	7,927.83	(9,258.92)	11,500.95	10,169.86
	Total Funds Borrowed	\$674,464.70	(\$114,064.56)	\$63,146.51	\$623,546.65
	General Fund Reserves Balance		\$2,068,350.43		
	Less Amount Borrowed		<u>623,546.65</u>		
	Balance of General Fund Reserves		\$1,444,803.78		

The following table lists each fund that has a negative cash flow, the source of funding for the fund, how often the fund is billed, and the projected rate of payment for the fund.

NEGATIVE CASH-FLOW FUNDS

Fund No.	Source of Funding	Billing Frequency	Projected Payment Time
135, 145,150 – Proposition 1 & 84 Admin	DWR – Prop 1 & 84 Grant	Monthly/Quarterly	Up to 4 months
377 – PFAS Study	Member Agency Contributions	July 2022	July 2022
378 – Weather Modification	Member Agency Contributions	August 2022	August 2022
397 – Energy – Water DAC	City of Riverside Grant	Quarterly	Up to 4 months
398 – Proposition 1 – DACI Grant	DWR – Prop 1 Grant	Monthly	Up to 4 months
477 – LESJWA Admin	Reimbursement from LESJWA	Monthly	2 to 4 weeks
504 – Proposition 84 SARCCUP Projects	DWR – Prop 84 Grant	Monthly/Quarterly	Up to 4 months

Fund 135

This fund is for the administration of Proposition 84 Round II grant funds. These funds will be billed quarterly and 10% will be withheld for retention.

Fund 145

This fund is for the administration of Proposition 84 Round 2015 grant funds. These funds will be billed quarterly and 10% will be withheld for retention.

Fund 150

This fund is for the administration of Proposition 1 grant funds. Once the contract has been signed by DWR these funds will be billed quarterly and 10% will be withheld for retention.

Fund 377

This fund is for the PFAS Study. Member Agencies will be billed for contributions in July which will cover all future costs.

Fund 378

This fund is for Weather Modification. Member Agencies will be billed for contributions in August which will cover all future costs.

Fund 397

This fund is for the transformative climate communities grant provided by a sub-recipient agreement between SAWPA and the City of Riverside. These funds will be billed on a quarterly basis.

Fund 398

This fund is for the Proposition 1 DACI grant project. These funds will be billed monthly and 10% will be withheld for retention.

Fund 477

Each month LESJWA is billed the cost for administering the JPA. Once the bill is received, LESJWA submits payment within two weeks.

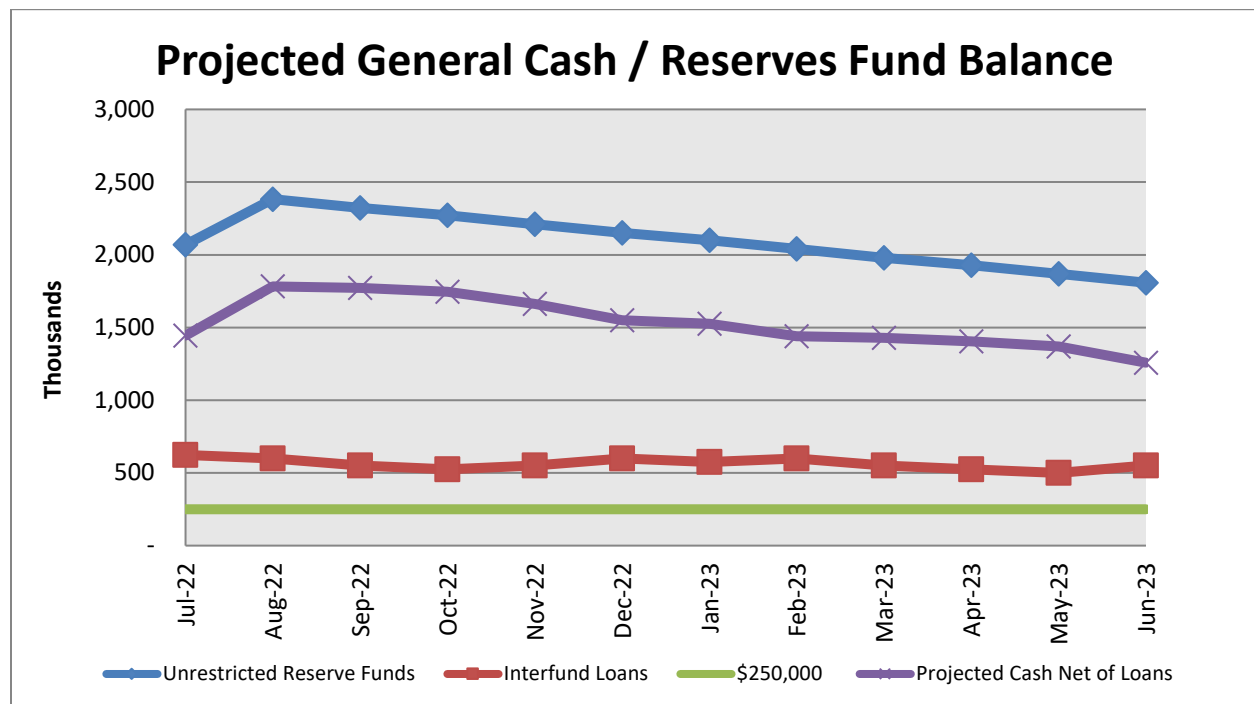
Fund 504

This fund is for the implementation of SARCCUP projects which are administered through PA22 and PA23.

The following graph shows the total budget, total project costs to date, and the amount remaining on each grant.

Fund	Fund Name	Total Budget	Costs Through 07/31/2022	Remaining Grant Budget
145	Proposition 84 Admin R4	\$3,213,384	(\$1,429,093)	\$1,784,291
150	Proposition 1 Admin	1,157,000	(255,385)	901,615
398	Proposition 1 – DACI Grant	6,300,000	(6,300,000)	-
504	Prop 84 – 2015 Round (SARCCUP)	1,543,810	(879,705)	664,105
505	Prop 1 – Round 1 Capital Projects	500,000	(302,637)	197,363
397	WECAN – City of Riverside	592,417	(13,688)	578,729
Totals		\$13,306,611	(\$9,180,508)	\$4,126,103

The following graph shows projected inter-fund loan balances, total unrestricted General Fund Reserves available for loans, and projected cash net of loans through June 2023. The projected loan balance is expected to remain over the \$250,000 aggregate limit through June 2023 because of Proposition 1 and 84 grants but can be covered by General Fund Reserves without a major impact on cash flow.



RESOURCE IMPACTS

The funds borrowed from the General Fund Reserves will be paid back with interest when the funding is received. Interfund loans for grants are not charged interest unless the grant contracts specifically states that interest is eligible for reimbursement. There is sufficient cash available to cover proposed borrowings and to pay budgeted expenditures for the General Fund.

Attachments:

1. Resolution No. 452 | Amending the Inter-Fund, Inter-Project and Inter-Agency Loan Policy

RESOLUTION NO. 452

A RESOLUTION OF THE COMMISSION OF THE SANTA ANA WATERSHED PROJECT AUTHORITY AMENDING THE INTER-FUND, INTER-PROJECT AND INTER-AGENCY LOAN POLICY

WHEREAS, the Commission of the Santa Ana Watershed Project Authority (hereafter "SAWPA") previously adopted, by minute action taken on August 3, 1996, an "Inter-Fund/Inter-Project Loan Policy" to regulate loans from one SAWPA Fund or Project to another SAWPA Fund or Project; and

WHEREAS, the Commission desires to amend the "Inter-Fund Fund/Project Loan Policy" by formally adopting such Policy, by way of this Resolution, regulating how and in what manner such inter-fund or inter-project loans are to take place and mandating that all such loans require Commission approval in advance as contemplated by the policy adopted on August 3, 1996.

NOW, THEREFORE, BE IT RESOLVED that the Commission of the Santa Ana Watershed Project Authority hereby amends the following Loan Policy for any loan from one SAWPA Fund or Project to another SAWPA Fund or Project:

1. Loans from any SAWPA Fund or Project to another SAWPA Fund, Project or another public agency shall be approved in advance by the SAWPA Commission. The approval shall be in written format and include documentation of the specifics of the transaction. The approval shall include a finding that the loan will not expose the lending SAWPA Fund or Project to significant financial or operational risk.
2. Unless otherwise provided for by the Commission, the borrowing Fund, Project or public agency shall be required to repay the loan within a specific period of time and at a rate of interest as determined by the Commission. For the purposes of this policy, SAWPA's calculated quarterly rate of return may be used as the basis for interest payable on the outstanding principal for any loan. The period for repayment of the loan shall be determined by the Commission, but shall be no longer than the life of the lending Fund or Project.
3. The borrowing Fund's, Project's or public agency's repayment source shall be identified and included in the approval action by the Commission and the "loan documentation". The "loan documentation" shall include a written agreement, resolution or other document approved by the Commission setting forth all of the foregoing terms and conditions.

4. Loans to reimbursable SAWPA grant contract projects and related efforts for short-term (i.e., current fiscal year) operating cash flow purposes may be borrowed from the SAWPA General Fund Reserve without prior Commission approval. But all such loans shall be reported to the Commission within 30 days of each such loan. Such loans shall be paid off on a continuous basis. The total funds loaned for all such grant contract projects and related efforts shall not exceed \$250,000.00 in the aggregate for each fiscal year, without prior written approval by the Commission. Payment of interest will be based on the actual interest that would have been earned by the SAWPA General Fund Reserve had those funds not been borrowed. Cash flow and receivables will be reported at least quarterly to forecast needs and demonstrate compliance.
5. Prior to June 30th of each year, staff shall provide to the Commission an annual written report of all such Inter-fund, Inter-project or Inter-agency loans, amounts repaid and any outstanding loan balances.

ADOPTED this 13th day of December 2005.

SANTA ANA WATERSHED PROJECT AUTHORITY

By: *Mark W. Bulot*
Mark Bulot, Chair



COMMISSION MEMORANDUM NO. 2022.63

DATE: September 20, 2022
TO: SAWPA Commission
SUBJECT: Performance Indicators and Financial Reporting – July 2022
PREPARED BY: Karen Williams, DGM/CFO

RECOMMENDATION

It is recommended that the Commission receive and file staff's report.

DISCUSSION

The attached reports have been developed to keep the Commission informed as to SAWPA's business and budget performance. These reports are categorized into the following groups: financial reporting, cash and investments, and performance indicators. They are explained in detail below. As new reports are developed, they will be added for the Commission's review.

Financial Reporting

Balance Sheet by Fund Type	Lists total assets, liabilities, and equity by fund type for a given period.
Revenue & Expense by Fund Type	Lists total revenue and expenses by fund type for a given period.
Receivables Management	Shows total outstanding accounts receivable by age.
Open Task Order Schedule	Shows SAWPA's total outstanding obligation for open task orders.
List of SAWPA Funds	Shows each SAWPA Fund with the fund description and fund group.
Debt Service Funding Analysis	Shows total annual income by source used to make debt service payments through debt maturity at FYE 2048.
Debt Service Payment Schedule	Shows total debt service interest and principal payments through debt maturity at FYE 2048.

Cash and Investments

Total Cash and Investments (chart)	Shows the changes in cash and investments balance for the last twelve months.
Cash Balance & Source of Funds	Shows total cash and investments for all SAWPA funds and the types of investments held for each fund.
Cash & Investments (pie chart)	Shows total cash and investments for all SAWPA funds and the percentage of each investment type.
Reserve Account Analysis	Shows changes to each reserve account for the year and projected ending balance for each.

Twelve Month Security Schedule (chart)	Shows the maturity dates for securities held and percentage of securities in each category.
Treasurer's Report	Shows book and market value for both Treasury strips and securities held by the Agency.

Performance Indicators

Average Daily Flow by Month	Shows total flow in the Brine Line System by month compared to total treatment capacity owned. This is an indicator of the available capacity in the line. As we add yearly flows, it will show trends in flow throughout the year.
Summary of Labor Multipliers	Summarizes the information generated from the following two reports and compares the actual benefit and Indirect Cost Allocation rates to the total budgeted rates.
General Fund Costs	Lists total Fund No. 100 costs to date and the amount of those costs recovered through the Indirect Cost Allocation and member contributions.
Benefit Summary	Lists total employee benefit costs actual to budget and projects them through the end of the year. This report compares how the actual benefit rate compares to the budgeted rate.
Labor Hours Budget vs. Actual	Shows total budgeted hours for each project and compares them to the actual hours charged to each.

RESOURCE IMPACTS

Staff expects minimal impacts to SAWPA or its member agencies related to this effort.

Attachments:

- | | |
|---------------------------------------|---|
| 1. Balance Sheet by Fund Type | 10. Reserve Account Analysis |
| 2. Revenue & Expense by Fund Type | 11. Twelve-Month Maturity Schedule - Securities |
| 3. Accounts Receivable Aging Report | 12. Treasurer's Report |
| 4. Open Task Order Schedule | 13. Average Daily Flow by Month |
| 5. List of SAWPA Funds | 14. Summary of Labor Multipliers |
| 6. Debt Service Funding Analysis | 15. General Fund Costs |
| 7. Debt Service Payment Schedule | 16. Benefits |
| 8. Total Cash and Investments (chart) | 17. Labor Hours Budgeted vs. Actual |
| 9. Cash Balance & Source of Funds | |

Santa Ana Watershed Project Authority
Balance Sheet by Fund Type
For the Twelve Months Ending Thursday, June 30, 2022

	General Fund	Brine Line Enterprise	Capital Projects	OWOW Projects	Roundtable Projects	Fund Totals
Assets						
Current Assets						
Cash and Investments	\$3,120,923.06	\$52,463,621.57	\$0.00	\$3,196,237.45	\$2,390,995.35	\$61,171,777.43
Accounts Receivable	3,661.00	2,199,846.98	0.00	14,070,388.06	9,468.75	16,283,364.79
Interest Receivable	10,026.09	81,083.42	0.00	1,457.34	4,620.39	97,187.24
Prepays and Deposits	165,045.35	250,591.17	0.00	0.00	0.00	415,636.52
Total Current Assets	3,299,655.50	54,995,143.14	0.00	17,268,082.85	2,405,084.49	77,967,965.98
Fixed Assets						
Property, Plant & Equipment						
less accum depreciation	1,324,554.29	73,682,761.58	0.00	0.00	0.00	75,007,315.87
Work In Process	0.00	0.00	389,473.60	0.00	0.00	389,473.60
Total fixed assets	1,324,554.29	73,682,761.58	389,473.60	0.00	0.00	75,396,789.47
Other Assets						
Wastewater treatment/disposal rights, net of amortization	32,719.20	21,461,427.34	0.00	0.00	0.00	21,494,146.54
Inventory - Mitigation Credits	0.00	0.00	0.00	0.00	1,910,560.00	1,910,560.00
Total Other Assets	32,719.20	21,461,427.34	0.00	0.00	1,910,560.00	23,404,706.54
Total Assets	\$4,656,928.99	\$150,139,332.06	\$389,473.60	\$17,268,082.85	\$4,315,644.49	\$176,769,461.99
Liabilities and Fund Equity						
Current Liabilities						
Accounts Payable/Accrued Expenses	\$965,853.49	\$609,494.61	\$0.00	\$15,107,845.49	\$150,868.50	\$16,834,062.09
Accrued Interest Payable	0.00	192,970.03	0.00	0.00	0.00	192,970.03
Customer Deposits	0.00	15,627.84	0.00	0.00	0.00	15,627.84
Noncurrent Liabilities						
Long-term Debt	2,271,166.00	23,428,489.35	0.00	0.00	0.00	25,699,655.35
Deferred Revenue	0.00	60,177,414.38	0.00	0.00	0.00	60,177,414.38
Total Liabilities	3,237,019.49	84,423,996.21	0.00	15,107,845.49	150,868.50	102,919,729.69
Fund Equity						
Contributed Capital	0.00	20,920,507.03	0.00	0.00	0.00	20,920,507.03
Retained Earnings	2,305,948.25	43,842,307.95	389,473.60	2,071,139.48	4,264,695.47	52,873,564.75
Revenue Over/Under Expenditures	(886,038.75)	952,520.87	0.00	89,097.88	(99,919.48)	55,660.52
Total Fund Equity	1,419,909.50	65,715,335.85	389,473.60	2,160,237.36	4,164,775.99	73,849,732.30
Total Liabilities & Fund Equity	\$4,656,928.99	\$150,139,332.06	\$389,473.60	\$17,268,082.85	\$4,315,644.49	\$176,769,461.99

Santa Ana Watershed Project Authority
Revenue & Expenses by Fund Type
For the Twelve Months Ending Thursday, June 30, 2022

	General Fund	Brine Line Enterprise	Capital Projects	OWOW Projects	Roundtable Projects	Fund Totals
Operating Revenue						
Discharge Fees	\$0.00	\$12,006,791.13	\$0.00	\$0.00	\$0.00	\$12,006,791.13
Grant Proceeds	0.00	0.00	0.00	9,256,499.60	0.00	9,256,499.60
Financing Proceeds	0.00	0.00	0.00	0.00	191,840.80	191,840.80
Total Operating Revenue	0.00	12,006,791.13	0.00	9,256,499.60	191,840.80	21,455,131.53
Operating Expenses						
Labor	1,627,232.31	1,058,655.10	17,499.94	521,420.06	148,491.80	3,373,299.21
Benefits	644,383.99	419,227.36	6,929.97	206,482.34	58,802.79	1,335,826.45
Indirect Costs	0.00	1,707,610.71	28,227.40	841,050.60	239,517.28	2,816,405.99
Education & Training	23,703.06	1,196.18	0.00	0.00	0.00	24,899.24
Consulting & Professional Services	282,966.95	77,678.65	35,000.00	512,773.39	931,369.26	1,839,788.25
Operating Costs	1,563.92	2,895,768.08	350.00	0.00	0.00	2,897,682.00
Repair & Maintenance	64,178.37	216,778.27	0.00	0.00	0.00	280,956.64
Phone & Utilities	55,109.40	11,092.27	0.00	0.00	0.00	66,201.67
Equipment & Computers	203,031.25	49,937.77	0.00	771.42	318.00	254,058.44
Meeting & Travel	23,328.03	0.00	0.00	2,500.00	218.96	26,046.99
Other Administrative Costs	159,985.29	95,301.61	0.00	18,655.55	10,928.57	284,871.02
Indirect Costs Applied	(2,816,405.99)	0.00	0.00	0.00	0.00	(2,816,405.99)
Other Expenses	71,030.61	366,440.83	83,522.27	0.00	0.00	520,993.71
Construction	0.00	0.00	0.00	8,383,856.07	0.00	8,383,856.07
Total Operating Expenses	340,107.19	6,899,686.83	171,529.58	10,487,509.43	1,389,646.66	19,288,479.69
Operating Income (Loss)	(340,107.19)	5,107,104.30	(171,529.58)	(1,231,009.83)	(1,197,805.86)	2,166,651.84
Nonoperating Income (Expense)						
Member Contributions	716,845.00	0.00	0.00	845,000.00	20,000.00	1,581,845.00
Participant Fees	0.00	0.00	0.00	501,412.10	1,064,375.00	1,565,787.10
Pipeline & Treatment Capacity Sales	0.00	2,510,154.00	0.00	0.00	0.00	2,510,154.00
Interest Income	24,484.61	(767,577.08)	0.00	5,481.36	12,981.71	(724,629.40)
Interest Expense - Debt Service	0.00	(536,096.99)	0.00	0.00	0.00	(536,096.99)
Other Income	5,604.95	30,285.56	0.00	0.00	0.00	35,890.51
Retiree Medical Benefits	(131,283.17)	0.00	0.00	0.00	0.00	(131,283.17)
Total Nonoperating Income (Expense)	615,651.39	1,236,765.49	0.00	1,351,893.46	1,097,356.71	4,301,667.05
Excess Rev over (under) Exp	\$275,544.20	\$6,343,869.79	(\$171,529.58)	\$120,883.63	(\$100,449.15)	\$6,468,318.89

Aging Report
 Santa Ana Watershed Project Authority
 Receivables as of July 31, 2022

Customer Name	Project	Total	0-30 Days	31-60 Days	61 and Over
Alpha Petroleum Transport, Inc.	Brine Line	250.00		250.00	
Banning, City of	Basin Monitoring	24,583.00	24,583.00		
Big Bear Community Services District	Weather Modification	3,000.00	3,000.00		
Big Bear Lake DWP	Weather Modification	3,000.00	3,000.00		
Beaumont, City of	Basin Monitoring	24,583.00	24,583.00		
Burdick & Company	Roundtable of Regions	1,000.00	1,000.00		
Chino Basin Desalter Authority	Brine Line	182,094.25	182,094.25		
Chino Basin Watermaster	Basin Monitoring, Weather Modification	26,458.00	26,458.00		
Claremont, City of	MSAR TMDL	12,155.00	12,155.00		
Corona, City of	Weather Modification, EC, MSAR TMDL, Basin Monitoring	51,859.00	51,859.00		
Department of Water Resources	Prop 84, Prop 1	12,369,030.03		224,677.35	12,144,352.68
Eastern Municipal Water District	Brine Line, Weather Modification, EC, Basin Monitoring	520,033.47	303,540.66	216,492.81	
Eastvale, City of	MSAR TMDL	12,155.00	12,155.00		
Elsinore Valley Municipal Water District	EC, Basin Monitoring	34,704.00	34,704.00		
Environmental Management Technologies	Brine Line	250.00		250.00	
Greater Los Angeles County IRWM	Roundtable of Regions	10,300.00	10,300.00		
Haz Mat Trans, Inc.	Brine Line	250.00		250.00	
Inland Empire Utilities Agency	Brine Line, MA Contributions, PFAS, Weather Modification, EC, Basin Monitoring	755,637.54	167,992.21	482,405.58	105,239.75
Irvine Ranch Water District	EC, Basin Monitoring	30,151.00	30,151.00		
Jurupa Community Services District	EC, Basin Monitoring	30,151.00	30,151.00		
Jurupa Valley, City of	MSAR TMDL	12,155.00	12,155.00		
K-VAC Environmental Services	Brine Line	250.00	250.00		
Lake Elsinore & San Jacinto Watersheds Authority	Administration	10,000.00	10,000.00		
Mojave Water Agency	Roundtable of Regions	10,300.00	10,300.00		
Norco, City of	MSAR TMDL	12,155.00	12,155.00		
Orange County Water District	Member Agency Contributions, Weather Modification, PFAS, Basin Monitoring	439,963.00	54,111.00	385,852.00	
Pomona, City of	MSAR TMDL	12,155.00	12,155.00		
Rayne Water Conditioning	Brine Line	250.00	250.00		
Redlands, City of	EC, Basin Monitoring	30,151.00	30,151.00		
Rialto, City of	EC, Basin Monitoring	33,276.00	33,276.00		
Rialto BioEnergy	Brine Line	8,896.88	8,896.88		
Riverside, City of	WECAN	3,553.15		1,197.97	2,355.18
Riverside, City of Wastewater Treatment	MSAR TMDL, Basin Monitoring	45,431.00	33,139.50	12,291.50	
Riverside County Flood Control	MSAR TMDL	12,155.00	12,155.00		
RIX Treatment Facility	MSAR TMDL, Basin Monitoring	33,276.00	33,276.00		
San Antonio Water Company	Weath Management	5,000.00	5,000.00		
San Bernardino County Flood Control District	MSAR TMDL	109,392.00	109,392.00		
San Bernardino Valley Municipal Water District	Brine Line	382,861.55	182,296.47	200,565.08	
San Diego, City of	Roundtable of Regions	2,600.00	2,600.00		
San Diego County Water Authority	Roundtable of Regions	6,000.00	6,000.00		
San Geronio Pass Water Agency	Weath Management	20,000.00	20,000.00		
Santa Ana, City of	Weath Management	10,000.00	10,000.00		
Santa Barbara County IRWM	Roundtable of Regions	3,000.00	3,000.00		
Santa Clara Valley Water Distirct	Roundtable of Regions	10,300.00	10,300.00		
Santa Cruz IRWM Region	Roundtable of Regions	2,500.00	2,500.00		
Sonoma County Water Agenct	Roundtable of Regions	5,000.00	5,000.00		
Temescal Valley Water District	EC, Basin Monitoring	30,118.00	30,118.00		
Triumvirate Environmental	Brine Line	250.00		250.00	
University of California, Riverside	MSAR TMDL	12,155.00	12,155.00		
Upper Kings Basin IRWM	Roundtable of Regions	5,100.00	5,100.00		
Westside Sacramento IRWM	Roundtable of Regions	1,500.00	1,500.00		
Western Environmental Services	Brine Line	250.00		250.00	
Western Municipal Water District	Brine Line, MA Contributions, PFAS, Weather Modification, EC	810,963.87	442,346.56	368,617.31	
Yuba Water Agency	Roundtable of Regions	10,300.00	10,300.00		
Total Accounts Receivable		16,182,901.74	1,981,438.53	1,893,099.60	12,251,947.61

Santa Ana Watershed Project Authority
Open Task Orders Schedule
Jul-22
(Reflects Invoices Received as of 08/18/22)

Task Order No.	Fund No.	Vendor Name	Task Description	Begin Date	End Date	Original Contract	Change Orders	Total Contract	Billed To Date	Contract Balance	SAWPA Manager	Comments
ACS100-23	100-00	Accent Computer Solutions	IT Services	10/01/2021	12/31/2022	\$ 107,375.00	\$ -	\$ 107,375.00	\$ 66,607.73	\$ 40,767.27	Dean Unger	
ACS100-24	100-00	Accent Computer Solutions	Multi-Factor Authentication and Wireless Network Configuration	02/01/2022	12/31/2022	\$ 8,225.00	\$ -	\$ 8,225.00	\$ 7,103.69	\$ 1,121.31	Dean Unger	
ARC100-01	100-00	Alliance Resource Consulting	Water Resources and Planning Manager Recruitment	06/15/2022	06/30/2023	\$ 28,500.00	\$ -	\$ 28,500.00	\$ 14,250.00	\$ 14,250.00	Edina Goode	
BART100-07	100-00	Bartel & Associates	2022 GASB 68 Actuarial Information for CalPERS Cost Sharing	04/01/2022	12/31/2022	\$ 1,500.00	\$ -	\$ 1,500.00	\$ -	\$ 1,500.00	Karen Williams	
FEBR100-01	100-00	Fedak & Brown, LLP	Professional Audit Services	04/19/2022	06/30/2023	\$ 79,980.00	\$ -	\$ 79,980.00	\$ 7,800.00	\$ 72,180.00	Karen Williams	
GPA100-01	100-00	Gillis & Panichapan Architects	SAWPA Building Renovations	10/13/2020	12/31/2023	\$ 45,200.00	\$ 27,500.00	\$ 72,700.00	\$ 39,651.65	\$ 33,048.35	Edina Goode	
INSOL100-19	100-00	Integrated Systems Solutions	HRIS - Greenshades	03/01/2022	12/31/2022	\$ 17,294.06	\$ 6,143.30	\$ 23,437.36	\$ 21,716.86	\$ 1,720.50	Edina Goode	
INSOL100-20	100-00	Integrated Systems Solutions	Great Plains and Journyx Support	07/01/2022	06/30/2023	\$ 5,000.00	\$ -	\$ 5,000.00	\$ 314.50	\$ 4,685.50	Edina Goode	
KON100-08	100-00	Konica Minolta	Copiers and Scanners Lease	01/15/2021	01/15/2025	\$ 29,040.00	\$ -	\$ 29,040.00	\$ 12,064.47	\$ 16,975.53	Dean Unger	
LSGK100-11	100-00	Lagerlof, LLP	Legal Services	07/01/2022	06/30/2023	\$ 99,830.00	\$ -	\$ 99,830.00	\$ 3,283.00	\$ 96,547.00	Jeff Mosher	
NICO100-08	100-00	Nicolay Consulting Group	GASB 75 Actuarial Valuation and Reports	04/01/2022	06/01/2023	\$ 4,900.00	\$ -	\$ 4,900.00	\$ -	\$ 4,900.00	Karen Williams	
BMH100-02	100-03	Bell, McAndrews, & Hiltachk	FPPC Lobby Reporting	07/01/2022	06/30/2023	\$ 1,320.00	\$ -	\$ 1,320.00	\$ 313.00	\$ 1,007.00	Karen Williams	
WCA100-03-04	100-03	West Coast Advisors	State Legislative Consulting	09/15/2020	12/21/2022	\$ 240,000.00	\$ -	\$ 240,000.00	\$ 195,000.00	\$ 45,000.00	Jeff Mosher	
WO2023-1	240	E S Babcock	Wastewater Sample Collection and Analysis	07/01/2022	06/30/2023	\$ 81,483.00	\$ -	\$ 81,483.00	\$ 4,248.92	\$ 77,234.08	David Ruhl	
DOUG240-04	240	Douglas Environmental	On-Call Brine Line Flow Meter Calibration	07/01/2021	06/30/2023	\$ 21,575.00	\$ 210.00	\$ 21,785.00	\$ 8,935.00	\$ 12,850.00	David Ruhl	
HAZ240-11	240	Haz Mat Trans Inc	Line Draining & Emergency Response	07/01/2021	06/30/2023	\$ 139,360.00	\$ -	\$ 139,360.00	\$ 37,021.25	\$ 102,338.75	David Ruhl	
HAZ240-12	240	Haz Mat Trans Inc	BL Debris Hauling & Disposal Services	07/01/2021	06/30/2023	\$ 63,990.00	\$ -	\$ 63,990.00	\$ -	\$ 63,990.00	David Ruhl	
INN240-04	240	Innerline Engineering	Brine Line On-Call Inspection Services	07/01/2021	06/30/2023	\$ 99,050.00	\$ -	\$ 99,050.00	\$ 8,816.38	\$ 90,233.62	David Ruhl	
INN240-05	240	Innerline Engineering	Brine Line Pipeline Cleaning Services	07/01/2022	06/30/2024	\$ 264,880.00	\$ -	\$ 264,880.00	\$ -	\$ 264,880.00	David Ruhl	
RFC240-02	240	Raftelis Financial Consulting	Inland Empire Brine Line Reserve Policy	11/01/2021	12/31/2022	\$ 50,895.00	\$ 18,600.00	\$ 69,495.00	\$ 55,507.50	\$ 13,987.50	David Ruhl	
TKE240-03	240	TKE Engineering & Planning	On-Call Brine Line Land Surveying	07/01/2021	06/30/2023	\$ 36,800.00	\$ -	\$ 36,800.00	\$ -	\$ 36,800.00	David Ruhl	
NAWC370-03	370-01	North American Weather Consultants	SAR Weather Modification Pilot Operations	07/01/2022	06/30/2026	\$ 1,061,912.00	\$ -	\$ 1,061,912.00	\$ -	\$ 1,061,912.00	Mark Norton	
GECO370-01	370-02	Geosyntec Consultants	Stormwater Assessment Proposal	06/01/2022	08/01/2022	\$ 22,200.00	\$ -	\$ 22,200.00	\$ 9,900.75	\$ 12,299.25	Mark Norton	
WSC373-01	373	Water Systems Consulting	FYE 2023 Roundtable of Regions Network Coordinator	07/01/2022	06/30/2023	\$ 72,900.00	\$ -	\$ 72,900.00	\$ -	\$ 72,900.00	Ian Achimore	
KSC374-02	374	Kahn, Soares, & Conway	Basin Monitoring TF Regulatory Support	07/01/2021	06/30/2023	\$ 116,000.00	\$ -	\$ 116,000.00	\$ 38,915.00	\$ 77,085.00	Mark Norton	98
WEST374-01	374	West Yost	Workplan for Basin Monitoring Program TF Planning	07/01/2021	12/31/2022	\$ 339,102.00	\$ -	\$ 339,102.00	\$ 230,327.75	\$ 108,774.25	Mark Norton	

Santa Ana Watershed Project Authority
Open Task Orders Schedule
Jul-22
(Reflects Invoices Received as of 08/18/22)

Task Order No. Project Contracts	Fund No.	Vendor Name	Task Description	Begin Date	End Date	Original Contract	Change Orders	Total Contract	Billed To Date	Contract Balance	SAWPA Manager	Comments
SAWA381-01	381	Santa Ana Watershed Association	Van Buren Bridge Sucker Restoration	09/26/2018	12/31/2022	\$ 15,130.20	\$ 13,846.47	\$ 28,976.67	\$ 23,649.28	\$ 5,327.39	Ian Achimore	
CDM384-18	384-01	CDM Smith	2023 Triennial Report for MSAR Bacterial Indicator TMDL	07/01/2022	03/31/2023	\$ 78,120.00	\$ -	\$ 78,120.00	\$ 9,303.75	\$ 68,816.25	Rick Whetsel	
KSC384-02	384-01	Kahn, Soares, & Conway	MSAR Pathogen TMDL TF Regulatory Support	07/01/2021	06/30/2023	\$ 149,750.00	\$ -	\$ 149,750.00	\$ 41,519.00	\$ 108,231.00	Rick Whetsel	
CDM386-16	386	CDM Smith	Implementation of SAR Regional Bacteria Monitoring Program	01/01/2021	06/30/2024	\$ 1,070,535.00	\$ 27,900.00	\$ 1,098,435.00	\$ 439,403.26	\$ 659,031.74	Rick Whetsel	
JPW392-01	392	JPW Communications	Emerging Constituents Program Social Media Support	07/01/2020	06/30/2023	\$ 105,000.00	\$ -	\$ 105,000.00	\$ 72,541.60	\$ 32,458.40	Mark Norton	
KSC392-02	392	Kahn, Soares, & Conway	Emerging Constituents Program TF Regulatory Support	07/01/2021	06/30/2023	\$ 46,500.00	\$ -	\$ 46,500.00	\$ 9,707.50	\$ 36,792.50	Mark Norton	
QUAN504-01	504-04	Quantum Spatial, Inc.	Water Efficiency Budget Assistance	02/10/2021	02/28/2023	\$ 594,387.00	\$ -	\$ 594,387.00	\$ -	\$ 594,387.00	Ian Achimore	
RMC504-401-09	504-04	Woodard & Curran	SARCCUP Program Mgmt. Services	07/01/2022	06/30/2023	\$ 84,030.00	\$ -	\$ 84,030.00	\$ 3,082.50	\$ 80,947.50	Ian Achimore	
										<u>\$ 3,914,978.69</u>		

LIST OF SAWPA FUNDS

Fund No.	Fund Description	Fund Group
100-00	General Fund	General
100-03	State Outreach	General
100-04	Federal Outreach	General
145	Proposition 84 – Program Management – 2015 Round	OWOW
150	Proposition 1 – Program Management	OWOW
240	Brine Line Enterprise	Brine Line
320-01	Brine Line Protection – Downstream Prado	Capital Projects
320-03	Brine Line Protection Above Prado	Capital Projects
320-04	Brine Line Protection D/S Prado in Riverside County	Capital Projects
327	Reach IV-D Corrosion Repair	Capital Projects
328	Aqua Mansa Lateral Project	Capital Projects
370-01	Basin Planning General	OWOW
370-02	USBR Partnership Studies	OWOW
373	Watershed Management (OWOW)	OWOW
374	Basin Monitoring Program Task Force	Roundtable
377	PFAS Study	OWOW
378	Weather Modification	OWOW
381	Santa Ana River Fish Conservation	Roundtable
384-01	MSAR TMDL Task Force	Roundtable
386	Regional Water Quality Monitoring Task Force	Roundtable
387	Arundo Management & Habitat Restoration	Roundtable
392	Emerging Constituents Task Force	Roundtable
397	Energy – Water DAC Grant Project	OWOW
398	Proposition 1 - DACI	OWOW
477	LESJWA Administration	Roundtable
504-01	Proposition 84 – Capital Projects Round 1 & 2	OWOW
504-04	Proposition 84 – Final Round SARCCUP	OWOW
505-00	Proposition 1 – SAWPA Capital Projects	OWOW
505-01	Proposition 1 – Round I Capital Projects	OWOW

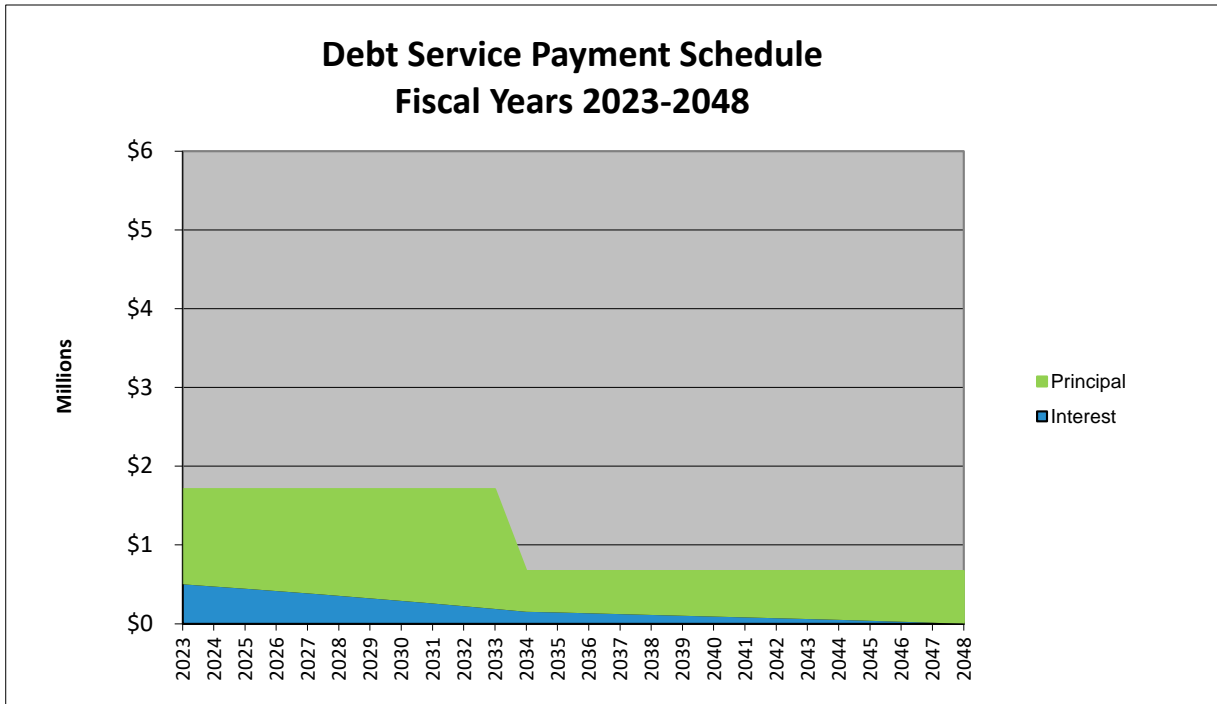
Santa Ana Watershed Project Authority
 Brine Line Debt Service Funding Analysis
 July 31, 2022

FYE	T-Strip Maturity	Capacity Loan Receipts	Rates	Loan Pymts	Interest Earned *	Excess Cash	Ending Cash Balance
	Beginning Balance						2,835,501
2023	-	-	1,709,476	(1,709,476)	44,442	44,442	2,879,943
2024	-	-	1,709,476	(1,709,476)	45,331	45,331	2,925,274
2025	-	-	1,709,476	(1,709,476)	46,238	46,238	2,971,512
2026	-	-	1,709,476	(1,709,476)	47,162	47,162	3,018,675
2027	-	-	1,709,476	(1,709,476)	48,106	48,106	3,066,780
2028	-	-	1,709,476	(1,709,476)	49,068	49,068	3,115,848
2029	-	-	1,709,476	(1,709,476)	50,049	50,049	3,165,897
2030	-	-	1,709,476	(1,709,476)	51,050	51,050	3,216,948
2031	-	-	1,709,476	(1,709,476)	52,071	52,071	3,269,019
2032	-	-	1,709,476	(1,709,476)	53,113	53,113	3,322,131
2033	-	-	1,709,476	(1,709,476)	54,175	54,175	3,376,306
2034	-	-	665,203	(665,203)	55,258	55,258	3,431,565
2035	-	-	665,203	(665,203)	56,364	56,364	3,487,929
2036	-	-	665,203	(665,203)	57,491	57,491	3,545,419
2037	-	-	665,203	(665,203)	58,641	58,641	3,604,061
2038	-	-	665,203	(665,203)	59,813	59,813	3,663,873
2039	-	-	665,203	(665,203)	61,010	61,010	3,724,883
2040	-	-	665,203	(665,203)	62,230	62,230	3,787,113
2041	-	-	665,203	(665,203)	63,474	63,474	3,850,587
2042	-	-	665,203	(665,203)	64,744	64,744	3,915,331
2043	-	-	665,203	(665,203)	66,039	66,039	3,981,370
2044	-	-	665,203	(665,203)	67,360	67,360	4,048,730
2045	-	-	665,203	(665,203)	68,707	68,707	4,117,436
2046	-	-	665,203	(665,203)	70,081	70,081	4,187,517
2047	-	-	665,203	(665,203)	71,483	71,483	4,259,000
2048	-	-	665,203	(665,203)	72,912	72,912	4,331,912
	-	-	28,782,271	(28,782,271)	1,496,411	1,496,411	-

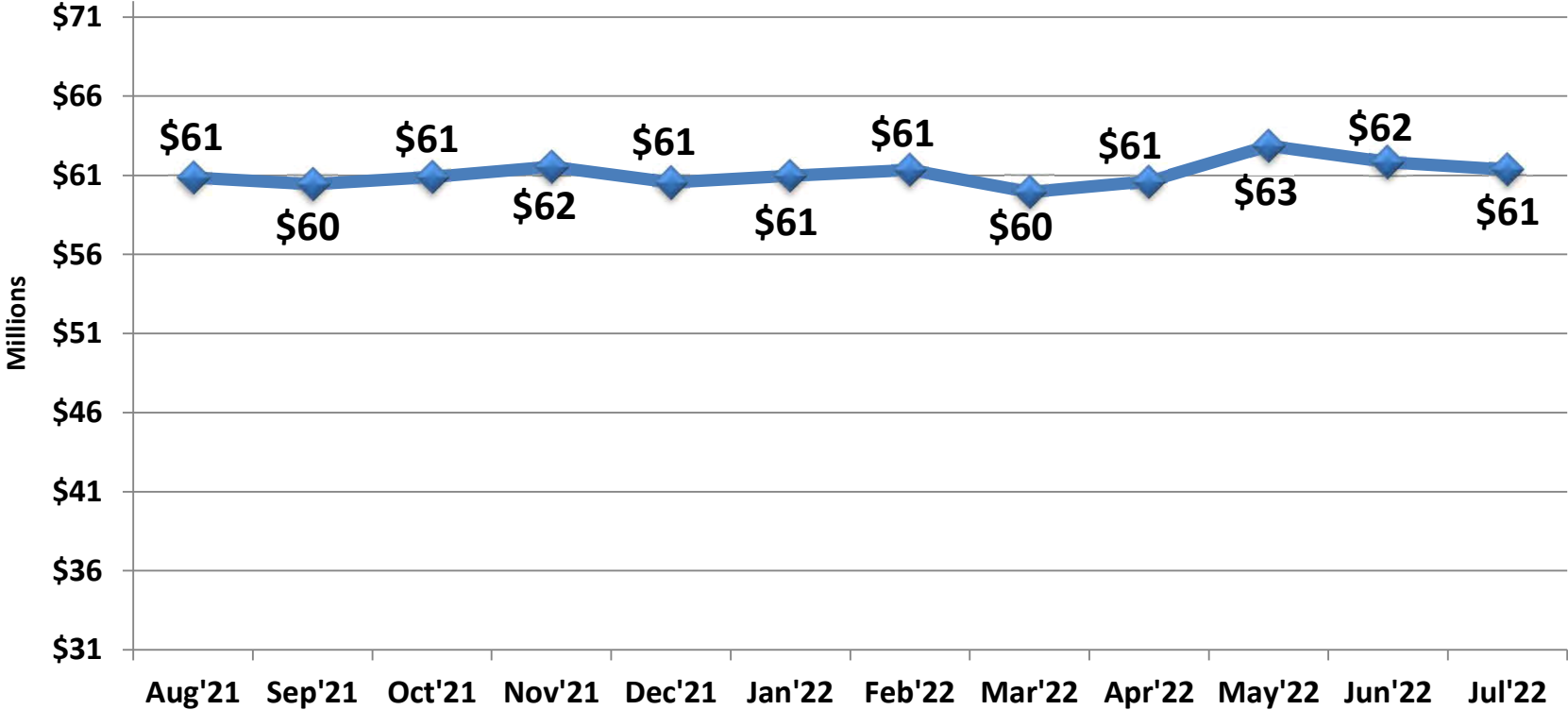
*Interest earned is based on a conservative 1.00% average return over the period

Santa Ana Watershed Project Authority
 Brine Line Debt Service Payment Schedule
 July 31, 2022

FYE	Interest	Principal	Total Payment	New SRF Loan	Remaining Principal
2023	514,301	1,195,175	1,709,476	-	22,233,314
2024	486,080	1,223,395	1,709,476	-	21,009,919
2025	457,181	1,252,295	1,709,476	-	19,757,624
2026	427,585	1,281,891	1,709,476	-	18,475,733
2027	397,276	1,312,199	1,709,476	-	17,163,534
2028	366,237	1,343,239	1,709,476	-	15,820,295
2029	334,449	1,375,027	1,709,476	-	14,445,268
2030	301,894	1,407,582	1,709,476	-	13,037,686
2031	268,553	1,440,923	1,709,476	-	11,596,763
2032	234,407	1,475,068	1,709,476	-	10,121,694
2033	199,437	1,510,039	1,709,476	-	8,611,656
2034	163,621	501,581	665,203	-	8,110,075
2035	154,091	511,111	665,203	-	7,598,964
2036	144,380	520,822	665,203	-	7,078,141
2037	134,485	530,718	665,203	-	6,547,424
2038	124,401	540,801	665,203	-	6,006,622
2039	114,126	551,077	665,203	-	5,455,545
2040	103,655	561,547	665,203	-	4,893,998
2041	92,986	572,217	665,203	-	4,321,782
2042	82,114	583,089	665,203	-	3,738,693
2043	71,035	594,167	665,203	-	3,144,526
2044	59,746	605,457	665,203	-	2,539,069
2045	48,242	616,960	665,203	-	1,922,109
2046	36,520	628,682	665,203	-	1,293,427
2047	24,575	640,627	665,203	-	652,799
2048	12,403	652,799	665,203	-	(0)



Total Cash & Investments





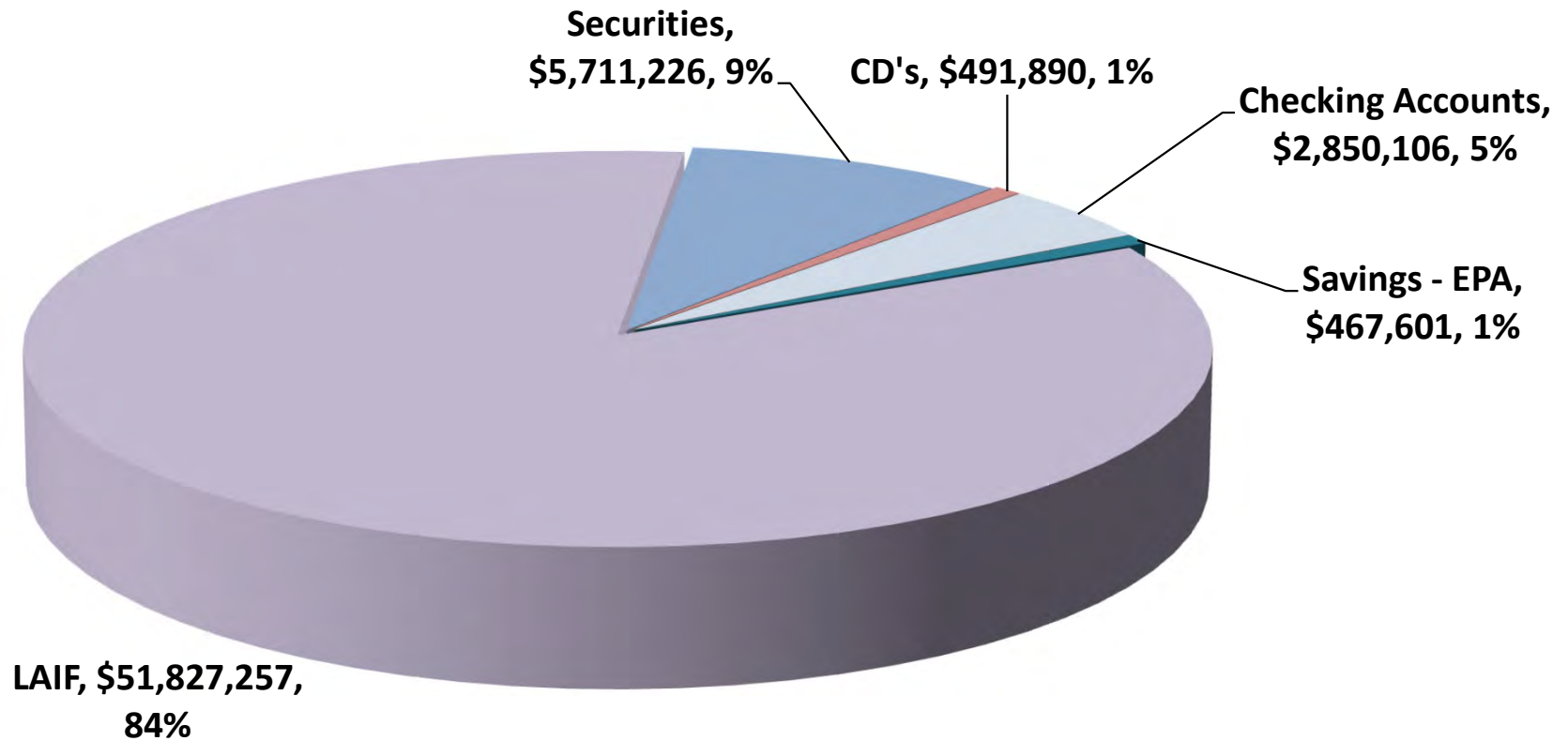
CASH BALANCE & SOURCE OF FUNDS

July 31, 2022

Fund Accounts		Cash and Investments					
	Total	Checking (Cash)	LAIF Account	Savings EPA	Investment Securities	Certificates of Deposit	Total
100	General Fund	\$ 1,444,804	1,444,804	-	-	-	\$ 1,444,804
100	Building Reserve	\$ 848,359	-	848,359	-	-	\$ 848,359
370	Basin Planning General	\$ 295,029	-	295,029	-	-	\$ 295,029
370	USBR Partnership Studies	\$ 45,579	-	45,579	-	-	\$ 45,579
373	Watershed Management Plan	\$ 479,648	-	479,648	-	-	\$ 479,648
240	Self Insurance Reserve	\$ 4,361,363	-	4,361,363	-	-	\$ 4,361,363
240	Brine Line Debt Retirement	\$ 2,835,501	-	2,835,501	-	-	\$ 2,835,501
240	Brine Line - Pipeline Replacement	\$ 22,897,460	-	16,694,344	-	5,711,226	\$ 22,897,460
240	Brine Line - OC San Rehabilitation	\$ 2,394,650	-	2,394,650	-	-	\$ 2,394,650
240	Brine Line - Capacity Management	\$ 12,058,828	-	12,058,828	-	-	\$ 12,058,828
240	Brine Line - OC San Future Capacity	\$ 1,846,246	-	1,846,246	-	-	\$ 1,846,246
240	Brine Line - Flow Imbalance Reserve	\$ 84,749	-	84,749	-	-	\$ 84,749
240	Brine Line - Rate Stabilization Reserve	\$ 1,034,585	-	1,034,585	-	-	\$ 1,034,585
240	Brine Line - Operating Reserve	\$ 2,189,602	-	2,189,602	-	-	\$ 2,189,602
240	Brine Line - Operating Cash	\$ 4,621,727	1,405,302	3,216,425	-	-	\$ 4,621,727
401	Legal Defense Fund	\$ 467,601	-	-	467,601	-	\$ 467,601
374	Basin Monitoring Program TF	\$ 368,335	-	368,335	-	-	\$ 368,335
377	PFAS Study	\$ 68,597	-	68,597	-	-	\$ 68,597
381	SAR Fish Conservation	\$ 113,623	-	113,623	-	-	\$ 113,623
384	Middle SAR TMDL TF	\$ 268,254	-	268,254	-	-	\$ 268,254
386	RWQ Monitoring TF	\$ 166,621	-	166,621	-	-	\$ 166,621
387	Mitigation Bank Credits	\$ 857,124	-	857,124	-	-	\$ 857,124
392	Emerging Constituents TF	\$ 89,386	-	89,386	-	-	\$ 89,386
504	Prop 84 - SARCCUP Projects	\$ 1,363,031	-	1,363,031	-	-	\$ 1,363,031
505	Prop 1 - Capital Projects	\$ 83,535	-	83,535	-	-	\$ 83,535
505	Prop 1 - Capital Projects - Passthrough	\$ 63,842	-	63,842	-	-	\$ 63,842
		\$ 61,348,080	\$ 2,850,106	\$ 51,827,257	\$ 467,601	\$ 5,711,226	\$ 61,348,080

Cash & Investments - July 2022

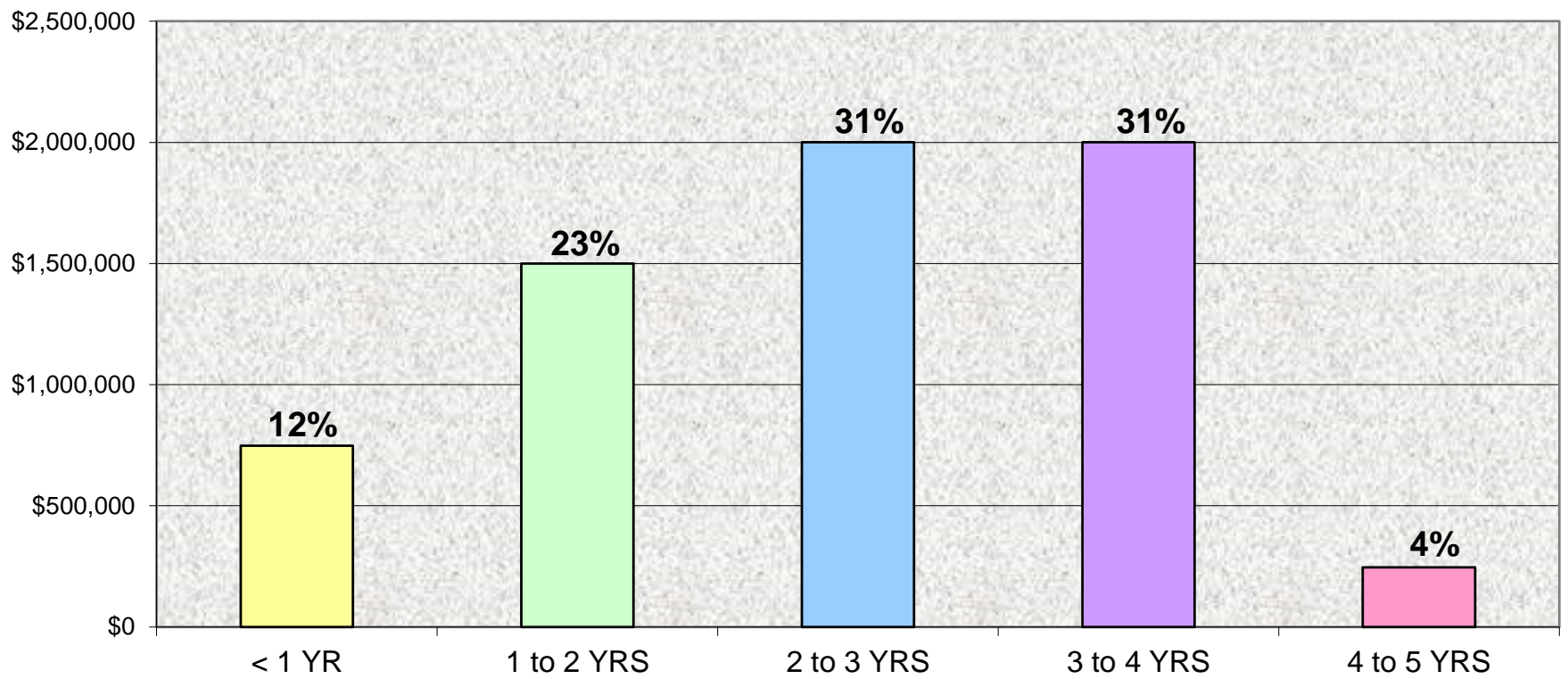
\$61,348,080



Santa Ana Watershed Project Authority
Reserve Account Analysis
July 31, 2022

Reserve Account	Balance @ 6/30/2022	Interest Earned	Fund Receipts/ Contributions	Debt Service Payments	Inter-Fund Loans	Fund Expenses	Balance @ 7/31/2022	Estimated Fund Changes	Balance @ 6/30/2023
Brine Line Operating Cash	3,616,906	12,780	1,415,301			(423,260)	4,621,727	-	4,621,727
Brine Line Operating Reserve	2,189,602						2,189,602	-	2,189,602
Flow Imbalance Reserve	84,572	177					84,749	-	84,749
OC San Future Capacity	1,842,396	3,849					1,846,246	-	1,846,246
Capacity Management	12,033,687	25,141					12,058,828	-	12,058,828
Rate Stabilization Reserve	1,032,428	2,157					1,034,585	-	1,034,585
Pipeline Replacement	22,758,505	16,997	122,295			(337)	22,897,460	(862,346)	22,035,114
OC San Rehabilitation	2,389,658	4,993					2,394,650	-	2,394,650
Debt Retirement	2,829,589	5,912					2,835,501	-	2,835,501
Self Insurance	4,352,284	9,079					4,361,363	-	4,361,363
General Fund	1,699,671	8,466	294,781		(623,547)	65,433	1,444,804	-	1,444,804
Building Reserve	746,799	1,560	100,000				848,359	(41,340)	807,019
	55,576,098	91,110	1,932,378	-	(623,547)	(358,164)	56,617,876	(903,686)	55,714,190

Twelve Month Maturity Schedule Securities



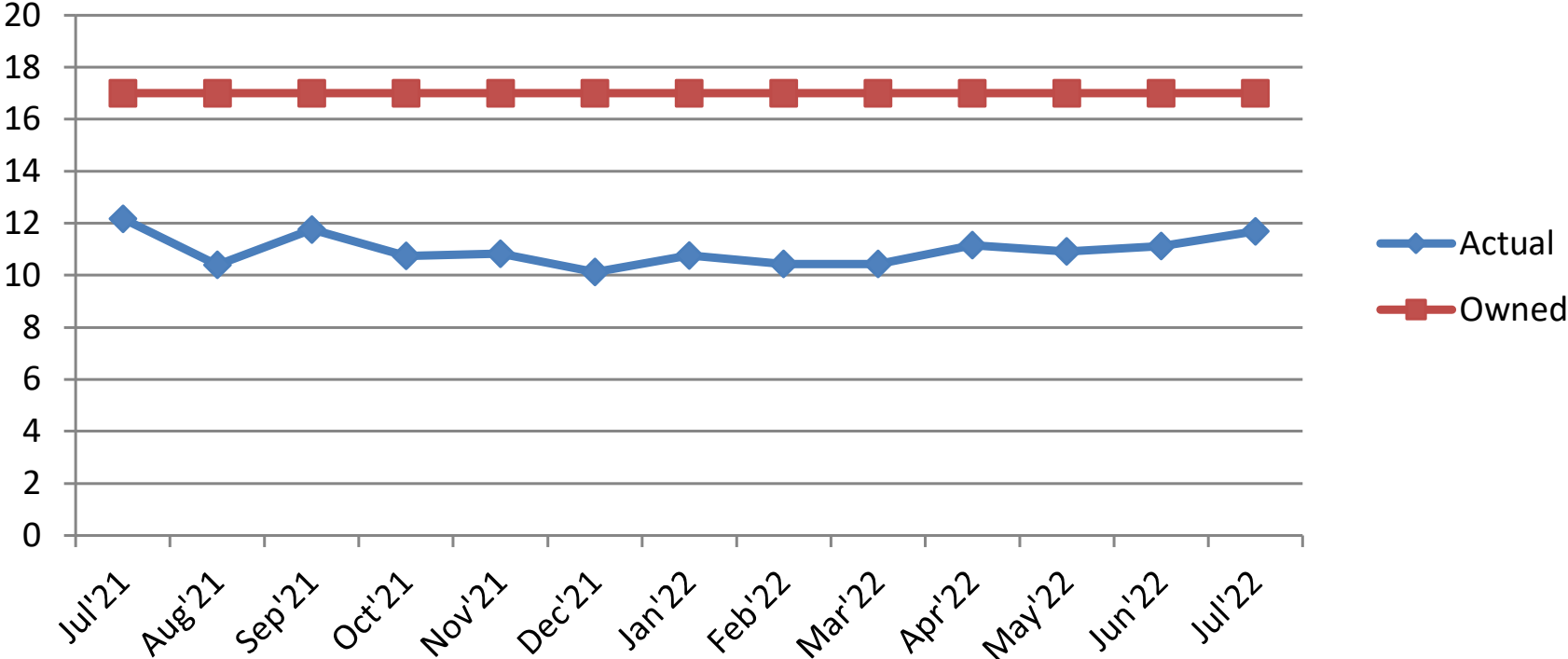
SAWPA
TREASURER'S REPORT
As of July 31, 2022

Investment Commercial

Safekeeping US Bank

Type	Security	Purchase Date	Maturity Date	Unit Cost	Cost	Principal	Current Value	Market Value	Interest Rate
Agency	FHLB	2/4/2020	12/13/2024	106.25	\$ 531,250.00	\$ 500,000.00	\$ 500,000.00	497,579.50	2.750%
Agency	FHLB	6/21/2022	6/28/2024	99.11	\$ 991,130.00	\$ 1,000,000.00	\$ 1,000,000.00	996,735.00	2.750%
Agency	FNMA	2/4/2020	1/7/2025	101.08	\$ 505,380.00	\$ 500,000.00	\$ 500,000.00	484,636.50	1.625%
Agency	FNMA	10/30/2020	8/25/2025	99.53	\$ 995,952.00	\$ 1,000,000.00	\$ 1,000,000.00	926,788.00	0.375%
Agency	USTN	4/19/2021	11/30/2025	98.25	\$ 982,500.00	\$ 1,000,000.00	\$ 1,000,000.00	922,383.00	0.375%
Agency	USTN	9/15/2021	5/31/2025	99.58	\$ 989,726.56	\$ 1,000,000.00	\$ 1,000,000.00	929,531.00	0.250%
CORP	Apple Inc.	10/15/2018	5/3/2023	95.98	\$ 479,898.50	\$ 500,000.00	\$ 500,000.00	497,468.00	2.400%
CORP	Toyota Motor Credit Corp.	10/15/2018	9/20/2023	99.55	\$ 497,747.50	\$ 500,000.00	\$ 500,000.00	501,946.00	3.450%
CD	Goldman Sachs Bank USA	12/20/2017	12/20/2022	100.00	\$ 248,000.00	\$ 248,000.00	\$ 248,000.00	247,990.82	2.500%
CD	Capital One Bank USA	5/25/2022	5/25/2027	100.00	\$ 246,000.00	\$ 246,000.00	\$ 246,000.00	242,292.29	3.200%
					\$ 6,467,584.56	\$ 6,494,000.00	\$ 6,494,000.00	6,247,350.11	1.968%

Average Daily Flow by Month





SUMMARY OF LABOR MULTIPLIERS

		Benefit Rate
Total Employee Benefits	99,978	0.232
Total Payroll	431,001	
Gross Indirect Costs	367,571	
Less: Member Contributions & Other Revenue	(39,583)	
Indirect Costs for Distribution	327,987	
		Indirect Rate
Direct Labor	266,567	1.230
Indirect Costs	327,987	
FY 2022-23 Labor multiplier - thru 07/31/22		1.462
FY 2022-23 Budgeted Labor multiplier		<u>2.028</u>
FY 2021-22 Labor multiplier		<u>1.996</u>
FY 2020-21 Labor multiplier		<u>1.724</u>
FY 2019-20 Labor multiplier		<u>2.309</u>



INDIRECT COSTS

(to be Distributed)

<u>G/L Acct.</u>	<u>Description</u>	<u>Actual thru</u> <u>7/31/22</u>
51000	Salaries - Regular	\$ 164,434
52000	Benefits	\$ 69,062
60111	Tuition Reimbursement	\$ -
60112	Training	\$ -
60113	Education	\$ -
60114	Other Training & Education	\$ 134
60120	Audit Fees	\$ 7,800
60121	Consulting	\$ 9,247
60126	Temporary Services	\$ -
60128	Other Professional Services	\$ -
60129	Other Contract Services	\$ -
60130	Legal Fees	\$ 1,206
60133	Employment Recruitment	\$ -
60153	Materials & Supplies	\$ 127
60154	Safety	\$ 478
60155	Security	\$ -
60156	Custodial Contract Services	\$ 1,820
60157	Landscaping Maintenance	\$ 720
60158	HVAC	\$ 1,758
60159	Facility Repair & Maintenance	\$ 1,666
60160	Telephone	\$ 6,816
60161	Cellular Services	\$ 648
60163	Electricity	\$ 2,077
60164	Water Services	\$ 649
60170	Equipment Expensed	\$ 1,037
60171	Equipment Rented	\$ 1,342

(Continued - next column)

<u>G/L Acct.</u>	<u>Description</u>	<u>Actual thru</u> <u>7/31/22</u>
60172	Equipment Repair / Maintenance	\$ 118
60180	Computer Hardware	\$ 3,527
60181	Computer Software	\$ 46,707
60182	Internet Services	\$ 1,975
60183	Computer Supplies	\$ 73
60184	Computer Repair/Maint	\$ -
60185	Cloud Storage	\$ 1,160
60190	Offsite Meeting/Travel Expense	\$ -
60191	In House Meetings	\$ -
60192	Conference Expense	\$ 1,696
60193	Car, Repair, Maintenance	\$ -
60200	Dues	\$ -
60202	Subscriptions	\$ 1,305
60203	Contributions	\$ 10,000
60210	Bank Charges	\$ -
60211	Shipping/Postage	\$ 22
60212	Office Supplies	\$ 291
48000	Commission Fees	\$ 2,880
60221	Commission Mileage Reimb.	\$ -
60222	Other Commission Expense	\$ -
60230	Other Expense	\$ 135
60240	Building Lease	\$ 999
81010	Retiree Medical Expense	\$ 10,983
80001	Insurance Expense	\$ 6,346
80000	Building Repair/Replacement Reserve	\$ 8,333
80000	Fixed Assets	\$ -

Total Costs \$ 367,571

Direct Costs Paid by Projects	\$ 226,446
Member Contribution Offset	\$ 39,583
	\$ 266,030

Over (Under) Allocation %	-24.9%
Over (Under) Allocation of General Fund Costs	\$ (101,541)



BENEFITS SUMMARY

(Distributed based on Actual Labor)

<u>G/L Acct</u>	<u>Description</u>		<u>Budget</u>		<u>Actual @ 7/31/22</u>		<u>Projected FYE 2023</u>
70101	FICA Expense	\$	201,621	\$	12,886	\$	201,621
70102	Medicare Expense	\$	59,017	\$	3,517	\$	59,017
70103	State Unemployment Insurance	\$	4,851	\$	-	\$	4,851
70104	Worker's Compensation Insurance	\$	49,120	\$	4,093	\$	49,120
70105	State Disability Insurance	\$	30,010	\$	743	\$	30,010
70106	PERS Pension Plan	\$	543,352	\$	32,950	\$	543,352
70111	Medical Expense	\$	677,598	\$	37,215	\$	677,598
70112	Dental Expense	\$	32,526	\$	2,216	\$	32,526
70113	Vision Insurance	\$	8,526	\$	615	\$	8,526
70114	Life Insurance Expense	\$	15,528	\$	1,171	\$	15,528
70115	Long Term Disability	\$	18,186	\$	1,320	\$	18,186
70116	Wellness Program Expense	\$	3,900	\$	-	\$	3,900
70120	Car Allowance	\$	45,000	\$	3,250	\$	39,000
	Total Benefits	\$	1,689,235	\$	99,978	\$	1,683,235
	Total Payroll	\$	4,025,165	\$	431,001	\$	4,025,165
	Benefits Rate		42.0%		23.2%		41.8%

Santa Ana Watershed Project Authority
Labor Hours Budget vs Actual
Month Ending July 31, 2022

	Fund	Budget	Actual	%
100	General Fund	27,580	1,960	7.11%
145	Prop 84 - 2015 Program Mgmt	815	132	16.17%
150	Prop1 - Program Management	1,240	86	6.92%
240	Brine Line Enterprise	20,920	1,340	6.40%
320	Brine Line Protection	704	1	0.14%
327	Reach IV-D Corrosion Repairs	290	-	0.00%
370-01	Basin Planning General	1,690	156	9.25%
370-02	USBR Partnership Studies	130	6	4.62%
373	Watershed Management (OWOW)	1,865	162	8.70%
374	Basin Monitoring Program TF	575	37	6.43%
377	PFAS Study	-	40	
378	Weather Modification	-	2	
381	SAR Fish Conservation	220	1	0.34%
384-01	MSAR TMDL TF	122	23	19.06%
386MONIT	RWQ Monitoring TF	124	4	3.43%
387	Arundo Removal & Habitat Restoration	215	3	1.51%
392	Emerging Constituents TF	235	22	9.47%
397ADMIN	WECAN Riverside	200	4	2.13%
477-02	LESJWA - Administration	355	27	7.46%
477TMDL	LESJWA - TMDL Task Force	445	43	9.55%
504-401IMPLE	Prop 84 - Final Round Implementation	110	2	1.82%
504-401PA23	Prop 84 - Final Round PA23 Admin	245	3	1.22%
504-402PA22	Prop84 - Final Round PA22 Admin	150	7	4.67%
504-402RATES	Prop 84 - Final Round Water Rates	105	-	0.00%
505-00	Prop1 - Capital Projects	545	23	4.22%
		58,880	4,083	6.93%


Note: Should be at 8.33% of budget for 1 month

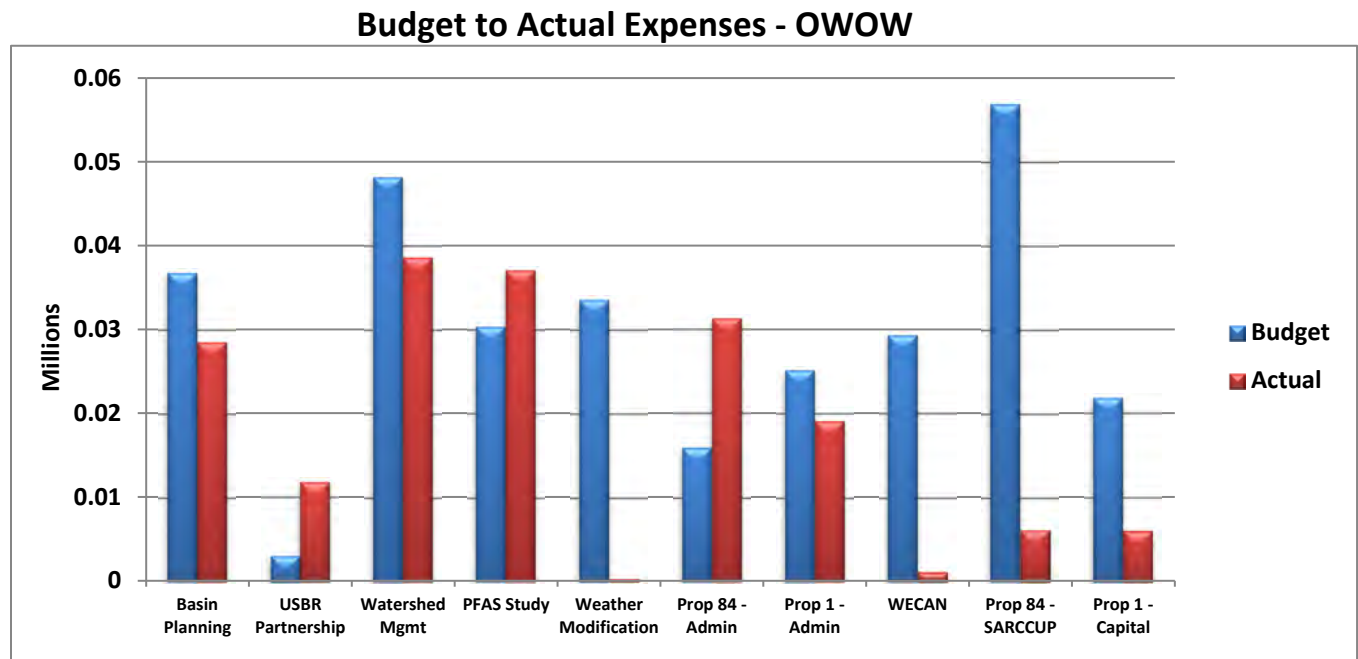
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**Santa Ana Watershed Project Authority
PA25 - OWOW Fund - Financial Report
July 2022**

Staff comments provided on the last page are an integral part of this report.

Overview	This report highlights the agency's key financial indicators for the Fiscal Year-to-Date (FYTD) through July 2022 unless otherwise noted.
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Budget to Actual Expenses - OWOW				 Favorable
	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
Basin Planning General	\$841,260	\$36,772	\$28,529	\$8,243
USBR Partnership Studies	87,663	3,139	11,796	(8,657)
Watershed Mgmt. (OWOW)	577,716	48,143	38,619	9,524
PFAS Study	363,825	30,319	37,062	(6,743)
Weather Modification	403,000	33,583	359	33,224
Prop 84 - Administration	190,677	15,890	31,259	(15,369)
Prop 1 – Administration	301,706	25,142	19,084	6,058
WECAN - Riverside	351,208	29,267	1,166	28,101
Prop 84 – SARCCUP & Other	683,247	56,937	6,194	50,743
Prop 1 – Capital Projects	261,706	21,809	6,055	15,754
Total	\$4,062,008	\$301,001	\$180,123	\$120,878

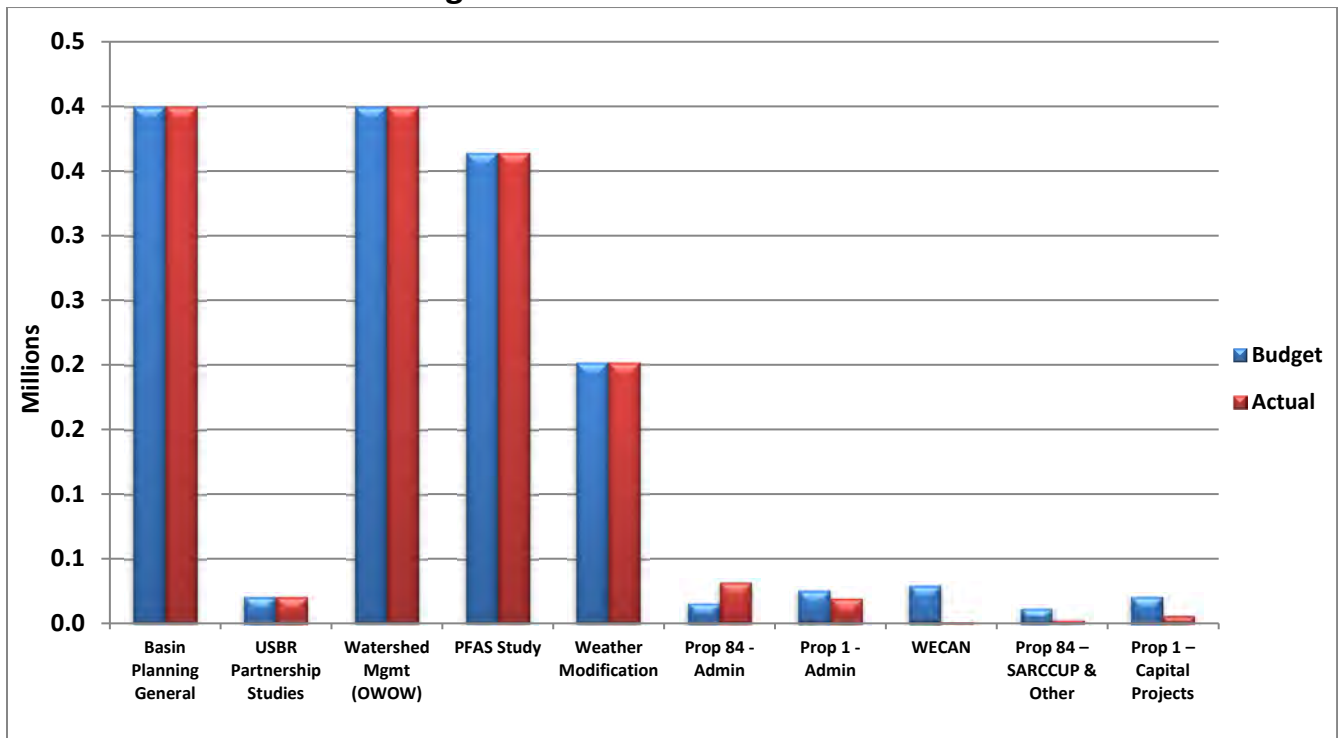


Budget to Actual Revenues - OWOW



	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
Basin Planning General	\$800,000	\$400,000	\$400,000	\$-
USBR Partnership Studies	70,000	20,000	20,000	-
Watershed Mgmt. (OWOW)	468,700	400,000	400,000	-
PFAS Study	363,825	363,825	363,825	-
Weather Modification	403,000	201,500	201,500	-
Prop 84 - Administration	190,677	15,890	31,259	15,369
Prop 1 – Administration	301,706	25,142	19,084	(6,058)
WECAN - Riverside	351,208	29,267	1,166	(28,101)
Prop 84 – SARCCUP & Other	296,370	11,364	2,944	(8,420)
Prop 1 – Capital Projects	261,709	20,063	6,055	(14,008)
Total	\$3,507,192	\$1,487,051	\$1,445,833	(\$41,218)





Budget to Actual Revenues - OWOW



Reserve Fund Balance	
	Amount
Basin Planning General	\$295,029
USBR Partnership Studies	45,579
Watershed Management (OWOW)	479,648
PFAS Study	68,597
Proposition 84 – SARCCUP & Other	1,363,031
Proposition 1 – Capital Projects	147,377
Total Reserves	\$2,399,261

Legend

Compared to Budget

-  Ahead or Favorable Above +5% Favorable Revenue or Expense Variance
-  On Track +5% to -2% Variance
-  Behind -3% to -5% Variance
-  Concern Below -5% Variance

Staff Comments

For this month’s report, the item(s) explained below are either “behind”, a “concern”, or have changed significantly from the prior month.


1) Total revenues are 2.8% below budget. Since this is the first month of the FYE 2023 Budget, projects tend to start out slowly. It is anticipated that all projects will be on track with the budget at the end of the fiscal year.

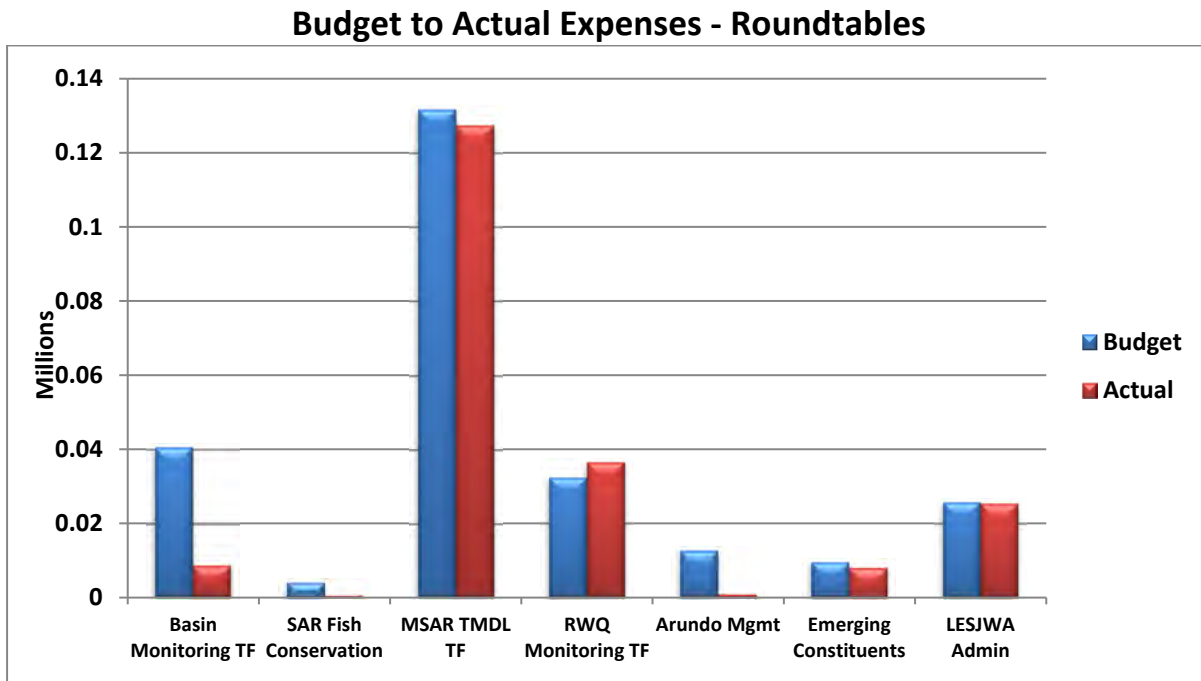
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**Santa Ana Watershed Project Authority
PA26 - Roundtable Fund - Financial Report
July 2022**

Staff comments provided on the last page are an integral part of this report.

Overview	This report highlights the agency's key financial indicators for the Fiscal Year-to-Date (FYTD) through July 2022 unless otherwise noted.
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Budget to Actual Expenses - Roundtables				 On Track
	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
Basin Monitoring TF	\$486,892	\$40,574	\$8,693	\$31,881
SAR Fish Conservation	296,983	3,915	510	3,405
MSAR TMDL TF	319,976	131,738	127,400	4,338
RWQ Monitoring TF	387,574	32,298	36,412	(4,114)
Arundo Mgmt.	150,292	12,524	856	11,668
Emerging Constituents	113,856	9,488	7,940	1,548
LESJWA Admin	198,307	25,692	25,337	355
Total	\$1,953,880	\$256,229	\$207,148	\$49,081



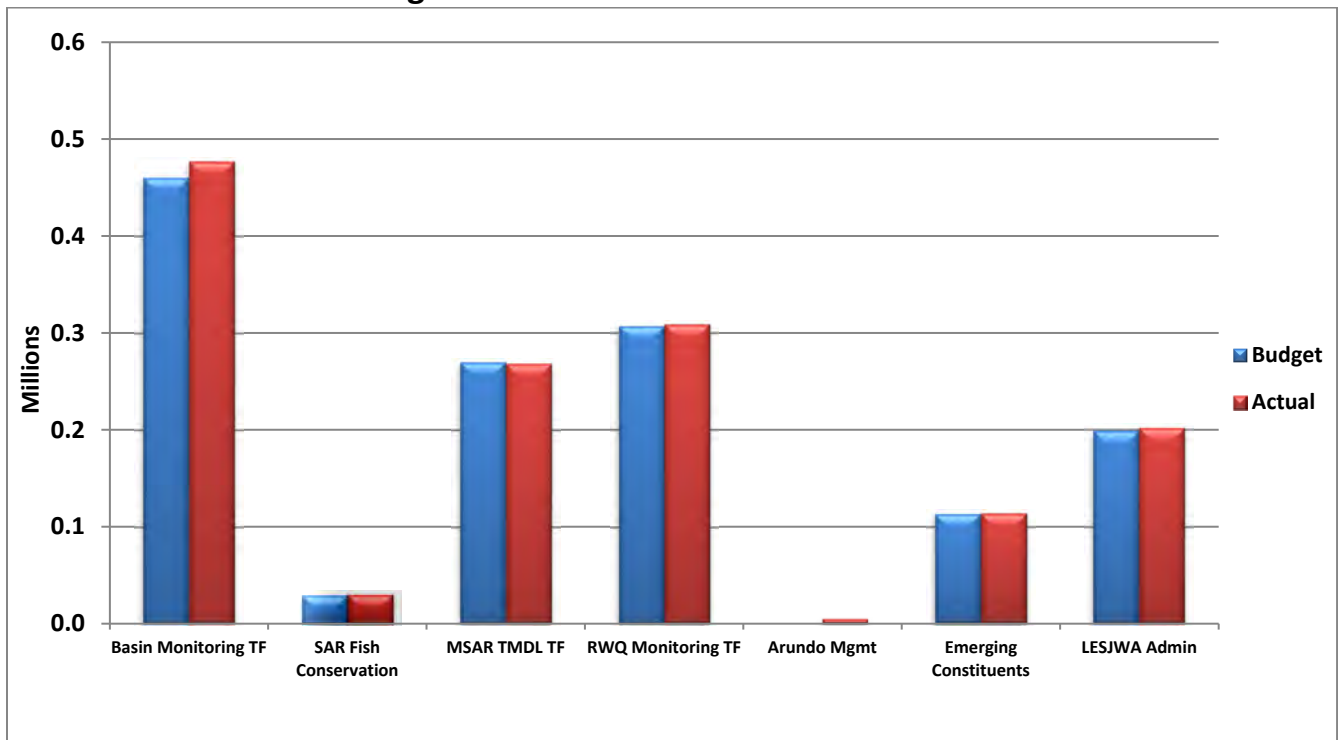
Budget to Actual Revenues - Roundtables



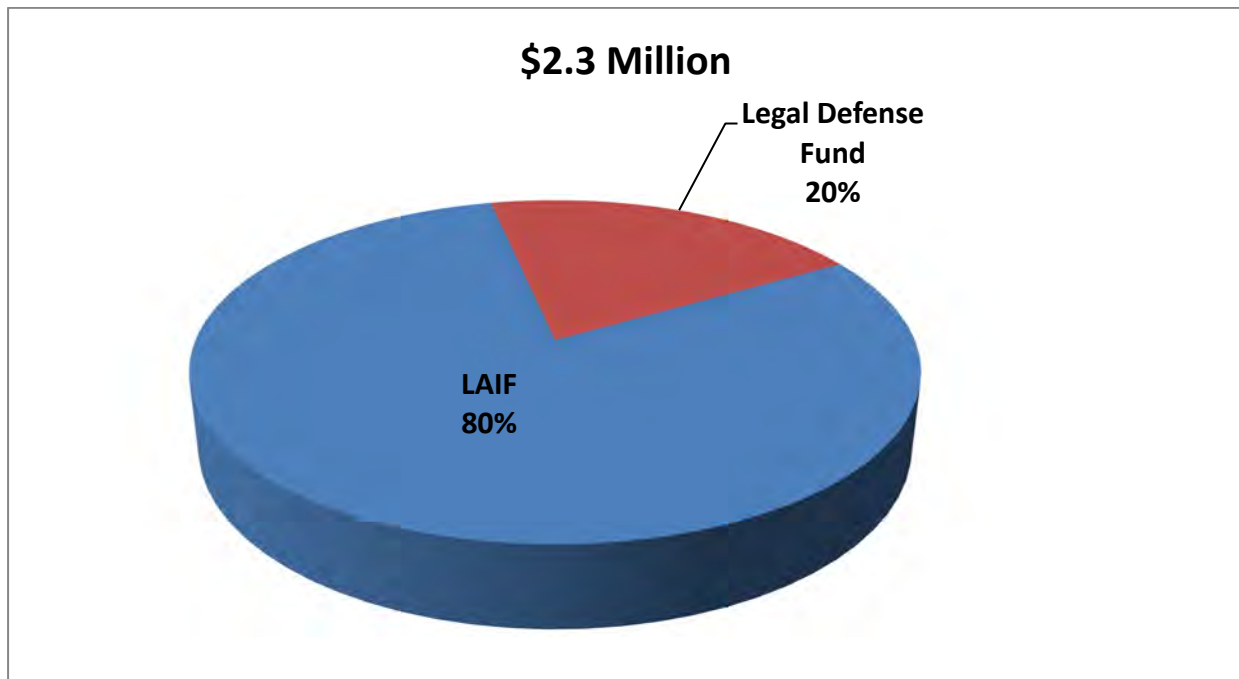
Concern

	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
Basin Monitoring TF	\$485,998	\$485,998	\$485,998	\$-
SAR Fish Conservation	279,000	10,000	10,000	-
MSAR TMDL TF	319,625	319,625	227,903	(91,722)
RWQ Monitoring TF	386,845	114,625	114,625	-
Arundo Mgmt.	889,900	-	-	-
Emerging Constituents	113,000	113,000	113,009	9
LESJWA Admin	198,307	25,692	25,291	(401)
Total	\$2,672,675	\$1,068,940	\$976,826	(\$92,114)

Budget to Actual Revenues - Roundtables



Total Cash & Investments







Reserve Fund Balance

	Amount
Basin Monitoring Task Force	\$368,335
SAR Fish Conservation	113,623
Middle SAR TMDL Task Force	268,254
Regional Water Quality Monitoring Task Force	166,621
Arundo Management & Habitat	857,124
Emerging Constituents Task Force	89,386
Legal Defense Fund	467,601
Total Reserves	\$2,330,944

Legend

Compared to Budget

	Ahead or Favorable	Above +5% Favorable Revenue or Expense Variance
	On Track	+5% to -2% Variance
	Behind	-3% to -5% Variance
	Concern	Below -5% Variance

Staff Comments

For this month's report, the item(s) explained below are either "behind", a "concern", or have changed significantly from the prior month.

- 1) Expenses are on track with the budget. Revenues are 9% below budget.



Santa Ana Watershed Project Authority
 General Manager - Expense Report
 4th Quarter FYE 2022

Staff	Mosher
-------	--------

Sum of Amount		Expn Type							
Posting Date	Activity	Airfare	Hotel	Meals	Misc.	Parking	Registration	Grand Total	
04/30/22	ACWA Conference WateReuse 20th Anniversary Celebration	232.97	556.18				775.00 22.85	1,564.15 22.85	
04/30/22 Total		232.97	556.18				797.85	1,587.00	
05/31/22	ACWA Conference Dinner with Marco Tule			43.05 132.05	59.84	60.00		162.89 132.05	
05/31/22 Total				175.10	59.84	60.00		294.94	
06/30/22	Lunch with Mike Gardner WateReuse Conference WEFTEC	207.95	1,188.56	20.88			575.00 975.00	782.95 2,163.56	
06/30/22 Total		207.95	1,188.56	20.88			1,550.00	2,967.39	
Grand Total		440.92	1,744.74	195.98	59.84	60.00	2,347.85	4,849.33	

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Santa Ana Watershed Project Authority
 Staff - Expense Report
 4th Quarter FYE 2022

Sum of Amount			Expn Type							
Staff	Posting Date	Activity	Airfare	Hotel	Meals	Mileage	Misc.	Parking	Registration	Grand Total
Achimore	04/30/22	AWWA Conference						25.00	244.00	269.00
Achimore Total								25.00	244.00	269.00
Goode	04/30/22	LESJWA Summit							50.00	50.00
	06/30/22	Lunch with Chino Basin Water Master			124.81					124.81
Goode Total					124.81			50.00	174.81	
McDermott	04/30/22	LESJWA Summit				37.56				37.56
	06/29/22	Splash Into Summer by EVMWD				28.67				28.67
	06/30/22	Administrative Leadership Forum				21.00				21.00
McDermott Total						87.23			87.23	
Mullay	05/31/22	Cancelled In Person CSMFO Meeting							(30.00)	(30.00)
Mullay Total									(30.00)	(30.00)
Villa	06/30/22	Administrative Leadership Forum				21.00				21.00
Villa Total						21.00			21.00	
Whetsel	04/30/22	LESJWA Summit				27.73			50.00	77.73
Whetsel Total						27.73			50.00	77.73
Williams	04/30/22	AGA (CGFM) Quarterly Meeting	127.96							127.96
	06/14/22	GFOA Conference			93.41					93.41
	06/30/22	GFOA Conference		1,046.31	19.26		299.97			1,365.54
Williams Total			127.96	1,046.31	112.67		299.97			1,586.91
Grand Total			127.96	1,046.31	237.48	135.96	299.97	25.00	314.00	2,186.68

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General Manager's Report

September 2022

Santa Ana Watershed Project Authority | 11615 Sterling Avenue, Riverside, CA 92503 | www.sawpa.org

INSIDE THE SEPTEMBER REPORT

- 1** SAWPA Awarded \$100,000 for Cloud Seeding Technology Validation Protocol Development
- 2** Pretreatment Program Annual Report
- 3** Reserve Policy Review
- 4** Agua Mansa Lateral

SAWPA Awarded \$100,000 for Cloud Seeding Technology Validation Protocol Development

On August 24, 2022 SAWPA was informed by the U.S. Bureau of Reclamation that SAWPA's application for Applied Science Grants Fiscal Year 2022 funding was among those receiving the highest scores and is being processed for a \$100,000 award of financial assistance. The Cloud Seeding Technology Validation Protocol will support the planned Santa Ana River Watershed Weather Modification Pilot project in developing a standard protocol for cloud seeding validation process. The Protocol can be utilized by water agencies and other project sponsors to document the additional water produced by seeding. It will also establish standard guidelines and procedures to be undertaken by water agencies to ensure that the benefits of increased precipitation from weather modification programs can be effectively quantified based on weight of evidence approach.



Pretreatment Program Annual Report

SAWPA submitted the Annual Report, for the July 1, 2021 through June 30, 2022 reporting period, to Orange County Sanitation District (OC San) for review and inclusion in the combined OC San Annual Report on August 24, 2022. This report included at a minimum, a list and description of all Brine Line dischargers, a description of all high level enforcement actions, all water quality data collected throughout the reporting period, a summary of inspection activities, and updates on upcoming permitting activities for new dischargers, special studies, and staffing updates or changes. To date, OC San has provided no comments and has accepted the report as submitted.

Reserve Policy Review

SAWPA's consultant, Raftelis is continuing work on the Reserve Policy review. SAWPA staff held the sixth workshop with Member Agency CFO's on September 1st to review the draft Reserve Policy report. Comments received from the CFO's will be incorporated into the next draft of the report. The draft report will be presented to the GM's at their October meeting and to the PA 24 Committee in December.

Agua Mansa Lateral

SAWPA's design engineering consultant, Dudek is continuing work on the 4,800 foot Agua Mansa Lateral. Currently, work includes review of survey and utility data, connection details, MAS structure locations and coordination with the Cities of Rialto and Colton. Once these activities are completed, work on drafting the pipeline alignment will commence.

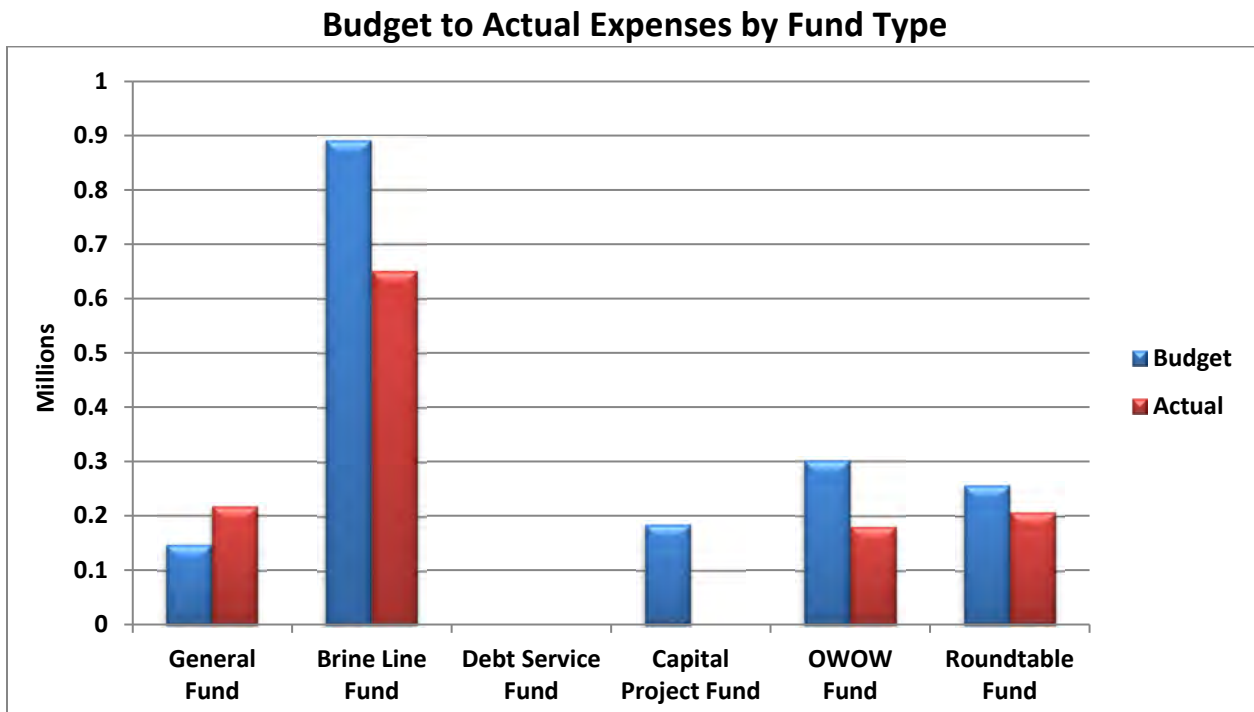
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Santa Ana Watershed Project Authority Executive Financial Information Report July 2022

Staff comments provided on the last page are an integral part of this report.

Overview	This report highlights the agency's key financial indicators for the Fiscal Year-to-Date (FYTD) July 2022 unless otherwise noted.
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Budget to Actual Expenses by Fund Type	Favorable			
	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
General Fund	\$725,437	\$146,703	\$216,369	(\$69,666)
Brine Line Enterprise	10,687,396	890,614	650,878	239,736
Debt Service Fund	1,709,476	-	-	-
Capital Project Fund	2,207,931	183,994	337	183,657
OWOW Fund	4,062,008	301,001	180,123	120,878
Roundtable Fund	1,953,880	256,229	207,148	49,081
Total	\$21,346,128	\$1,778,541	\$1,254,855	\$523,686



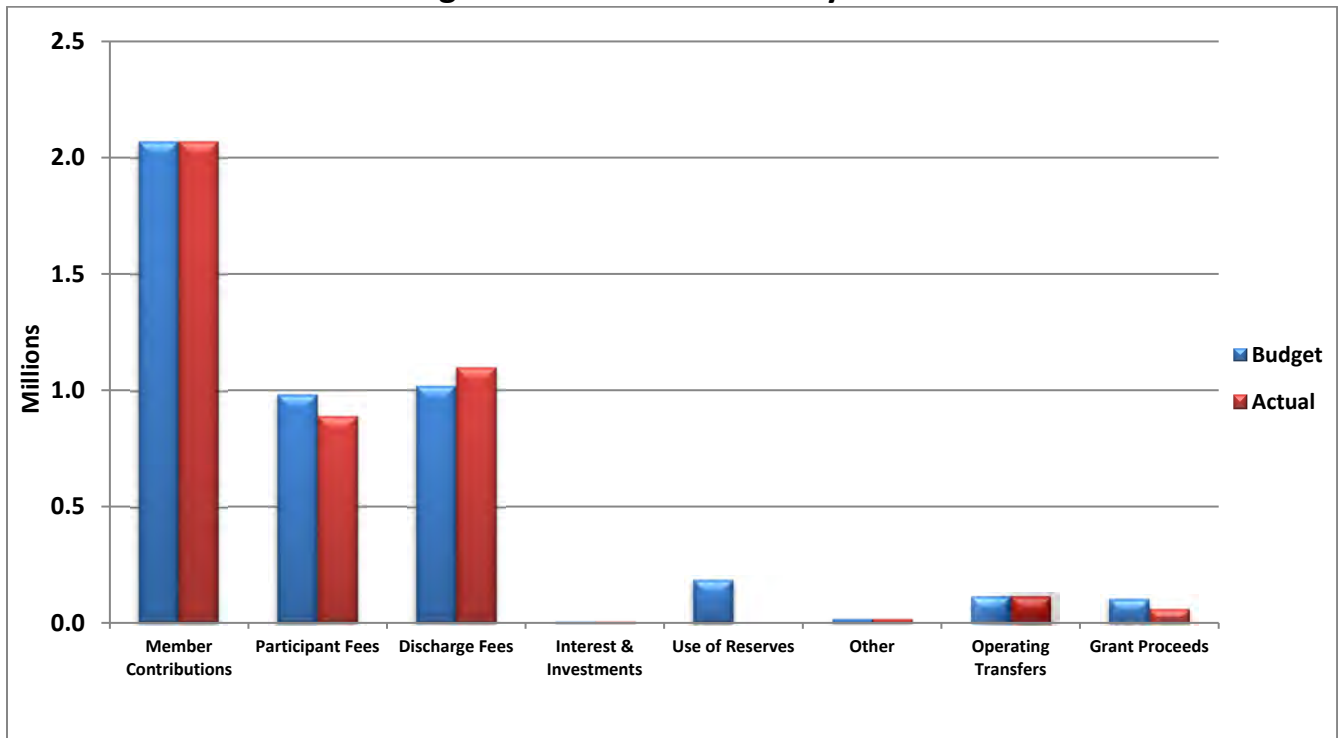
Budget to Actual Revenues by Source



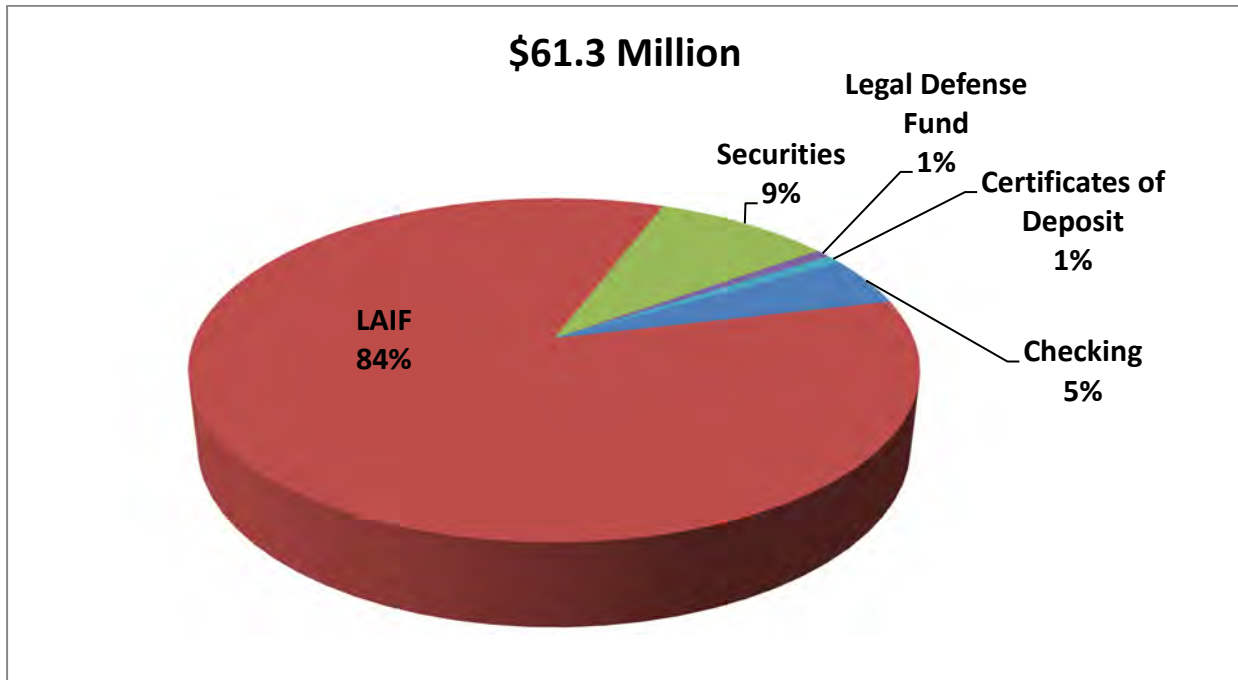
Behind

	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
Member Contributions	\$2,069,762	\$2,069,762	\$2,069,760	(\$2)
Participant Fees	1,770,498	979,623	887,910	(91,713)
Discharge Fees	12,071,872	1,017,336	1,096,725	79,389
Interest & Investments	325,000	6,250	6,757	507
Use of Reserves	2,207,931	183,994	337	(183,657)
Mitigation Credit Sales	889,900	-	-	-
Other	188,307	15,692	15,291	(401)
Operating Transfers	114,625	114,625	114,625	-
Grant Proceeds	1,872,212	101,726	60,508	(41,218)
Total	\$21,510,107	\$4,489,008	\$4,251,913	(\$237,095)

Budget to Actual Revenues by Source



Total Cash & Investments







Reserve Fund Balance

	Amount
General Fund	\$1,444,804
Building Fund	848,359
OWOW Fund	2,399,261
Roundtable Fund	2,330,944
Self Insurance	4,361,363
Debt Retirement	2,835,501
Pipeline Replacement	22,897,460
OC San Rehabilitation	2,394,650
Capacity Management	12,058,828
Future Capacity	1,846,246
Rate Stabilization	1,034,585
Flow Imbalance	84,749
Brine Line Operating	6,811,329
Total Reserves	\$61,348,080

Legend

Compared to Budget

	Ahead or Favorable	Above +5% Favorable Revenue or Expense Variance
	On Track	+5% to -2% Variance
	Behind	-3% to -5% Variance
	Concern	Below -5% Variance

Staff Comments

For this month's report, the item(s) explained below are either "behind", a "concern", or have changed significantly from the prior month.

Revenues are 5.2% and expenses are 29.4% below budget. It is expected that both will be on track with the budget by the end of the year



September 9, 2022

To: Santa Ana Watershed Project Authority

From: Michael Boccadoro
Beth Olhasso
Maddie Munson

RE: August Report

Overview:

Even though Fall is just around the corner, the extreme and prolonged summer heat natural disaster that gripped California with record high temperatures starting over the Labor Day weekend did nothing to help drought conditions. Lake Oroville is sitting at 64 percent of historical average and 36 percent capacity. San Luis Reservoir, the main south-of-Delta storage facility for the State Water Project, is at just 68 percent of average for this time of the year and 27 percent capacity. Folsom Lake is also declining rapidly.

In early August, the Governor released a “California Water Supply Strategy- adapting to a hotter, drier future.” The new “strategy” highlights some specific items that were previously identified in the 2020 Water Resilience Portfolio for “expedited urgency.” Expedited permitting and increased investment in recycled water would be very helpful to Southern California water agencies if regulators and legislators are able to execute on those ideas.

In the final weeks of the Legislative Session, significant legislation was introduced to increase the state’s renewable energy goals, accelerate greenhouse gas reduction goals and ensure energy reliability by extending the life of Diablo Canyon Nuclear Generation Station. This package of legislation, while having some good measures to keep the lights on in CA, could be very expensive for California ratepayers.

The California Fish and Game Commission (CFGF) is considering a proposal to change the size of striped bass that anglers can take and it’s causing significant concern in the water community. The proposed change would implement what is known as a slot limit, restricting angler harvest to only fish between 20 and 30 inches. Water users take issue with increasing striped bass populations because striped bass are known to be among the most aggressive predators in the Sacramento-San Joaquin Delta and related tributaries.

CalEPA Secretary Jared Blumenfeld’s last day at his post was August 31. Governor Newsom has appointed former CalEPA environmental justice executive Amelia Yana Garcia Gonzalez as the agency’s next secretary.

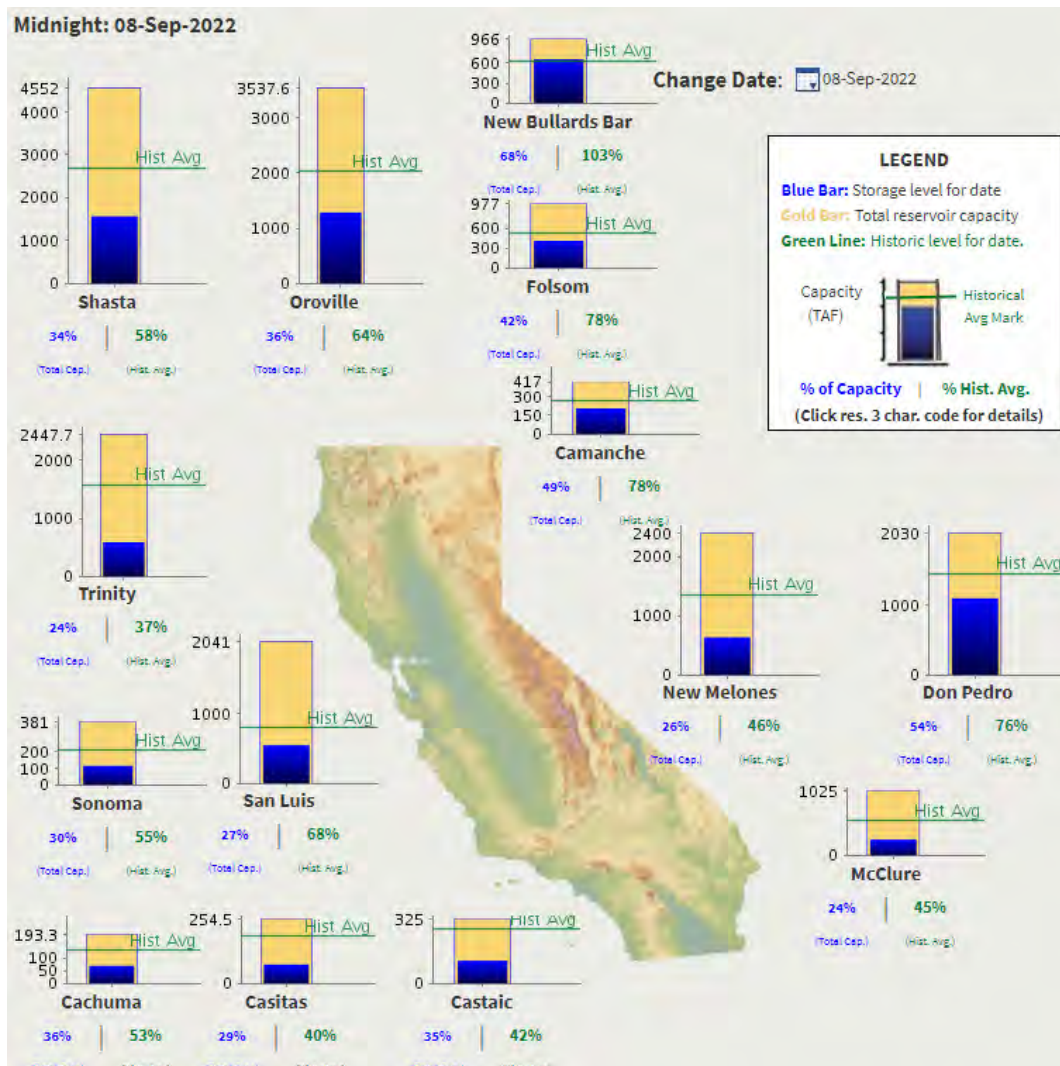
The Legislature has officially adjourned the 2021-22 legislative session. It was a fairly busy year with more water-related bills this year. ACWA’s legislation to provide for a tax exemption for turf removal rebates is on the Governor’s desk. CASA’s bill to require products containing PFAS to register their products on a publicly accessible database struggled with significant opposition from manufacturers but was able to pass onto the Governor with just enough votes. MWD’s legislation to allow for alternative project delivery methods for several of their projects easily passed out of both houses of the Legislature, while similar legislation (SB 991, Newman) to allow for progressive design build

processes for projects over \$5 million also awaits consideration by the Governor. The Governor has until September 30 to act on all legislation.

Santa Ana Watershed Project Authority Status Report – August 2022

Water Supply Conditions

Lake Oroville is sitting at 64 percent of historical average and 36 percent capacity. San Luis Reservoir, the main south-of-Delta storage facility for the State Water Project, is at just 68 percent of average for this time of the year and 27 percent capacity. Drought conditions continue to worsen with over 13 percent of the state in exceptional drought and 43 percent in extreme drought.



Governor Releases “California Water Supply Strategy”

In early August, the Governor released a “California Water Supply Strategy- adapting to a hotter, drier future.” The strategy prioritizes the following actions: creating storage space for up to four million acre-feet of water; recycling at least 800,000 acre-feet per year by 2030; freeing up 500,000 acre-feet of water through “more efficient water use and conservation”; and making new water available by capturing stormwater and desalinating ocean water and brackish groundwater.

Most of these actions are *very* similar to the 2020 Water Resilience Portfolio, but will now be “expedited given the urgency of climate-driven changes.”

Additionally, to advance the infrastructure and policies needed to adapt, the strategy “enlists the help of the Legislature to streamline processes so projects can be planned, permitted and built more quickly while protecting the environment.”

This document shows the administration’s blueprint for the next few years at the SWRCB and in the Legislature and offers opportunities to work with the state to support local and regional projects.

Energy Legislation

Significant renewable energy and greenhouse gas (GHG) reduction legislation was introduced at the end of the legislative session that will have an impact on energy rates for California energy ratepayers.

AB 2133 (Quirk): increases the state’s GHG emission reduction target from 40 percent below 1990 levels by 2030 to 55 percent. The California Air Resources Board (CARB) assessed an “accelerated” 2030 target and economy wide carbon neutrality and found that accelerated targets would “require a pace and magnitude of investments and actions that is likely unachievable.” Additionally, the CA Energy Commission (CEC) has estimated that 20-40 percent of clean energy projects are experiencing some sort of delay. The bill failed ultimate passage

AB 1279 (Muratsuchi): declares it a policy of the state that as soon as possible, but no later than 2045, the state shall achieve net zero emissions and maintain negative GHG emissions thereafter. The bill also requires an 85 percent reduction in anthropogenic GHG emissions below 1990 levels by 2045. The bill passed and is awaiting action by the Governor.

SB 1020 (Laird): established the policy that eligible renewable energy resources and zero-carbon resources supply 90 percent of all retail sales of electricity to CA end-use customers by then end of 2035 and 95 percent by the end of 2040. The bill also requires that eligible renewable energy resources and zero-carbon resources supply 100 percent of electricity procured to serve all state agencies by the end of 2035, ten years sooner than required by existing law.

These provisions also apply to the State Water Project (SWP). While the bill was recently amended to ease the burden on the SWP, this bill is estimated to cost the State Water Contractors \$1.2 billion, with MWD likely seeing about a \$720 million bill. The bill passed and is awaiting action by the Governor.

SB 846 (Dodd): extends the operation of the Diablo Canyon Nuclear Generation Station (San Luis Obispo). Diablo Canyon was planned to shut down at the end of 2025, but with supply chain disruptions and other factors, there is significant risk that there will be insufficient new clean energy supplies online before the scheduled retirement. The bill declared that Diablo is crucial to energy reliability throughout the state, therefore all IOU ratepayers will be on the hook for 50 percent of the cost while PG&E ratepayers will be responsible for the remaining half. Because the fee collection is volumetric, high-energy users will be responsible for a majority of the reliability costs. The bill was the

last bill of the session voted on by both houses and passed overwhelmingly. The Governor is expected to sign the bill in the next few days.

Striped Bass

The California Fish and Game Commission (CFGF) is considering a proposal to change the size of striped bass that anglers can take and it's causing significant concern in the water community. The proposed change would implement what is known as a slot limit, restricting angler harvest to only fish between 20 and 30 inches. The authors of the petition, the Nor-Cal Guides and Sportsmen's Association, argue that this will result in increased populations of striped bass, as protecting larger fish will allow them to procreate more effectively.

Water users take issue with increasing striped bass populations because striped bass are known to be among the most aggressive predators in the Sacramento-San Joaquin Delta and related tributaries. Numerous studies have shown that striped bass eat high numbers of threatened and listed salmon populations protected under the Endangered Species Act. So while water users are going to great lengths to protect and increase populations of these threatened and listed species, including through the Voluntary Agreements, the CFGF is contemplating protecting their non-native predators.

The CFGF's Wildlife Resources Committee will discuss the proposal at their September 15 meeting. They may then recommend that the full Commission consider the petition. If the proposal continues to move forward, it could be several months before the CFGF would vote on the petition.

CalEPA Secretary Blumenfeld Steps Down

CalEPA Secretary Jared Blumenfeld's last day at his post was August 31. He plans to serve as the inaugural president of the Waverley Street Foundation, a new global climate change nonprofit focused on "community-driven climate solutions."

Governor Newsom has appointed former CalEPA environmental justice executive Amelia Yana Garcia Gonzalez as the agency's next secretary. She has served as a special assistant attorney general, and as an attorney for Earthjustice and Communities for a Better Environment. As her bio suggests, it is widely believed that Ms. Gonzalez is a strong friend to the environmental justice community and will lead the agency with that perspective in mind.

Legislative Update

The Legislature adjourned the 2021-22 Legislative Session in the early morning hours of September 1. The Governor has until September 30 to take action on the hundreds of bills that have been sent to his desk for consideration.

Bill updates:

AB 2142 (Gabriel): This bill would provide an income tax exemption for rebates from a turf removal program. Sponsored by ACWA, the bill didn't receive a single no vote throughout the entire process and is awaiting action by the Governor.

AB 1845 (Calderon): MWD sponsored bill to allow for alternative project delivery methods for specific MWD projects. The bill only received one no vote through the process and is awaiting action by the Governor.

SB 991 (Newman): This bill is similar to MWD’s AB 1845 but it would allow for progressive design build to be used on any project over \$5 million. The bill didn’t receive a single no vote throughout the entire process was signed by the Governor.

AB 2247 (Bloom): CASA sponsored bill would require products sold in CA that contain PFAS to register the product on a publicly accessible reporting platform. The bill was recently amended to remove some of the enforcement language, which should remove some of the opposition. The bill has had a rough road, garnering significant opposition from the manufacturing community. It has received *just* enough votes to move through the process and is now awaiting action by the Governor.

AB 2449 (Rubio): Sponsored by Three Valleys Municipal Water District’s, this legislation addresses remote participation for elected officials. The author took amendments in the Senate to address concerns from the Senate Governance and Finance and Judiciary Committee concerns surrounding misuse of these allowances to not have to face the public during challenging proceedings. The bill now limits the number of times an official can use remote testimony and requires that “cameras must be on” at all times. The bill has received broad support throughout the process and is awaiting action by the Governor.

SB 1157 (Hertzberg): The bill would implement the indoor GPCD targets outlined in the DWR/SWRCB draft report to the Legislature for 47 GPCD by 2025 and 42 GPCD by 2030. The bill passed out of Natural Resources and Water Committee despite significant concerns from Senator Ben Hueso (D-San Diego). The bill was quickly moved to the floor of the Senate where it passed 28-9. The author took amendments in the Assembly Water, Parks and Wildlife Committee that would require studying the impacts to wastewater and recycled water systems. The bill was further amended to require consideration of variances for recycled water. The bill was seven votes short of passage on the Assembly floor on its first vote, but was brought up under “reconsideration” in the final minutes of the session and secured the necessary votes for passage. It is now awaiting action by the Governor.