



S A W P A

SANTA ANA WATERSHED PROJECT AUTHORITY
11615 Sterling Avenue, Riverside, California 92503 • (951) 354-4220

PURSUANT TO THE PROVISIONS OF AB 361, THIS MEETING WILL BE CONDUCTED VIRTUALLY WITH THE OPPORTUNITY FOR PUBLIC COMMENT. ALL VOTES TAKEN WILL BE CONDUCTED BY ORAL ROLL CALL.

This meeting will be accessible as follows:

Meeting Access Via Computer (Zoom)*:	Meeting Access Via Telephone*:
<ul style="list-style-type: none"> https://sawpa.zoom.us/j/81046253129 Meeting ID: 810 4625 3129 	<ul style="list-style-type: none"> 1 (669) 900-6833 Meeting ID: 810 4625 3129
* Participation in the meeting via the Zoom app (a free download) is strongly encouraged	

REGULAR COMMISSION MEETING TUESDAY, MARCH 15, 2022 – 9:30 A.M.

AGENDA

1. CALL TO ORDER/PLEDGE OF ALLEGIANCE (Marco Tule, Chair)

2. ROLL CALL

3. PUBLIC COMMENTS

Members of the public may address the Commission on items within the jurisdiction of the Commission; however, no action may be taken on an item not appearing on the agenda unless the action is otherwise authorized by Government Code §54954.2(b).

4. ITEMS TO BE ADDED OR DELETED

5. CONSENT CALENDAR

All matters listed on the Consent Calendar are considered routine and non-controversial and will be acted upon by the Commission by one motion as listed below.

A. APPROVAL OF MEETING MINUTES: MARCH 1, 2022 7
Recommendation: Approve as posted.

B. RESOLUTION ON CONTINUATION OF REMOTE COMMISSION AND COMMITTEE MEETINGS (CM#2022.14) 9
Recommendation: Adopt Resolution No. 2022-4 Proclaiming A State of Emergency Persists, Re-Ratifying the Proclamation of a State of Emergency by Governor Gavin Newsom, and Re-Authorizing Remote Teleconference Meetings of all Commission and Committee meetings of the Santa Ana Watershed Project Authority for the period of March 19, 2022 to April 17, 2022 pursuant to Brown Act Provisions.

6. NEW BUSINESS

A. RECOMMENDATION OF PROJECT FOR GRANT APPLICATION ASSISTANCE FOR SMALL COMMUNITY WATER/WASTEWATER SYSTEMS SERVING DISADVANTAGED COMMUNITIES IN THE SANTA ANA RIVER WATERSHED (CM#2022.15) 17

Presenter: Rick Whetsel

Recommendation:

(1) Approve the recommendation of the Small Community Grant Assistance Program Review Committee to prepare a grant application for the Box Springs Mutual Water Company Well Improvements project for DAC funding available through the OWOW Proposition 1 Round 2 Integrated Regional Water Management Grant Program; and (2) Direct the General Manager to authorize a Work Order to execute Phase II of the Agreement between the California Rural Water Association and SAWPA, for an amount not-to-exceed \$15,000.

- B. [GENERAL MANAGER’S REVIEW \(CM#2022.16\)](#)..... 51**
Presenter: Jeff Mosher
Recommendation: To provide direction on the proposed annual performance review process for the General Manager.

7. INFORMATIONAL REPORTS

Recommendation: Receive for information.

- A. [CASH TRANSACTIONS REPORT – JANUARY 2022](#) 61**
Presenter: Karen Williams
- B. [INTER-FUND BORROWING – JANUARY 2022 \(CM#2022.17\)](#) 67**
Presenter: Karen Williams
- C. [PERFORMANCE INDICATORS/FINANCIAL REPORTING – JANUARY 2022 \(CM#2022.18\)](#) 73**
Presenter: Karen Williams
- D. [PROJECT AGREEMENT 25 – OWOW FUND – FINANCIAL REPORT, DECEMBER 2021](#) 95**
Presenter: Karen Williams
- E. [PROJECT AGREEMENT 26 – ROUNDTABLE FUND – FINANCIAL REPORT – DECEMBER 2021](#) 99**
Presenter: Karen Williams
- F. [BUDGET VS ACTUAL VARIANCE REPORT – FYE 2022 SECOND QUARTER – DECEMBER 31, 2021 \(CM#2022.19\)](#) 103**
Presenter: Karen Williams
- G. [FINANCIAL REPORT FOR THE SECOND QUARTER ENDING DECEMBER 31, 2021](#) 111**
Presenter: Karen Williams
- H. [SECOND QUARTER FYE 2022 EXPENSE REPORT](#) 121**
 - General Manager
 - Staff**Presenter:** Karen Williams
- I. [GENERAL MANAGER REPORT](#) 125**
Presenter: Jeff Mosher
- J. [STATE LEGISLATIVE REPORT](#) 131**
Presenter: Jeff Mosher

K. CHAIR’S COMMENTS/REPORT

L. COMMISSIONERS’ COMMENTS

M. COMMISSIONERS’ REQUEST FOR FUTURE AGENDA ITEMS

8. CLOSED SESSION

There were no Closed Session items anticipated at the time of the posting of this agenda.

9. ADJOURNMENT

PLEASE NOTE:

Americans with Disabilities Act: If you require any special disability related accommodations to participate in this meeting, call (951) 354-4220 or email svilla@sawpa.org. 48-hour notification prior to the meeting will enable staff to make reasonable arrangements to ensure accessibility for this meeting. Requests should specify the nature of the disability and the type of accommodation requested.

Materials related to an item on this agenda submitted to the Commission after distribution of the agenda packet are available for public inspection during normal business hours at the SAWPA office, 11615 Sterling Avenue, Riverside, and available at www.sawpa.org, subject to staff’s ability to post documents prior to the meeting.

Declaration of Posting

I, Sara Villa, Clerk of the Board of the Santa Ana Watershed Project Authority declare that on March 10, 2022, a copy of this agenda has been uploaded to the SAWPA website at www.sawpa.org and posted at SAWPA’s office at 11615 Sterling Avenue, Riverside, California.

2022 SAWPA Commission Meetings/Events

First and Third Tuesday of the Month

(NOTE: All meetings begin at 9:30 a.m., unless otherwise noticed, and are held at SAWPA.)

January	February
1/4/22 Commission Workshop [cancelled] 1/18/22 Regular Commission Meeting	2/1/22 Commission Workshop 2/15/22 Regular Commission Meeting
March	April
3/1/22 Commission Workshop 3/15/22 Regular Commission Meeting	4/5/22 Commission Workshop 4/19/22 Regular Commission Meeting
May	June
5/3/22 Commission Workshop 5/17/22 Regular Commission Meeting 5/3 – 5/6/22 ACWA Spring Conference, Sacramento, CA	6/7/22 Commission Workshop 6/21/22 Regular Commission Meeting
July	August
7/5/22 Commission Workshop 7/19/22 Regular Commission Meeting	8/2/22 Commission Workshop 8/16/22 Regular Commission Meeting
September	October
9/6/22 Commission Workshop 9/20/22 Regular Commission Meeting	10/4/22 Commission Workshop 10/18/22 Regular Commission Meeting
November	December
11/1/22 Commission Workshop 11/15/22 Regular Commission Meeting	12/6/22 Commission Workshop 12/20/22 Regular Commission Meeting 11/29 – 12/2/22 ACWA Fall Conference, Indian Wells, CA

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SAWPA COMPENSABLE MEETINGS

IMPORTANT NOTE: Due to the spread of COVID-19, and until further notice, the Santa Ana Watershed Project Authority will be holding all upcoming meetings by teleconferencing. Participation information will be included on each posted agenda or meeting notice.

In addition to Commission meetings, Commissioners and Alternate Commissioners will receive compensation for attending the meetings listed below, pursuant to the Commission Compensation, Expense Reimbursement, and Ethics Training Policy.

IMPORTANT NOTE: These meetings are subject to change. Prior to attending any meetings listed below, please confirm meeting details by viewing the website calendar using the following link:

<https://sawpa.org/sawpa-calendar/>

MONTH OF: March 2022

DATE	TIME	MEETING DESCRIPTION	LOCATION
3/1/22	10:00 A.M.	PA 24 Committee Mtg	CANCELLED
3/2/22	1:00 P.M.	Lake Elsinore/Canyon Lake TMDL Task Force Mtg	VIRTUAL/TELECONFERENCE
3/8/22	8:30 A.M.	PA 22 Committee Mtg	CANCELLED
3/9/22	9:00 A.M.	Prop 1 OWOW Round 2 Workshop - Call for Projects	RESCHEDULED
3/14/22	12:30 P.M.	MSAR TMDL Task Force Mtg	VIRTUAL/TELECONFERENCE
3/24/22	11:00 A.M.	OWOW Steering Committee Mtg	CANCELLED

MONTH OF: April 2022

DATE	TIME	MEETING DESCRIPTION	LOCATION
4/4/22	2:30 P.M.	Emerging Constituents Program Task Force Mtg	VIRTUAL/TELECONFERENCE
4/5/22	8:30 A.M.	PA 23 Committee Mtg	VIRTUAL/TELECONFERENCE
4/5/22	10:00 A.M.	PA 24 Committee Mtg	VIRTUAL/TELECONFERENCE
4/6/22	9:00 A.M.	Prop 1 OWOW Round 2 Workshop - Call for Projects	VIRTUAL/TELECONFERENCE
4/6/22	1:00 P.M.	Lake Elsinore/Canyon Lake TMDL Task Force Mtg	VIRTUAL/TELECONFERENCE
4/11/22	10:00 A.M.	Basin Monitoring Program Task Force Mtg	VIRTUAL/TELECONFERENCE
4/21/22	4:00 P.M.	LESJWA Board of Directors Mtg	VIRTUAL/TELECONFERENCE

Please Note: We strive to ensure the list of Compensable Meetings set forth above is accurate and up-to-date; the list is compiled based on input from SAWPA staff and Department Managers regarding meeting purpose and content.

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**SAWPA COMMISSION
REGULAR MEETING MINUTES
March 1, 2022**

COMMISSIONERS PRESENT

Marco Tule, Chair, Inland Empire Utilities Agency
Bruce Whitaker, Vice Chair, Orange County Water District
Mike Gardner, Secretary-Treasurer, Western Municipal Water District
David J. Slawson, Eastern Municipal Water District
June D. Hayes, San Bernardino Valley Municipal Water District

COMMISSIONERS ABSENT

None

ALTERNATE COMMISSIONERS PRESENT; NON-VOTING

Kelly Rowe, Orange County Water District
T. Milford Harrison, San Bernardino Valley Municipal Water District
Brenda Dennstedt, Western Municipal Water District

STAFF PRESENT

Jeff Mosher, Karen Williams, Mark Norton, David Ruhl, Edina Goode, Rick Whetsel, Dean Unger, Sara Villa, John Leete, Zyanya Ramirez, Haley Mullay, Alison Lewis

OTHERS PRESENT

Andrew D. Turner, Lagerlof, LLP; Nick Kanetis, Eastern Municipal Water District; Joe Mouawad, Eastern Municipal Water District; Shivaji Deshmukh, Inland Empire Utilities Agency; Ken Tam, Inland Empire Utilities Agency; Greg Woodside, Orange County Water District; Michael Markus, Orange County Water District; Ryan Shaw, Western Municipal Water District; Craig Miller, Western Municipal Water District; Mallory Gandara, Western Municipal Water District; Brooke Jones, Yorba Linda Water District; Brian Dickinson; Kevin O'Toole; Nelida Mendoza; Wayne Miller

The Regular Meeting of the Santa Ana Watershed Project Authority Commission was called to order at 9:30 a.m. by Chair Marco Tule on behalf of the Santa Ana Watershed Project Authority, 11615 Sterling Avenue, Riverside, California.

1. CALL TO ORDER

Pursuant to the provisions of AB 361, this meeting was conducted virtually, and all votes were taken by oral roll call.

2. ROLL CALL

An oral roll call was duly noted and recorded by the Clerk of the Board.

3. PUBLIC COMMENTS

There were no public comments; there were no public comments received via email.

4. ITEMS TO BE ADDED OR DELETED

Due to Agenda Item No. 6.A. not being ready for consideration, SAWPA staff recommended that the March 1, 2022 Agenda be Amended to remove Agenda Item No. 6.A.

MOVED, to Amend the March 1, 2022 Agenda and remove Agenda Item No. 6.A.

Result:	Adopted by Roll Call Vote
Motion/Second:	Gardner/Hayes
Ayes:	Gardner, Hayes, Slawson, Tule, Whitaker
Nays:	None
Abstentions:	None
Absent:	None

5. CONSENT CALENDAR

A. APPROVAL OF MEETING MINUTES: FEBRUARY 15, 2022

Recommendation: Approve as posted.

B. TREASURER'S REPORT: JANUARY 2022

Recommendation: Approve as posted.

MOVED, to approve the Consent Calendar as posted.

Result:	Adopted by Roll Call Vote
Motion/Second:	Slawson/Gardner
Ayes:	Gardner, Hayes, Slawson, Tule, Whitaker
Nays:	None
Abstentions:	None
Absent:	None

6. NEW BUSINESS

A. RFP AND CONFIDENTIALITY AND COMMON INTEREST AGREEMENT FOR PFAS REGIONAL ANALYSIS (CM#2022.13)

Agenda Item No. 6.A. was removed by staff from the Agenda.

7. INFORMATIONAL REPORTS

Recommendation: Receive for Information.

A. CHAIR'S COMMENTS/REPORT

There were no Chair comments.

B. COMMISSIONERS' COMMENTS

There were no Commissioners' comments.

C. COMMISSIONERS' REQUEST FOR FUTURE AGENDA ITEMS

There were no Commissioners' request for future Agenda items.

8. CLOSED SESSION

There was no closed session.

9. ADJOURNMENT

There being no further business for review, Chair Marco Tule adjourned the meeting at 9:35 a.m.

Approved at a Regular Meeting of the Santa Ana Watershed Project Authority Commission on Tuesday, March 15, 2022.

Marco Tule, Chair

Attest:

Sara Villa, Clerk of the Board

COMMISSION MEMORANDUM NO. 2022.14

DATE: March 15, 2022

TO: SAWPA Commission

SUBJECT: Resolution on Continuation of Remote Commission and Committee Meetings

PREPARED BY: Jeff Mosher, General Manager

RECOMMENDATION

That the Commission consider adopting Resolution No. 2022-4 Proclaiming A State of Emergency Persists, Re-Ratifying the Proclamation of a State of Emergency by Governor Gavin Newsom, and Re-Authorizing Remote Teleconference Meetings of all Commission and Committee meetings of the Santa Ana Watershed Project Authority for the period of March 19, 2022 to April 17, 2022 pursuant to Brown Act Provisions.

DISCUSSION

On September 16, Governor Newsom signed into law AB 361, which suspended the Brown Act's existing teleconferencing requirements so long as the state-declared state of emergency in California. A Resolution must be executed every 30 days under AB 361 for the initial and subsequent findings under AB 361 in order to continue to utilize the relaxed teleconferencing requirements for board meetings (including committee meetings) subject to the Brown Act. AB 361 applies only to a state-declared state of emergency and not to a locally-declared emergency; and AB 361 will only remain in effect until January 1, 2024, unless the State Legislature takes action to extend it or make it permanent. The following is a brief summary of AB 361's pertinent provisions.

- 1. Posting of Agendas.** The Brown Act currently requires that a local agency post agendas at all teleconference locations. Thus, if a director is calling in from a hotel room in Las Vegas, the director would need to post the agenda on his or her hotel room door. AB 361 removes the requirement that agendas must be posted at all teleconference locations. Therefore, under AB 361, the director can call from his or her Las Vegas hotel room without having to post the agenda on the hotel room door.

AB 361 does not change the general agenda posting requirements under the Brown Act. Thus, agencies should continue to post their agendas at least 72 hours before a regular board meeting and 24 hours before a special board meeting, and those postings should occur in the usual locations, including on the agency's website.

- 2. Location of Teleconferencing Participants.** The Brown Act currently requires a local agency that uses teleconferencing, to identify each teleconference location in the notice and agenda of the meeting or proceeding, and each teleconference location must be accessible to the public. Under this requirement, if a director was calling into a meeting from the Las Vegas hotel room, the director would need to allow members of the public into his or her hotel room for the meeting. Also, the Brown Act currently requires that at least a quorum of

the members of a legislative body must participate in the meeting (even if by teleconference) from locations within the agency's boundaries.

AB 361 excuses compliance with those requirements and agendas for meetings held in accordance with AB 361 are not required to identify each teleconference location and each location does not need to be accessible to the public (but see Item 3, below). In addition, there is no requirement under AB 361 that at least a quorum of the board members must be located within the agency's boundaries.

- 3. Public Access and Comments.** As stated above, local agencies are not required to make each teleconference location accessible to the public. However, the board meetings must remain open to the public and the agenda must include the manner by which members of the public may access the meeting remotely to offer public comment, including by a call-in option or an internet-based service option, such as meeting invite web address or call-in phone number, with passcode. Members of the public must be allowed to access the meeting and to address the legislative body directly, either during a general public comment period or before any individual actions are taken. Also, AB 361 clarifies that an agency may not require members of the public to submit their comments in advance of a meeting.

Public comments, either written or made by remote connection, must be accepted until the point at which the public comment period is formally closed. Any registration or sign-up period for public comments can only be closed when the public comment period is formally closed. Where public comments are accepted in a public comment period for each agenda item, the agency must allow a reasonable amount of time during each agenda item to allow the public the opportunity to provide comments, including time for members of the public to register or otherwise be recognized for the purpose of providing public comment.

- 4. Registration Issue.** The Brown Act has long prohibited the use of mandatory registration or "sign-ups" to attend public meetings or to provide public comment. Based on that prohibition, the Brown Act would present a significant problem for meetings that use a teleconference platform that requires participants to register for an account, even when it is not the local agency establishing that requirement. AB 361 solves that problem by allowing local agencies to use platforms which, incidental to their use and deployment, require users to register for an account with that platform, so long as the platform is not under the control of the local agency. Thus, an agency can use a platform that requires a registration to participate without violating the Brown Act.
- 5. Technological Disruption of Meeting.** AB 361 addresses what must occur in the event a technical difficulty interrupts a board meeting. Under AB 361, if a public comment line unexpectedly disconnects, a meeting agenda was sent out with the incorrect web link or dial-in information, the local agency's internet connection is interrupted, or other similar circumstances occur, the agency must stop the ongoing meeting and try to resolve the issue before continuing with the meeting agenda. If the meeting disruption cannot be resolved, the agency should not take any further action on agenda items and should end the meeting.

Failure to do so risks having any actions that were taken during the period of disruption set aside in a legal action.

6. Required Findings. AB 361 allows for teleconferencing under its provisions to occur in three scenarios:

- 1) The local agency is holding a meeting during a proclaimed state of emergency, and state or local officials have imposed or recommended measures to promote social distancing; or
- 2) The local agency is holding a meeting during a proclaimed state of emergency for the purpose of determining, by majority vote, whether as a result of the emergency, meeting in person would present imminent risks to the health or safety of attendees; or
- 3) The local agency is holding a meeting during a proclaimed state of emergency and has determined, by majority vote, that, as a result of the emergency, meeting in person would present imminent risks to the health or safety of attendees.

AB 361 provides that if a state of emergency remains active, or state or local officials have imposed or recommended measures to promote social distancing, in order to continue to teleconference without complying with the Brown Act's existing teleconferencing requirements, the agency's board of directors must, no later than 30 days after teleconferencing for the first time under AB 361, and every 30 days thereafter, making the following findings by at least majority vote:

- 1) The legislative body has reconsidered the circumstances of the state of emergency; and
- 2) Any of the following circumstances exist: (a) the state of emergency continues to directly impact the ability of the members to meet safely in person; or (b) state or local officials continue to impose or recommend measures to promote social distancing.

CRITICAL SUCCESS FACTORS

None.

RESOURCE IMPACTS

None.

Attachments:

1. Resolution No. 2022-4

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RESOLUTION NO. 2022-4

A RESOLUTION OF THE COMMISSION OF THE SANTA ANA WATERSHED PROJECT AUTHORITY (SAWPA) PROCLAIMING A STATE OF EMERGENCY PERSISTS, RE-RATIFYING THE PROCLAMATION OF A STATE OF EMERGENCY BY GOVERNOR GAVIN NEWSOM, AND RE-AUTHORIZING REMOTE TELECONFERENCE MEETINGS OF ALL COMMISSION AND COMMITTEE MEETINGS OF SAWPA FOR THE PERIOD MARCH 19, 2022 TO APRIL 17, 2022 PURSUANT TO BROWN ACT PROVISIONS.

WHEREAS, the Commission of the Santa Ana Watershed Project Authority (“SAWPA”) is committed to preserving and nurturing public access and participation in meetings of its Commissioners; and

WHEREAS, all meetings of SAWPA’s Commission and its standing committees (PA 22, PA 23, PA 24, and OWOW Steering Committee) are open and public, as required by the Ralph M. Brown Act (California Government Code Sections 54950 – 54963), so that any member of the public may attend, participate, and watch those bodies conduct their business; and

WHEREAS, the Brown Act, in Government Code Section 54953(e), makes provision for remote teleconferencing participation in meetings by members of a legislative body, without compliance with the requirements of Government Code Section 54953(b)(3), subject to the existence of certain conditions; and

WHEREAS, a required condition for application of Section 54953(e) is that a state of emergency is declared by the Governor pursuant to Government Code Section 8625, proclaiming the existence of conditions of disaster or of extreme peril to the safety of persons and property within the state caused by conditions as described in Government Code Section 8558; and

WHEREAS, a proclamation is made when there is an actual incident, threat of disaster, or extreme peril to the safety of persons and property within the jurisdictions that are within the District’s boundaries, caused by natural, technological or human-caused disasters; and

WHEREAS, it is further required that state or local officials have imposed or recommended measures to promote social distancing, or, the legislative body meeting in person would present imminent risks to the health and safety of attendees; and

WHEREAS, the SAWPA Commission previously adopted a Resolution, Resolution No. 2021-8 on October 19, 2021 finding that the requisite conditions exist for the SAWPA Commission and standing committees to conduct remote teleconference meetings without compliance with paragraph (3) of subdivision (b) of Section 54953; and

WHEREAS, as a condition of extending the use of the provisions found in Section 54953(e), the SAWPA Commission must reconsider the circumstances of the state of emergency that exists in SAWPA, and the Commission has done so; and

WHEREAS, emergency conditions persist in SAWPA, specifically, COVID-19, and its Delta variant, remain highly contagious and, therefore, a threat to the health, safety and well-being of the SAWPA’s employees, directors, vendors, contractors, customers and residents; and

WHEREAS, orders from the Los Angeles County Department of Public Health and regulations from the State of California impose limitations on gatherings and provide guidance on best practices with respect to actions to reduce the spread of COVID-19; and

WHEREAS, SAWPA's Commission does hereby find that a state of emergency continues to exist within SAWPA's service area as a result of the continuing presence of COVID-19 and resulting local, state and federal orders and guidance, which has caused, and will continue to cause, conditions of peril to the safety of persons within SAWPA that are likely to be beyond the control of services, personnel, equipment, and facilities of SAWPA, and the Commission desires to affirm a local emergency exists and re-ratify the proclamation of state of emergency by the Governor of the State of California; and

WHEREAS, as a consequence of the local emergency persisting, SAWPA does hereby find that the SAWPA's Commission and all standing committees shall continue to conduct their meetings without compliance with paragraph (3) of subdivision (b) of Government Code Section 54953, as authorized by subdivision (e) of Section 54953, and that such legislative bodies shall continue to comply with the requirements to provide the public with access to the meetings as prescribed in paragraph (2) of subdivision (e) of Section 54953; and

WHEREAS, SAWPA will continue to provide proper notice to the public regarding all SAWPA's Commission and standing committee meetings, in accordance with Government Code Section 54953(e)(2)(A) and shall provide notice to the public of how they may access any such meeting via call-in number and/or internet link.

NOW, THEREFORE, the SAWPA Commission does hereby resolve as follows:

Section 1. Recitals. The Recitals set forth above are true and correct and are incorporated into this Resolution by this reference.

Section 2. Affirmation that Local Emergency Persists. The Commission hereby considers the conditions of the state of emergency in SAWPA and proclaims that a local emergency persists throughout SAWPA, and that conducting SAWPA Commission and standing committee meetings virtually will minimize the possible spread COVID-19 and any variant thereof.

Section 3. Re-ratification of Governor's Proclamation of a State of Emergency. The Commission hereby ratifies the Governor of the State of California's Proclamation of State of Emergency regarding COVID-19, dated March 4, 2020.

Section 4. Remote Teleconference Meetings. The SAWPA's General Manager, or his or her delegee, and the Commission and standing committees of SAWPA are hereby authorized and directed to take all actions necessary to carry out the intent and purpose of this Resolution including, continuing to conduct open and public meetings in accordance with Government Code section 54953(e) and other applicable provisions of the Brown Act.

Section 5. Effective Date of Resolution. This Resolution shall take effect immediately upon its adoption and shall be effective until the earlier of (i) the expiration of thirty (30) days from the date this Resolution was adopted, as set forth below, or (ii) such time as the SAWPA adopts a subsequent resolution in accordance with Government Code section 54953(e)(3) to extend the time during which the SAWPA Commission and standing committees of SAWPA

may continue to teleconference without compliance with paragraph (3) of subdivision (b) of section 54953.

ADOPTED this 15th Day of March, 2022.

SANTA ANA WATERSHED PROJECT AUTHORITY

By:

Marco Tule, Chair

Attest:

Sara Villa, Clerk of the Board

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COMMISSION MEMORANDUM NO. 2022.15

DATE: March 15, 2022

TO: SAWPA Commission

SUBJECT: Recommendation of Project for Grant Application Assistance for Small Community Water/Wastewater Systems Serving Disadvantaged Communities in the Santa Ana River Watershed

PREPARED BY: Rick Whetsel, Senior Watershed Manager
(This item is subject to the provisions of Project Agreement 25)

RECOMMENDATION

It is recommended that the SAWPA Commission:

1. Approve the recommendation of the Small Community Grant Assistance Program Review Committee to prepare a grant application for the Box Springs Mutual Water Company Well Improvements project for DAC funding available through the OWOW Proposition 1 Round 2 Integrated Regional Water Management Grant Program.
2. Direct the General Manager to authorize a Work Order to execute Phase II of the Agreement between the California Rural Water Association and SAWPA, for an amount not-to-exceed \$15,000.

DISCUSSION

On February 7, 2022, California Rural Water Association (CRWA) team submitted to the Small Community Grant Assistance Program Review Committee, consisting of SAWPA and SAWPA member agency staff, one-page summaries for the following eight small water system projects, shown below in Table 1. These included seven of the up to thirteen high need small systems identified within the watershed and fulfilled Phase I of the CRWA agreement with SAWPA to screen and submit viable small community water/wastewater projects from systems serving disadvantaged communities in the Santa Ana River Watershed.

Table 1 Small Community Water/Wastewater Projects Solicited by CRWA

Small Community Water System	Project Title	Total Cost
Box Springs Mutual Water Company	Well Improvements	\$3,532,000
Fallsvale Service Company	Emergency Backup Generator	\$216,000
Hynes Estates Mutual Water Company	Water Main, Meters, and Fire Hydrant Replacement	\$2,553,400
Midway City Mutual Water Company	Hydropneumatic Tank and Site Security	\$499,000
City of Colton	La Loma No. 2 Water Storage Tank	\$5,500,000
City of Colton	Production Well 34	\$4,973,000
Marygold Mutual Water Company	Well 7 Rehabilitation and Systems Upgrade	\$1,448,000
Devore Water Company	Booster Pump Station and Valve Vault	\$472,000
	Total	\$19,193,400

CRWA contacted other high need small systems identified as serving disadvantaged communities in the Santa Ana River Watershed (Cedarpines Park MWC, Crescent Water Association, Eastside Water Association, Idyllwild, WD, Riverside Highland WC and Terrace WC), but these water providers were either not prepared to submit a project for consideration or chose not to participate.

On February 10, 2022, Small Community Grant Assistance Program Review Committee met to review the suite of eight small water system projects solicited by the CRWA team and to identify small water/wastewater system projects to be recommended to the SAWPA Commission for grant application assistance by CRWA. Review of the projects resulted in all but one of the projects being removed from consideration, due to the following:

- Marygold Mutual Water Company: Well 7 Rehabilitation and Systems Upgrade and Devore Water Company: Booster Pump Station and Valve Vault projects were previously recommended for full funding as part of SAWPA's application for Proposition 1 IRWM Disadvantaged Community Involvement Grant Set-Aside funding made available through the DWR 2021 Urban and Multi-benefit Drought Relief Grant Program.
- City of Colton projects were removed for consideration since staffing resources were readily available for the City of Colton to apply for Prop 1 IRWM Round 2 Implementation DAC Set-Aside grant funding on their own.
- Fallsvale Service Company: Emergency Backup Generator project located on the San Bernardino Mountains was not recommended by SBVMWD as it is significantly outside of their service area and as an infrastructure project is not the type of project that the district typically funds as part of their IRWM program support efforts.
- Hynes Estates Mutual Water Company: Water Main, Meters, and Fire Hydrant Replacement and Midway City Mutual Water Company: Hydropneumatic Tank and Site Security projects, both located within the OCWD service area were not recommended because the proposed projects do not sufficiently relate to the District's role as the groundwater basin manager.

The lone project recommended by CRWA and supported by Western Municipal Water District is the Box Springs Mutual Water Company (MWC) Well Improvements project. This project serves the Edgemont Community within the City of Moreno Valley in Riverside County. The system's service area consists of 430 acres and 629 service connections.

The Project will provide Box Springs MWC customers a reliable supply source by constructing a new 1,050 gpm well that meets Title 22 water quality standards and includes a new production well, connection piping, a backup generator, and a building for controls and chlorination facilities complete the project to be constructed at the existing Box Springs MWC reservoir site.

The new well is designed to meet all standards and become the primary source for the entire system. The proposed building will house a control system for the control of both wells, the new well, and a chlorination system. A diesel, pad mounted generator and automatic transfer switch will be installed to provide emergency standby power.

Preliminary engineering and 90% design have been completed under the SAWPA DCI Program and as Box Springs is not in a position financially to fund any portion of the project costs, the full cost to implement the project, estimated at \$2,650,000, is requested.

To proceed with the grant application assistance program, a Work Order to conduct Phase II of the Agreement with CRWA must be executed by SAWPA. This second phase of work originally entailed the preparation of up to 5-10 grant applications targeting the \$4.1M set aside for disadvantaged community projects available through the OWOW Proposition 1 Round 2 Integrated Regional Water Management Grant program, as well as involvement by CRWA in the SAWPA OWOW project selection criteria development, rating and ranking and stakeholder participatory processes. The funding for the second phase was estimated to be up to \$125,000 but would be dependent on the number of project grant applications prepared. Phase II would be funded by the SAWPA member agencies proportionately with the number of small community projects that will have grant applications prepared and are located within or near a SAWPA member agency.

The recommendation of the Review Committee is to prepare a single grant application for the Box Springs MWC Well Improvements project for an amount not-to-exceed \$15,000. Funding for this work would be provided by the Western Municipal Water District, due to its proximity and relationship with to Box Springs Mutual Water Company.

Background

On August 17, 2021, the SAWPA approved a recommendation by staff to provide technical assistance support to small community water/wastewater systems that serve disadvantaged communities in the Santa Ana River Watershed. This assistance would be provided for up to ten small community systems that lack the staffing and technical expertise to apply for the Proposition 1 Round 2 Integrated Regional Water Management (IRWM) Implementation DAC grant program with \$4.1M set aside for disadvantaged community projects.

This work would include providing the technical, managerial, and financial support resources needed for the preparation of the Proposition 1 Round 2 IRWM grant applications. This work would be performed by the DCI program partner to SAWPA, the California Rural Water Association (CRWA) under contract with SAWPA. CRWA, a statewide non-profit organization, has extensive experience in this type of support service and has operated similar programs in nearby regions such as the Mojave Water Agency. CRWA has worked closely with SAWPA over the past three years and has the most familiarity and staff expertise with working with small water/wastewater communities in the watershed.

The cost of the SAWPA program with CRWA to support evaluation of approximately 13 communities of viable projects and prepare grant applications for eligible projects, likely 5-10 small community projects, was estimated at \$150,000 and shared with the SAWPA Commission on Aug. 17, 2021. This support by CRWA would be throughout Year 2022 until the formal submittal of the SAWPA grant application to DWR occurs in late 2022 to evaluate potential projects and prepare grant applications for those which are likely to be the most competitive small community projects.

At that time the SAWPA Commission agreed to break the work effort funding into two phases. The first phase of the program was estimated to cost \$25,000 and would be funding equally among the SAWPA member agencies (\$5000/member agency) and would involve the initial screening of viable small community projects that are serving disadvantaged communities. Once deliverables from Phase I are received and deemed acceptable, the Phase II would be authorized. The second phase would entail the preparation of grant applications for 5-10 small community projects in the watershed as well as involvement in the SAWPA OWOW project selection criteria development, rating and ranking and stakeholder participatory processes. The funding for the second phase would be up to \$125,000 and would be dependent on the number of project grant applications prepared. Phase II would be funded by the SAWPA member agencies proportionately with the number of small community projects that will have grant applications prepared and are located within or near a SAWPA member agency.

On September 7, 2021, the SAWPA Commission authorized the execution of an agreement between the California Rural Water Association and SAWPA to conduct the implementation of the grant application assistance program for small community water/wastewater systems serving disadvantaged communities in the Santa Ana River Watershed for an amount not-to-exceed \$150,000, with Phase I work estimated cost of \$25,000 and Phase II up to \$125,000 depending on number of project grant applications prepared.

CRITICAL SUCCESS FACTORS

- Active participation of a diverse group of stakeholders representing counties, cities, and water districts, as well as the private sector and the regulatory, environmental, and environmental justice communities who integrate the different interests in the watershed beyond political boundaries. Ensuring all perspectives are heard and valued.
- Successful implementation of an integrated regional water resource plan that reflects the watershed management needs of the public and the environment
- A strong reputation and sufficient capacity within SAWPA staff for strategic facilitation, planning, communication, leadership and community engagement.

RESOURCE IMPACTS

Since the \$15,000 for this program is unfunded and unbudgeted, the funding would be provided by the SAWPA member agencies as agreed in the August 17, 2021 SAWPA Commission meeting.

Funding for this Phase 2 work of \$15,000 total is to be provided by Western Municipal Water District, since the Box Springs Mutual Water Company small community project service area mostly lies within their service area.

Invoices for Phase II will be sent to Western Municipal Water District upon completion and acceptance of Phase I by SAWPA's General Manager.

Attachment:

1. PowerPoint Presentation
2. CRWA Agreement
3. CRWA Work Order (Phase II)

Grant Support for Small Community Water/Wastewater Systems Serving Disadvantaged Communities in the Santa Ana River Watershed: Recommendation for Grant Application Assistance



**Rick Whetsel, Senior Watershed Manager
SAWPA Commission | March 15, 2022
Item No. 6.A.**



Assistance Fund for Small Systems that serve DACs

Purpose:

- Provide support for small systems with chronic issues that lack the staffing and technical expertise to apply for grants

• Scope of Assistance:

- Partner Cal Rural Water Association (CRWA)
 - Assemble project information (Phase I)
 - Prepare OWOW and DWR grant applications (Phase II)
- Project Review Committee
 - Member Agency and SAWPA Staff

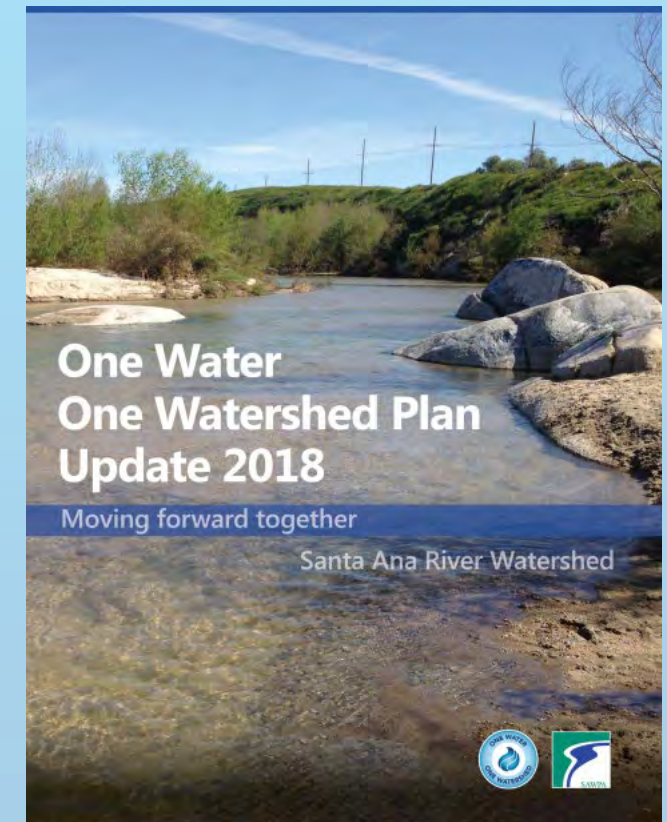
• Outcome:

- Prepare Prop 1 Round 2 IRWM grant applications for up to ten small systems serving DACs



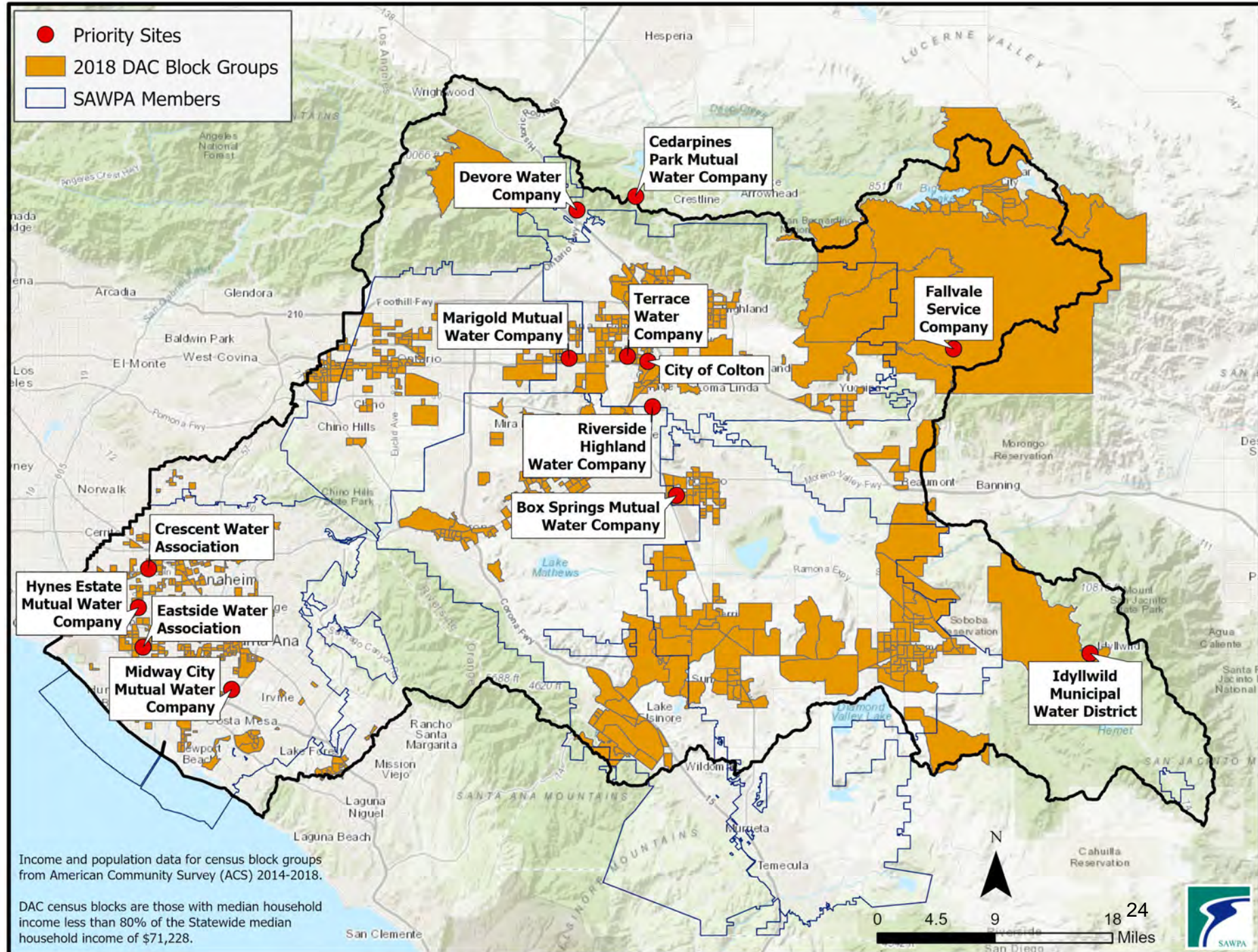
Assistance Fund - Benefits

- Builds on outcomes of the SAWPA's Prop 1 IRWM DCI program
- Supports small systems serving DACs in our watershed
- Creates working relationships with communities most in need
- Supports DWRs initiatives for DAC assistance in IRWM regions
- Supports OWOW Plan Update 2018 implementation
- Continues SAWPA's OWOW leadership role in the region on compelling issues



Phase I Grant Application Support

- Targeted 13 High Need Small Systems supporting DACs in watershed
- Prepare short list of 5-10 small community system projects



Water/Wastewater Projects Solicitated by CRWA

Small Community Water System	Project Title	Total Cost
Box Springs Mutual Water Company	Well Improvements	\$3,532,000
Fallsvale Service Company	Emergency Backup Generator	\$216,000
Hynes Estates Mutual Water Company	Water Main, Meters, and Fire Hydrant Replacement	\$2,553,400
Midway City Mutual Water Company	Hydropneumatic Tank and Site Security	\$499,000
City of Colton	La Loma No. 2 Water Storage Tank	\$5,500,000
City of Colton	Production Well 34	\$4,973,000
Marygold Mutual Water Company	Well 7 Rehabilitation and Systems Upgrade	\$1,448,000
Devore Water Company	Booster Pump Station and Valve Vault	\$472,000
Total		\$19,193,400

Project Review Committee – Phase I Recommendation

- February 10, 2022, Small Community Grant Assistance Program Review Committee Meeting
 - Review projects solicited by the CRWA
 - Recommend Projects for CRWA Grant Application Assistance
- Recommendations
 - Projects Removed from Consideration:
 - Devore Water Company: Booster Pump Station and Valve Vault
 - Marygold Mutual Water Company: Well 7 Rehabilitation and Systems Upgrade
 - City of Colton: La Loma No. 2 Water Storage Tank
 - City of Colton: Production Well 34
 - Fallsvale Service Company: Emergency Backup Generator
 - Hynes Estates Mutual Water Company: Water Main, Meters, and Fire Hydrant Replacement
 - Midway City Mutual Water Company: Hydropneumatic Tank and Site Security
 - Project to proceed with Western Municipal Water District
 - Box Springs Mutual Water Company (MWC) Well Improvements



Box Springs Mutual Water Company: Well Improvements project

Box Springs Mutual Water Company

- Serves Edgemont Community (430 acres) within the City of Moreno Valley in Riverside County with 629 service connections.

Issues

- High concentrations of nitrates in local groundwater
- Reliance on Western Municipal Water District to meet demand.

Project

- New 1,050 gpm production well - Primary source for the entire system
- New Chlorination system - Meet Title 22 water quality standards
- New building – House well, chlorination system, control system and back-up generator

Status

- Preliminary engineering and 90% design complete
- No Permits anticipated

Funding

- No local funding requires full grant funding estimated at \$2,650,000



Proposed Phase II Grant Application Support

- Participate and provide input on OWOW Process
 - DAC set-aside Project Selection Criteria
 - Rating and Ranking of Proposed Projects
- Prepare Applications
 - OWOW Call for Projects
 - DWR Application
- Resource Impacts:
 - \$15,000 - Funding for this Phase 2 work of \$15,000 total is to be provided by Western Municipal Water District



Proposal Schedule - Draft* Round 2 (R2) Schedule



Dec 2021

Small Community Water/Wastewater System-Grant applications due



Recommendation

- It is recommended that the SAWPA Commission:
 - - Approve the recommendation of the Small Community Grant Assistance Program Review Committee to prepare a grant application for the Box Springs Mutual Water Company Well Improvements project for DAC funding available through the OWOW Proposition 1 Round 2 Integrated Regional Water Management Grant Program.
 - - Direct the General Manager to authorize a Work Order to execute Phase II of the Agreement between the California Rural Water Association and SAWPA, for an amount not-to-exceed \$15,000.



Questions?



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GRANT PREPARATION FOR SMALL COMMUNITY SYSTEMS SERVING
DISADVANTAGED COMMUNITIES

AGREEMENT BETWEEN THE

SANTA ANA WATERSHED PROJECT AUTHORITY

AND

CALIFORNIA RURAL WATER ASSOCIATION

This Contract (“Contract”) is made between Santa Ana Watershed Project Authority ("SAWPA") and California Rural Water Association (“CRWA”). SAWPA and CRWA may be individually referred to as "Party", and collectively referred to as the "Parties".

WHEREAS, on August 17, 2021 the SAWPA Commission agreed to support the establishment of a grant assistance fund to aid small community water and wastewater systems serving disadvantaged communities in the Santa Ana River Watershed.

WHEREAS, the purpose of the grant assistance fund is to provide support for small systems with chronic issues that lack the staffing and technical expertise to apply for grants.

WHEREAS, the fund will be used to contract support services from CRWA to prepare grant applications for 5-10 ten small systems serving disadvantaged communities (DAC) in the Santa Ana River Watershed in order to secure grant funding from the Proposition 1 Round 2 IRWM Implementation grant program administered by the California Department of Water Resources (DWR).

THEREFORE, based on the foregoing incorporated recitals and in consideration of the mutual covenants and conditions set forth in this Contract, the Parties hereby agree to the following:

SECTION 1. PROJECT DESCRIPTION

The proposed project will entail providing specialized services and background that CRWA has based on past work with SAWPA under the Proposition 1 IRWM Disadvantaged Community Involvement program. Under that program, CRWA conducted a variety of services including components of: 1) Strengths and Needs Assessment, whereby a broad understanding of strengths and needs of watershed communities was gathered to ensure that the insights of community members are honored; 2) Engagement / Education, whereby bridges of familiarity were built between water stakeholders and community members; and 3) Project Development, whereby solutions to previously documented needs within disadvantaged community water management were advanced, and newly discovered water management needs within disadvantaged or underrepresented communities had solutions identified and were given the opportunity to conduct technical assistance to prepare for future implementation funding.

Under the proposed project, CRWA will continue to provide support activities for small water and wastewater community systems in the watershed that consistently lack the staffing and technical expertise to apply for grants by providing grant application support assistance. This work will be undertaken in two phases.

Phase 1 entails reviewing the available resources and potential projects of 13 high need, small community systems in the watershed as identified by CRWA. Upon initial evaluation and coordination with potential small communities about their desired projects, CRWA will participate in a Project Review Committee

(PRC) composed of SAWPA staff and SAWPA member agency staff to evaluate and determine which projects seem most competitive under the One Water One Watershed (OWOW) rating and ranking process including how likely their projects will be effectively constructed, operated and maintained for the life of the project, what percentage of proposed projects serve DACs, political issues that may jeopardize proceeding with projects such as planned unifications with larger water agencies, watershed benefit of the projects, etc. If requested by SAWPA, CRWA will also evaluate two additional small communities serving DACs in the watershed, in addition to the initial 13 to be considered. Assistance would be directed to the most critical water/wastewater systems that do not have the resources available to apply for State grant funds. Based on the feedback and review of the PRC, 5-10 high need small communities projects serving DACs will be identified for the next phase of work.

Phase II of the work will include providing technical, managerial, and financial support resources needed for preparing grant applications for the selected 5-10 small community systems serving DACs. The grant funds could be directed to all types of water-related infrastructure needs including drinking water infrastructure such as pumps, wells, storage reservoirs, water treatment and distribution networks as well as septic to sewer connections, collection systems and wastewater treatment. The grant applications to be prepared by CRWA will need to meet the requirements of SAWPA's OWOW project selection criteria as well as the CA Dept of Water Resources grant requirements for the Proposition 1 IRWM Round 2 Implementation.

CRWA will serve as the main support liaison in representing the small community agency projects. This will involve the participation of CRWA in the OWOW project selection criteria development as well as attending all OWOW stakeholder participatory budgetary processes which may include preparing and presenting short powerpoint presentations about the recommended projects and providing all necessary project information forms required by SAWPA under its OWOW Prop 1 IRWM Round 2 Implementation Grant Call for Projects. Once a final list of projects are selected for the DAC set-aside funding by SAWPA Governance, CRWA, on behalf of its project proponents, will provide any additional preparation and grant application documentation to ensure that all requirements of DWR as shared in its Proposition 1 IRWM Round 2 Proposal Solicitation Package and Guidelines are met and delivered as needed to SAWPA for compilation and eventual transmittal to DWR.

Project grant applications prepared by CRWA are not guaranteed to be short listed or recommended for grant funding by SAWPA Governance or DWR. Other projects outside this CRWA support effort are still eligible to apply and compete for the Proposition 1 IRWM Round 2 DAC set-aside funding. All small community systems that are not recommended for grant application preparation by CRWA or the PRC can still proceed with applying to SAWPA using their own resources.

SECTION 2. SCOPE OF WORK, DELIVERABLES

CRWA will provide work products and deliverables to SAWPA as outlined below.

Phase I – Small Community Project Review and Evaluation

Task 1 Small Community Project Review

- Identify and communicate with preliminary list of small community systems that serve disadvantaged communities and collect information on their potential projects seeking grant funding
 - **Deliverables:** Summary of potential projects from each community and identification of any issues associated with successful implementation

Task 2: Small Community Project Review Committee (PRC) Support

- Participate and Support PRC's development of project selection criteria and provide summaries of each potential project.
 - **Deliverables:** Participation in-person or teleconference in PRC meetings (two meetings estimated) to discuss project selection criteria. Provide summaries of each potential project from small communities.
- Prepare recommendations of short list of projects for grant preparation (5-10 small community system projects)
 - **Deliverables:** Report on each small community's DACs served, availability to staffing and technical resources, proposed project, issues and needs, and benefits of project to the watershed; prepare summary report of potential projects with recommendations for PRC.

Phase II – Grant Application Preparation and OWOW/DWR Coordination

Task 3: SAWPA OWOW Project Selection Criteria Development

- Participate and provide input on project selection criteria development as pertaining to the DAC set-aside funding in OWOW Prop 1 IRWM Round 2 Stakeholder meetings
 - **Deliverables:** Support program development, participate in OWOW meetings, provide input about project selection criteria for project seeking DAC set-aside funding under CA Dept of Water Resources Proposition 1 IRWM Round 2 Implementation grant program.

Task 4: Project Grant Preparation and Development

- Provide all necessary technical assistance to prepare project documentation for short list of recommended small community projects
- Communicate and collaborate with the small systems on their projects, including assembling project information required for Project Grant preparation.
 - **Deliverables:** Prepare and fill out online OWOW Call for Projects project information for each respective project such as scope of work, detailed cost estimate, schedule, benefits, and related information.

Task 5: OWOW Stakeholder Participatory Budget Process

- Participate in OWOW Prop 1 IRWM Round 2 Implementation Grant Stakeholder meetings pertaining to rating and ranking of proposed projects (three meetings estimated)
 - **Deliverables:** Attendance at the events, provide PowerPoint™ presentations of highest ranked and most competitive projects based on OWOW project selection criteria and ensure availability to answer questions including providing any follow-up documentation that may be requested.
- Participate in OWOW Steering Committee and SAWPA Commission Meetings
 - **Deliverables:** Attendance at the meetings, provide PowerPoint™ presentations as necessary.

Task 6: DWR Project Deliverables to SAWPA *

- Provide all necessary technical assistance to prepare documentation for recommended small community projects as required by DWR Proposition 1 IRWM Round 2 Implementation Grant Program Proposal Solicitation Package.

- **Deliverables:** All DWR requirements for DWR Prop 1 IRWM Round 2 grant application program will be documented and provided to SAWPA for compilation into one proposal package to DWR. This project information for each respective project will include detailed scope of work, detailed cost estimate, detailed schedule, and related information. Provide any follow-up documentation that may be requested by DWR.

*Note: If projects proposed under this effort are not selected for funding through the OWOW stakeholder process (see Task 5), this task will not be needed for the projects not selected.)

SECTION 3. ESTIMATED ELIGIBLE PROJECT COSTS

The total cost of the Project is not-to-exceed **one hundred and fifty thousand** (\$150,000), subject to all of the terms, provisions, and conditions of this Agreement. The estimated cost for Phase I is \$25,000. Phase II will be authorized by the SAWPA General Manager once the final deliverables for Phase I are received and deemed acceptable by SAWPA. The estimated cost for Phase II is up to \$125,000, depending on the number of grant applications that would be prepared. Unused funds from Phase I can be carried over for use in Phase II.

SECTION 4. COMPENSATION

For all services rendered by CRWA pursuant to this agreement, CRWA shall receive a total not-to-exceed sum of **\$150,000**. The estimated cost for Phase I is \$25,000. Work on Phase II will not commence until Phase I deliverables are received and accepted and Phase II is authorized by the SAWPA General Manager. The estimated cost for Phase II is up to \$125,000 based on preparing 5-10 grant applications. Unused funds from Phase I can be carried over for use in Phase II. Payment for such services shall be made within 30 days upon receipt of timely and proper invoices from CRWA. Each such invoice shall be provided to SAWPA by CRWA within 15 days after the end of the month in which the services were performed. Compensation shall be based upon the regular hourly rates customarily charged by CRWA, as set forth in Exhibit A to this Agreement. CRWA may increase those regular hourly rates upon at least thirty (30) days' prior written notice to SAWPA.

CRWA shall not be compensated for any services rendered in excess of those authorized by this agreement unless approved in advance by the Commission and General Manager of SAWPA, in writing.

SECTION 5. PERFORMANCE TIME FRAME

CRWA shall begin work within five days of the date this Agreement is signed by SAWPA's General Manager and shall complete performance of such services by or before December 31, 2022. It is estimated that Phase I will be completed 1-2 months after the Agreement is signed. Phase II will commence immediately after Phase I and will continue through the completion of the last task defined in the scope of work and prior to Dec. 31, 2022.

SECTION 6. SAWPA LIAISON

Rick Whetsel, Sr. Watershed Manager, shall serve as liaison between SAWPA and CRWA.

SECTION 7. CRWA OBLIGATIONS

7.01 CRWA agrees to perform all assigned services in accordance with the terms and conditions of this Agreement.

7.02 CRWA shall be solely responsible for the health and safety of its employees, agents and subcontractors in performing the services assigned by SAWPA.

7.03 Insurance Coverage: CRWA shall procure and maintain for the duration of this Agreement insurance against claims for injuries or death to persons or damages to property which may arise from or in connection with the performance of the work hereunder and the results of that work by the CRWA, its agents, representatives, employees or sub-contractors.

7.04(a) Coverage - Coverage shall be at least as broad as the following:

1. Commercial General Liability (CGL) - Insurance Services Office (ISO) Commercial General Liability Coverage (Occurrence Form CG 00 01) including products and completed operations, property damage, bodily injury, personal and advertising injury with limit of at least two million dollars (\$2,000,000) per occurrence or the full per occurrence limits of the policies available, whichever is greater. If a general aggregate limit applies, either the general aggregate limit shall apply separately to this project/location (coverage as broad as the ISO CG 25 03, or ISO CG 25 04 endorsement provided to SAWPA) or the general aggregate limit shall be twice the required occurrence limit.

2. Automobile Liability – (if necessary) Insurance Services Office (ISO) Business Auto Coverage (Form CA 00 01), covering Symbol 1 (any auto) or if Consultant has no owned autos, Symbol 8 (hired) and 9 (non-owned) with limit of one million dollars (\$1,000,000) for bodily injury and property damage each accident.

3. Workers' Compensation Insurance - as required by the State of California, with Statutory Limits, and Employer's Liability Insurance with limit of no less than \$1,000,000 per accident for bodily injury or disease.

If the CRWA maintains broader coverage and/or higher limits than the minimums shown above, SAWPA requires and shall be entitled to the broader coverage and/or higher limits maintained by the CRWA. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to SAWPA.

7.04(b) Verification of Coverage – CRWA shall furnish SAWPA with certificates and amendatory endorsements or copies of the applicable policy language effecting coverage required by this clause. All certificates and endorsements are to be received and approved by SAWPA before work commences. However, failure to obtain the required documents prior to the work beginning shall not waive the CRWA's obligation to provide them. SAWPA reserves the right to require complete, certified copies of all required insurance policies, including policy Declaration pages and Endorsement pages.

7.05 CRWA will supply all personnel and equipment required to perform the assigned services.

7.06 CRWA shall be solely responsible for the health and safety of its employees, agents and subcontractors in performing the services assigned by SAWPA. CRWA agrees to conform to, and

comply with all applicable health and safety laws and regulations, including the Federal and State Occupational Safety and Health Act (OSHA) regulations. CRWA assumes complete responsibility and liability for the safety of its employees, agents and subcontractors, and shall indemnify and hold harmless SAWPA from any claims, damages, fines, penalties, attorney's fees and costs arising from any injuries, damages or claims relating to CRWA's performance of this Agreement.

7.07 CRWA hereby covenants and agrees that SAWPA, its officers, employees, and agents shall not be liable for any claims, liabilities, penalties, fines or any damage to property, whether real or personal, nor for any personal injury or death caused by, or resulting from, or claimed to have been caused by or resulting from, any negligence, recklessness or willful misconduct of CRWA. CRWA shall hold harmless, defend and indemnify SAWPA and its officers, employees, agents and volunteers from and against any and all liability, loss, damage, fines, penalties, expense and costs, including, without limitation, attorneys' fees and litigation expenses and costs, of every nature arising out of or related to CRWA's negligence, recklessness or willful misconduct related to or arising from the performance of the work required under this Agreement or CRWA's failure to comply with any of its obligations contained in this Agreement, except to the extent such loss or damage which was caused by the active negligence or willful misconduct of SAWPA.

7.08 In the event that SAWPA requests that specific employees or agents of CRWA supervise or otherwise perform the services specified in this Agreement, CRWA shall ensure that such individual(s) shall be appointed and assigned the responsibility of performing the services.

SECTION 8. ADDITIONAL SERVICES, CHANGES AND DELETIONS

8.01 During the term of this Agreement, the Commission of SAWPA may, from time to time and without affecting the validity of this Agreement, order changes, deletions, and additional services by the issuance of written Agreement Amendments authorized and approved by the Commission of SAWPA.

8.02 In the event CRWA performs additional or different services than those described in this Agreement or any authorized Agreement Amendment without the prior written approval of SAWPA, CRWA shall not be compensated for such services.

8.03 CRWA shall promptly advise SAWPA as soon as reasonably practicable upon gaining knowledge of a condition, event, or accumulation of events, which may affect the scope and/or cost of services to be provided pursuant to this Agreement. All proposed changes, modifications, deletions, and/or requests for additional services shall be reduced to writing for review and approval or rejection by the Commission of SAWPA.

8.04 In the event that SAWPA orders services deleted or reduced, compensation shall be deleted or reduced by a comparable amount as determined by SAWPA and CRWA shall only be compensated for services actually performed. In the event additional services are properly authorized, payment for the same shall be made as provided in this Agreement.

SECTION 9. TERMINATION OF AGREEMENT

9.01 In the event the time specified for completion of an assigned task in this Agreement exceeds the term of this Agreement, the term of this Agreement shall be automatically extended for such additional time as is necessary to complete such work and thereupon this Agreement shall automatically terminate without further notice.

9.02 Notwithstanding any other provision of this Agreement, SAWPA, at its sole option, may terminate this Agreement at any time by giving 10 day written notice to CRWA. Either party may terminate this Agreement upon the material breach of the other party, where such breach is not cured within fourteen (14) days after the non-breaching party provides written notice of the breach to the other party.

9.03 In the event of termination, the payment of monies due CRWA for work performed prior to the effective date of such termination shall be paid after receipt of an invoice as provided in this Agreement.

SECTION 10. CRWA STATUS

10.01 CRWA shall perform the services assigned by SAWPA in CRWA's own way, in pursuit of CRWA's independent calling and not as an employee of SAWPA. CRWA shall be under the control of SAWPA only as to the result to be accomplished and the personnel assigned to perform services. However, CRWA shall regularly confer with SAWPA's liaison as provided for in this Agreement.

10.02 CRWA hereby specifically represents and warrants to SAWPA that the services to be rendered pursuant to this Agreement shall be performed in accordance with the standards customarily applicable to an experienced and competent professional consulting organization rendering the same or similar services. Furthermore, CRWA represents and warrants that the individual signing this Agreement on behalf of CRWA has the full authority to bind CRWA to this Agreement.

SECTION 11. AUDIT AND OWNERSHIP OF DOCUMENTS

11.01 All draft and final reports, plans, drawings, specifications, data, notes, and all other documents of any kind or nature prepared or developed by CRWA in connection with the performance of services assigned to it by SAWPA are the sole property of SAWPA, and CRWA shall promptly deliver all such materials to SAWPA. CRWA may retain copies of the original documents, at its option and expense. Use of such documents by SAWPA for project(s) not the subject of this Agreement shall be at SAWPA's sole risk without legal liability or exposure to CRWA.

11.02 CRWA shall retain and maintain, for a period not less than four years following termination of this Agreement, all time records, accounting records, certified payroll, and vouchers and all other records with respect to all matters concerning services performed, compensation paid and expenses reimbursed. At any time during normal business hours and as often as SAWPA may deem necessary, CRWA shall make available to SAWPA's agents for examination of all such records and will permit SAWPA's agents to audit, examine and reproduce such records.

SECTION 12. MISCELLANEOUS PROVISIONS

12.01 This Agreement supersedes any and all previous agreements, either oral or written, between the parties hereto with respect to the rendering of small community grant preparation services by CRWA for SAWPA and contains all of the covenants and agreements between the parties with respect to the rendering of such services in any manner whatsoever. Any modification of this Agreement will be effective only if it is in writing signed by both parties.

12.02 CRWA shall not assign or otherwise transfer any rights or interest in this Agreement without the prior written consent of SAWPA. Unless specifically stated to the contrary in any written consent to an

assignment, no assignment will release or discharge the assignor from any duty or responsibility under this Agreement.

12.03 Time is of the essence in the performance of services required hereunder. CRWA agrees to be bound by the schedule presented as part of this Agreement. Extensions of time within which to perform services may be granted by SAWPA if requested by CRWA and agreed to in writing by SAWPA. All such requests must be documented and substantiated and will only be granted as the result of unforeseeable and unavoidable delays not caused by the lack of foresight on the part of CRWA.

12.04 CRWA shall comply with all local, State and Federal laws, rules and regulations including those regarding nondiscrimination and the payment of prevailing wages, if applicable.

12.05 CRWA shall not engage in any other consulting activity that would interfere with the performance of CRWA's duties under this Agreement or create any conflicts of interest. If required by law, CRWA shall file a Conflict of Interest Statement with SAWPA.

12.06 During the performance of the Agreement, CRWA and its subcontractors shall not unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of sex, race, color, ancestry, religious creed, national origin, physical disability (including HIV and AIDS), mental disability, medical condition (cancer), age (over 40), marital status and denial of family care leave. CRWA and its subcontractors shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. CRWA and its subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Government Code, Section 12290 et seq.) and the applicable regulations promulgated there under (California Code of Regulations, Title 2, Section 7285 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code Section 12990 et seq., set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations, are incorporated into this Agreement by reference and made a part hereof as if set forth in full. CRWA and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement. CRWA shall include the non-discrimination and compliance provisions of this clause in all subcontracts to perform work under the Agreement.

12.07 SAWPA is subject to the provisions listed in the prevailing wage determination made by the Director of Industrial Relations pursuant to California Labor Code, Sections 1770, 1773 and 1773.1. CRWA shall not pay less than the prevailing wage, if applicable to the services to be rendered by CRWA under this Agreement.

12.08 CRWA's employees, agents and subcontractors shall adhere to, and comply with, the California Drug Free Workplace Act at Government Code, Sections 8350 through 8357.

SECTION 13. COMPLIANCE WITH LAWS AND REGULATIONS

CRWA agrees that it shall, at all times, comply with and require its contractors and subcontractors to comply with all applicable federal and state laws, rules, regulations and guidelines.

SECTION 14. PROJECT ACTIVITIES AND NOTIFICATION

CRWA shall immediately notify SAWPA in writing of:

- (1) Any substantial change in the scope, budget, or work performed of the Project. CRWA agrees that no substantial change in the scope of the Project may be undertaken until written notice of the proposed change has been provided to SAWPA, and SAWPA has given written approval for such a change;
- (2) Any public or media event publicizing the accomplishments and/or results of this Agreement and provide the opportunity for attendance and participation. CRWA must notify SAWPA at least 20 calendar days prior to the event.
- (3) Unscheduled cessation of all work on the Project where such cessation of work is expected to or does continue for a period of 30 calendar days or more;
- (4) Any circumstance, combination of circumstances, or condition which is expected to delay project completion for a period of 90 calendar days or more beyond the initial estimated date of completion of the Project previously provided to SAWPA;

SECTION 15. ARBITRATION

Any dispute which may arise by and between SAWPA and the CRWA, including CRWA, its employees, agents and subcontractors, shall be submitted to non-binding mediation with JAMS, with such mediation to occur in Riverside, California, or as the parties may otherwise agree. However, CRWA shall continue to perform as required by the Agreement during any dispute between SAWPA and CRWA. If the dispute is not resolved in that mediation, then the dispute shall be submitted to binding arbitration with JAMS, with such arbitration to occur in Riverside, California, or as the parties may otherwise agree. The arbitrator shall decide each and every dispute in accordance with the laws of the State of California, and all other applicable laws.

SECTION 16. COSTS AND ATTORNEY FEES

In the event of arbitration or litigation between the parties hereto arising from this Agreement, it is agreed that the prevailing party shall be entitled to recover reasonable costs and attorney fees.

SECTION 17. WAIVER

Any waiver of any rights or obligations under this Agreement shall be in writing and signed by CRWA making such waiver, and approved by SAWPA.

SECTION 18. AMENDMENT

This Agreement may be amended at any time by mutual written agreement of the Parties.

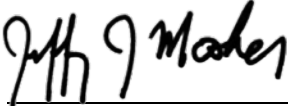
SECTION 19. OPINIONS AND DETERMINATIONS

Where the terms of this Agreement provide for action to be based upon the opinion, judgment, approval, review, or determination of either party hereto, such terms are not intended to be and shall never be construed as permitting such opinion, judgment, approval, review, or determination to be arbitrary and capricious.

IN WITNESS THEREOF, the parties have executed this Agreement on the later date set forth below.

SANTA ANA WATERSHED PROJECT
AUTHORITY

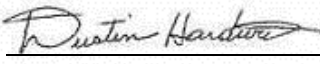
Dated: 9/21/2021

By: 

Jeffrey J. Mosher
General Manager

CALIFORNIA RURAL WATER
ASSOCIATION

Dated: 9/22/2021

By: 

Name: Dustin Hardwick
Title: Deputy Director

Exhibit A**California Rural Water Association Hourly Rates**

Employee Name	Classification	Labor Rate (\$)
Belinda Carroll	Technical Specialist	\$130
Dustin Hardwick	Contract/Program Manager	\$200
Holly Alpert	IRWMP Coordinator	\$150
Mohammad Danishyar	Technical Engineer	\$105
Nate Thomas	Lead Principal Engineer	\$200
Nicole Cook	Project Facilitator	\$130
Osmar Macias	Project Engineer	\$150
Ruby Viramontes	Resource Development Coordinator	\$105

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Santa Ana Watershed Project Authority

WORK ORDER AUTHORIZATION

WORK ORDER NO.: 2022-32

Date: March 15, 2022

JOB NAME: Grant Preparation for Small Community Systems Serving DACs - Phase II

SERVICE PROVIDER: California Rural Water Association VENDOR NO.: 2061

ADDRESS: 1234 N. Market Boulevard
Sacramento, CA 95834

SCOPE OF WORK: Phase II of the work will include providing technical, managerial, and financial support resources needed for preparing grant applications for the selected small community system project serving DACs for the Proposition 1 IRWM Round 2 Implementation grant program.

DATE OF COMPLETION: December 31, 2022

COST: \$15,000.00

PAYMENT: Upon receipt of approved invoice

REQUESTED BY: _____ DATE: _____
Rick Whetsel

DEPARTMENT HEAD: _____ DATE: _____
Mark Norton

FINANCE: _____ DATE: _____
Karen L. Williams

FUNDING SOURCE: 373PA-18-6113-01
General Consulting

COMMISSION AUTHORIZATION REQUIRED: YES NO (March 15, 2022; CM#2022.15)

APPROVED: _____ DATE: _____
General Manager (or Designee) or
Chief Financial Officer for
SANTA ANA WATERSHED PROJECT AUTHORITY

APPROVED: _____ DATE: _____
California Rural Water Association

**ATTACHMENT A CONTAINS ADDITIONAL WORK ORDER TERMS AND CONDITIONS.
READ THESE TERMS CAREFULLY.**



ATTACHMENT A TERMS AND CONDITIONS

- 1. Approval.** This Work Order is of no force or effect until signed by both parties. Service Provider shall not commence performance until such approval has been obtained.
- 2. Amendment.** No amendment or variation of the terms of this Work Order shall be valid unless made in writing and signed by the parties. No oral understanding or agreement not incorporated in the Work Order is binding on any of the parties.
- 3. Compliance with Laws and Regulation; Licensing.** The Service Provider agrees that it shall, at all times, comply with, and require all of its subcontractors to comply with, all applicable federal, state and local laws, rules, regulations, guidelines. Service Provider, and its subcontractors, shall obtain and pay for all appropriate permits and licenses in order to perform the scope of work required by this Work Order. As applicable, Service Provider represents and warrants that it now possesses, and at all times during performance of the work will possess, the classification of contractor's license required by law to enable the Service Provider to perform the work contemplated under this Work Order. Service Provider further represents and warrants that, as may be applicable for the work to be performed under this Work Order, it is registered as a public works contractor with the Department of Industrial Relations.
- 4. Governing Law.** This Work Order shall be governed by the laws of the State of California.
- 5. Assignment of Contract.** This Work Order shall not be assigned by Service Provider without prior written authorization from the Santa Ana Watershed Project Authority ("SAWPA").
- 6. Contract Term.** The services provided under this Work Order shall be completed as outlined in the Scope of Work.
- 7. Termination.** SAWPA reserves the right to terminate this Work Order, in whole or in part, without cause, at any time, by written notice to Service Provider. Such termination shall release SAWPA from any further liability, fee, cost or claim by the Service Provider other than for work performed to the date of termination. Upon receipt of a termination notice, the Service Provider shall immediately discontinue all work under this Work Order (unless the notice directs otherwise). SAWPA shall thereafter, within thirty (30) days of receipt of a valid invoice, pay the Service Provider for unpaid work performed to the date of termination.
- 8. Prevailing Wage Law.** - SAWPA is subject to the provisions listed in the prevailing wage determination made by the Director of Industrial Relations pursuant to California Labor Code, Sections 1770, 1773 and 1773.1. Service Provider shall not pay less than the prevailing wage and shall comply with all applicable local, state and federal laws and regulations concerning the payment of prevailing wages and the maintenance of related payroll records.

- 9. Damage to SAWPA Property.** Any SAWPA property damaged by the Service Provider, or its employees, agents or subcontractors, shall be repaired or replaced by the Service Provider at no cost to SAWPA.
- 10. Payment and Invoicing.** Upon approval by an authorized SAWPA employee, SAWPA will pay, within 30 days after receipt of valid, itemized invoices, in a form acceptable to SAWPA to enable audit of the charges thereon. All such invoices shall be sent via e-mail to APinvoices@sawpa.org, or as otherwise directed in writing by SAWPA.
- 11. Drug Free Workplace.** Service Provider's employees, agents and subcontractors shall adhere to, and comply with, the California Drug Free Workplace Act at Government Code, Sections 8350 through 8357.
- 12. Safety.** Service Provider agrees to conform to, and comply with all applicable health and safety laws and regulations, including the Federal and State Occupational Safety and Health Act (OSHA) regulations and to implement all necessary safety precautions at any work site. If required by such laws and regulations, Service Provider shall prominently display the names and telephone numbers of at least two medical doctors practicing in the vicinity of the work site and the telephone number of the local ambulance service shall also be prominently displayed adjacent to any telephones. Service Provider assumes complete responsibility and liability for the safety of its employees, agents and subcontractors, and shall indemnify and hold harmless SAWPA from any claims, damages, fines, penalties, attorney's fees and costs arising from any injuries, damages or claims relating to this Work Order.
- 13. Indemnification.** Service Provider hereby covenants and agrees that SAWPA, its officers, employees, and agents shall not be liable for any claims, liabilities, penalties, fines or any damage to property, whether real or personal, nor for any personal injury or death caused by, or resulting from, or claimed to have been caused by or resulting from, any negligence, recklessness or willful misconduct of Service Provider. To the extent permitted by law, Service Provider shall hold harmless, defend at its own expense, and indemnify SAWPA, its directors, officers, employees, and authorized volunteers, against any and all liability, claims, losses, damages, or expenses, including reasonable attorney's fees and costs, arising from all acts or omissions of Service Provider or its officers, agents, or employees in rendering services under this Work Order; excluding, however, such liability, claims, losses, damages or expenses arising from SAWPA's sole negligence or willful acts.
- 14. Insurance Requirements.** Service Provider shall procure and maintain for the duration of this Work Order insurance against claims for personal injuries or property damages which may arise from or relate to the performance of the work hereunder by the Service Provider, its employees, agents, subcontractors or representatives, as follows:
- A. **Commercial General Liability (CGL) - Insurance Services Office (ISO) Commercial General Liability Coverage (Occurrence Form CG 00 01)** including products and completed operations, property damage, bodily injury, personal and advertising injury with limit of at least two million dollars (\$2,000,000) per occurrence or the full per occurrence limits of the policies available, whichever is greater; provided that the coverage limit may be reduced to one million dollars (\$1,000,000) per occurrence if the total amount of the Work Order is less than \$35,000 and that reduced coverage limit is approved by SAWPA's General Manager. If a general aggregate limit applies, either the general aggregate limit shall apply separately to this project/location (coverage as broad as the ISO CG 25 03, or ISO CG 25 04 endorsement provided to SAWPA) or the general aggregate limit shall be twice the required occurrence limit.

- B. **Automobile Liability** – (if necessary) Insurance Services Office (ISO) Business Auto Coverage (Form CA 00 01), covering Symbol 1 (any auto) or if Consultant has no owned autos, Symbol 8 (hired) and 9 (non-owned) with limit of one million dollars (\$1,000,000) for bodily injury and property damage each accident.
- C. **Workers' Compensation Insurance** - as required by the State of California, with Statutory Limits, and Employer's Liability Insurance with limit of no less than \$1,000,000 per accident for bodily injury or disease.
- D. **Builders Risk (Course of Construction Insurance)** covering all risks of loss for the completed value of the project with no co-insurance penalty provisions. SAWPA shall be named as a loss payee.
 Yes No
- E. **Professional Liability Insurance** in an amount of no less than \$1,000,000 as needed for any design-build requirements of this Work Order.
 Yes No
- F. **Pollution Liability Insurance** to provide coverage for bodily injury, property damage, defense, cleanup, and related defense costs as a result of pollution conditions (sudden/accidental or gradual) arising from operations performed by or on behalf of the Service Provider. Such insurance shall have limits of no less than \$2,000,000 per occurrence and \$5,000,000 aggregate.
 Yes No
- G. **Waiver of Subrogation:** The insurer(s) named above agree to waive all rights of subrogation against SAWPA, its elected or appointed officers, officials, agents, authorized volunteers and employees for losses paid under the terms of this policy which arise from work performed by the Named Insured for SAWPA; but this provision applies regardless of whether or not SAWPA has received a waiver of subrogation from the insurer.
- H. If the Service Provider maintains broader coverage and/or higher limits than the minimums shown above, SAWPA requires and shall be entitled to the broader coverage and/or higher limits maintained by the Service Provider. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to SAWPA.
- I. **Other Required Provisions** - The Commercial General Liability policy must contain, or be endorsed to contain, the following provisions:
1. **Additional Insured Status:** SAWPA, its directors, officers, employees, and authorized volunteers are to be given insured status (at least as broad as ISO Form CG 20 10 10 01), with respect to liability arising out of work or operations performed by or on behalf of the Service Provider, including materials, parts, or equipment furnished in connection with such work or operations.
 2. **Primary Coverage:** For any claims related to this project, the Service Provider's insurance coverage shall be primary at least as broad as ISO CG 20 01 04 13 as respects to SAWPA, its directors, officers, employees and authorized volunteers. Any insurance or self-insurance maintained by SAWPA, its directors, officers, employees and authorized volunteers shall be excess of the Service Provider's insurance and shall not contribute with it.

- J. **Notice of Cancellation:** Each insurance policy required above shall provide that coverage shall not be canceled, except with notice to SAWPA.
- K. **Self-Insured Retentions** - Self-insured retentions must be declared to and approved by SAWPA. SAWPA may require the Service Provider to provide proof of ability to pay losses and related investigations, claim administration, and defense expenses within the retention. The policy language shall provide, or be endorsed to provide, that the self-insured retention may be satisfied by either the named insured or SAWPA.
- L. **Acceptability of Insurers** - Insurance is to be placed with insurers having a current A.M. Best rating of no less than A: VII or as otherwise approved by SAWPA.
- M. **Verification of Coverage** – Service Provider shall furnish SAWPA with certificates and amendatory endorsements or copies of the applicable policy language effecting coverage required by this clause. All certificates and endorsements are to be received and approved by SAWPA before work commences. However, failure to obtain the required documents prior to the work beginning shall not waive the Service Provider’s obligation to provide them. SAWPA reserves the right to require complete, certified copies of all required insurance policies, including policy Declaration pages and Endorsement pages.
- N. **Subcontractors** - Service Provider shall require and verify that all subcontractors maintain insurance meeting all the requirements stated herein, and Service Provider shall ensure that SAWPA, its directors, officers, employees and authorized are additional insureds on Commercial General Liability Coverage.

15. Right to Review Services, Facilities and Records. SAWPA reserves the right to review any portion of the services performed by the Service Provider under this Work Order, and the Service Provider agrees to cooperate to the fullest extent possible. The Service Provider shall furnish to SAWPA such reports, statistical data, and other information pertaining to the Service Provider's services as may be reasonably required by SAWPA.

The right of SAWPA to review or approve drawings, specifications, procedures, instructions, reports, test results, calculations, schedules, or other data that are developed by the Service Provider shall not relieve the Service Provider of any obligation set forth in this Work Order.

16. Permits, Ordinances and Regulations. Any and all fees required by State, County, local laws, regulations and/or tariffs that pertain to work performed under the terms of this Work Order shall be paid by the Service Provider.

17. Independent Contractor. The Service Provider, and its employees, agents and subcontractors operate as **independent contractors** and not as employees or agents of SAWPA.

18. Disputes. Contractor shall continue to perform as required by this Work Order during any dispute between SAWPA and Service Provider.

19. Timeliness. Time is of the essence for this Work Order.

20. Unenforceable Provisions. In the event that any provision of this Work Order is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this Work Order shall continue to be in full force and effect and shall not be affected thereby.

21. **Dispute Resolution.** Any dispute which may arise under this Agreement shall first be submitted to non-binding mediation. Should any dispute remain unresolved by the mediation, then the parties shall submit the dispute to binding arbitration. In the event of any litigation or arbitration between the parties to enforce any of the provisions of this Agreement or any right of any party hereto, the unsuccessful party to such litigation or arbitration agrees to pay to the successful party all such costs and expenses, including reasonable attorneys' fees, incurred therein by the successful party.

COMMISSION MEMORANDUM NO. 2022.16

DATE: March 15, 2022
TO: SAWPA Commission
SUBJECT: General Manager's Review
PREPARED BY: Jeff Mosher, General Manager

RECOMMENDATION

It is recommended that the Commission provide direction on the proposed annual performance review process for the General Manager.

DISCUSSION

Pursuant to the General Manager's employment agreement, "SAWPA agrees to undertake an annual performance review of Mosher for each year." The proposed process for accomplishing this requirement is as follows.

- At the completion of each year of service, the General Manager completes and submits a summary of accomplishments, for that year, to the Commission and General Counsel.
- Each Board Member completes a General Manager Performance Review form and submits it to the General Counsel.
- General Counsel summarizes the input from the completed General Manager Performance Review forms and provides a consolidated review to the Board Vice Chair and Secretary-Treasurer.
- The Board Vice Chair and the Secretary Treasurer meet with the General Manager to discuss the review.
- The Board discusses the review in closed session to accept the review and report out.
- The review is filed in the General Manager's personnel file.

RESOURCE IMPACTS

There is no impact on the budget unless the performance review process results in a merit increase to the General Manager, which will be funded by the labor budget.

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**Santa Ana Watershed Project Authority
2021 GENERAL MANAGER PERFORMANCE REVIEW FORM**

Board Member Name: _____ Date: _____

I. Relations with the Board

	Outstanding	Good	Fair	Poor
Maintains effective and open lines of communication with the Board as a body and with individual Board members	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Keeps the Board apprised of all ongoing and current situations involving SAWPA business	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Exercises sound judgment when advising the Board	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Composite Score: Relations with the Board	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please Enter Comments Below:



Santa Ana Watershed Project Authority
2021 GENERAL MANAGER PERFORMANCE REVIEW FORM

II. Decision Making

	Outstanding	Good	Fair	Poor
Anticipates needs and recognizes potential problems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Proposes effective solutions and provides alternatives	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Provides the Board with all information necessary to make sound decisions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Composite Score: Decision Making	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please Enter Comments Below:



**Santa Ana Watershed Project Authority
2021 GENERAL MANAGER PERFORMANCE REVIEW FORM**

III. Organizational Skills

	Outstanding	Good	Fair	Poor
Exhibits the ability to organize work and efficiently apply resources	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Exhibits the ability to envision and develop creative and effective solutions to problems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Obtains the best possible end-result for the money	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Builds a smooth-running and effective management team	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fosters free and open communication among employees	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Composite Score: Organizational Skills	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please Enter Comments Below:



**Santa Ana Watershed Project Authority
2021 GENERAL MANAGER PERFORMANCE REVIEW FORM**

IV. Budget and Finance

	Outstanding	Good	Fair	Poor
Keeps the Board informed as to SAWPA's financial condition in a timely manner	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Maintains a good awareness of the financial condition of SAWPA on an ongoing basis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Designs management practices and policies to maintain a sound long-term financial position	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Suggests and pursues creative solutions to financial issues	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Composite Score: Budget and Finance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please Enter Comments Below:

V. Community Relations



**Santa Ana Watershed Project Authority
2021 GENERAL MANAGER PERFORMANCE REVIEW FORM**

	Outstanding	Good	Fair	Poor
Is approachable, available and visible to stakeholders and community members	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Communicates well with stakeholders and community members	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fosters positive relationships with organizations and community leaders to enhance communication and project a positive public image	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Composite Score: Community Relations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please Enter Comments Below:



**Santa Ana Watershed Project Authority
2021 GENERAL MANAGER PERFORMANCE REVIEW FORM**

VI. Management Skills

	Outstanding	Good	Fair	Poor
Is a good negotiator	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Is a good communicator both inside and outside the organization	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Follows through in a timely manner on commitments and requests	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Handles employees well in difficult situations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Inspires a shared vision and enlists staff and Board support	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Enables others to act by creating an atmosphere of trust and collaboration	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Is honest and ethical in the performance of his duties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Composite Score: Management Skills	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please Enter Comments Below:



**Santa Ana Watershed Project Authority
2021 GENERAL MANAGER PERFORMANCE REVIEW FORM**

VII. Board Member Observations

The things I am most satisfied with concerning the General Manager's performance are:

The things I would most like to see the General Manager improve upon are:

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Santa Ana Watershed Project Authority
Cash Transaction Report
Month of January 2022

Below is a summary of cash transactions completed during the month in the Authority's checking account with US Bank. Attached are summaries by major revenue and expense classifications.

Cash Receipts and Deposits to Account	\$ 1,181,537.01
Net Investment Transfers	512,739.33
Cash Disbursements	<u>(781,731.27)</u>
Net Change for Month	\$ 912,545.07
Balance at Beginning of Month	<u>1,054,606.37</u>
Balance at End of Month per General Ledger	<u>\$ 1,967,151.44</u>
Collected Balance per Bank Statement	\$ 2,002,081.23

ACCOUNTS PAYABLE RECONCILIATION

Accounts Payable Balance @ 12/31/2021	\$ 5,584,452.67
Invoices Received for January 2022	1,180,599.84
Invoices Paid by check/wire during January 2022 (see attached register)	<u>(509,125.56)</u>
Accounts Payable Balance @ 01/31/2022	<u>\$ 6,255,926.95</u>

CASH RECEIPTS

Brine Line Operating Revenues	\$ 1,057,021.35
Participant Fees	106,495.42
LESJWA Admin Reimbursement	18,020.24
Other	
	<hr/>
Total Receipts and Deposits	\$ 1,181,537.01

INVESTMENT TRANSFERS

Transfer of Funds:	
From (to) US Bank	\$ -
From (to) LAIF	-
From (to) Legal Defense Fund	-
From (to) LESJWA	-
From (to) Investments	512,739.33
	<hr/>
Total Investment Transfers	\$ 512,739.33

CASH DISBURSEMENTS

By Check:	
Payroll	\$ -
Operations	509,125.56
	<hr/>
Total Checks Drawn	\$ 509,125.56
By Cash Transfer:	
Payroll	\$ 174,485.00
Payroll Taxes	92,782.05
Take Care (AFLAC)	5,338.66
	<hr/>
Total Cash Transfers	\$ 272,605.71
Total Cash Disbursements	<u>\$ 781,731.27</u>

Santa Ana Watershed Project Authority
Check Detail
Jan-22

Category	Check #	Check Date	Type	Vendor	Check Amount
Audit Fees	5114	1/13/2022	CHK	Teaman, Ramirez & Smith, Inc	\$ 18,750.00
Audit Fees Total					\$ 18,750.00
Banking Fees	WDL000005611	1/14/2022	WDL	US Bank	\$ 1,084.72
Banking Fees Total					\$ 1,084.72
Benefits	5102	1/6/2022	CHK	Cal PERS Long Term Care Program	\$ 462.22
Benefits	5112	1/13/2022	CHK	Cal PERS Long Term Care Program	\$ 924.44
Benefits	5120	1/20/2022	CHK	AFLAC	\$ 336.01
Benefits	5123	1/20/2022	CHK	WageWorks	\$ 122.00
Benefits	5124	1/20/2022	CHK	Mutual Of Omaha	\$ 2,783.04
Benefits	5128	1/27/2022	CHK	Cal PERS Long Term Care Program	\$ 231.11
Benefits	EFT04273	1/6/2022	CHK	Vantagepoint Transfer Agents	\$ 8,028.42
Benefits	EFT04278	1/6/2022	CHK	Vantagepoint Transfer Agents	\$ 473.41
Benefits	EFT04285	1/13/2022	CHK	ACWA/JPIA	\$ 44,019.92
Benefits	EFT04286	1/13/2022	CHK	Vantagepoint Transfer Agents	\$ 3,644.49
Benefits	EFT04293	1/13/2022	CHK	Vantagepoint Transfer Agents	\$ 407.23
Benefits	EFT04306	1/27/2022	CHK	Vantagepoint Transfer Agents	\$ 3,736.49
Benefits	EFT04311	1/27/2022	CHK	Vantagepoint Transfer Agents	\$ 407.23
Benefits	VOID	1/3/2022	VOID	Public Employees' Retirement	\$ (9,175.68)
Benefits	P042249	1/13/2022	WDL	CalPERS Supplemental Income	\$ 5,309.11
Benefits	P042250	1/13/2022	WDL	Public Employees' Retirement	\$ 20,863.67
Benefits	P042318	1/27/2022	WDL	CalPERS Supplemental Income	\$ 6,312.60
Benefits	P042319	1/27/2022	WDL	Public Employees' Retirement	\$ 20,863.67
Benefits	WDL000005595	1/5/2022	WDL	Takecare	\$ 192.30
Benefits	WDL000005598	1/10/2022	WDL	Takecare	\$ 576.90
Benefits	WDL000005603	1/11/2022	WDL	Takecare	\$ 145.35
Benefits	WDL000005607	1/18/2022	WDL	Takecare	\$ 1,335.81
Benefits	WDL000005609	1/19/2022	WDL	Takecare	\$ 643.30
Benefits	WDL000005616	1/31/2022	WDL	Takecare	\$ 2,445.00
Benefits Total					\$ 115,088.04
Building Lease	5129	1/27/2022	CHK	Wilson Property Services, Inc	\$ 1,984.00
Building Lease	5130	1/27/2022	CHK	Wilson Property Services, Inc	\$ 1,890.00
Building Lease Total					\$ 3,874.00
CEQA	EFT04320	1/27/2022	CHK	Catalyst Environmental Solutions	\$ 7,830.00
CEQA Total					\$ 7,830.00
Cloud Storage	EFT04302	1/20/2022	CHK	Accent Computer Solutions Inc	\$ 1,468.64
Cloud Storage Total					\$ 1,468.64
Consulting	5116	1/13/2022	CHK	Blais & Associates	\$ 1,072.50
Consulting	EFT04279	1/6/2022	CHK	Integrated Systems Solutions	\$ 370.00
Consulting	EFT04281	1/6/2022	CHK	Woodard & Curran Inc.	\$ 8,154.50
Consulting	EFT04284	1/6/2022	CHK	Kahn Soares & Conway	\$ 8,250.00
Consulting	EFT04290	1/13/2022	CHK	Santa Ana Watershed Association	\$ 96.21
Consulting	EFT04292	1/13/2022	CHK	CDM Smith, Inc.	\$ 31,844.02
Consulting	EFT04294	1/13/2022	CHK	Integrated Systems Solutions	\$ 925.00
Consulting	EFT04297	1/13/2022	CHK	GEI Consultants	\$ 17,445.17
Consulting	EFT04301	1/20/2022	CHK	Integrated Systems Solutions	\$ 129.50
Consulting	EFT04302	1/20/2022	CHK	Accent Computer Solutions Inc	\$ 2,786.40
Consulting	EFT04309	1/27/2022	CHK	West Coast Advisors	\$ 9,750.00
Consulting	EFT04312	1/27/2022	CHK	Integrated Systems Solutions	\$ 333.00
Consulting	EFT04314	1/27/2022	CHK	California Rural Water Association	\$ 13,884.00
Consulting	EFT04315	1/27/2022	CHK	Raftelis Financial Consultants	\$ 7,202.50
Consulting	EFT04319	1/27/2022	CHK	West Yost & Associates, Inc.	\$ 9,865.50
Consulting Total					\$ 112,108.30
Credit Cards	P042281	1/24/2022	WDL	US Bank	\$ 13,977.71
Credit Cards Total					\$ 13,977.71
Director Costs	EFT04305	1/27/2022	CHK	Eastern Municipal Water District	\$ 460.00
Director Costs	EFT04313	1/27/2022	CHK	Jasmin Hall	\$ 29.12
Director Costs Total					\$ 489.12
Dues	5096	1/6/2022	CHK	ACWA/JPIA	\$ 23,705.00
Dues	5107	1/6/2022	CHK	California Water Efficiency Partnership	\$ 875.00
Dues	5108	1/6/2022	CHK	The Water Research Foundation	\$ 3,137.00
Dues Total					\$ 27,717.00
Employee Reimbursement	EFT04280	1/6/2022	CHK	Jeffrey J. Mosher	\$ 519.41

Santa Ana Watershed Project Authority
Check Detail
Jan-22

Category	Check #	Check Date	Type	Vendor	Check Amount
Employee Reimbursement	EFT04287	1/13/2022	CHK	Mark Norton	\$ 97.86
Employee Reimbursement	EFT04307	1/27/2022	CHK	Mark Norton	\$ 52.14
Employee Reimbursement Total					\$ 669.41
Equipment Rented	EFT04288	1/13/2022	CHK	Konica Minolta Business Solutions	\$ 678.07
Equipment Rented Total					\$ 678.07
Facility Repair & Maintenance	5115	1/13/2022	CHK	TNT Elevator Inc	\$ 272.00
Facility Repair & Maintenance	EFT04282	1/6/2022	CHK	RivCo Mechanical Services	\$ 1,867.02
Facility Repair & Maintenance	EFT04283	1/6/2022	CHK	Pasco Doors	\$ 370.00
Facility Repair & Maintenance	EFT04291	1/13/2022	CHK	Golden State Fire Protection	\$ 650.00
Facility Repair & Maintenance	EFT04296	1/13/2022	CHK	Riverside Cleaning	\$ 1,625.00
Facility Repair & Maintenance	EFT04303	1/20/2022	CHK	Douglas Environmental Group	\$ 2,240.00
Facility Repair & Maintenance Total					\$ 7,024.02
Insurance Expense	5117	1/13/2022	CHK	Zenith Insurance Company	\$ 5,671.00
Insurance Expense Total					\$ 5,671.00
Lab Costs	EFT04277	1/6/2022	CHK	E. S. Babcock & Sons, Inc.	\$ 3,178.60
Lab Costs	EFT04289	1/13/2022	CHK	E. S. Babcock & Sons, Inc.	\$ 748.00
Lab Costs	EFT04299	1/20/2022	CHK	E. S. Babcock & Sons, Inc.	\$ 1,538.10
Lab Costs	EFT04310	1/27/2022	CHK	E. S. Babcock & Sons, Inc.	\$ 1,052.60
Lab Costs Total					\$ 6,517.30
Landscape Maintenance	EFT04300	1/20/2022	CHK	Green Meadows Landscape	\$ 720.00
Landscape Maintenance Total					\$ 720.00
Legal	EFT04298	1/13/2022	CHK	Lagerlof, LLP	\$ 3,618.00
Legal Total					\$ 3,618.00
Materials & Supplies	5106	1/6/2022	CHK	Tripac Marketing Inc.	\$ 61.77
Materials & Supplies Total					\$ 61.77
Office Expense	5105	1/6/2022	CHK	Staples Business Advantage	\$ 141.94
Office Expense	5125	1/27/2022	CHK	Aramark Refreshment Services	\$ 289.81
Office Expense	EFT04275	1/6/2022	CHK	Konica Minolta Business Solutions	\$ 184.24
Office Expense	EFT04308	1/27/2022	CHK	Konica Minolta Business Solutions	\$ 64.92
Office Expense Total					\$ 680.91
Payroll	WDL000005597	1/14/2022	WDL	Direct Deposit 1/14/2022	\$ 77,800.26
Payroll	WDL000005599	1/14/2022	WDL	PR Tax - Federal	\$ 35,011.53
Payroll	WDL000005600	1/14/2022	WDL	PR Tax - State	\$ 7,508.98
Payroll	WDL000005601	1/14/2022	WDL	PR Tax - State AZ	\$ 116.78
Payroll	WDL000005602	1/12/2022	WDL	PR Tax - State	\$ 537.30
Payroll	WDL000005610	1/28/2022	WDL	Direct Deposit 1/28/2022	\$ 96,684.74
Payroll	WDL000005612	1/28/2022	WDL	PR Tax - Federal	\$ 40,424.67
Payroll	WDL000005613	1/28/2022	WDL	PR Tax - State	\$ 8,905.23
Payroll	WDL000005614	1/28/2022	WDL	PR Tax - State AZ	\$ 277.56
Payroll Total					\$ 267,267.05
Payroll Garnishment	5110	1/6/2022	CHK	Riverside County Sheriff	\$ 631.84
Payroll Garnishment	5118	1/13/2022	CHK	Riverside County Sheriff	\$ 634.47
Payroll Garnishment	5131	1/27/2022	CHK	Riverside County Sheriff	\$ 634.48
Payroll Garnishment Total					\$ 1,900.79
Safety	EFT04276	1/6/2022	CHK	Underground Service Alert	\$ 256.83
Safety Total					\$ 256.83
Shipping/Postage	5098	1/6/2022	CHK	General Logistics Systems US	\$ 40.62
Shipping/Postage	5121	1/20/2022	CHK	General Logistics Systems US	\$ 20.31
Shipping/Postage	5126	1/27/2022	CHK	General Logistics Systems US	\$ 6.77
Shipping/Postage Total					\$ 67.70
Software	EFT04274	1/6/2022	CHK	Konica Minolta Business Solutions	\$ 21,158.63
Software	EFT04295	1/13/2022	CHK	Accent Computer Solutions Inc	\$ 99.99
Software	EFT04302	1/20/2022	CHK	Accent Computer Solutions Inc	\$ 1,824.90
Software Total					\$ 23,083.52
Spill Costs	5122	1/20/2022	CHK	WEKA Inc	\$ 135,914.80
Spill Costs	EFT04304	1/20/2022	CHK	Houston & Harris PCS, Inc.	\$ 15,017.50
Spill Costs Total					\$ 150,932.30
Subscriptions	5109	1/6/2022	CHK	Verizon Connect Fleet USA	\$ 104.70

Santa Ana Watershed Project Authority
Check Detail
Jan-22

Category	Check #	Check Date	Type	Vendor	Check Amount
Subscriptions Total					\$ 104.70
Training	5119	1/13/2022	CHK	Hyland Software, Inc.	\$ 3,300.00
Training Total					\$ 3,300.00
Utilities	5097	1/6/2022	CHK	Riverside, City of	\$ 106.33
Utilities	5099	1/6/2022	CHK	AT&T	\$ 1,018.26
Utilities	5100	1/6/2022	CHK	AT&T	\$ 840.49
Utilities	5101	1/6/2022	CHK	AT&T	\$ 205.69
Utilities	5103	1/6/2022	CHK	Southern California Edison	\$ 24.03
Utilities	5104	1/6/2022	CHK	Southern California Edison	\$ 158.01
Utilities	5111	1/13/2022	CHK	Riverside, City of	\$ 1,949.57
Utilities	5113	1/13/2022	CHK	Burrtec Waste Industries, Inc	\$ 177.87
Utilities	5127	1/27/2022	CHK	AT&T	\$ 967.97
Utilities	EFT04316	1/27/2022	CHK	Verizon Wireless Services LLC	\$ 880.63
Utilities	EFT04317	1/27/2022	CHK	Verizon Wireless Services LLC	\$ 130.61
Utilities	EFT04318	1/27/2022	CHK	Verizon Wireless Services LLC	\$ 330.91
Utilities Total					\$ 6,790.37
Grand Total					\$ 781,731.27

	Accounts Payable
Checks	\$ 449,889.76
Wire Transfers	\$ 59,235.80
	<u>\$ 509,125.56</u>

Take Care	\$ 5,338.66
Other	\$ -
Payroll	\$ 267,267.05
	<u>\$ 781,731.27</u>

Total Disbursements for January 2022

Santa Ana Watershed Project Authority
Consulting
Jan-22

Check #	Check Date	Task #	Task Description	Vendor Name	Total Contract	Check Amount	Remaining Contract Amount	Notes/Comments	
EFT04302	1/20/2022	ACS100-23	IT Services	Accent Computer Solutions	\$ 107,375.00	\$ 2,786.40	\$ 76,654.56		
5116	1/13/2022	BLAIS370-02	Grant Needs Assessment & Grant Monitoring	Blais & Associates	\$ 24,700.00	\$ 1,072.50	\$ 896.56	Closed	
EFT04314	1/27/2022	CRWA WO2022-29	Grant Preparation DCI - Phase 1	California Rural Water Association	\$ 25,000.00	\$ 13,884.00	\$ 0.05		
EFT04292	1/13/2022	CDM386-15	Regional Bacteria Monitoring Program	CDM Smith	\$ 415,453.00	\$ 2,290.06	\$ 8,420.08	Closed	
EFT04292	1/13/2022	CDM386-16	Implementation of SAR Regional Bacteria Monitoring Program	CDM Smith	\$ 1,070,535.00	\$ 29,553.96	\$ 789,321.68		
EFT04297	1/13/2022	GEI386-01	Homeless Encampment - Phase 1A Water Quality Monitoring	GEI Consultants	\$ 119,514.00	\$ 17,445.17	\$ 47,942.64		
EFT04279	1/6/2022	INSOL100-17	Great Plains and Jourmyx Support	Integrated Systems Solutions	\$ 4,750.00	\$ 370.00	\$ 1,438.50		
EFT04294	1/13/2022	INSOL100-17	Great Plains and Jourmyx Support	Integrated Systems Solutions	\$ 4,750.00	\$ 925.00	\$ 1,438.50		
EFT04301	1/20/2022	INSOL100-17	Great Plains and Jourmyx Support	Integrated Systems Solutions	\$ 4,750.00	\$ 129.50	\$ 1,438.50		
EFT04312	1/27/2022	INSOL100-17	Great Plains and Jourmyx Support	Integrated Systems Solutions	\$ 4,750.00	\$ 333.00	\$ 1,438.50		
EFT04284	1/6/2022	KSC374-02	Basin Monitoring Program TF Regulatory Support	Kahn, Soares, & Conway	\$ 116,000.00	\$ 7,372.50	\$ 88,385.00		
EFT04284	1/6/2022	KSC392-02	Emerging Constituents Program TF Regulatory Support	Kahn, Soares, & Conway	\$ 46,500.00	\$ 877.50	\$ 41,367.50		
EFT04315	1/27/2022	RFC240-02	Inland Empire Brine Line Reserve Policy	Raftelis Financial Consultants	\$ 50,985.00	\$ 7,202.50	\$ 18,938.75		
EFT04290	1/13/2022	SAWA381-01	Van Buren Bridge Sucker Restoration Project	Santa Ana Watershed Association	\$ 28,976.67	\$ 96.21	\$ 9,030.67		
EFT04309	1/27/2022	WCA100-03-04	State Legislative Consulting Services	West Coast Advisors	\$ 240,000.00	\$ 9,750.00	\$ 103,500.00		
EFT04319	1/27/2022	WEST374-01	Workplan for Basin Monitoring Program TF Planning Priorities	West Yost & Associates	\$ 339,102.00	\$ 9,865.50	\$ 250,358.25		
EFT04281	1/6/2022	RMC504-401-08	SARCCUP Program Mgmt. Services	Woodard & Curran	\$ 132,872.00	\$ 8,154.50	\$ 100,872.25		
					\$ 112,108.30				

COMMISSION MEMORANDUM NO. 2022.17

DATE: March 15, 2022
TO: SAWPA Commission
SUBJECT: Inter-Fund Borrowing – January 2022
PREPARED BY: Karen Williams, DGM/CFO

RECOMMENDATION

It is recommended that the Commission receive and file the informational report on short-term, cash-flow inter-fund borrowing.

DISCUSSION

On December 13, 2005, the Commission approved Resolution No. 452, Inter-Fund and Inter-Project Loan Policy. Staff was directed to bring back an accounting of the loans each month for review when the total exceeded \$250,000 in aggregate.

The following projects, with negative cash flow, are listed below with the amounts borrowed from SAWPA General Fund Reserves in January 2022. The total amount borrowed is over the aggregate \$250,000 amount recommended in Resolution No. 452, Inter-Fund and Inter-Project Loan Policy. The Commission has requested that this item be brought back each month as an informational item when the loan amount is over the \$250,000 aggregate amount.

Fund	Fund Name	12/31/2021 Balance	Loan Receipts	New Charges	01/31/2022 Balance
135	Proposition 84 Admin R2	86,061.35	(0.00)	0.00	86,061.35
145	Proposition 84 Admin R4	369,656.14	(0.00)	20,520.93	390,177.07
150	Proposition 1 – Admin	64,094.61	(0.00)	9,235.04	73,329.65
397	Energy – Water DAC	5,776.38	(0.00)	2,029.69	7,806.07
398	Proposition 1 – DACI Grant	47,914.96	(0.00)	(74.06)	47,840.90
477	LESJWA Administration	16,689.15	(18,020.24)	21,097.29	19,766.20
504	Prop 84 – Round I & II	(50.01)	0.00	50.00	(0.01)
	Total Funds Borrowed	\$590,142.58	(\$18,020.24)	\$52,858.89	\$624,981.23
	General Fund Reserves Balance		\$2,373,541.26		
	Less Amount Borrowed		<u>624,931.23</u>		
	Balance of General Fund Reserves		\$1,748,610.03		

The following table lists each fund that has a negative cash flow, the source of funding for the fund, how often the fund is billed, and the projected rate of payment for the fund.

NEGATIVE CASH-FLOW FUNDS

Fund No.	Source of Funding	Billing Frequency	Projected Payment Time
135, 145,150 – Proposition 1 & 84 Admin	DWR – Prop 1 & 84 Grant	Monthly/Quarterly	Up to 4 months
397 – Energy – Water DAC	City of Riverside Grant	Quarterly	Up to 4 months
398 – Proposition 1 – DACI Grant	DWR – Prop 1 Grant	Monthly	Up to 4 months
477 – LESJWA Admin	Reimbursement from LESJWA	Monthly	2 to 4 weeks
504 – Proposition 84 SARCCUP Projects	DWR – Prop 84 Grant	Monthly/Quarterly	Up to 4 months

Fund 135

This fund is for the administration of Proposition 84 Round II grant funds. These funds will be billed quarterly and 10% will be withheld for retention.

Fund 145

This fund is for the administration of Proposition 84 Round 2015 grant funds. These funds will be billed quarterly and 10% will be withheld for retention.

Fund 150

This fund is for the administration of Proposition 1 grant funds. Once the contract has been signed by DWR these funds will be billed quarterly and 10% will be withheld for retention.

Fund 397

This fund is for the transformative climate communities grant provided by a sub-recipient agreement between SAWPA and the City of Riverside. These funds will be billed on a quarterly basis.

Fund 398

This fund is for the Proposition 1 DACI grant project. These funds will be billed monthly and 10% will be withheld for retention.

Fund 477

Each month LESJWA is billed the cost for administering the JPA. Once the bill is received, LESJWA submits payment within two weeks.

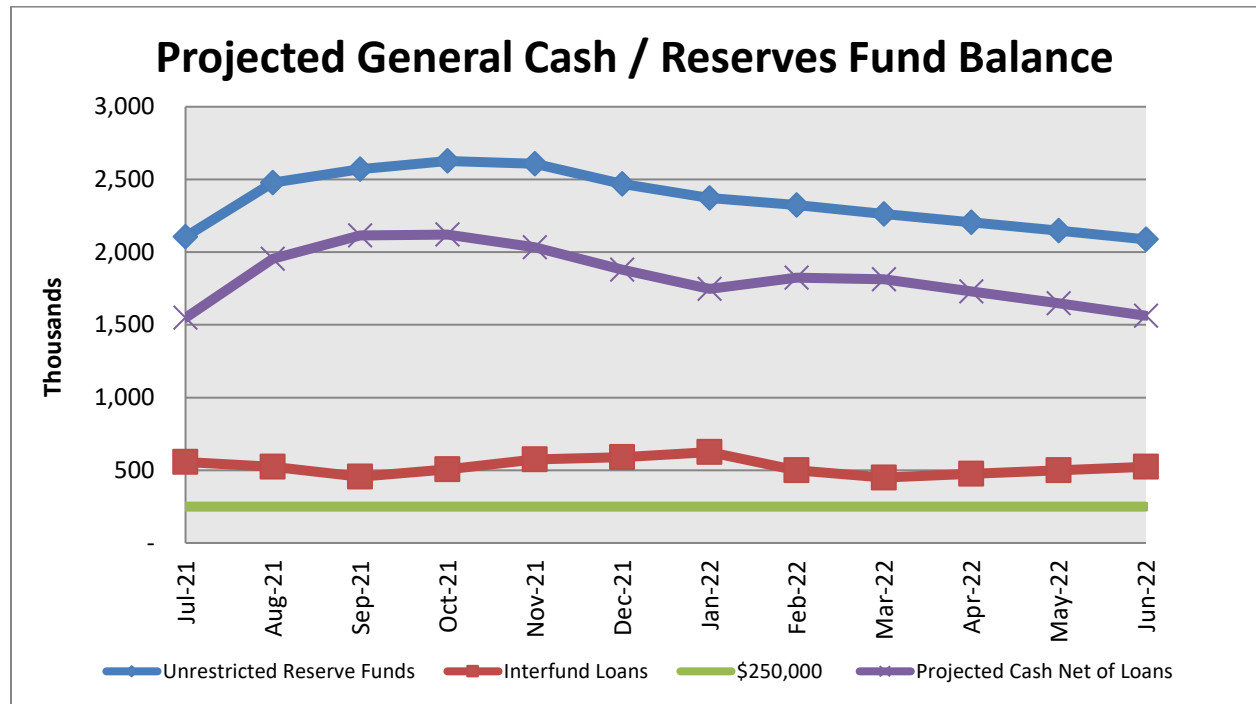
Fund 504

This fund is for the implementation of SARCCUP projects which are administered through PA22 and PA23.

The following graph shows the total budget, total project costs to date, and the amount remaining on each grant.

Fund	Fund Name	Total Budget	Costs Through 01/31/2022	Remaining Grant Budget
145	Proposition 84 Admin R4	\$3,213,384	\$(1,247,034)	\$1,966,350
150	Proposition 1 Admin	1,157,000	(158,300)	998,700
398	Proposition 1 – DACI Grant	6,300,000	(6,300,000)	-
504	Prop 84 – 2015 Round (SARCCUP)	1,543,810	(834,335)	709,475
505	Prop 1 – Round 1 Capital Projects	500,000	(223,297)	276,703
Totals		\$12,714,194	(\$8,762,966)	\$3,951,228

The following graph shows projected inter-fund loan balances, total unrestricted General Fund Reserves available for loans, and projected cash net of loans through June 2022. The projected loan balance is expected to remain over the \$250,000 aggregate limit through June 2022 because of Proposition 1 and 84 grants but can be covered by General Fund Reserves without a major impact on cash flow.



RESOURCE IMPACTS

The funds borrowed from the General Fund Reserves will be paid back with interest when the funding is received. Interfund loans for grants are not charged interest unless the grant contracts specifically states that interest is eligible for reimbursement. There is sufficient cash available to cover proposed borrowings and to pay budgeted expenditures for the General Fund.

Attachments:

1. Resolution No. 452 | Amending the Inter-Fund, Inter-Project and Inter-Agency Loan Policy

RESOLUTION NO. 452

A RESOLUTION OF THE COMMISSION OF THE SANTA ANA WATERSHED PROJECT AUTHORITY AMENDING THE INTER-FUND, INTER-PROJECT AND INTER-AGENCY LOAN POLICY

WHEREAS, the Commission of the Santa Ana Watershed Project Authority (hereafter "SAWPA") previously adopted, by minute action taken on August 3, 1996, an "Inter-Fund/Inter-Project Loan Policy" to regulate loans from one SAWPA Fund or Project to another SAWPA Fund or Project; and

WHEREAS, the Commission desires to amend the "Inter-Fund Fund/Project Loan Policy" by formally adopting such Policy, by way of this Resolution, regulating how and in what manner such inter-fund or inter-project loans are to take place and mandating that all such loans require Commission approval in advance as contemplated by the policy adopted on August 3, 1996.

NOW, THEREFORE, BE IT RESOLVED that the Commission of the Santa Ana Watershed Project Authority hereby amends the following Loan Policy for any loan from one SAWPA Fund or Project to another SAWPA Fund or Project:

1. Loans from any SAWPA Fund or Project to another SAWPA Fund, Project or another public agency shall be approved in advance by the SAWPA Commission. The approval shall be in written format and include documentation of the specifics of the transaction. The approval shall include a finding that the loan will not expose the lending SAWPA Fund or Project to significant financial or operational risk.
2. Unless otherwise provided for by the Commission, the borrowing Fund, Project or public agency shall be required to repay the loan within a specific period of time and at a rate of interest as determined by the Commission. For the purposes of this policy, SAWPA's calculated quarterly rate of return may be used as the basis for interest payable on the outstanding principal for any loan. The period for repayment of the loan shall be determined by the Commission, but shall be no longer than the life of the lending Fund or Project.
3. The borrowing Fund's, Project's or public agency's repayment source shall be identified and included in the approval action by the Commission and the "loan documentation". The "loan documentation" shall include a written agreement, resolution or other document approved by the Commission setting forth all of the foregoing terms and conditions.

4. Loans to reimbursable SAWPA grant contract projects and related efforts for short-term (i.e., current fiscal year) operating cash flow purposes may be borrowed from the SAWPA General Fund Reserve without prior Commission approval. But all such loans shall be reported to the Commission within 30 days of each such loan. Such loans shall be paid off on a continuous basis. The total funds loaned for all such grant contract projects and related efforts shall not exceed \$250,000.00 in the aggregate for each fiscal year, without prior written approval by the Commission. Payment of interest will be based on the actual interest that would have been earned by the SAWPA General Fund Reserve had those funds not been borrowed. Cash flow and receivables will be reported at least quarterly to forecast needs and demonstrate compliance.
5. Prior to June 30th of each year, staff shall provide to the Commission an annual written report of all such Inter-fund, Inter-project or Inter-agency loans, amounts repaid and any outstanding loan balances.

ADOPTED this 13th day of December 2005.

SANTA ANA WATERSHED PROJECT AUTHORITY

By: *Mark W. Bulot*
Mark Bulot, Chair



COMMISSION MEMORANDUM NO. 2022.18

DATE: March 15, 2022
TO: SAWPA Commission
SUBJECT: Performance Indicators and Financial Reporting – January 2022
PREPARED BY: Karen Williams, DGM/CFO

RECOMMENDATION

It is recommended that the Commission receive and file staff's report.

DISCUSSION

The attached reports have been developed to keep the Commission informed as to SAWPA's business and budget performance. These reports are categorized into the following groups: financial reporting, cash and investments, and performance indicators. They are explained in detail below. As new reports are developed, they will be added for the Commission's review.

Financial Reporting

Balance Sheet by Fund Type	Lists total assets, liabilities, and equity by fund type for a given period.
Revenue & Expense by Fund Type	Lists total revenue and expenses by fund type for a given period.
Receivables Management	Shows total outstanding accounts receivable by age.
Open Task Order Schedule	Shows SAWPA's total outstanding obligation for open task orders.
List of SAWPA Funds	Shows each SAWPA Fund with the fund description and fund group.
Debt Service Funding Analysis	Shows total annual income by source used to make debt service payments through debt maturity at FYE 2048.
Debt Service Payment Schedule	Shows total debt service interest and principal payments through debt maturity at FYE 2048.

Cash and Investments

Total Cash and Investments (chart)	Shows the changes in cash and investments balance for the last twelve months.
Cash Balance & Source of Funds	Shows total cash and investments for all SAWPA funds and the types of investments held for each fund.
Cash & Investments (pie chart)	Shows total cash and investments for all SAWPA funds and the percentage of each investment type.
Reserve Account Analysis	Shows changes to each reserve account for the year and projected ending balance for each.

Twelve Month Security Schedule (chart)	Shows the maturity dates for securities held and percentage of securities in each category.
Treasurer's Report	Shows book and market value for both Treasury strips and securities held by the Agency.

Performance Indicators

Average Daily Flow by Month	Shows total flow in the Brine Line System by month compared to total treatment capacity owned. This is an indicator of the available capacity in the line. As we add yearly flows, it will show trends in flow throughout the year.
Summary of Labor Multipliers	Summarizes the information generated from the following two reports and compares the actual benefit and Indirect Cost Allocation rates to the total budgeted rates.
General Fund Costs	Lists total Fund No. 100 costs to date and the amount of those costs recovered through the Indirect Cost Allocation and member contributions.
Benefit Summary	Lists total employee benefit costs actual to budget and projects them through the end of the year. This report compares how the actual benefit rate compares to the budgeted rate.
Labor Hours Budget vs. Actual	Shows total budgeted hours for each project and compares them to the actual hours charged to each.

RESOURCE IMPACTS

Staff expects minimal impacts to SAWPA or its member agencies related to this effort.

Attachments:

- | | |
|---------------------------------------|---|
| 1. Balance Sheet by Fund Type | 10. Reserve Account Analysis |
| 2. Revenue & Expense by Fund Type | 11. Twelve-Month Maturity Schedule - Securities |
| 3. Accounts Receivable Aging Report | 12. Treasurer's Report |
| 4. Open Task Order Schedule | 13. Average Daily Flow by Month |
| 5. List of SAWPA Funds | 14. Summary of Labor Multipliers |
| 6. Debt Service Funding Analysis | 15. General Fund Costs |
| 7. Debt Service Payment Schedule | 16. Benefits |
| 8. Total Cash and Investments (chart) | 17. Labor Hours Budgeted vs. Actual |
| 9. Cash Balance & Source of Funds | |

Santa Ana Watershed Project Authority
Balance Sheet by Fund Type
For the Six Months Ending Friday, December 31, 2021

	General Fund	Brine Line Enterprise	Capital Projects	OWOW Projects	Roundtable Projects	Fund Totals
Assets						
Current Assets						
Cash and Investments	\$3,213,973.29	\$52,533,060.42	(\$3,192.11)	\$1,956,289.63	\$2,855,703.19	\$60,555,834.42
Accounts Receivable	(96.00)	2,013,865.01	0.00	6,296,500.84	79,337.74	8,389,607.59
Prepays and Deposits	31,504.61	51,058.45	0.00	0.00	0.00	82,563.06
Total Current Assets	<u>3,245,381.90</u>	<u>54,597,983.88</u>	<u>(3,192.11)</u>	<u>8,252,790.47</u>	<u>2,935,040.93</u>	<u>69,028,005.07</u>
Fixed Assets						
Property, Plant & Equipment						
less accum depreciation	1,393,042.92	75,369,135.94	0.00	0.00	0.00	76,762,178.86
Work In Process	0.00	0.00	389,473.60	0.00	0.00	389,473.60
Total fixed assets	<u>1,393,042.92</u>	<u>75,369,135.94</u>	<u>389,473.60</u>	<u>0.00</u>	<u>0.00</u>	<u>77,151,652.46</u>
Other Assets						
Wastewater treatment/disposal						
rights, net of amortization	0.00	22,187,900.45	0.00	0.00	0.00	22,187,900.45
Inventory - Mitigation Credits	0.00	0.00	0.00	0.00	1,910,560.00	1,910,560.00
Total Other Assets	<u>0.00</u>	<u>22,187,900.45</u>	<u>0.00</u>	<u>0.00</u>	<u>1,910,560.00</u>	<u>24,098,460.45</u>
Total Assets	<u><u>\$4,638,424.82</u></u>	<u><u>\$152,155,020.27</u></u>	<u><u>\$386,281.49</u></u>	<u><u>\$8,252,790.47</u></u>	<u><u>\$4,845,600.93</u></u>	<u><u>\$170,278,117.98</u></u>
Liabilities and Fund Equity						
Current Liabilities						
Accounts Payable/Accrued Expenses	\$702,286.52	\$993,132.74	\$0.00	\$5,306,172.85	\$98,748.83	\$7,100,340.94
Accrued Interest Payable	0.00	221,831.90	0.00	0.00	0.00	221,831.90
Customer Deposits	0.00	17,856.22	0.00	0.00	467,087.42	484,943.64
Noncurrent Liabilities						
Long-term Debt	2,271,166.00	23,828,665.23	0.00	0.00	0.00	26,099,831.23
Deferred Revenue	0.00	62,678,671.50	0.00	0.00	0.00	62,678,671.50
Total Liabilities	<u>2,973,452.52</u>	<u>87,740,157.59</u>	<u>0.00</u>	<u>5,306,172.85</u>	<u>565,836.25</u>	<u>96,585,619.21</u>
Fund Equity						
Contributed Capital	0.00	20,920,507.03	0.00	0.00	0.00	20,920,507.03
Retained Earnings	2,305,948.25	43,842,307.95	389,473.60	2,071,139.48	3,797,623.73	52,406,493.01
Revenue Over/Under Expenditures	(640,975.95)	(347,952.30)	(3,192.11)	875,478.14	482,140.95	365,498.73
Total Fund Equity	<u>1,664,972.30</u>	<u>64,414,862.68</u>	<u>386,281.49</u>	<u>2,946,617.62</u>	<u>4,279,764.68</u>	<u>73,692,498.77</u>
Total Liabilities & Fund Equity	<u><u>\$4,638,424.82</u></u>	<u><u>\$152,155,020.27</u></u>	<u><u>\$386,281.49</u></u>	<u><u>\$8,252,790.47</u></u>	<u><u>\$4,845,600.93</u></u>	<u><u>\$170,278,117.98</u></u>

Santa Ana Watershed Project Authority
Revenue & Expenses by Fund Type
For the Six Months Ending Friday, December 31, 2021

	General Fund	Brine Line Enterprise	Capital Projects	OWOW Projects	Roundtable Projects	Fund Totals
Operating Revenue						
Discharge Fees	\$0.00	\$6,224,881.61	\$0.00	\$0.00	\$0.00	\$6,224,881.61
Grant Proceeds	0.00	0.00	0.00	(2,358,110.88)	0.00	(2,358,110.88)
Financing Proceeds	0.00	0.00	0.00	0.00	102,566.27	102,566.27
Total Operating Revenue	0.00	6,224,881.61	0.00	(2,358,110.88)	102,566.27	3,969,337.00
Operating Expenses						
Labor	766,610.82	552,246.31	1,060.86	236,919.25	77,038.35	1,633,875.59
Benefits	225,103.47	218,689.49	420.09	93,820.02	30,507.20	568,540.27
Indirect Costs	0.00	890,773.32	1,711.16	382,150.80	124,262.88	1,398,898.16
Education & Training	6,544.24	1,113.13	0.00	0.00	0.00	7,657.37
Consulting & Professional Services	130,927.19	38,473.15	0.00	107,290.37	466,590.42	743,281.13
Operating Costs	644.60	1,511,852.28	0.00	0.00	0.00	1,512,496.88
Repair & Maintenance	31,320.28	62,865.26	0.00	0.00	0.00	94,185.54
Phone & Utilities	33,578.61	5,947.03	0.00	0.00	0.00	39,525.64
Equipment & Computers	123,893.37	42,228.93	0.00	49.47	0.00	166,171.77
Meeting & Travel	13,473.18	0.00	0.00	0.00	25.00	13,498.18
Other Administrative Costs	91,509.27	53,222.02	0.00	11,175.00	10,366.54	166,272.83
Indirect Costs Applied	(1,408,655.33)	0.00	0.00	0.00	0.00	(1,408,655.33)
Other Expenses	36,454.29	271,135.45	0.00	0.00	0.00	307,589.74
Construction	0.00	0.00	0.00	(2,748,611.54)	0.00	(2,748,611.54)
Total Operating Expenses	51,403.99	3,648,546.37	3,192.11	(1,917,206.63)	708,790.39	2,494,726.23
Operating Income (Loss)	(51,403.99)	2,576,335.24	(3,192.11)	(440,904.25)	(606,224.12)	1,474,610.77
Nonoperating Income (Expense)						
Member Contributions	716,845.00	0.00	0.00	845,000.00	20,000.00	1,581,845.00
Other Agency Contributions	0.00	0.00	0.00	67,900.00	1,064,375.00	1,132,275.00
Interest Income	6,749.32	119,971.20	0.00	1,857.88	3,990.07	132,568.47
Interest Expense - Debt Service	0.00	(299,932.23)	0.00	0.00	0.00	(299,932.23)
Other Income	868.77	367.20	0.00	0.00	0.00	1,235.97
Retiree Medical Benefits	(55,944.76)	0.00	0.00	0.00	0.00	(55,944.76)
Total Nonoperating Income (Expense)	668,518.33	(179,593.83)	0.00	914,757.88	1,088,365.07	2,492,047.45
Excess Rev over (under) Exp	<u>\$617,114.34</u>	<u>\$2,396,741.41</u>	<u>(\$3,192.11)</u>	<u>\$473,853.63</u>	<u>\$482,140.95</u>	<u>\$3,966,658.22</u>

Aging Report
Santa Ana Watershed Project Authority
Receivables as of January 31, 2022

Customer Name	Project	Total	0-30 Days	31-60 Days	61 and Over
Chino Basin Desalter Authority	Brine Line	144,961.93	167,249.69	144,961.93	
Department of Water Resources	Prop 84, Prop 1	5,981,961.89			5,981,961.89
Eastern Municipal Water District	Brine Line	172,577.35	219,470.06	172,577.35	
Inland Empire Utilities Agency	Brine Line	274,932.65	89,658.44	91,828.52	93,445.69
Orange County Flood Control District	RWQ Monitoring	9,007.00			9,007.00
Rialto BioEnergy	Brine Line	8,896.88	8,896.88		
Riverside, City of	Basin Monitoring	11,641.50			11,641.50
RIX	Emerging Constituents, Basin Monitoring	31,976.00			31,976.00
San Bernardino Valley Municipal Water District	Brine Line	125,717.54	131,005.36	125,717.54	
Western Municipal Water District	Brine Line, Prop 1 Local Cost Share	702,910.93	356,771.18	346,139.75	
Total Accounts Receivable		7,464,583.67	973,051.61	881,225.09	6,128,032.08

Santa Ana Watershed Project Authority
Open Task Orders Schedule
Jan-22
(Reflects Invoices Received as of 02/14/22)

Task Order No. Project Contracts	Fund No.	Vendor Name	Task Description	Begin Date	End Date	Original Contract	Change Orders	Total Contract	Billed To Date	Contract Balance	SAWPA Manager	Comments
ACS100-23	100-00	Accent Computer Solutions	IT Services	10/01/2021	12/31/2022	\$ 107,375.00	\$ -	\$ 107,375.00	\$ 30,720.44	\$ 76,654.56	Dean Unger	
GPA100-01	100-00	Gillis & Panichapan Architects	SAWPA Building Renovations	10/13/2020	06/30/2022	\$ 45,200.00	\$ -	\$ 45,200.00	\$ 27,566.76	\$ 17,633.24	David Ruhl	
INSOL100-17	100-00	Integrated Systems Solutions	Great Plains and Journyx Tech Support	07/01/2021	06/30/2022	\$ 4,750.00	\$ -	\$ 4,750.00	\$ 3,311.50	\$ 1,438.50	Dean Unger	
KON100-08	100-00	Konica Minolta	Copiers and Scanners Lease	01/15/2021	01/15/2025	\$ 29,040.00	\$ -	\$ 29,040.00	\$ 7,996.05	\$ 21,043.95	Dean Unger	
LSGK100-10	100-00	Lagerlof, LLP	Legal Services	07/01/2021	06/30/2022	\$ 99,830.00	\$ -	\$ 99,830.00	\$ 26,771.50	\$ 73,058.50	Jeff Mosher	
BMH100-01	100-03	Bell, McAndrews, & Hiltachk	FPPC Lobby Reporting	07/01/2021	06/30/2022	\$ 1,200.00	\$ -	\$ 1,200.00	\$ 980.00	\$ 220.00	Karen Williams	
JRE100-02	100-03	J. Richard Eichman	FPPC Reporting	01/01/2021	01/31/2022	\$ 12,600.00	\$ -	\$ 1,260.00	\$ 544.30	\$ 715.70	Karen Williams	
WCA100-03-04	100-03	West Coast Advisors	State Legislative Consulting	09/15/2020	12/21/2022	\$ 240,000.00	\$ -	\$ 240,000.00	\$ 136,500.00	\$ 103,500.00	Jeff Mosher	
WO2022-4	240	E S Babcock	Water Quality Analysis & Sampling	07/01/2021	06/30/2022	\$ 75,625.00	\$ -	\$ 75,625.00	\$ 34,079.05	\$ 41,545.95	David Ruhl	
WO2022-18	240	E S Babcock	PFAS Water Quality Analysis	07/01/2021	06/30/2022	\$ 8,460.00	\$ -	\$ 8,460.00	\$ 8,460.00	\$ -	David Ruhl	
DOUG240-04	240	Douglas Environmental	On-Call Brine Line Flow Meter Calibration	07/01/2021	06/30/2023	\$ 24,575.00	\$ -	\$ 21,575.00	\$ 6,485.00	\$ 15,090.00	David Ruhl	
DOW240-02	240	Downstream Services	Brine Line Pipe Cleaning Services	07/01/2020	06/30/2022	\$ 210,476.00	\$ -	\$ 210,476.00	\$ 32,175.00	\$ 178,301.00	David Ruhl	
DUDK240-06	240	Dudek	Reach V Line Failure at Pasadena Street	12/18/2021	03/31/2022	\$ 5,000.00	\$ -	\$ 5,000.00	\$ -	\$ 5,000.00	David Ruhl	
HAZ240-11	240	Haz Mat Trans Inc	Line Draining & Emergency Response	07/01/2021	06/30/2023	\$ 139,360.00	\$ -	\$ 139,360.00	\$ 37,021.25	\$ 102,338.75	David Ruhl	
HAZ240-12	240	Haz Mat Trans Inc	BL Debris Hauling & Disposal Services	07/01/2021	06/30/2023	\$ 63,990.00	\$ -	\$ 63,990.00	\$ -	\$ 63,990.00	David Ruhl	
WO2022-5	240	Inland Empire Utilities Agency	Reach 4A Upper - BL Maintenance	07/01/2021	06/30/2022	\$ 10,000.00	\$ -	\$ 10,000.00	\$ -	\$ 10,000.00	David Ruhl	
INN240-04	240	Innerline Engineering	Brine Line On-Call Inspection Services	07/01/2021	06/30/2023	\$ 99,050.00	\$ -	\$ 99,050.00	\$ -	\$ 99,050.00	David Ruhl	
JENN240-01	240	Jennings Environmental	Reach V Line Faile at Pasadena Street	12/18/2021	03/31/2022	\$ 3,875.00	\$ -	\$ 3,875.00	\$ 3,875.00	\$ -	David Ruhl	
RFC240-02	240	Raftelis Finacial Consulting	Inland Empire Brine Line Reserve Policy	11/01/2021	06/30/2022	\$ 50,895.00	\$ -	\$ 50,895.00	\$ 31,956.25	\$ 18,938.75	David Ruhl	
TKE240-03	240	TKE Engineering & Planning	On-Call Brine Line Land Surveying	07/01/2021	06/30/2023	\$ 36,800.00	\$ -	\$ 36,800.00	\$ -	\$ 36,800.00	David Ruhl	
TRU240-26	240	Trussell Technologies	Brine Line PFAS Assessment	05/11/2021	06/30/2022	\$ 15,560.00	\$ -	\$ 15,560.00	\$ 10,210.00	\$ 5,350.00	David Ruhl	
CES370-01	370-01	Catalyst Environmental Solutions	SAR Watershed Weather Modification Pilot Program CEQA	11/01/2021	06/30/2022	\$ 63,271.58	\$ -	\$ 63,271.58	\$ 40,987.00	\$ 22,284.58	Mark Norton	
NAWC370-02	370-01	North American Weather Consultants	Weather Modification Ground Seeding Site Analysis	05/05/2021	01/31/2022	\$ 14,500.00	\$ -	\$ 14,500.00	\$ 7,250.00	\$ 7,250.00	Mark Norton	
JMC373-03	373	JM Consultants	Roundtable of Regions Network Coordinator	07/01/2021	06/30/2022	\$ 72,900.00	\$ -	\$ 72,900.00	\$ 19,812.67	\$ 53,087.33	Ian Achimore	
KSC374-02	374	Kahn, Soares, & Conway	Basin Monitoring TF Regulatory Support	07/01/2021	06/30/2023	\$ 116,000.00	\$ -	\$ 116,000.00	\$ 27,615.00	\$ 88,385.00	Mark Norton	78
WEST374-01	374	West Yost	Workplan for Basin Monitoring Program TF Planning	07/01/2021	06/30/2022	\$ 339,102.00	\$ -	\$ 339,102.00	\$ 88,743.75	\$ 250,358.25	Mark Norton	

Santa Ana Watershed Project Authority
Open Task Orders Schedule
Jan-22
(Reflects Invoices Received as of 02/14/22)

Task Order No. Project Contracts	Fund No.	Vendor Name	Task Description	Begin Date	End Date	Original Contract	Change Orders	Total Contract	Billed To Date	Contract Balance	SAWPA Manager	Comments
SAWA381-01	381	Santa Ana Watershed Association	Van Buren Bridge Sucker Restoration	09/26/2018	12/31/2022	\$ 15,130.20	\$ 5,228.00	\$ 20,358.20	\$ 19,946.00	\$ 412.20	Ian Achimore	
KSC384-02	384-01	Kahn, Soares, & Conway	MSAR Pathogen TMDL TF Regulatory Support	07/01/2021	06/30/2023	\$ 149,750.00	\$ -	\$ 149,750.00	\$ 20,241.50	\$ 129,508.50	Mark Norton	
CDM386-16	386	CDM Smith	Implementation of SAR Regional Bacteria Monitoring Program	01/01/2021	06/30/2024	\$ 1,070,535.00	\$ -	\$ 1,070,535.00	\$ 262,067.32	\$ 808,467.68	Rick Whetsel	
GEI386-01	386	GEI Consultants	Homeless Encampment - Phase 1A Water Quality Monitoring	07/01/2021	12/31/2022	\$ 119,514.00	\$ -	\$ 119,514.00	\$ 71,571.36	\$ 47,942.64	Rick Whetsel	
JPW392-01	392	JPW Communications	Emerging Constituents Program Social Media Support	07/01/2020	06/30/2023	\$ 105,000.00	\$ -	\$ 105,000.00	\$ 52,124.85	\$ 52,875.15	Mark Norton	
KSC392-02	392	Kahn, Soares, & Conway	Emerging Constituents Program TF Regulatory Support	07/01/2021	06/30/2023	\$ 46,500.00	\$ -	\$ 46,500.00	\$ 5,132.50	\$ 41,367.50	Mark Norton	
QUAN504-01	504-04	Quantum Spatial, Inc.	Water Efficiency Budget Assistance	02/10/2021	02/28/2023	\$ 594,387.00	\$ -	\$ 594,387.00	\$ -	\$ 594,387.00	Ian Achimore	
RMC504-401-08	504-04	Woodard & Curran	SARCCUP Program Mgmt. Services	07/01/2021	06/30/2022	\$ 132,872.00	\$ -	\$ 132,872.00	\$ 31,999.75	\$ 100,872.25	Ian Achimore	
GEO505-01	505-00	Geophex, Ltd.	2021 Imagery Acquisition Project	04/27/2021	04/15/2022	\$ 210,353.00	\$ -	\$ 210,353.00	\$ 178,130.00	\$ 32,223.00	Rick Whetsel	
										<u>\$ 3,100,089.98</u>		

LIST OF SAWPA FUNDS

Fund No.	Fund Description	Fund Group
100-00	General Fund	General
100-03	State Outreach	General
100-04	Federal Outreach	General
100-05	Grant Applications	General
130	Proposition 84 – Program Management - Round 1	OWOW
135	Proposition 84 – Program Management – Round 2	OWOW
140	Proposition 84 – Program Management – Drought Round	OWOW
145	Proposition 84 – Program Management – 2015 Round	OWOW
150	Proposition 1 – Program Management	OWOW
240	Brine Line Enterprise	Brine Line
320-01	Brine Line Protection – Downstream Prado	Capital Projects
320-03	Brine Line Protection Above Prado	Capital Projects
320-04	Brine Line Protection D/S Prado in Riverside County	Capital Projects
327	Reach IV-D Corrosion Repair	Capital Projects
370-01	Basin Planning General	OWOW
370-02	USBR Partnership Studies	OWOW
372	Imported Water Recharge Work Group	Roundtable
373	Watershed Management (OWOW)	OWOW
374	Basin Monitoring Program Task Force	Roundtable
381	Santa Ana River Fish Conservation	Roundtable
384-01	MSAR TMDL Task Force	Roundtable
386	Regional Water Quality Monitoring Task Force	Roundtable
387	Arundo Management & Habitat Restoration	Roundtable
392	Emerging Constituents Task Force	Roundtable
397	Energy – Water DAC Grant Project	OWOW
398	Proposition 1 - DACI	OWOW
477	LESJWA Administration	Roundtable
504-01	Proposition 84 – Capital Projects Round 1 & 2	OWOW
504-00	Proposition 84 – Drought Capital Projects	OWOW
504-04	Proposition 84 – Final Round SARCCUP	OWOW
505-00	Proposition 1 – Capital Projects	OWOW

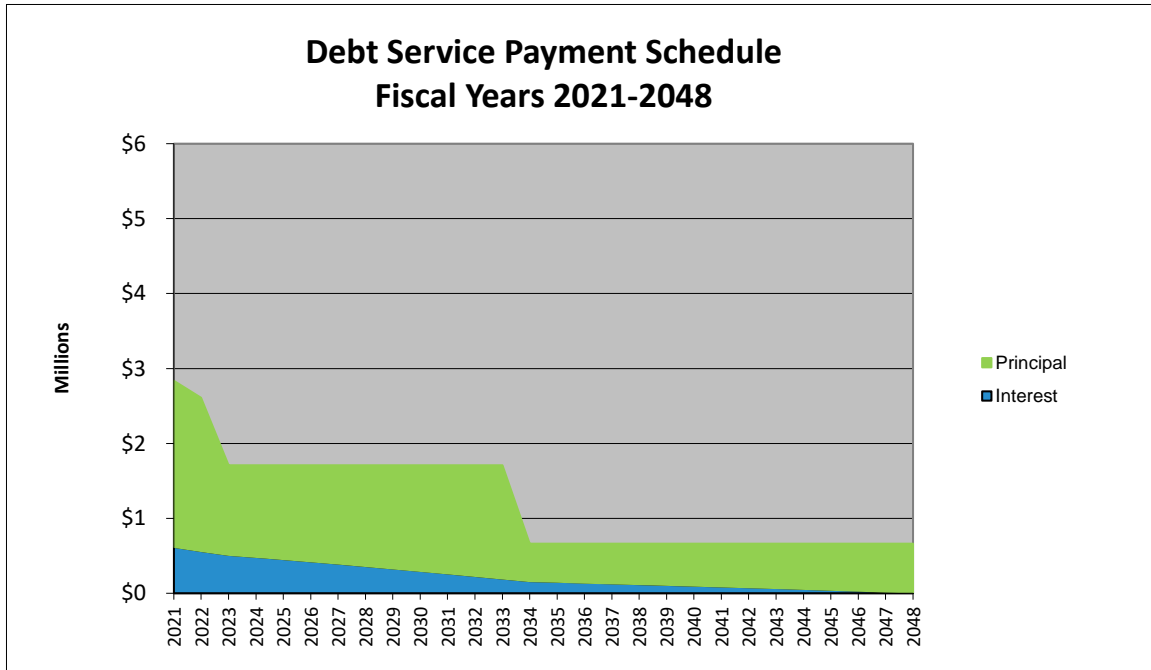
Santa Ana Watershed Project Authority
 Brine Line Debt Service Funding Analysis
 January 31, 2022

FYE	T-Strip Maturity	Capacity Loan Receipts	Rates	Loan Pymts	Interest Earned *	Excess Cash	Ending Cash Balance
	Beginning Balance						3,712,038
2022	-	-	1,709,476	(2,608,439)	74,241	(824,722)	2,887,316
2023	-	-	1,709,476	(1,709,476)	44,442	44,442	2,931,758
2024	-	-	1,709,476	(1,709,476)	45,331	45,331	2,977,089
2025	-	-	1,709,476	(1,709,476)	46,238	46,238	3,023,327
2026	-	-	1,709,476	(1,709,476)	47,162	47,162	3,070,489
2027	-	-	1,709,476	(1,709,476)	48,106	48,106	3,118,595
2028	-	-	1,709,476	(1,709,476)	49,068	49,068	3,167,663
2029	-	-	1,709,476	(1,709,476)	50,049	50,049	3,217,712
2030	-	-	1,709,476	(1,709,476)	51,050	51,050	3,268,762
2031	-	-	1,709,476	(1,709,476)	52,071	52,071	3,320,834
2032	-	-	1,709,476	(1,709,476)	53,113	53,113	3,373,946
2033	-	-	1,709,476	(1,709,476)	54,175	54,175	3,428,121
2034	-	-	665,203	(665,203)	55,258	55,258	3,483,379
2035	-	-	665,203	(665,203)	56,364	56,364	3,539,744
2036	-	-	665,203	(665,203)	57,491	57,491	3,597,234
2037	-	-	665,203	(665,203)	58,641	58,641	3,655,875
2038	-	-	665,203	(665,203)	59,813	59,813	3,715,688
2039	-	-	665,203	(665,203)	61,010	61,010	3,776,697
2040	-	-	665,203	(665,203)	62,230	62,230	3,838,927
2041	-	-	665,203	(665,203)	63,474	63,474	3,902,402
2042	-	-	665,203	(665,203)	64,744	64,744	3,967,146
2043	-	-	665,203	(665,203)	66,039	66,039	4,033,185
2044	-	-	665,203	(665,203)	67,360	67,360	4,100,544
2045	-	-	665,203	(665,203)	68,707	68,707	4,169,251
2046	-	-	665,203	(665,203)	70,081	70,081	4,239,332
2047	-	-	665,203	(665,203)	71,483	71,483	4,310,815
2048	-	-	665,203	(665,203)	72,912	72,912	4,383,727
	-	-	30,491,747	(31,390,710)	1,570,652	671,689	-

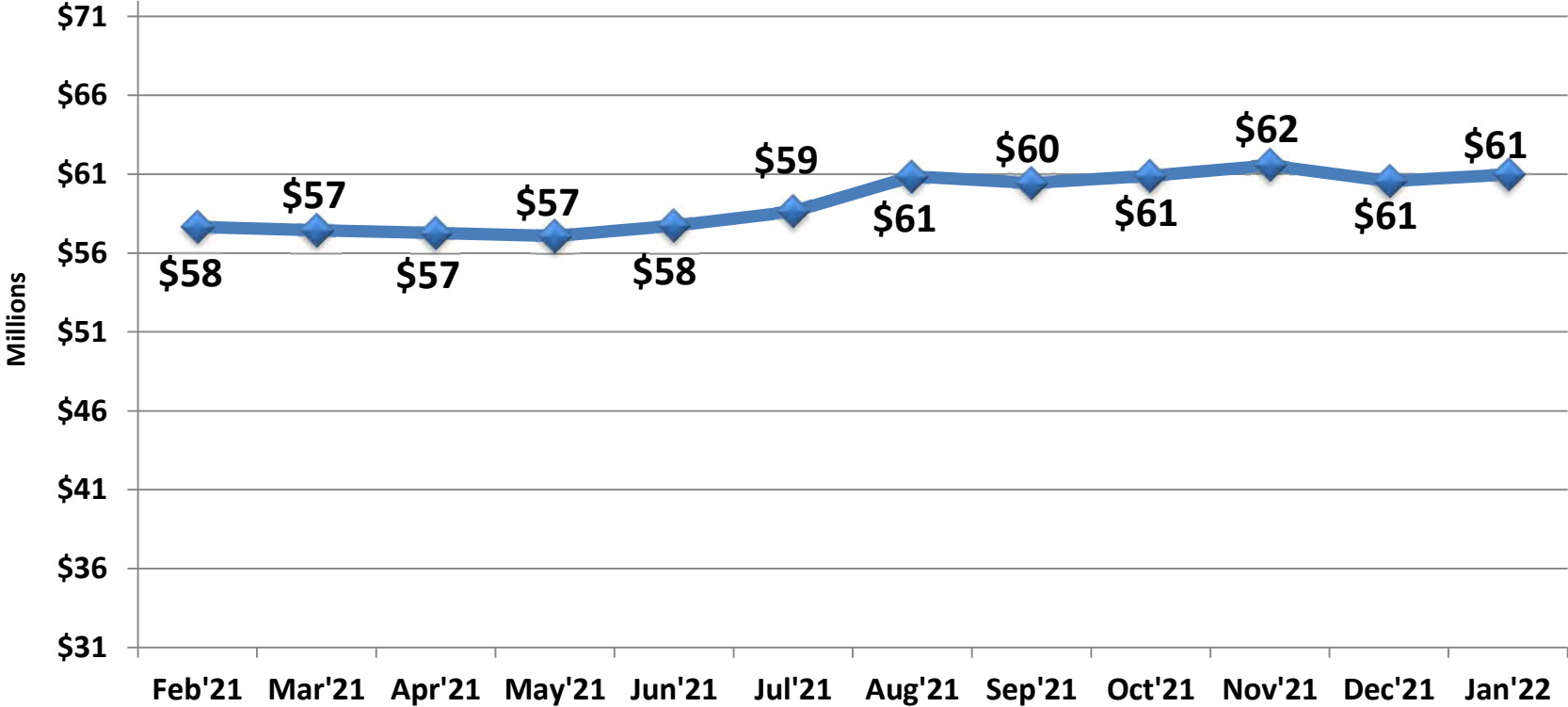
*Interest earned is based on a conservative 1.00% average return over the period

Santa Ana Watershed Project Authority
 Brine Line Debt Service Payment Schedule
 January 31, 2022

FYE	Interest	Principal	Total Payment	New SRF Loan	Remaining Principal
2021	620,782	2,214,971	2,835,753	-	25,471,969
2022	564,959	2,043,480	2,608,439	-	23,428,489
2023	514,301	1,195,175	1,709,476	-	22,233,314
2024	486,080	1,223,395	1,709,476	-	21,009,919
2025	457,181	1,252,295	1,709,476	-	19,757,624
2026	427,585	1,281,891	1,709,476	-	18,475,733
2027	397,276	1,312,199	1,709,476	-	17,163,534
2028	366,237	1,343,239	1,709,476	-	15,820,295
2029	334,449	1,375,027	1,709,476	-	14,445,268
2030	301,894	1,407,582	1,709,476	-	13,037,686
2031	268,553	1,440,923	1,709,476	-	11,596,763
2032	234,407	1,475,068	1,709,476	-	10,121,694
2033	199,437	1,510,039	1,709,476	-	8,611,656
2034	163,621	501,581	665,203	-	8,110,075
2035	154,091	511,111	665,203	-	7,598,964
2036	144,380	520,822	665,203	-	7,078,141
2037	134,485	530,718	665,203	-	6,547,424
2038	124,401	540,801	665,203	-	6,006,622
2039	114,126	551,077	665,203	-	5,455,545
2040	103,655	561,547	665,203	-	4,893,998
2041	92,986	572,217	665,203	-	4,321,782
2042	82,114	583,089	665,203	-	3,738,693
2043	71,035	594,167	665,203	-	3,144,526
2044	59,746	605,457	665,203	-	2,539,069
2045	48,242	616,960	665,203	-	1,922,109
2046	36,520	628,682	665,203	-	1,293,427
2047	24,575	640,627	665,203	-	652,799
2048	12,403	652,799	665,203	-	(0)



Total Cash & Investments





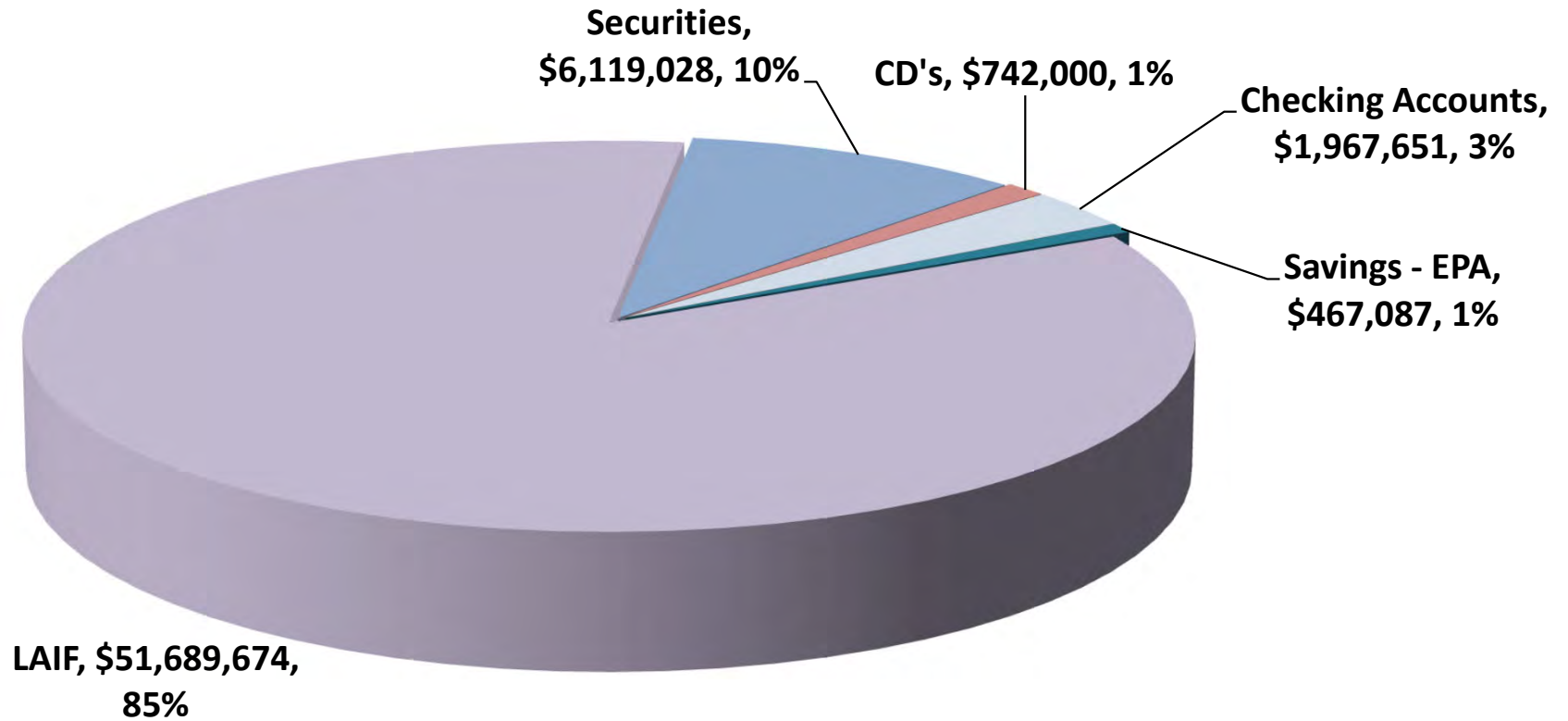
CASH BALANCE & SOURCE OF FUNDS

January 31, 2022

Reserve Accounts		Cash and Investments					
	Total	Checking (Cash)	LAIF Account	Savings EPA	Investment Securities	Certificates of Deposit	Total
100	General Fund	\$ 1,748,610	1,748,610	-	-	-	\$ 1,748,610
100	Building Reserve	\$ 746,215	-	746,215	-	-	\$ 746,215
370	Basin Planning General	\$ 369,084	-	369,084	-	-	\$ 369,084
370	USBR Partnership Studies	\$ 65,306	-	65,306	-	-	\$ 65,306
373	Watershed Management Plan	\$ 616,027	-	616,027	-	-	\$ 616,027
240	Self Insurance Reserve	\$ 4,321,376	-	4,321,376	-	-	\$ 4,321,376
240	Brine Line Debt Retirement	\$ 2,827,377	-	2,827,377	-	-	\$ 2,827,377
240	Brine Line - Pipeline Replacement	\$ 22,491,771	-	15,630,743	-	6,119,028	\$ 22,491,771
240	Brine Line - OC San Rehabilitation	\$ 2,387,790	-	2,387,790	-	-	\$ 2,387,790
240	Brine Line - Capacity Management	\$ 12,024,280	-	12,024,280	-	-	\$ 12,024,280
240	Brine Line - OC San Future Capacity	\$ 1,840,956	-	1,840,956	-	-	\$ 1,840,956
240	Brine Line - Flow Imbalance Reserve	\$ 84,506	-	84,506	-	-	\$ 84,506
240	Brine Line - Rate Stabilization Reserve	\$ 1,031,621	-	1,031,621	-	-	\$ 1,031,621
240	Brine Line - Operating Reserve	\$ 6,174,941	219,041	5,955,900	-	-	\$ 6,174,941
401	Legal Defense Fund	\$ 467,087	-	-	467,087	-	\$ 467,087
374	Basin Monitoring Program TF	\$ 544,960	-	544,960	-	-	\$ 544,960
381	SAR Fish Conservation	\$ 113,357	-	113,357	-	-	\$ 113,357
384	Middle SAR TMDL TF	\$ 410,492	-	410,492	-	-	\$ 410,492
386	RWQ Monitoring TF	\$ 260,192	-	260,192	-	-	\$ 260,192
387	Mitigation Bank Credits	\$ 867,584	-	867,584	-	-	\$ 867,584
392	Emerging Constituents TF	\$ 132,317	-	132,317	-	-	\$ 132,317
504	Prop 84 - SARCCUP Projects	\$ 935,158	-	935,158	-	-	\$ 935,158
505	Prop 1 - Capital Projects	\$ 524,432	-	524,432	-	-	\$ 524,432
		\$ 60,985,440	\$ 1,967,651	\$ 51,689,674	\$ 467,087	\$ 6,119,028	\$ 84,60,985,440

Cash & Investments - January 2022

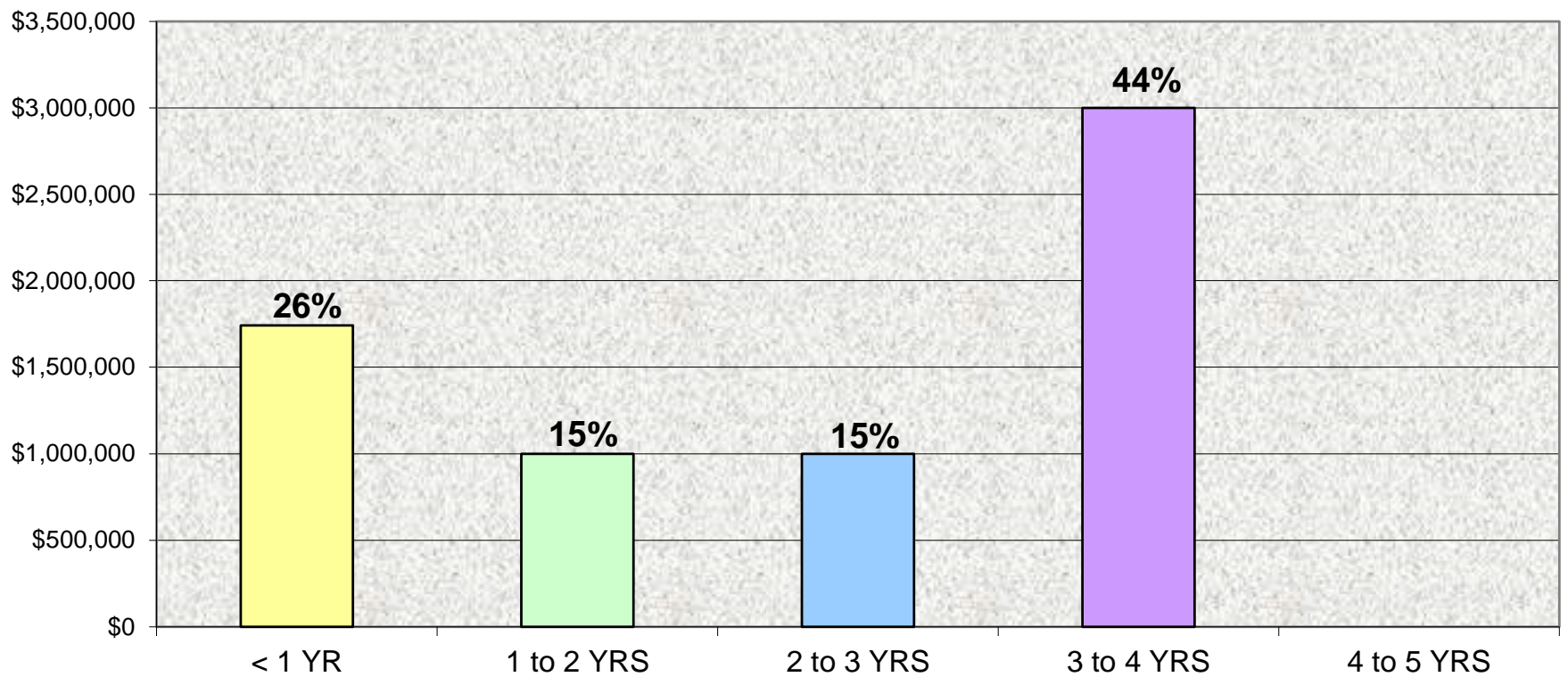
\$60,985,440



Santa Ana Watershed Project Authority
Reserve Account Analysis
January 31, 2022

Reserve Account	Balance @ 6/30/2021	Interest Earned	Fund Receipts/ Contributions	Debt Service Payments	Inter-Fund Loans	Fund Expenses	Balance @ 1/31/2022	Estimated Fund Changes	Balance @ 6/30/2022
Brine Line Operating Reserve	3,672,578	17,641	7,503,071			(5,018,349)	6,174,941	-	6,174,941
Flow Imbalance Reserve	83,681	351	473				84,506	-	84,506
OC San Future Capacity	1,833,264	7,692					1,840,956	-	1,840,956
Capacity Management	11,981,707	42,573					12,024,280	-	12,024,280
Rate Stabilization Reserve	1,027,311	4,310					1,031,621	-	1,031,621
Pipeline Replacement	21,889,082	64,265	541,789			(3,364)	22,491,771	(1,396,526)	21,095,246
OC San Rehabilitation	2,377,813	9,977					2,387,790	-	2,387,790
Debt Retirement	3,712,038	14,302		(898,963)			2,827,377	-	2,827,377
Self Insurance	4,494,364	18,841				(191,829)	4,321,376	-	4,321,376
General Fund	2,163,987	12,838	734,427		(624,931)	(537,711)	1,748,610	-	1,748,610
Building Reserve	643,260	2,955	100,000				746,215	-	746,215
	53,879,085	195,746	8,879,760	(898,963)	(624,931)	(5,751,253)	55,679,444	(1,396,526)	54,282,918

Twelve Month Maturity Schedule Securities

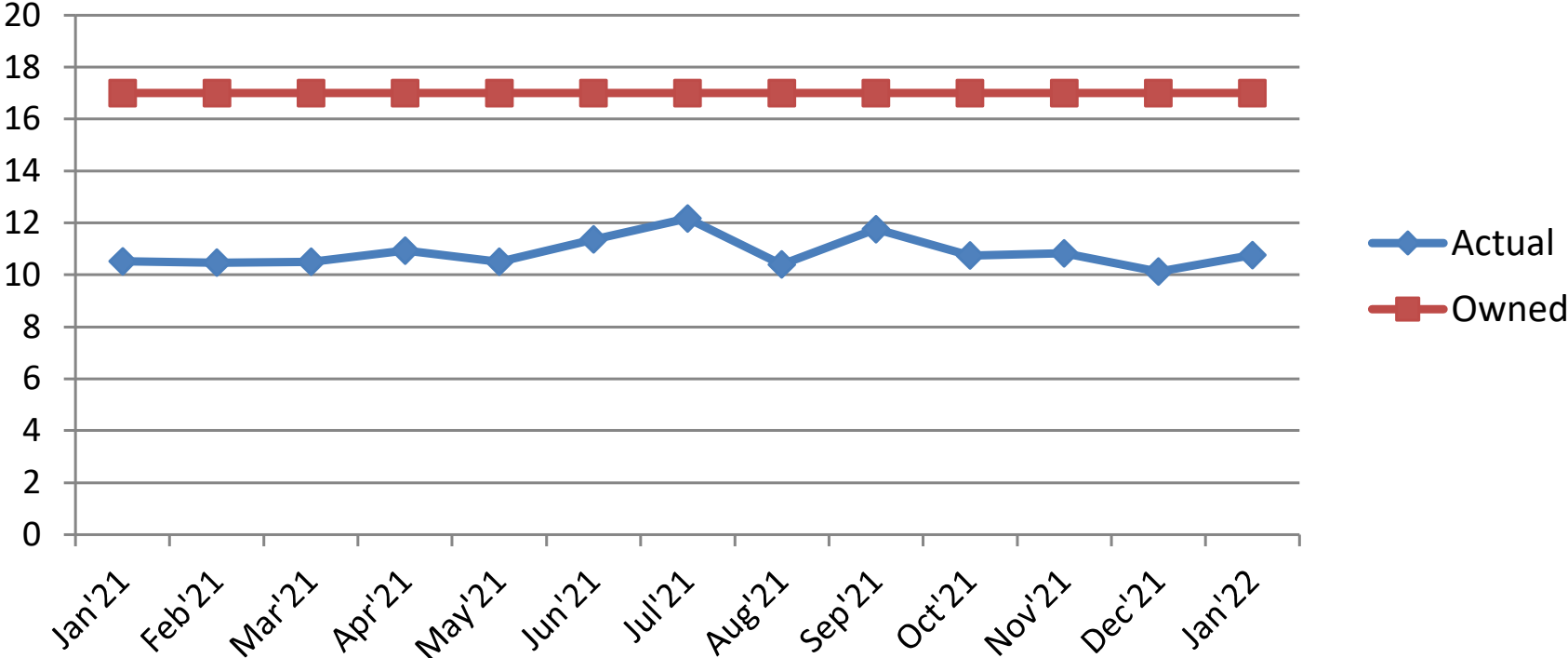


SAWPA
TREASURER'S REPORT
As of January 31, 2022

Investment Commercial
Safekeeping US Bank

Type	Security	Purchase Date	Maturity Date	Unit Cost	Cost	Principal	Current Value	Market Value	Interest Rate
Agency	FHLB	12/14/2017	6/10/2022	99.89	\$ 998,930.00	\$ 1,000,000.00	\$ 1,000,000.00	1,006,491.00	2.125%
Agency	FHLB	2/4/2020	12/13/2024	106.25	\$ 531,250.00	\$ 500,000.00	\$ 500,000.00	519,028.50	2.750%
Agency	FNMA	2/4/2020	1/7/2025	101.08	\$ 505,380.00	\$ 500,000.00	\$ 500,000.00	502,874.00	1.625%
Agency	FNMA	10/30/2020	8/25/2025	99.53	\$ 995,952.00	\$ 1,000,000.00	\$ 1,000,000.00	960,409.00	0.375%
Agency	USTN	4/19/2021	11/30/2025	98.25	\$ 982,500.00	\$ 1,000,000.00	\$ 1,000,000.00	956,562.00	0.375%
Agency	USTN	9/15/2021	5/31/2025	99.58	\$ 989,726.56	\$ 1,000,000.00	\$ 1,000,000.00	961,133.00	0.250%
CORP	Apple Inc.	10/15/2018	5/3/2023	95.98	\$ 479,898.50	\$ 500,000.00	\$ 500,000.00	508,164.00	2.400%
CORP	Toyota Motor Credit Corp.	10/15/2018	9/20/2023	99.55	\$ 497,747.50	\$ 500,000.00	\$ 500,000.00	517,161.50	3.450%
CD	Sallie Mae BK SLT	7/1/2019	6/27/2022	100.00	\$ 247,000.00	\$ 247,000.00	\$ 247,000.00	249,090.36	2.250%
CD	Morgan Stanley Bank NA	7/5/2019	7/5/2022	100.00	\$ 247,000.00	\$ 247,000.00	\$ 247,000.00	249,138.03	2.200%
CD	Goldman Sachs Bank USA	12/20/2017	12/20/2022	100.00	\$ 248,000.00	\$ 248,000.00	\$ 248,000.00	252,460.03	2.500%
					\$ 6,723,384.56	\$ 6,742,000.00	\$ 6,742,000.00	6,682,511.42	1.845%

Average Daily Flow by Month





SUMMARY OF LABOR MULTIPLIERS

		Benefit Rate
Total Employee Benefits	793,822	0.384
Total Payroll	2,068,582	
Gross Indirect Costs	1,819,432	
Less: Member Contributions & Other Revenue	(277,083)	
Indirect Costs for Distribution	1,542,349	
		Indirect Rate
Direct Labor	1,191,781	1.294
Indirect Costs	1,542,349	
FY 2021-22 Labor multiplier - thru 01/31/22		1.678
FY 2021-22 Budgeted Labor multiplier		<u>2.009</u>
FY 2020-21 Labor multiplier		<u>1.724</u>
FY 2019-20 Labor multiplier		<u>2.309</u>
FY 2018-19 Labor multiplier		<u>2.059</u>



INDIRECT COSTS

(to be Distributed)

<u>G/L Acct.</u>	<u>Description</u>	<u>Actual thru</u> <u>1/31/22</u>
51000	Salaries - Regular	\$ 876,801
52000	Benefits	\$ 347,213
60111	Tuition Reimbursement	\$ -
60112	Training	\$ 7,057
60113	Education	\$ 1,071
60114	Other Training & Education	\$ 3,316
60120	Audit Fees	\$ 23,750
60121	Consulting	\$ 54,344
60126	Temporary Services	\$ -
60128	Other Professional Services	\$ 700
60129	Other Contract Services	\$ -
60130	Legal Fees	\$ 15,003
60133	Employment Recruitment	\$ 277
60153	Materials & Supplies	\$ -
60154	Safety	\$ 645
60155	Security	\$ 1,142
60156	Custodial Contract Services	\$ 12,111
60157	Landscaping Maintenance	\$ 6,775
60158	HVAC	\$ 8,507
60159	Facility Repair & Maintenance	\$ 8,231
60160	Telephone	\$ 19,018
60161	Cellular Services	\$ 3,337
60163	Electricity	\$ 11,673
60164	Water Services	\$ 2,707
60170	Equipment Expensed	\$ 3,646
60171	Equipment Rented	\$ 7,041

(Continued - next column)

<u>G/L Acct.</u>	<u>Description</u>	<u>Actual thru</u> <u>1/31/22</u>
60172	Equipment Repair / Maintenance	\$ 118
60180	Computer Hardware	\$ 3,380
60181	Computer Software	\$ 94,450
60182	Internet Services	\$ 13,955
60183	Computer Supplies	\$ 903
60184	Computer Repair/Maint	\$ -
60185	Cloud Storage	\$ 9,902
60190	Offsite Meeting/Travel Expense	\$ 1,428
60191	In House Meetings	\$ -
60192	Conference Expense	\$ 13,056
60193	Car, Repair, Maintenance	\$ -
60200	Dues	\$ 39,809
60202	Subscriptions	\$ 13,359
60203	Contributions	\$ 11,499
60210	Bank Charges	\$ 1,655
60211	Shipping/Postage	\$ 1,340
60212	Office Supplies	\$ 4,131
48000	Commission Fees	\$ 25,880
60221	Commission Mileage Reimb.	\$ 113
60222	Other Commission Expense	\$ 65
60230	Other Expense	\$ 3,087
60240	Building Lease	\$ 3,780
81010	Retiree Medical Expense	\$ 62,331
80001	Insurance Expense	\$ 42,493
80000	Building Repair/Replacement Reserve	\$ 58,333
80000	Fixed Assets	\$ -

Total Costs \$ 1,819,432

Direct Costs Paid by Projects	\$ 1,627,145
Member Contribution Offset	\$ 277,083
	\$ 1,904,228

Over (Under) Allocation %	4.0%
Over (Under) Allocation of General Fund Costs	\$ 84,796



BENEFITS SUMMARY

(Distributed based on Actual Labor)

<u>G/L Acct</u>	<u>Description</u>		<u>Budget</u>		<u>Actual @ 1/31/22</u>		<u>Projected FYE 2022</u>
70101	FICA Expense	\$	195,806	\$	89,576	\$	153,559
70102	Medicare Expense	\$	55,500	\$	28,375	\$	48,642
70103	State Unemployment Insurance	\$	4,284	\$	887	\$	1,520
70104	Worker's Compensation Insurance	\$	48,726	\$	34,792	\$	59,644
70105	State Disability Insurance	\$	35,108	\$	13,867	\$	23,771
70106	PERS Pension Plan	\$	481,931	\$	327,568	\$	561,545
70111	Medical Expense	\$	557,223	\$	242,255	\$	415,294
70112	Dental Expense	\$	28,794	\$	13,075	\$	22,415
70113	Vision Insurance	\$	7,801	\$	3,833	\$	6,571
70114	Life Insurance Expense	\$	15,229	\$	7,502	\$	12,861
70115	Long Term Disability	\$	17,702	\$	8,471	\$	14,521
70116	Wellness Program Expense	\$	4,050	\$	871	\$	1,494
70120	Car Allowance	\$	45,000	\$	22,750	\$	39,000
	Total Benefits	\$	1,497,154	\$	793,822	\$	1,360,838
	Total Payroll	\$	3,782,588	\$	2,068,582	\$	3,546,140
	Benefits Rate		39.6%		38.4%		38.4%

Santa Ana Watershed Project Authority
 Labor Hours Budget vs Actual
 Month Ending January 31, 2022

	Fund	Budget	Actual	%
100	General Fund	27,979	13,288	47.49%
135	Prop 84 - Round I Program Mgmt	46	81	175.00%
145	Prop 84 - 2015 Program Mgmt	885	1,015	114.72%
150	Prop1 - Program Management	1,095	356	32.51%
240	Brine Line Enterprise	20,485	10,854	52.99%
320	Brine Line Protection	575	13	2.26%
327	Reach IV-D Corrosion Repairs	60	-	0.00%
370-01	Basin Planning General	1,605	784	48.86%
370-02	USBR Partnership Studies	116	36	31.25%
373	Watershed Management (OWOW)	2,275	1,163	51.13%
374	Basin Monitoring Program TF	660	270	40.83%
381	SAR Fish Conservation	251	87	34.76%
384-01	MSAR TMDL TF	135	109	80.74%
386MONIT	RWQ Monitoring TF	204	84	40.93%
387	Arundo Removal & Habitat Restoration	225	128	56.78%
392	Emerging Constituents TF	245	131	53.37%
397ADMIN	WECAN Riverside	140	32	22.68%
398ADMIN	Prop 1 - DACI	130	151	115.96%
477-02	LESJWA - Administration	358	231	64.46%
477TMDL	LESJWA - TMDL Task Force	486	371	76.34%
504-401IMPLE	Prop 84 - Final Round Implementation	110	17	15.45%
504-401PA23	Prop 84 - Final Round PA23 Admin	240	90	37.40%
504-402PA22	Prop84 - Final Round PA22 Admin	145	206	141.72%
504-402RATES	Prop 84 - Final Round Water Rates	50	79	158.00%
504-402SMART	Prop 84 - Final Round SmartScape	50	-	0.00%
505-00	Prop1 - Capital Projects	540	119	22.04%
		59,090	29,693	50.25%


Note: Should be at 58.33% of budget for 7 months

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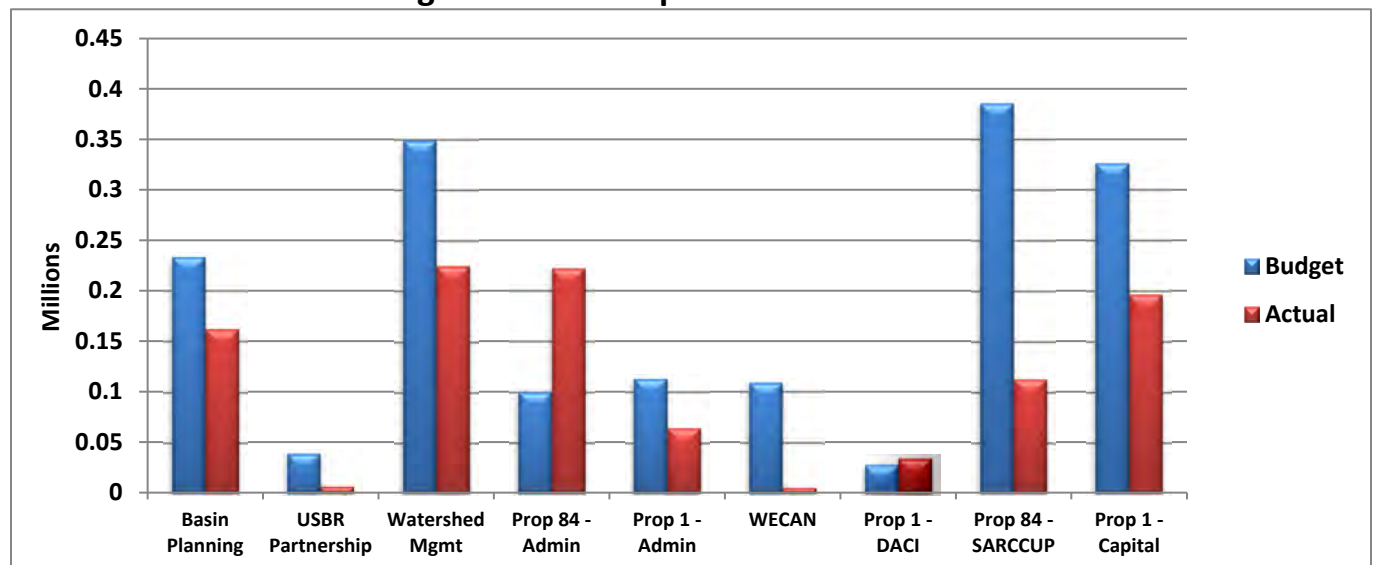
**Santa Ana Watershed Project Authority
PA25 - OWOW Fund - Financial Report
December 2021**

Staff comments provided on the last page are an integral part of this report.

Overview	This report highlights the agency's key financial indicators for the Fiscal Year-to-Date (FYTD) through December 2021 unless otherwise noted.
-----------------	---

Budget to Actual Expenses - OWOW				 Favorable
	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
Basin Planning General	\$466,563	\$233,282	\$161,918	\$71,364
USBR Partnership Studies	76,713	38,357	7,145	31,212
Watershed Mgmt. (OWOW)	697,817	348,909	224,635	124,274
Prop 84 - Administration	192,188	100,074	221,700	(121,626)
Prop 1 – Administration	225,808	112,904	64,094	48,810
WECAN - Riverside	217,336	108,668	5,776	102,892
Prop 1 – DACI	27,206	27,206	33,319	(6,113)
Prop 84 – SARCCUP & Other	770,825	385,413	112,816	272,597
Prop 1 – Capital Projects	651,608	325,804	196,399	129,405
Total	\$3,326,064	\$1,680,617	\$1,027,802	\$652,815

Budget to Actual Expenses - OWOW



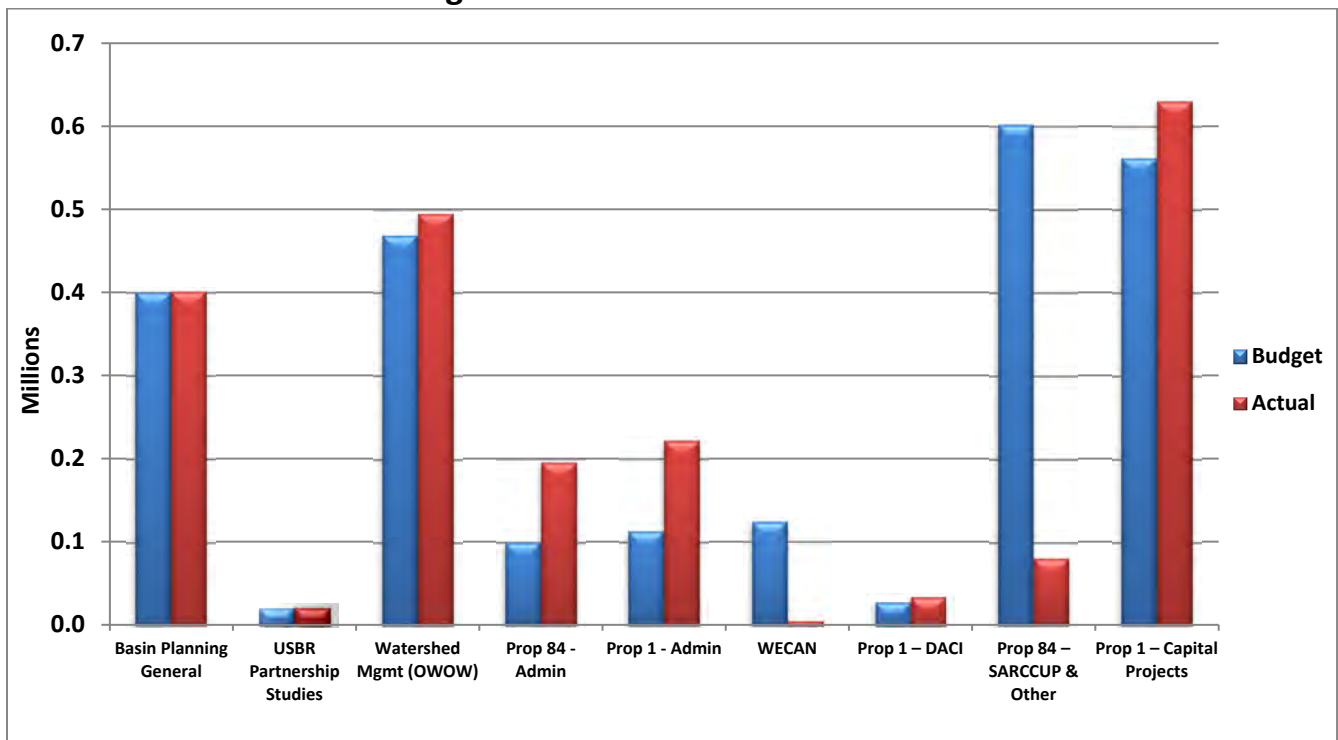
Budget to Actual Revenues - OWOW



Favorable

	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
Basin Planning General	\$400,000	\$400,000	\$401,129	\$1,129
USBR Partnership Studies	70,000	20,000	20,199	199
Watershed Mgmt. (OWOW)	468,700	468,700	494,828	26,128
Prop 84 - Administration	192,188	100,074	195,350	95,276
Prop 1 – Administration	225,808	112,904	221,676	108,772
WECAN - Riverside	217,336	123,668	5,776	(117,892)
Prop 1 – DACI	27,206	27,206	33,238	6,032
Prop 84 – SARCCUP & Other	770,825	602,168	80,816	(521,352)
Prop 1 – Capital Projects	651,608	561,916	630,006	68,090
Total	\$3,023,671	\$2,416,636	\$2,083,018	(\$333,618)

Budget to Actual Revenues - OWOW







Reserve Fund Balance - December

	Amount
Basin Planning General	\$392,198
USBR Partnership Studies	68,030
Watershed Management (OWOW)	676,307
Proposition 84 – SARCCUP & Other	954,590
Proposition 1 – Capital Projects	438,618
Total Reserves	\$2,529,743

Legend

Compared to Budget

	Ahead or Favorable	Above +5% Favorable Revenue or Expense Variance
	On Track	+5% to -2% Variance
	Behind	-3% to -5% Variance
	Concern	Below -5% Variance

Staff Comments

For this month's report, the item(s) explained below are either "behind", a "concern", or have changed significantly from the prior month.


- 1) Expenses are 38.8% below budget and revenues are on track with the budget.

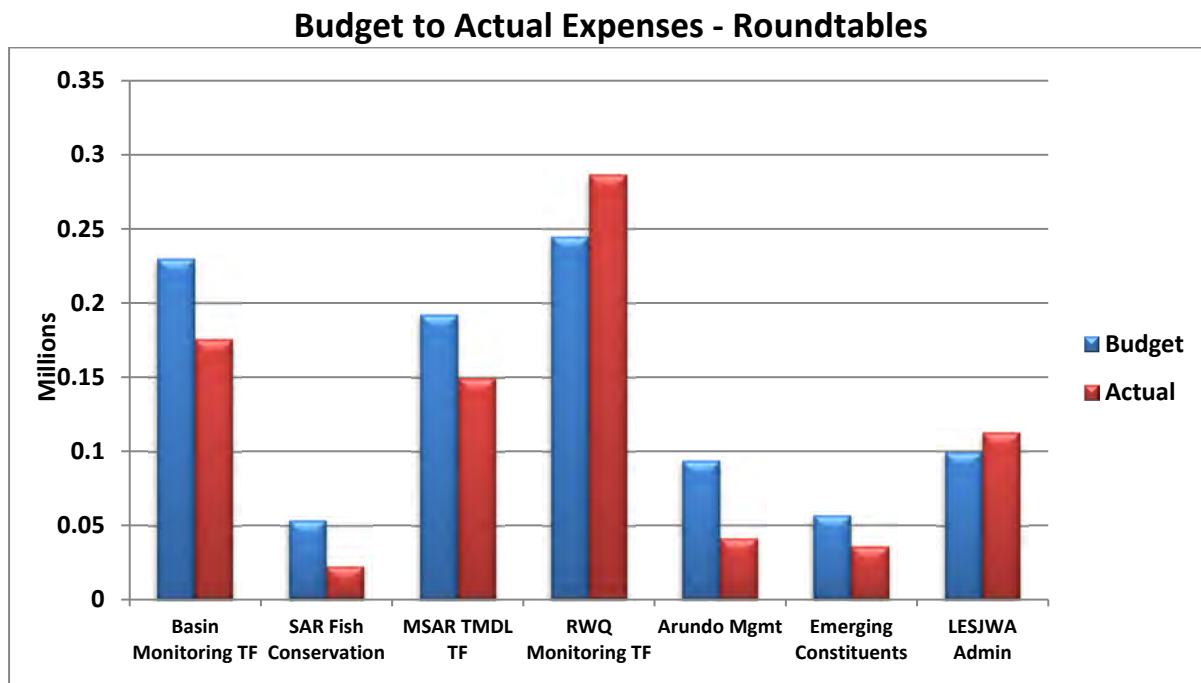
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**Santa Ana Watershed Project Authority
PA26 - Roundtable Fund - Financial Report
December 2021**

Staff comments provided on the last page are an integral part of this report.

Overview	This report highlights the agency's key financial indicators for the Fiscal Year-to-Date (FYTD) through December 2021 unless otherwise noted.
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Budget to Actual Expenses - Roundtables				 On Track
	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
Basin Monitoring TF	\$459,678	\$229,839	\$175,486	\$54,354
SAR Fish Conservation	106,692	53,346	22,450	30,896
MSAR TMDL TF	269,968	192,298	149,419	42,879
RWQ Monitoring TF	489,750	244,875	286,525	(41,650)
Arundo Mgmt.	186,981	93,491	41,436	52,055
Emerging Constituents	112,964	56,482	35,533	20,949
LESJWA Admin	198,633	99,317	112,566	(13,250)
Total	\$1,824,666	\$969,648	\$823,415	\$146,233



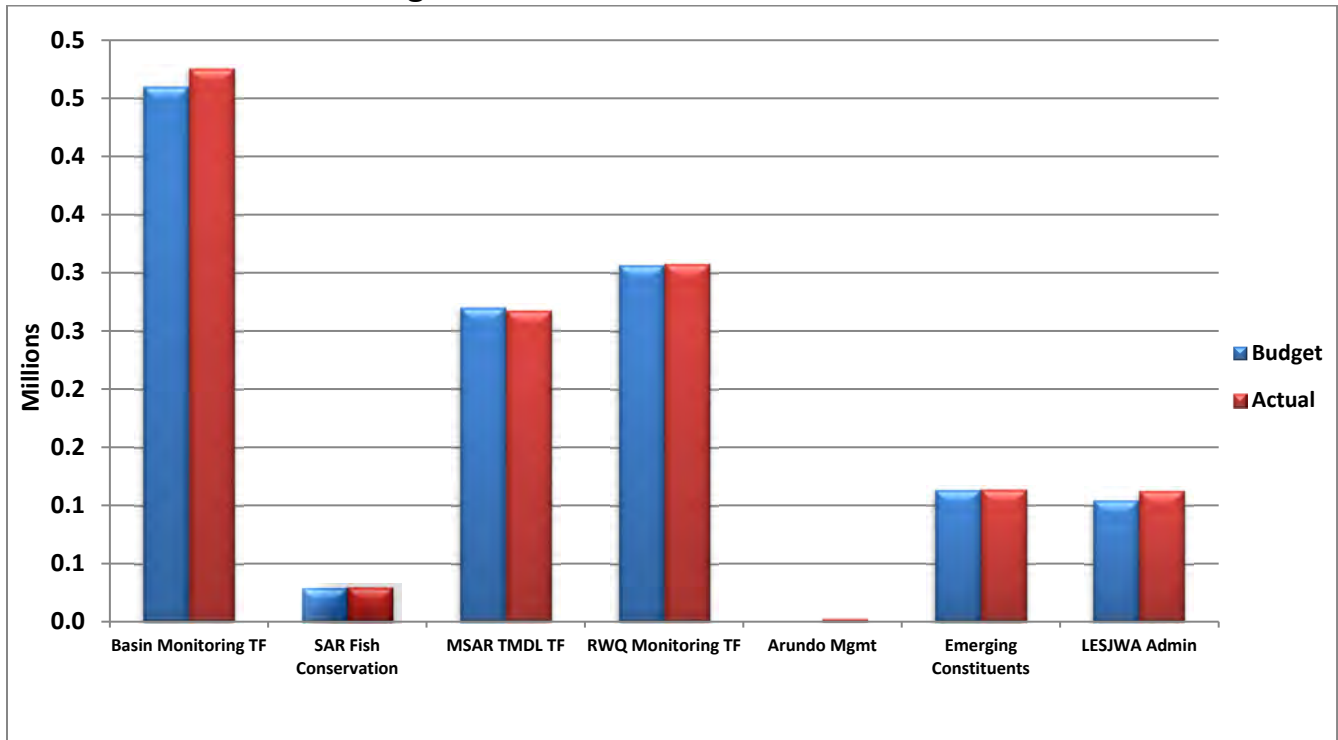
Budget to Actual Revenues - Roundtables



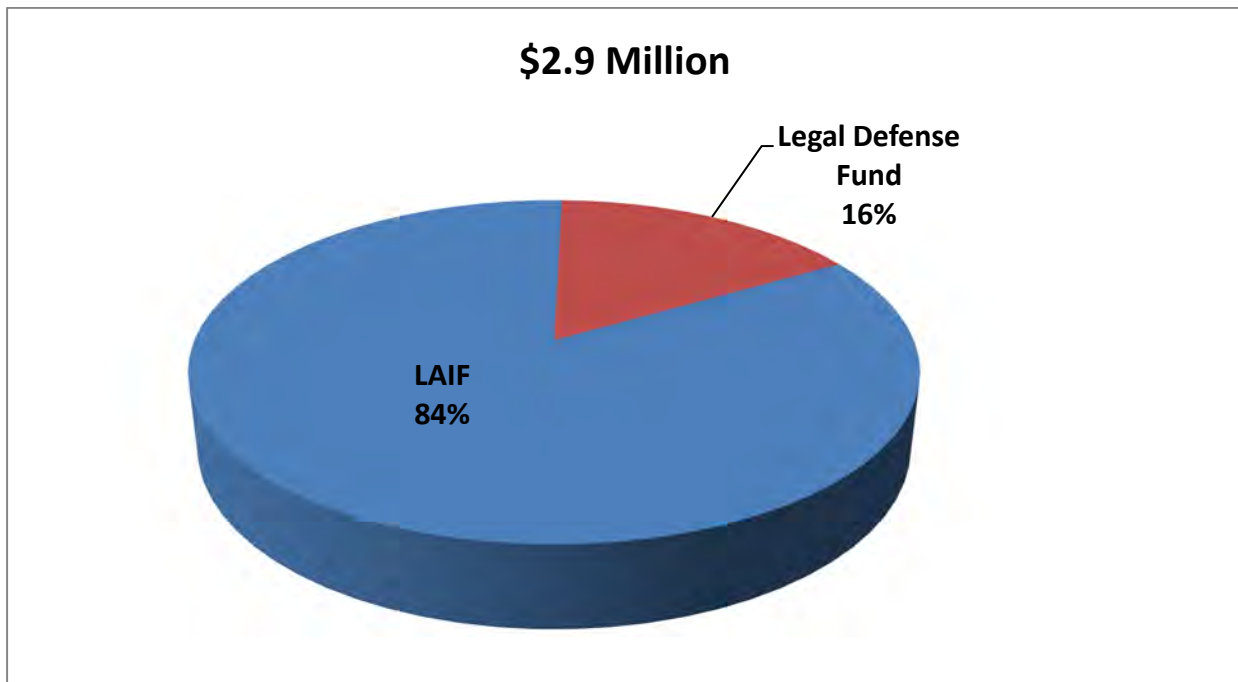
On Track

	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
Basin Monitoring TF	\$459,998	\$459,998	\$475,538	\$15,540
SAR Fish Conservation	29,000	29,000	29,319	319
MSAR TMDL TF	269,625	269,625	267,109	(2,516)
RWQ Monitoring TF	306,845	306,845	307,973	1,128
Arundo Mgmt.	-	-	2,602	2,602
Emerging Constituents	113,000	113,000	113,344	344
LESJWA Admin	198,633	104,317	112,566	8,249
Total	\$1,377,101	\$1,282,785	\$1,308,451	\$25,666

Budget to Actual Revenues - Roundtables



Total Cash & Investments - December







Reserve Fund Balance – December

	Amount
Basin Monitoring Task Force	\$566,560
SAR Fish Conservation	113,795
Middle SAR TMDL Task Force	412,334
Regional Water Quality Monitoring Task Force	311,086
Arundo Management & Habitat	871,934
Emerging Constituents Task Force	129,596
Legal Defense Fund	467,087
Total Reserves	\$2,872,392

Legend

Compared to Budget

	Ahead or Favorable	Above +5% Favorable Revenue or Expense Variance
	On Track	+5% to -2% Variance
	Behind	-3% to -5% Variance
	Concern	Below -5% Variance

Staff Comments

For this month's report, the item(s) explained below are either "behind", a "concern", or have changed significantly from the prior month.

- 1) Both expenses and revenues are on track with the budget.

COMMISSION MEMORANDUM NO. 2022.19

DATE: March 15, 2022

TO: SAWPA Commission

SUBJECT: Budget vs. Actual Variance Report
FYE 2022 Second Quarter – December 31, 2021

PREPARED BY: Karen Williams, DGM/CFO

RECOMMENDATION

It is recommended that the Commission receive and file the informational report on FYE 2022 Second Quarter Budget vs. Actual Variance Report.

DISCUSSION

Staff has developed a Budget vs. Actual Variance Report and presents this report on a quarterly basis. Attached is the FYE 2022 Budget vs. Actual Variance Report through December 31, 2021. The Agency's net revenue was \$2,519,561, which was \$1,808,150 more favorable than budgeted. Several significant items comprise the majority of this favorable variance:

Operating Revenue was \$118,475 more than budgeted. This favorable variance is due to higher flow and truck discharges than budgeted.

Operating Expense was \$2,778,460 less than budgeted. This favorable variance is due to lower BOD and TSS concentrations than projected, a slow start to CIP projects, Proposition 1 and 84 projects, and OWOW related projects.

Non-Operating Revenue/Expense was \$1,088,789 less than budgeted. This unfavorable variance is due a slow start on CIP projects and Proposition 1 and 84 projects.

Favorable Revenue Variances

There are no favorable revenues variance of \$300,000 or more.

Unfavorable Revenue Variances

Listed below are explanations of unfavorable variances of \$300,000 or more for individual revenue categories:

Grant Proceeds – The 31% variance of \$322,456 is due to the delay of receiving invoices for Proposition 1 and 84 from the project proponents. We should be on budget at the end of the year.

Use of Reserves – The 99% variance of \$890,249 is due to a slow start on budgeted CIP projects.

Favorable Expense Variances

Listed below are explanations of favorable variances of \$300,000 or more for individual expense categories:

Consulting & Professional Services – The 50% variance of \$934,025 is due to a slow start on budgeted CIP projects and other OWOW related consulting costs.

Operating Costs – The 21% variance of \$407,473 is due to not performing brine line maintenance activities until later in the year.

Construction – The 100% variance of \$450,000 is due to a slow start on budgeted CIP projects.

Unfavorable Expense Variances

There are no unfavorable expenses variance of \$300,000 or more.

RESOURCE IMPACTS

None.

Attachment:

1. Variance Report

Santa Ana Watershed Project Authority
 FYE 2022 Budget vs. Actual
 for the Period Ending December 31, 2022

Consolidated

	FYE 2022 Budget	3-Month Budget	YTD Actual	Favorable (Unfavorable) Variance	
Operating Revenue					
Discharge Fees	\$12,192,272	\$6,090,011	\$6,208,486	\$118,475	1.95%
Total Operating Revenue	12,192,272	6,090,011	6,208,486	118,475	1.95%
Operating Expenses					
Labor	3,782,588	1,891,295	1,639,924	251,370	13.29%
Benefits	1,497,154	748,577	677,401	71,176	9.51%
Education & Training	61,000	30,500	7,657	22,843	74.89%
Consulting & Professional Services	3,710,963	1,855,482	921,457	934,025	50.34%
Operating Costs	3,839,940	1,919,970	1,512,497	407,473	21.22%
Repair & Maintenance	586,000	293,000	94,185	198,815	67.85%
Phone & Utilities	99,350	49,675	39,526	10,149	20.43%
Equipment & Computers	394,533	197,267	166,172	31,095	15.76%
Meeting & Travel	123,350	61,675	13,498	48,177	78.11%
Other Administrative Costs	271,169	135,585	139,891	-4,306	-3.18%
Other Expense	412,650	206,325	146,040	60,285	29.22%
Program Expenses	559,547	297,358	0	297,358	100.00%
Construction	900,000	450,000	0	450,000	100.00%
Operating Transfers	114,625	114,625	114,625	0	0.00%
Indirect Costs	0	0	0	0	0.00%
Total Operating Expenses	16,352,869	8,251,334	5,472,870	2,778,460	33.67%
Net Operating Revenue / (Deficit)	-4,160,597	-2,161,323	735,616	-2,659,985	123.07%
Non-Operating Revenue (Expense)					
Member Agency Contributions	1,556,846	1,556,846	1,581,845	24,999	1.61%
Participant Fees	2,058,279	1,594,767	1,565,882	-28,885	-1.81%
Grant Proceeds	1,199,235	1,055,712	733,256	-322,456	-30.54%
Debt Service	-2,608,439	-1,944,262	-1,943,236	1,026	0.05%
Interest & Investments	325,000	162,500	166,263	3,763	2.32%
Other Income	188,633	94,317	182,454	88,137	93.45%
Contributions to Reserves	-1,210,423	-655,212	-620,336	34,876	5.32%
Operating Transfers	114,625	114,625	114,625	0	0.00%
Use of Reserves	1,786,882	893,441	3,192	-890,249	-99.64%
Net Non-Operating Revenue / (Deficit)	3,410,638	2,872,735	1,783,945	-1,088,789	-37.90%
Net Revenue / (Deficit)	-\$749,959	\$711,412	\$2,519,561	\$1,808,150	

Santa Ana Watershed Project Authority
 FYE 2022 Budget vs. Actual
 for the Period Ending December 31, 2022

General Fund

	FYE 2022 Budget	3-Month Budget	YTD Actual	Favorable (Unfavorable) Variance	
Operating Revenue					
Total Operating Revenue	\$0	\$0	\$0	\$0	0.00%
Operating Expenses					
Labor	1,778,647	889,324	766,611	122,713	13.80%
Benefits	703,990	351,995	331,570	20,425	5.80%
Education & Training	46,500	23,250	6,544	16,706	71.85%
Consulting & Professional Services	430,250	215,125	130,927	84,198	39.14%
Operating Costs	6,400	3,200	645	2,555	79.86%
Repair & Maintenance	106,000	53,000	31,320	21,680	40.91%
Phone & Utilities	89,150	44,575	33,579	10,996	24.67%
Equipment & Computers	170,000	85,000	123,893	-38,893	-45.76%
Meeting & Travel	79,000	39,500	13,473	26,027	65.89%
Other Administrative Costs	153,319	76,660	88,202	-11,542	-15.06%
Other Expense	104,178	52,089	39,762	12,327	23.67%
Indirect Costs	-3,232,229	-1,616,115	-1,408,655	-207,459	12.84%
Total Operating Expenses	435,204	217,603	157,870	59,732	27.45%
Net Operating Revenue / (Deficit)	-435,204	-217,603	-157,870	-59,732	27.45%
Non-Operating Revenue (Expense)					
Member Agency Contributions	716,846	716,846	716,845	-1	0.00%
Interest & Investments	0	0	11,416	11,416	100.00%
Other Income	0	0	848	848	100.00%
Building Reserve	-100,000	-100,000	-100,000	0	0.00%
Retiree Medical Reserve	-181,642	-90,821	-55,945	34,876	38.40%
Net Non-Operating Revenue / (Deficit)	435,204	526,025	573,164	47,139	8.96%
Net Revenue / (Deficit)	\$0	\$308,423	\$415,294	\$106,871	

Santa Ana Watershed Project Authority
 FYE 2022 Budget vs. Actual
 for the Period Ending December 31, 2022

Brine Line Operating Fund

	FYE 2022 Budget	3-Month Budget	YTD Actual	Favorable (Unfavorable) Variance	
Operating Revenue					
Discharge Fees	\$12,192,272	\$6,090,011	\$6,208,486	\$118,475	1.95%
Total Operating Revenue	12,192,272	6,090,011	6,208,486	118,475	1.95%
Operating Expenses					
Labor	1,227,239	613,620	552,246	61,374	10.00%
Benefits	485,743	242,872	218,689	24,183	9.96%
Education & Training	14,500	7,250	1,113	6,137	84.65%
Consulting & Professional Services	322,000	161,000	38,473	122,527	76.10%
Operating Costs	3,833,540	1,916,770	1,511,852	404,918	21.13%
Repair & Maintenance	480,000	240,000	62,865	177,135	73.81%
Phone & Utilities	10,200	5,100	5,947	-847	-16.61%
Equipment & Computers	218,000	109,000	42,229	66,771	61.26%
Meeting & Travel	10,000	5,000	0	5,000	100.00%
Other Administrative Costs	90,900	45,450	30,126	15,324	33.72%
Other Expense	308,472	154,236	106,278	47,958	31.09%
Indirect Costs	1,979,458	989,729	890,773	98,956	10.00%
Total Operating Expenses	8,980,052	4,490,027	3,460,591	1,029,436	22.93%
Net Operating Revenue / (Deficit)	3,212,220	1,599,984	2,747,895	-1,147,911	-71.75%
Non-Operating Revenue (Expense)					
Interest & Investments	325,000	162,500	144,707	-17,793	-10.95%
Other Income	0	0	79,040	79,040	100.00%
Debt Service	-2,608,439	-1,944,262	-1,943,236	1,026	0.05%
Contributions to Reserves	-928,781	-464,391	-464,391	0	0.00%
Net Non-Operating Revenue / (Deficit)	-3,212,220	-2,246,153	-2,183,880	62,273	-2.77%
Net Revenue / (Deficit)	\$0	-\$646,169	\$564,015	\$1,210,184	

Santa Ana Watershed Project Authority
 FYE 2022 Budget vs. Actual
 for the Period Ending December 31, 2022

OWOW Fund

	FYE 2022 Budget	3-Month Budget	YTD Actual	Favorable (Unfavorable) Variance	
Operating Revenue					
Total Operating Revenue	\$0	\$0	\$0	\$0	0.00%
Operating Expenses					
Labor	542,171	271,086	242,968	28,117	10.37%
Benefits	214,592	107,296	96,215	11,081	10.33%
Consulting & Professional Services	1,192,568	596,284	285,487	310,797	52.12%
Equipment & Computers	0	0	49	-49	-100.00%
Meeting & Travel	32,100	16,050	0	16,050	100.00%
Other Administrative Costs	10,600	5,300	11,175	-5,875	-110.85%
Program Expenses	459,547	247,358	0	247,358	100.00%
Indirect Costs	874,486	437,243	391,908	45,335	10.37%
Total Operating Expenses	3,326,064	1,680,617	1,027,802	652,815	38.84%
Net Operating Revenue / (Deficit)	-3,326,064	-1,680,617	-1,027,802	-652,815	38.84%
Non-Operating Revenue (Expense)					
Member Agency Contributions	820,000	820,000	845,000	25,000	-3.05%
Participant Fees	1,004,436	540,924	501,507	-39,417	-7.29%
Grant Proceeds	1,199,235	1,055,712	733,256	-322,456	-30.54%
Interest & Investments	0	0	3,255	3,255	100.00%
Net Non-Operating Revenue / (Deficit)	3,023,671	2,416,636	2,083,018	-333,618	-13.81%
Net Revenue / (Deficit)	-\$302,393	\$736,020	\$1,055,216	\$319,196	
Project Reimbursement (Prop 1&84 Capital)	\$22,873,806	\$11,436,903	\$24,303	\$22,849,503	

Santa Ana Watershed Project Authority
 FYE 2022 Budget vs. Actual
 for the Period Ending December 31, 2022

Roundtables Fund

	FYE 2022 Budget	6-Month Budget	YTD Actual	Favorable (Unfavorable) Variance	
Operating Revenue					
Total Operating Revenue	\$0	\$0	\$0	\$0	0.00%
Operating Expenses					
Labor	175,743	87,872	77,038	10,833	12.33%
Benefits	69,560	34,780	30,507	4,273	12.29%
Consulting & Professional Services	1,061,145	530,573	466,569	64,003	12.06%
Equipment & Computers	6,533	3,267	0	3,267	100.00%
Meeting & Travel	2,250	1,125	25	1,100	97.78%
Other Administrative Costs	11,350	5,675	10,388	-4,713	-83.04%
Program Expenses	100,000	50,000	0	50,000	100.00%
Operating Transfer	114,625	114,625	114,625	0	0.00%
Indirect Costs	283,460	141,730	124,263	17,467	12.32%
Total Operating Expenses	1,824,666	969,648	823,415	146,230	15.08%
Net Operating Revenue / (Deficit)	-1,824,666	-969,648	-823,415	-146,230	15.08%
Non-Operating Revenue (Expense)					
Member Agency Contributions	20,000	20,000	20,000	0	0.00%
Participant Fees	1,053,843	1,053,843	1,064,375	10,532	1.00%
Other Income	188,633	94,317	102,566	8,249	8.75%
Operating Transfer	114,625	114,625	114,625	0	0.00%
Interest & Investments	0	0	6,885	6,885	100.00%
Net Non-Operating Revenue / (Deficit)	1,377,101	1,282,785	1,308,451	25,666	2.00%
Net Revenue / (Deficit)	-\$447,565	\$313,138	\$485,036	\$171,898	

Santa Ana Watershed Project Authority
 FYE 2022 Budget vs. Actual
 for the Period Ending December 31, 2022

Capital Fund

	FYE 2022 Budget	3-Month Budget	YTD Actual	Favorable (Unfavorable) Variance	
Operating Revenue					
Total Operating Revenue	\$0	\$0	\$0	\$0	0.00%
Operating Expenses					
Labor	58,789	29,395	1,061	28,334	96.39%
Benefits	23,269	11,635	420	11,214	96.39%
Consulting & Professional Services	705,000	352,500	0	352,500	100.00%
Other Administrative Costs	5,000	2,500	0	2,500	100.00%
Construction	900,000	450,000	0	450,000	100.00%
Indirect Costs	94,827	47,414	1,711	45,702	96.39%
Total Operating Expenses	1,786,882	893,441	3,192	890,249	99.64%
Net Operating Revenue / (Deficit)	-1,786,882	-893,441	-3,192	-890,249	99.64%
Non-Operating Revenue (Expense)					
Use of Reserves	1,786,882	893,441	3,192	-890,249	-99.64%
Net Non-Operating Revenue / (Deficit)	1,786,882	893,441	3,192	-890,249	-99.64%
Net Revenue / (Deficit)	\$0	\$0	\$0	\$0	



**Santa Ana Watershed
Project Authority**

**Financial Report for the 2nd Quarter
Ending December 31, 2021**

1

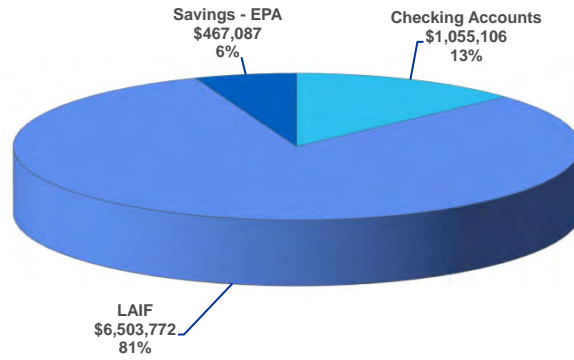
Agenda

- **Cash & Investments**
- **Fund Overview**
- **General Fund**
- **OWOW Funds**
- **Roundtable Funds**

2

Cash & Investments

\$8,025,965



3

Cash & Investments

Total by Fund

General Fund	\$2,623,830
OWOW Fund	2,529,743
Roundtable Fund	2,405,305
Fiduciary Fund	467,087
Total	\$8,025,965

4

Cash & Investments

General Funds

Fund	Checking (Cash)	LAIF Account	Total
General Fund	\$1,055,106	\$823,362	\$1,878,468
Building Reserve	0	745,362	745,362
Total	\$1,055,106	\$1,568,724	\$2,623,830

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Cash & Investments

OWOW Funds

Fund	LAIF Account
General Basin Planning	\$392,198
USBR Partnership Studies	68,030
Watershed Mgmt Plan	676,307
Prop 84 SARCCUP Projects	954,590
Prop 1 Capital Projects	438,618
Total	\$2,529,743

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Cash & Investments

Roundtable Funds

Fund	LAIF Account
Basin Monitoring	\$566,560
RWQ Monitoring TF	311,086
SAR Fish Conservation	113,795
Middle SAR TMDL TF	412,334
Emerging Constituents TF	129,596
Mitigation Banking	871,934
Total	\$2,405,305

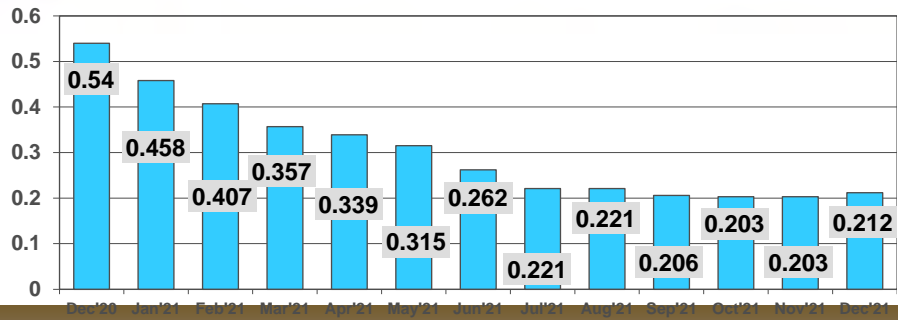
Cash & Investments

Fiduciary Funds

Fund	Savings EPA
Legal Defense Fund	\$467,087
Total	\$467,087

Cash & Investments

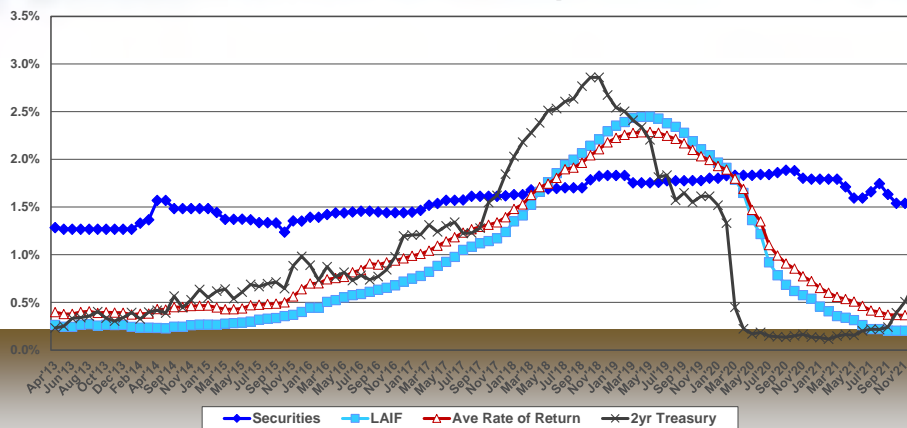
LAIF Interest Rates



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Cash & Investments

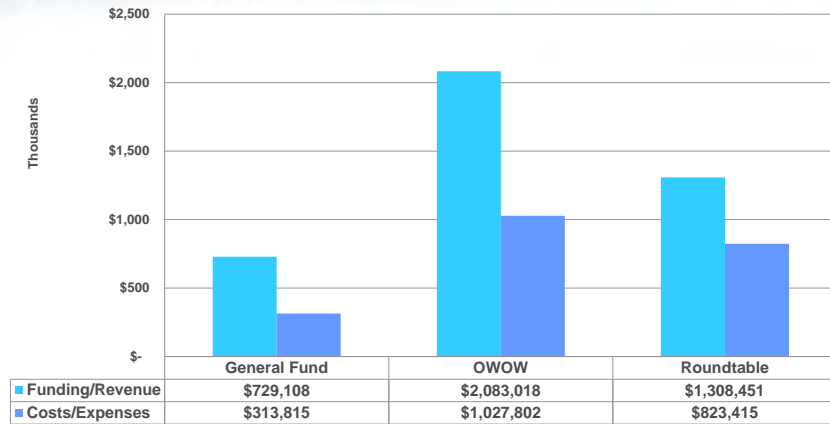
Interest Rate Comparison



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Analysis by Fund Type

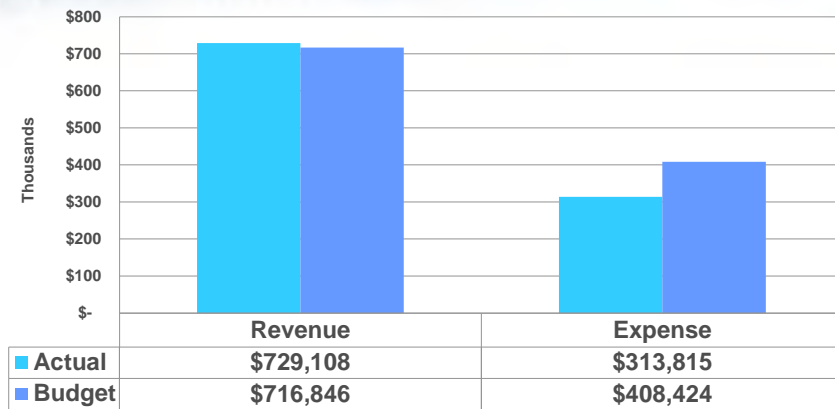
Revenues and Expenses



11

General Fund

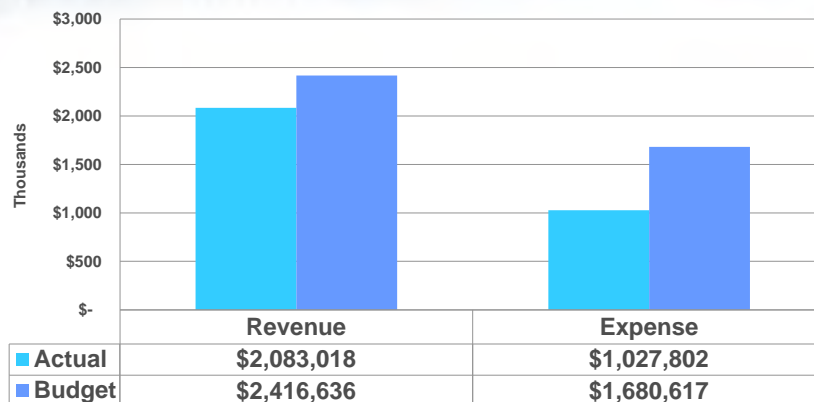
Budget vs. Actual



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OWOW Funds

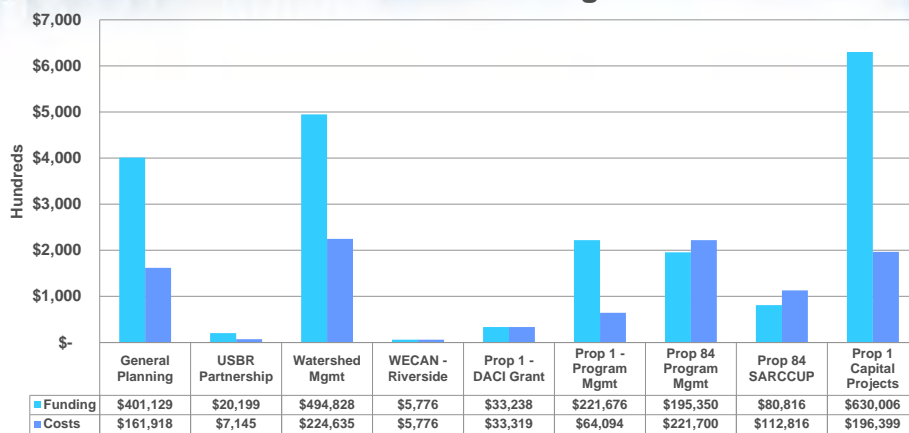
Budget vs. Actual



13

OWOW Funds

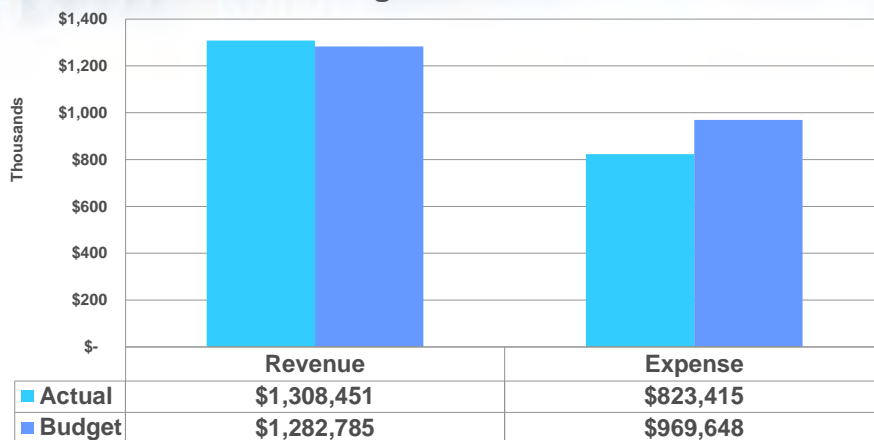
Costs vs. Funding



14

Roundtable Funds

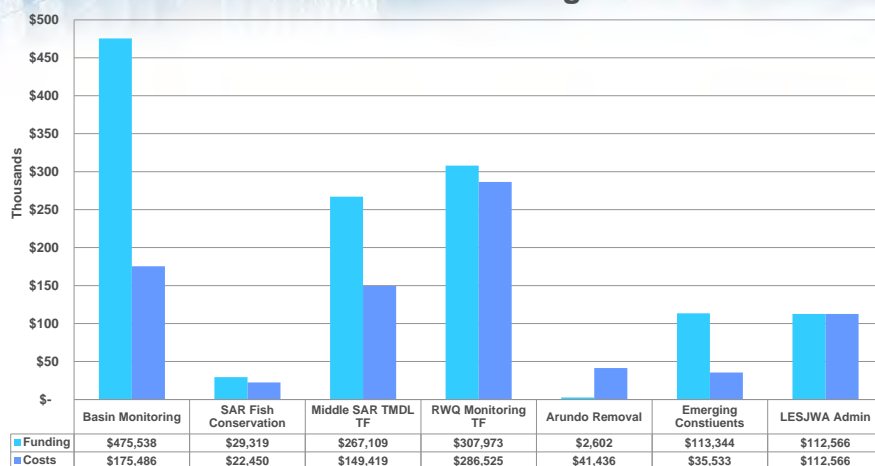
Budget vs. Actual



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Roundtable Funds

Costs vs. Funding



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Santa Ana Watershed Project Authority
 General Manager - Expense Report
 2nd Quarter FYE 2022

Staff	Mosher
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Sum of Amount		Expn Type					Grand Total
Posting Date	Activity	Hotel	Meals	Misc	Parking	Registration	
10/31/21	ACWA Conference Registration					725.00	725.00
	Lunch with SCCWRP		24.93				24.93
	Lunch with Water Research Foundation		41.31				41.31
	Meals at WEFTEC		42.50				42.50
	OC Water Summit Registration					150.00	150.00
	Parking for LA AAAE Event w/IEUA				25.00		25.00
	Uber Rides at WEFTEC			286.60			286.60
	WEFTEC Conference Registration					725.00	725.00
	WEFTEC Hotel Stay	1,254.88					1,254.88
	WEFTEC Parking				80.00		80.00
10/31/21 Total		1,254.88	108.74	286.60	105.00	1,600.00	3,355.22
11/30/21	ACWA Conference AirBnb	542.78					542.78
	CA Water Policy Conference Seminar					15.00	15.00
11/30/21 Total		542.78				15.00	557.78
Grand Total		1,797.66	108.74	286.60	105.00	1,615.00	3,913.00

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Santa Ana Watershed Project Authority

Staff - Expense Report

2nd Quarter FYE 2022

Sum of Amount			Expn Type				Grand Total
Staff	Posting Date	Activity	Hotel	Mileage	Misc	Registration	
Blancas	10/31/21	Clerk of The Board Conference Registration				625.00	625.00
Blancas Total						625.00	625.00
Gallagher	12/21/21	CSMFO Virtual Conference				350.00	350.00
Gallagher Total						350.00	350.00
Lewis	12/15/21	CSMFO Conference Registration				470.00	470.00
	12/21/21	CSMFO Conference Hotel Stay	516.25				516.25
Lewis Total			516.25			470.00	986.25
Mullay	11/30/21	Travel to Notary Public Exam		14.00			14.00
Mullay Total				14.00			14.00
Norton	10/31/21	CalMutuals Annual Meeting			60.00		60.00
		OC Water Summit Registration				150.00	150.00
	11/30/21	Multi-State Salinity Coalition Summit Registration				395.00	395.00
Norton Total					60.00	545.00	605.00
Unger	10/31/21	GIS Water Conference Registration for Pete				100.00	100.00
Unger Total						100.00	100.00
Grand Total			516.25	14.00	60.00	2,090.00	2,680.25

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General Manager's Report

March 2022

Santa Ana Watershed Project Authority | 11615 Sterling Avenue, Riverside, CA 92503 | www.sawpa.org

INSIDE THE MARCH REPORT

- 1 2022 Multi-State Salinity Coalition Summit
- 2 SAWPA Presenting at CalWEP Spring Plenary



2022 Multi-State Salinity Coalition Summit

The Multi-State Salinity Coalition Summit was held on February 24-25, 2022 in Las Vegas. The event was well attended with representatives from the Multi-State Salinity Coalition and other interested participants in salinity management, mostly from the Western United States. SAWPA staff, Jeff Mosher and Mark Norton, participated as speaker and moderator for the event. Other speakers included representatives from EMWD and OCWD staff. A significant portion of the program focused on Per- and Polyfluoroalkyl Substances (PFAS), a group of manufactured chemicals that are having an impact on salinity management, particularly as it relates to brine disposal and management.



SAWPA staff serves as a member of both the Multi-State Salinity Coalition and the Southern California Salinity Coalition, both of which are seeking to address current salinity management issues in the water and wastewater sectors.

SAWPA Presenting at CalWEP Spring Plenary

SAWPA staff will present the Santa Ana River Conservation and Conjunctive Use Program's water use efficiency component at the California Water Efficiency



Partnership (CalWEP) Spring Plenary on March 9th. SAWPA will highlight the efforts of the member agencies and SAWPA to fund a consultant, NV5 (formerly Quantum Spatial), to create water use efficiency budgets for retail water agencies with dedicated irrigation meters within the Santa Ana River Watershed. SAWPA will present alongside both CalWEP staff and Eagle Aerial Solutions to cover the latest details of the State regulations being drafted due to the passage of Assembly Bill 1668 and Senate Bill 606 (collectively known as the "Making Water Conservation a California Way of Life" legislation).

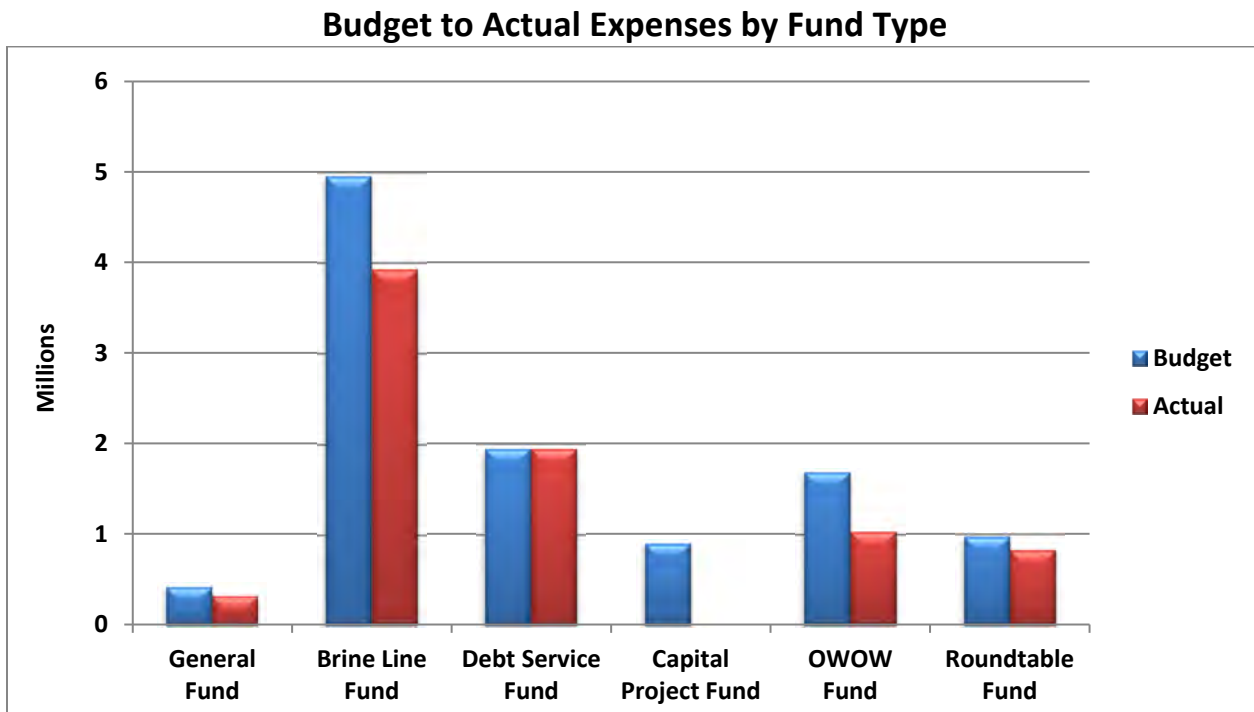
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**Santa Ana Watershed Project Authority
Executive Financial Information Report
December 2021**

Staff comments provided on the last page are an integral part of this report.

Overview	This report highlights the agency's key financial indicators for the Fiscal Year-to-Date (FYTD) December 2021 unless otherwise noted.
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Budget to Actual Expenses by Fund Type	Favorable			
	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
General Fund	\$716,847	\$408,424	\$313,815	\$94,609
Brine Line Enterprise	9,908,833	4,954,418	3,924,982	1,029,436
Debt Service Fund	2,608,439	1,944,262	1,943,236	1,026
Capital Project Fund	1,786,882	893,441	3,192	890,249
OWOW Fund	3,326,064	1,680,615	1,027,802	652,813
Roundtable Fund	1,824,666	969,648	823,415	146,233
Total	\$20,171,731	\$10,850,808	\$8,036,442	\$2,814,366



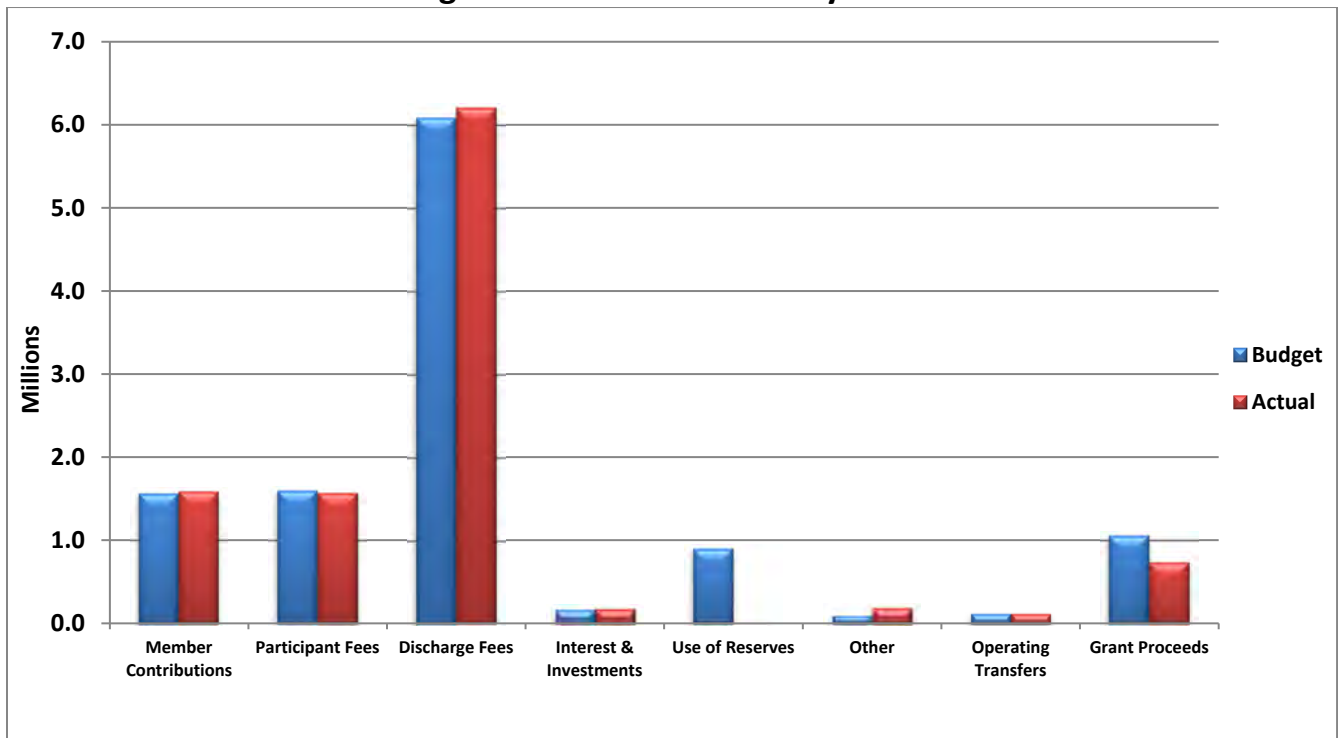
Budget to Actual Revenues by Source



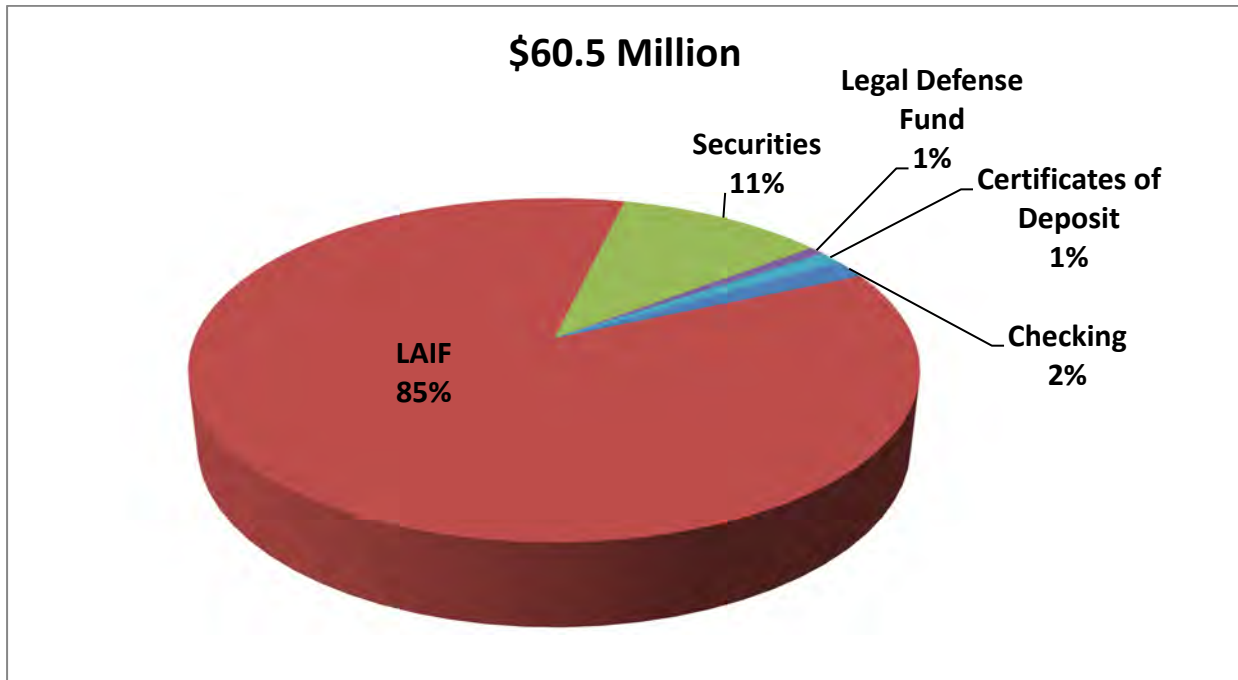
On Track

	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
Member Contributions	\$1,556,847	\$1,556,845	\$1,581,845	\$25,000
Participant Fees	2,058,279	1,594,767	1,565,882	(28,885)
Discharge Fees	12,192,272	6,090,011	6,208,486	118,475
Interest & Investments	325,000	162,500	166,263	3,763
Use of Reserves	1,786,882	893,441	3,192	(890,249)
Other	188,633	94,317	182,454	88,137
Operating Transfers	114,625	114,625	114,625	-
Grant Proceeds	1,199,235	1,055,713	733,256	(322,457)
Total	\$19,421,773	\$11,562,219	\$10,556,003	(\$1,006,216)

Budget to Actual Revenues by Source



Total Cash & Investments - December







Reserve Fund Balance – December

	Amount
General Fund	\$1,878,468
Building Fund	745,362
OWOW Fund	2,529,743
Roundtable Fund	2,872,392
Self Insurance	4,508,045
Debt Retirement	2,824,145
Pipeline Replacement	22,389,053
OC San Rehabilitation	2,385,060
Capacity Management	12,010,534
Future Capacity	1,838,852
Rate Stabilization	1,030,442
Flow Imbalance	84,410
Brine Line Operating	5,459,327
Total Reserves	\$60,555,834

Legend

Compared to Budget

	Ahead or Favorable	Above +5% Favorable Revenue or Expense Variance
	On Track	+5% to -2% Variance
	Behind	-3% to -5% Variance
	Concern	Below -5% Variance

Staff Comments

For this month's report, the item(s) explained below are either "behind", a "concern", or have changed significantly from the prior month.

Revenues are on budget and expenses are 28% below budget. It is expected that both will be on track with the budget by the end of the year.



March 3, 2022

To: Santa Ana Watershed Project Authority

From: Michael Boccadoro
Beth Olhasso

RE: February Report

Overview:

A dry January and February are starting to worry water managers. With the Sierra snowpack down from 103 percent of average to 58 percent of average in just one month, there is increased prayer for a March Miracle. Reservoirs are all trending well below average as well.

The Ocean Protection Council recently passed a Statewide Microplastics Strategy focused on pollution prevention, education, risk and pathway intervention. They note that POTW effluent is responsible for significantly less microplastic pollution than stormwater, and focus strategies to on preventing microplastics from entering the wastewater stream or cleaning up stormwater.

A group of water experts associated with the Planning & Conservation League has published a set of controversial recommendations for the legislature to address how water law can be updated to address the impacts of drought and climate change. Some of the recommendations include funding a multitude of programs at the SWRCB, protecting domestic wells, improving surface water rights verification systems and other ideas designed to “modernize” the California water rights system. Several of these proposals are reflected in recent legislative bill introductions.

The California Water Plan 2023 update process has started. The 2023 update will promote climate resilience across regions and water sectors with a statewide vision, clear goals, watershed planning framework, and progress-tracking dashboard of indicators. It will include updated resource management strategies, regional planning and performance tracking tools, and policy-related activities related to water resilience and sustainability.

The Department of Water Resources is working to update how water infrastructure is managed in the era of climate change. With an inconsistent snowpack it has become increasingly difficult to forecast runoff. DWR is working on significant updates to modeling and new technologies to monitor real-time snowpack conditions, snow water content, and soil moisture.

Legislators introduced over 2,000 bills in the first six weeks of the year. The February 18 bill introduction deadline brought more bills on water than we have seen in the last few years. As expected there is a bill to lower the indoor water use standard, though it is now SB 1157 carried by Senator Hertzberg, not Assemblymember Friedman bill as we saw last year. AB 2142 (Gabriel) would offer tax exemptions for turf rebate participants. AB 2247 (Bloom) looks to regulate sources of PFAS. SB 1219 (Hurtado) would abolish the State Water Resources Control Board. Policy committees will hear these, and other bills, before the April 29 policy committee deadline.

Santa Ana Watershed Project Authority Status Report – February 2022

Water Supply Conditions

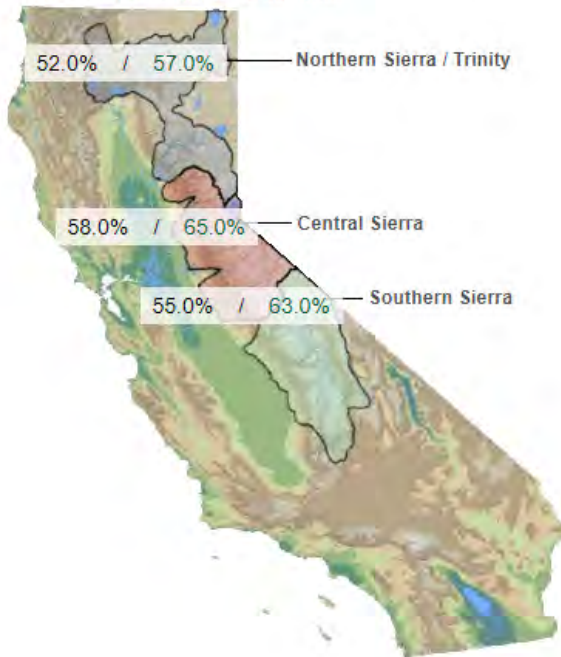
Dry conditions have persisted throughout February. The Sierra snowpack is down from 103 percent of normal and 58 percent of April 1 average at the end of January, to 63 percent of normal and 56 percent of April 1 averages currently. Lake Oroville is sitting at just 73 percent of historical average and 47 percent capacity. San Luis Reservoir, the main south-of-Delta storage facility for the State Water Project is even lower at 54 percent of average for this time of the year and 44 percent capacity. The water community is hoping for a “March Miracle.”

Snow Water Equivalents (inches)

Provided by the California Cooperative Snow Surveys

Data For: 03-Mar-2022

% Apr 1 Avg. / % Normal for this Date



Change Date :  03-Mar-2022

NORTH	
Data For: 03-Mar-2022	
Number of Stations Reporting	31
Average snow water equivalent	14.8"
Percent of April 1 Average	52%
Percent of normal for this date	57%

CENTRAL	
Data For: 03-Mar-2022	
Number of Stations Reporting	42
Average snow water equivalent	17.0"
Percent of April 1 Average	58%
Percent of normal for this date	65%

SOUTH	
Data For: 03-Mar-2022	
Number of Stations Reporting	30
Average snow water equivalent	14.1"
Percent of April 1 Average	55%
Percent of normal for this date	63%

STATEWIDE SUMMARY	
Data For: 03-Mar-2022	
Number of Stations Reporting	103
Average snow water equivalent	15.5"
Percent of April 1 Average	56%
Percent of normal for this date	63%

Ocean Protection Council Adopts Microplastics Strategy

As required by 2018 legislation, SB 1263, the Ocean Protection Council (OPC) recently adopted a [Statewide Microplastics Strategy](#). The Strategy outlines a two-track approach to manage microplastics in California. The first track emphasizes pollution prevention and pathway interventions that will provide immediate reductions in microplastic sources. The second track outlines a research strategy to enhance understanding of microplastic sources, pathways, and risk, as well as to inform future management solutions for California.

Track One solutions:

- **Pollution prevention** to eliminate plastic waste at the source and prevent the introduction of microplastics into the environment
- **Pathway interventions** to intervene within specific pathways, stormwater and wastewater, that mobilize microplastics from a specific source into CA waters and improve overall ecosystem health.
- **Education** to inform public behaviors, attitudes and priorities around plastic use and waste reduction.

Track Two:

- **Monitoring** to understand and identify trends of microplastic pollution statewide.
- **Risk** to improve understanding of critical thresholds at which aquatic life and humans are adversely impacted by microplastic exposure.
- **Sources and pathways prioritization** to identify and prioritize future management solutions based on predominant ways microplastics enter California waters.
- **Evaluate new solutions** to develop and implement future solutions.

Concerning microplastics in wastewater, the report notes that the OPC has funded two research projects to enhance the state's understanding of microplastics in stormwater and wastewater and how to best remove them. They do highlight that stormwater is a more significant problem than wastewater with about 9.2 microparticles/L in urban stormwater and only 0.06 microparticles/L in wastewater. The also noted that wastewater treatment at even the primary level removes a significant amount of microplastics and tertiary treatment levels remove even more.

There have already been several bills introduced in the legislature that are trying to implement some of these recommendations. AB 1724 by Assemblymember Stone, who is the Assembly representative to the OPC, would require all new washing machines sold in CA to contain microfiber filtration systems by 2024.

Water Expert Group Publishes Recommendations for Water Rights

A group of water experts, associated with the Planning and Conservation League, recently published a set of recommendations for how water law can be updated to address the impacts of drought and climate change. They assert that California's current system of water laws is ill-equipped to respond to modern water shortages. The recommendations include:

1. The SWRCB and the Department of Fish and Wildlife need to be provided sufficient funding to carry out their existing and new responsibilities including verifying water rights, overseeing real-time monitoring systems, and updating the Bay-Delta Water Quality Control Plan.
2. Allow under represented and non profit groups to receive compensation for participation in SWRCB processes.

3. Require at least one member of the SWRCB and each Regional board to have experience in environmental justice.
4. Mitigate impacts of groundwater pumping on domestic wells.
5. Update statutory adjudications
6. Improve surface water rights verification
7. Provide SWRCB with authority to issue interim relief orders
8. Real-time water diversion use and monitoring
9. Timely completion and implementation of the Bay-Delta Water Quality Control Plan Update
10. Accounting for Climate Change Effects on Hydrology in Determining the Availability of Unappropriated Water
11. Require dam owners to allow water of sufficient flow and temperature to pass through a fishway at all times.

These recommendations are meant to be a guide for legislative action.

CA 2023 Water Plan Update Commences

The California Water Plan 2023 update process has started. The 2023 update will promote climate resilience across regions and water sectors with a statewide vision, clear goals, utilization of a watershed planning framework, and progress-tracking through use of a dashboard of indicators. It will include update resource management strategies, regional planning and performance tracking tools, and policy-related activities related to water resilience and sustainability.

The 2023 update will use the California Water Resilience Portfolio as a roadmap for water management considering climate change, more extreme droughts and floods, rising temperatures, declining fish populations, groundwater overdraft and other issue areas.

The update will have several stakeholder workgroups:

- Core State Agency Team
- Policy Advisory Committee
- Tribal Advisory Committee
- Regional forums
- Topic and/or place-based workshops

The first meeting of the CA Water Plan Policy Advisory Committee (of which SAWPA is a member) was March 2 with a public meeting scheduled for late March.

Climate Change Upending Water Supply Calculations

With climate change bringing in different patterns of precipitation, the Department of Water Resources is trying to quickly re-imagine water infrastructure management. More rain, shifting snowpack, and atmospheric rivers change how the state manages water infrastructure to manage snowmelt storage and increased rain mixing with snow. Scientists predict that in the next 30 to 60 years, if greenhouse gas emissions are not reduced, the CA snowpack could shrink or disappear for a decade or more at a time.

Just last year, forecasts overestimated runoff by 68 percent for the Sacramento River region and by at least 45 percent for major watersheds south of the Delta.

To revamp forecasts, better and increased data collection about snowpack and comprehensive modeling are needed. Aerial surveys using lidar have been used for the past decade, but recently added the Feather, Yuba, Truckee and Carson rivers to the survey for a more comprehensive set of data. The Berkeley Snow Lab is testing sensors that quickly assess snowpack temperature and water content.

Recent tweaks in modeling have not yielded any better data. This year, DWR is working on a “major tune-up” incorporating more recent rain, snow and runoff data.

There is significant hope that the big December storms soaked the earth enough that as the snowpack melts, it will actually make it to streams, tributaries and rivers this year.

Legislative Update

The last date to introduce new bills was February 18. Over 2,000 bills have been introduced since January 1, with more water bills than we have seen in the last several years. A large handful of new introductions are “spot bills,” or bills that do not have actual specific language in them yet.

Bills have until April 29 to pass out of policy committee, so March and April will be very busy with committee hearings.

Below are a few of the bills that are likely of most interest to SAWPA and its Member Agencies:

AB 1640 (Ward): This bill would authorize the formation of regional climate networks.

AB 2142 (Gabriel): This bill would offer an income tax exemption for rebates from a turf removal program.

AB 2247 (Bloom): CASA sponsored bill would require products sold in CA that contain PFAS to register the product on a publicly accessible reporting platform.

AB 2387 (E. Garcia): Safe Drinking Water, Wildfire Prevention, Drought Preparation, Flood Protection, Extreme Heat Mitigation, and Workforce Development Bond Act of 2022. \$7.4 billion bond for the June 2022 ballot. This measure would have to move very quickly in order to qualify for the June ballot. Includes \$300 million for IRWM

AB 2639 (Quirk): Would require the SWRCB before the end of 2023 to adopt a final Bay-Delta Water Quality Control Plan. If the plan is not adopted the legislation would prohibit the SWRCB from approving any new water right permits or extension of existing permits resulting in new or increased diversions to surface water storage from the Sacramento/San Joaquin River Watersheds.

AB 2782 (Quirk): This legislation is an extension of the original microbeads bill. It would ban the sale or distribution of products that contain intentionally added microplastics.

SB 852 (Dodd): This measure would authorize the formation of climate resilience networks.

SB 1157 (Hertzberg): This legislation is identical to AB 1434 (Friedman) from 2021. The bill would implement the indoor GPCD targets outlined in the DWR/SWRCB draft report to the Legislature for 47 GPCD by 2025 and 42 GPCD by 2030.

SB 1219 (Hurtado): This measure would dissolve the SWRCB by January 1, 2025.

As spot bills get amended, it is likely there will be a number of bills added to this list.