



S A W P A

SANTA ANA WATERSHED PROJECT AUTHORITY
11615 Sterling Avenue, Riverside, California 92503 • (951) 354-4220

PURSUANT TO THE PROVISIONS OF EXECUTIVE ORDER N-29-20 ISSUED BY GOVERNOR GAVIN NEWSOM, THIS MEETING WILL BE CONDUCTED VIRTUALLY. ALL VOTES TAKEN DURING THIS VIRTUAL MEETING WILL BE CONDUCTED BY ORAL ROLL CALL.

This meeting will be accessible as follows:

Meeting Access Via Computer (Zoom)*:	Meeting Access Via Telephone*:
<ul style="list-style-type: none"> https://sawpa.zoom.us/j/98285143235 	<ul style="list-style-type: none"> 1 (669) 900-6833
<ul style="list-style-type: none"> Meeting ID: 982 8514 3235 	<ul style="list-style-type: none"> Meeting ID: 982 8514 3235
* Participation in the meeting via the Zoom app (a free download) is strongly encouraged	

REGULAR COMMISSION MEETING TUESDAY, FEBRUARY 16, 2021 – 9:30 A.M.

AGENDA

1. **CALL TO ORDER** (Jasmin A. Hall, Chair)
2. **ROLL CALL**
3. **PUBLIC COMMENTS**
Members of the public may address the legislative body concerning any item that has been described in the notice for this Special Meeting before or during consideration of that item as authorized by Government Code §54954.3(a).
4. **ITEMS TO BE ADDED OR DELETED**
5. **CONSENT CALENDAR**
All matters listed on the Consent Calendar are considered routine and non-controversial and will be acted upon by the Commission by one motion as listed below.
 - A. **APPROVAL OF MEETING MINUTES: FEBRUARY 2, 2021** 5
Recommendation: Approve as posted.
6. **NEW BUSINESS**
 - A. **AUDIT SERVICES CONTRACT EXTENSION (CM#2021.13)** 9
Presenter: Karen Williams
Recommendation: Authorize the issuance of a Task Order with Teaman, Ramirez & Smith, Inc. for audit services and extend the current contract for an additional two (2) years.

7. INFORMATIONAL REPORTS

Recommendation: Receive for information.

- A. [CASH TRANSACTIONS REPORT – DECEMBER 2020](#) 15
Presenter: Karen Williams
- B. [INTER-FUND BORROWING – DECEMBER 2020 \(CM#2021.11\)](#) 21
Presenter: Karen Williams
- C. [PERFORMANCE INDICATORS/FINANCIAL REPORTING – DECEMBER 2020 \(CM#2021.12\)](#) 27
Presenter: Karen Williams
- D. [PROJECT AGREEMENT 25 – OWOW FUND – FINANCIAL REPORT, NOVEMBER 2020](#) 49
Presenter: Karen Williams
- E. [PROJECT AGREEMENT 26 – ROUNDTABLE FUND – FINANCIAL REPORT, NOVEMBER 2020](#) 53
Presenter: Karen Williams
- F. [SANTA ANA WATERSHED PROJECT AUTHORITY \(SAWPA\) | COMMISSION AND COMMITTEE STRUCTURE \(CM#2021.14\)](#) 57
Presenter: Kelly Berry
- G. [COMMISSIONER COMPENSATION POLICY OVERVIEW \(CM#2021.15\)](#) 67
Presenter: Kelly Berry
- H. [GENERAL MANAGER REPORT](#) 79
- I. [STATE LEGISLATIVE REPORT](#) 85
Presenter: General Manager
- J. [CHAIR’S COMMENTS/REPORT](#)
- K. [COMMISSIONERS’ COMMENTS](#)
- L. [COMMISSIONERS’ REQUEST FOR FUTURE AGENDA ITEMS](#)

8. CLOSED SESSION

- A. [CONFERENCE WITH LEGAL COUNSEL – EXISTING LITIGATION PURSUANT TO GOVERNMENT CODE SECTION 54956.9\(d\)\(1\)](#)

Name of Case: Southern California Edison Company vs. The Blue-Sky Institute, LLC
(Riverside County Superior Court Case No. CVRI 2100168)

CLOSED SESSION REPORT

9. ADJOURNMENT

PLEASE NOTE:

Americans with Disabilities Act: If you require any special disability related accommodations to participate in this meeting, call (951) 354-4220 or email kberry@sawpa.org. 48-hour notification prior to the meeting will enable staff to make reasonable arrangements to ensure accessibility for this meeting. Requests should specify the nature of the disability and the type of accommodation requested.

Materials related to an item on this agenda submitted to the Commission after distribution of the agenda packet are available for public inspection during normal business hours at the SAWPA office, 11615 Sterling Avenue, Riverside, and available at www.sawpa.org, subject to staff's ability to post documents prior to the meeting.

Declaration of Posting

I, Kelly Berry, CMC, Clerk of the Board of the Santa Ana Watershed Project Authority declare that on Thursday, February 11, 2021, a copy of this agenda has been uploaded to the SAWPA website at www.sawpa.org and posted at SAWPA's office at 11615 Sterling Avenue, Riverside, California.

2021 SAWPA Commission Meetings/Events

First and Third Tuesday of the Month

(NOTE: All meetings begin at 9:30 a.m., unless otherwise noticed, and are held at SAWPA.)

<p>January</p> <p>1/5/21 Commission Workshop [cancelled] 1/5/21 Special Commission Meeting 1/8/21 Special Commission Meeting 1/12/21 Special Commission Meeting 1/19/21 Regular Commission Meeting</p>	<p>February</p> <p>2/2/21 Commission Workshop 2/16/21 Regular Commission Meeting</p>
<p>March</p> <p>3/2/21 Commission Workshop 3/16/21 Regular Commission Meeting</p>	<p>April</p> <p>4/6/21 Commission Workshop 4/20/21 Regular Commission Meeting</p>
<p>May</p> <p>5/4/21 Commission Workshop 5/18/21 Regular Commission Meeting 5/4 – 5/7/21 ACWA Spring Conference, Monterey</p>	<p>June</p> <p>6/1/21 Commission Workshop 6/15/21 Regular Commission Meeting</p>
<p>July</p> <p>7/6/21 Commission Workshop 7/20/21 Regular Commission Meeting</p>	<p>August</p> <p>8/3/21 Commission Workshop 8/17/21 Regular Commission Meeting</p>
<p>September</p> <p>9/7/21 Commission Workshop 9/21/21 Regular Commission Meeting</p>	<p>October</p> <p>10/5/21 Commission Workshop 10/19/21 Regular Commission Meeting</p>
<p>November</p> <p>11/2/21 Commission Workshop 11/16/21 Regular Commission Meeting</p>	<p>December</p> <p>12/7/21 Commission Workshop 12/21/21 Regular Commission Meeting 11/30 – 12/3/21 ACWA Fall Conference, Pasadena</p>

SAWPA COMPENSABLE MEETINGS

IMPORTANT NOTE: Due to the spread of COVID-19, and until further notice, the Santa Ana Watershed Project Authority will be holding all upcoming meetings by teleconferencing. Participation information will be included on each posted agenda or meeting notice.

In addition to Commission meetings, Commissioners and Alternate Commissioners will receive compensation for attending the meetings listed below, pursuant to the Commission Compensation, Expense Reimbursement, and Ethics Training Policy.

IMPORTANT NOTE: These meetings are subject to change. Prior to attending any meetings listed below, please confirm meeting details by viewing the website calendar using the following link:

<https://sawpa.org/sawpa-calendar/>

MONTH OF: February 2021

DATE	TIME	MEETING DESCRIPTION	LOCATION
2/1/21	10:00 AM	Emerging Constituents Program Task Force	VIRTUAL/TELEPHONICALLY
2/2/21	8:30 AM	PA 23 Committee Mtg	VIRTUAL/TELEPHONICALLY
2/2/21	10:00 AM	PA 24 Committee Mtg	VIRTUAL/TELEPHONICALLY
2/3/21	1:30 PM	MSAR TMDL/Regional WQ Monitoring Task Force Mtg	VIRTUAL/TELEPHONICALLY
2/9/21	8:30 AM	PA 22 Committee Mtg	VIRTUAL/TELEPHONICALLY
2/18/21	4:00 PM	LESJWA Board of Directors Mtg	VIRTUAL/TELEPHONICALLY
2/22/21	1:30 PM	Lake Elsinore/Canyon Lake TMDL Task Force	VIRTUAL/TELEPHONICALLY
2/23/21	2:00 PM	Basin Monitoring Program Task Force Mtg	VIRTUAL/TELEPHONICALLY

MONTH OF: March 2021

DATE	TIME	MEETING DESCRIPTION	LOCATION
3/2/21	10:00 AM	PA 24 Committee Mtg	VIRTUAL/TELEPHONICALLY
3/9/21	8:30 AM	PA 22 Committee Mtg	CANCELLED
3/15/21	2:30 PM	Basin Monitoring Program Task Force Mtg	VIRTUAL/TELEPHONICALLY
3/25/21	11:00 AM	OWOW Steering Committee Mtg	VIRTUAL/TELEPHONICALLY

Please Note: We strive to ensure the list of Compensable Meetings set forth above is accurate and up-to-date; the list is compiled based on input from SAWPA staff and Department Managers regarding meeting purpose and content.



**SAWPA COMMISSION
REGULAR MEETING MINUTES
FEBRUARY 2, 2021**

COMMISSIONERS PRESENT

Jasmin A. Hall, Chair, Inland Empire Utilities Agency
Kelly E. Rowe, Vice Chair, Orange County Water District
Brenda Dennstedt, Secretary-Treasurer, Western Municipal Water District
June D. Hayes, San Bernardino Valley Municipal Water District
David J. Slawson, Eastern Municipal Water District

COMMISSIONERS ABSENT

None

ALTERNATE COMMISSIONERS PRESENT; NON-VOTING

T. Milford Harrison, Alternate, San Bernardino Valley Municipal Water District

STAFF PRESENT

Rich Haller, Karen Williams, Mark Norton, Dean Unger, David Ruhl, Carlos Quintero, Kelly Berry

OTHERS PRESENT

Andrew D. Turner, Lagerlof, LLP; Jeff Mosher

The Regular Meeting of the Santa Ana Watershed Project Authority Commission was called to order at 9:31 a.m. by Chair Hall on behalf of the Santa Ana Watershed Project Authority, 11615 Sterling Avenue, Riverside, California. The record will reflect this meeting was conducted virtually.

1. CALL TO ORDER

Pursuant to the provisions of Executive Order N-29-20 issued by Governor Newsom, this meeting was conducted virtually. Members of the public who were unable to participate by teleconference or virtually were invited to submit comments and questions in writing via email for consideration.

2. ROLL CALL

An oral roll call was duly noted and recorded by the Clerk of the Board.

3. PUBLIC COMMENTS

There were no public comments; there were no public comments received via email.

4. ITEMS TO BE ADDED OR DELETED

There were no added or deleted items.

5. CONSENT CALENDAR

A. APPROVAL OF MEETING MINUTES: JANUARY 19, 2021

Recommendation: Approve as posted.

B. TREASURER'S REPORT – DECEMBER 2020

Recommendation: Approve as posted.

MOVED, approve the Consent Calendar.

Result:	Adopted by Roll Call Vote (Unanimously)
Motion/Second:	Rowe/Slawson
Ayes:	Dennstedt, Hall, Hayes, Rowe, Slawson
Nays:	None
Abstentions:	None
Absent:	None

6. SPECIAL COMMENDATIONS

A. SERVICE PIN PRESENTATIONS

Service pins were presented to the following SAWPA employees; Commissioners recognized the employees for these milestones and acknowledged their commitment and dedication to SAWPA.

- 5-Year Pin: Alison Lewis
- 10-Year Pin: Zyanya Ramirez
- 15-Year Pin: David Ruhl
- 20-Year Pin: Karen Williams
Richard Haller

7. NEW BUSINESS

A. SUBRECIPIENT GRANT AGREEMENT FOR WECAN EXPANSION IN THE CITY OF RIVERSIDE (CM#2021.10)

Mark Norton provided a PowerPoint presentation contained in the agenda packet on pages 20 – 30. Vice Chair Rowe asked if there was an ability for the square footage to be increased; Mr. Norton advised the initial 1,000 square footage allotment could be increased.

MOVED, authorize the General Manager to execute the Transformative Climate Communities (TCC) subrecipient agreement between SAWPA and the City of Riverside for SAWPA to accept TCC grant funding in the amount of \$593,000 to expand the turf removal component of the WECAN program into Riverside.

Result:	Adopted by Roll Call Vote (Unanimously)
Motion/Second:	Hayes/Rowe
Ayes:	Dennstedt, Hall, Hayes, Rowe, Slawson
Nays:	None
Abstentions:	None
Absent:	None

B. FISCAL YEAR 2021-2022 AND FISCAL YEAR 2022-2023 REVISED BUDGET SCHEDULE (CM#2021.9)

Karen Williams provided an oral report on the budget schedule revised to accommodate incoming General Manager Jeff Mosher. Jeff Mosher acknowledged he is comfortable with the timeline; he has been reviewing the budget with Karen Williams who has advised the timeline can be accomplished.

This item was for informational and discussion purposes; no action was taken on Agenda Item No. 7.B.

C. APPROVAL OF EMPLOYMENT AGREEMENT | GENERAL MANAGER

Andy Turner advised the agreement has been reviewed and signed by Mr. Mosher, and recommended approval by the Commission. Jeff Mosher thanked staff for their generosity in meeting with him and providing information that has been useful in bringing him up to speed so he can hit the ground running on February 16th.

Secretary-Treasurer Dennstedt welcomed Mr. Mosher and noted the tradition of having a reception for incoming SAWPA General Managers which staff should consider scheduling sometime in June once facilities begin to open.

MOVED, approve the Employment Agreement with Jeffrey Mosher, effective February 15, 2021, for the position of General Manager, and authorize the Commission Chair to execute the agreement.

Result:	Adopted by Roll Call Vote (Unanimously)
Motion/Second:	Slawson/Dennstedt
Ayes:	Dennstedt, Hall, Hayes, Rowe, Slawson
Nays:	None
Abstentions:	None
Absent:	None

D. ADOPT RESOLUTION IN RECOGNITION OF RICHARD HALLER

Karen Williams gave an overview of Rich Haller's 20-plus years of service at SAWPA, providing an account of his many accomplishments and projects which have strengthened SAWPA's presence within the watershed and the region. Rich Haller thanked the Commission and stated it has been an honor to work at SAWPA and with the talented staff he has worked alongside during his career. The Commission thanked him for his years of leadership, service, and dedication, and wished him well as he begins this next chapter with more time to spend with family. Vice Chair Rowe invited Rich, who had worked with his wife for many years before coming to SAWPA, and his family to Disneyland and dinner. Alternate Commissioner Harrison thanked Rich for the education and mentoring he has provided and noted how much Rich's family will appreciate having him around more. Chair Hall thanked Rich for how he has enhanced the business aspects of SAWPA and wished him the best as he enjoys this next chapter with all its new opportunities.

MOVED, adopt Resolution No. 2021-3 recognizing Richard Haller for his service since 2001 as General Manager and Executive Manager of Engineering and Operations.

Result:	Adopted by Roll Call Vote (Unanimously)
Motion/Second:	Hayes/Rowe
Ayes:	Dennstedt, Hall, Hayes, Rowe, Slawson
Nays:	None
Abstentions:	None
Absent:	None

8. INFORMATIONAL REPORTS-

The following oral/written reports/updates were received and filed.

A. CHAIR'S COMMENTS/REPORT

There were no comments/reports from the Chair.

B. COMMISSIONERS' COMMENTS

Commissioner Hayes thanked Mark Norton for his recent presentation on cloud seeding before the Rialto Utilities Commission.

C. COMMISSIONERS' REQUEST FOR FUTURE AGENDA ITEMS

There were no Commissioner requests for future agenda items.

9. CLOSED SESSION

There was no Closed Session.

10. ADJOURNMENT

There being no further business for review, Chair Hall adjourned the meeting at 10:06 a.m.

Approved at a Regular Meeting of the Santa Ana Watershed Project Authority Commission on Tuesday, February 16, 2021.

Jasmin A. Hall, Chair

Attest:

Kelly Berry, CMC, Clerk of the Board

COMMISSION MEMORANDUM NO. 2021.13

DATE: February 16, 2021
TO: SAWPA Commission
SUBJECT: Audit Services Contract Extension
PREPARED BY: Karen Williams, Deputy GM/CFO

RECOMMENDATION

It is recommended that the Commission authorize the issuance of a Task Order with Teaman, Ramirez & Smith, Inc. for audit services and extend the current contract for an additional two (2) years.

DISCUSSION

The current audit relationship with Teaman, Ramirez & Smith, Inc. has been in force without interruption since the Agency's audit for the fiscal year ending June 30, 2018. The RFP Proposal and Task Order were in effect for a period of three years with an option to extend the contract an additional two years.

Common practice is to issue an RFP for Audit Services every 3 to 5 years. SAWPA's original contract was for a period of three years with the option to renew the contract for an additional two years. Staff would like to extend the current contract for the additional two years. Teaman, Ramirez & Smith, Inc., has done an excellent job for the Agency for the past three years. They are a very reputable firm that has also done work for many of SAWPA's member agencies.

The chart below shows the costs for the additional two year contract extension. SAWPA has not needed a single audit for the last few years and do not anticipate needing one during the contract extension.

Task	FYE 2018-2020*	FYE 2021	FYE 2022
SAWPA Financial Audit	\$22,750	\$23,750	\$24,500
Single Audit (if needed)	3,750	4,500	4,500
State Controller's Report	Included	Included	Included
Total	\$22,750	\$23,750	\$24,500
LESJWA Financial Audit	\$5,000	\$5,000	\$5,000

*Cost is for each of the three years.

RESOURCE IMPACTS

None. Costs for audit services are included in the FY 2021-22 and FY 2022-23.

Attachments:

1. Teaman, Ramirez & Smith, Inc., Proposal
 - a. SAWPA
 - b. LESJWA

January 21, 2021

Santa Ana Watershed Project Authority
 11615 Sterling Avenue
 Riverside, CA 92503

We are pleased to submit this proposal to continue performing the professional audit services for the Santa Ana Watershed Project Authority financial statements for the years ending June 30, 2021 to June 30, 2022.

Service	2020/21	2021/22
All Audit Services	\$ 23,750	\$ 24,500
Total all-inclusive maximum price	\$ 23,750	\$ 24,500

The above fees include preparation of the State Controller’s Report. The above fees are based on the assumption that a Single Audit in accordance with OMB Uniform Guidance will not be required. If a Single Audit is required, \$4,500 will be added on to the above fees for each major federal program required to be audited.

These fees are made with the assumption that the Authority’s books and records will be in a reasonably balanced condition and reconciled at the start of the audit. Our fees are predicated on no significant changes in key finance and/or Authority management personnel, and existing GASB pronouncements through GASB No. 92. Should there be new pronouncements beyond Statement No. 92 that require significant implementation, additional audit procedures during our contract period, or significant management turnover, our fees may require revision. Should the Authority wish to broaden the scope of our engagement or perform any additional work it will be determined using the rates listed below.

HOURLY RATES FOR ADDITIONAL SERVICES

Position	Standard Hourly Rate	Proposed Hourly Rate
Partner	\$ 240	\$ 190
Manager	\$ 190	\$ 140
Senior Accountant	\$ 150	\$ 120
Staff Accountant	\$ 130	\$ 100

Thank you for providing us the opportunity to present our professional fees for audit services. We certify that Joshua Calhoun is entitled to represent the firm, empowered to submit this bid and authorized to sign a contract with the Santa Ana Watershed Project Authority. Should you have any questions or desire additional information, please call, Joshua Calhoun, Partner, at (951) 274-9500.

Respectfully Presented,

TEAMAN, RAMIREZ & SMITH, INC.



Joshua J. Calhoun
Certified Public Accountant

January 21, 2021

Lake Elsinore and San Jacinto
 Watersheds Authority
 11615 Sterling Avenue
 Riverside, CA 92503

We are pleased to submit this proposal to continue performing the professional audit services for the Lake Elsinore and San Jacinto Watersheds Authority financial statements for the years ending June 30, 2021 to June 30, 2022.

Service	2020/21	2021/22
All Audit Services	\$ 5,000	\$ 5,000
Total all-inclusive maximum price	\$ 5,000	\$ 5,000

The above fees include preparation of the State Controller’s Report. The above fees are based on the assumption that a Single Audit in accordance with OMB Uniform Guidance will not be required. If a Single Audit is required, \$4,500 will be added on to the above fees for each major federal program required to be audited.

These fees are made with the assumption that the Authority’s books and records will be in a reasonably balanced condition and reconciled at the start of the audit. Our fees are predicated on no significant changes in key finance and/or Authority management personnel, and existing GASB pronouncements through GASB No. 92. Should there be new pronouncements beyond Statement No. 92 that require significant implementation, additional audit procedures during our contract period, or significant management turnover, our fees may require revision. Should the Authority wish to broaden the scope of our engagement or perform any additional work it will be determined using the rates listed below.

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Senior Accountant	\$ 150	\$ 120
Staff Accountant	\$ 130	\$ 100

Thank you for providing us the opportunity to present our professional fees for audit services. We certify that Joshua Calhoun is entitled to represent the firm, empowered to submit this bid and authorized to sign a contract with the Lake Elsinore and San Jacinto Watersheds Authority. Should you have any questions or desire additional information, please call, Joshua Calhoun, Partner, at (951) 274-9500.

Respectfully Presented,

TEAMAN, RAMIREZ & SMITH, INC.



Joshua J. Calhoun
Certified Public Accountant

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Santa Ana Watershed Project Authority
Cash Transaction Report
Month of December 2020

Below is a summary of cash transactions completed during the month in the Authority's checking account with US Bank. Attached are summaries by major revenue and expense classifications.

Cash Receipts and Deposits to Account	\$	1,357,868.86
Net Investment Transfers		1,032,769.85
Cash Disbursements		<u>(3,307,266.90)</u>
Net Change for Month	\$	(916,628.19)
Balance at Beginning of Month		<u>5,575,956.40</u>
Balance at End of Month per General Ledger	\$	<u><u>4,659,328.21</u></u>
Collected Balance per Bank Statement	\$	4,673,884.57

ACCOUNTS PAYABLE RECONCILIATION

Accounts Payable Balance @ 11/30/2020	\$	10,394,056.66
Invoices Received for December 2020		552,902.82
Invoices Paid by check/wire during December 2020 (see attached register)		<u>(2,944,746.98)</u>
Accounts Payable Balance @ 12/31/2020	\$	<u><u>8,002,212.50</u></u>

CASH RECEIPTS

Brine Line Operating Revenues	\$ 597,794.08
LESJWA Admin Reimbursement	11,366.66
Grant Proceeds - Prop 84	72,373.58
Grant Proceeds - Prop 84 Pass-throughs	<u>676,334.54</u>
Total Receipts and Deposits	\$ 1,357,868.86

INVESTMENT TRANSFERS

Transfer of Funds:	
From (to) US Bank (Bank fees)	\$ -
From (to) LAIF	-
From (to) Legal Defense Fund	-
From (to) LESJWA	-
From (to) Investments	<u>1,032,769.85</u>
Total Investment Transfers	\$ 1,032,769.85

CASH DISBURSEMENTS

By Check:	
Payroll	\$ 2,944,746.98
Operations	<u>-</u>
Total Checks Drawn	\$ 2,944,746.98
By Cash Transfer:	
Payroll	\$ 254,815.83
Payroll Taxes	105,218.17
Take Care (AFLAC)	<u>2,485.92</u>
Total Cash Transfers	\$ 362,519.92
Total Cash Disbursements	<u><u>\$ 3,307,266.90</u></u>

Santa Ana Watershed Project Authority
Check Detail
Dec-20

Category	Check #	Check Date	Type	Vendor	Check Amount
Auto Expense	4592	12/17/2020	CHK	County of Riverside	\$ 726.48
Auto Expense	EFT03681	12/3/2020	CHK	County of Riverside/Transportation	\$ 747.81
Auto Expense Total					\$ 1,474.29
Benefits	4567	12/3/2020	CHK	Cal PERS Long Term Care Program	\$ 152.04
Benefits	4580	12/17/2020	CHK	AFLAC	\$ 336.01
Benefits	4586	12/17/2020	CHK	Cal PERS Long Term Care Program	\$ 152.04
Benefits	4597	12/17/2020	CHK	WageWorks	\$ 116.00
Benefits	4599	12/17/2020	CHK	Mutual Of Omaha	\$ 3,039.21
Benefits	EFT03671	12/3/2020	CHK	Vantagepoint Transfer Agents	\$ 3,901.91
Benefits	EFT03676	12/3/2020	CHK	Vantagepoint Transfer Agents	\$ 411.71
Benefits	EFT03683	12/17/2020	CHK	ACWA/JPIA	\$ 52,301.28
Benefits	EFT03686	12/17/2020	CHK	Vantagepoint Transfer Agents	\$ 3,901.91
Benefits	EFT03695	12/17/2020	CHK	Vantagepoint Transfer Agents	\$ 411.71
Benefits	P040196	12/3/2020	WDL	CalPERS Supplemental Income	\$ 5,320.81
Benefits	P040197	12/3/2020	WDL	Public Employees' Retirement	\$ 20,736.98
Benefits	P040289	12/17/2020	WDL	CalPERS Supplemental Income	\$ 5,320.81
Benefits	P040290	12/17/2020	WDL	Public Employees' Retirement	\$ 20,053.78
Benefits	P040337	12/31/2020	WDL	CalPERS Supplemental Income	\$ 2,320.81
Benefits	P040338	12/31/2020	WDL	Public Employees' Retirement	\$ 10,611.12
Benefits	P040339	12/31/2020	WDL	Public Employees' Retirement	\$ 19,928.99
Benefits	WDL000005282	12/3/2020	WDL	Takecare	\$ 384.60
Benefits	WDL000005284	12/8/2020	WDL	Takecare	\$ 192.30
Benefits	WDL000005285	12/9/2020	WDL	Takecare	\$ 1,236.79
Benefits	WDL000005292	12/15/2020	WDL	Takecare	\$ 130.00
Benefits	WDL000005294	12/24/2020	WDL	Takecare	\$ 349.93
Benefits	WDL000005296	12/22/2020	WDL	Takecare	\$ 192.30
Benefits Total					\$ 151,503.04
Building Lease	4600	12/17/2020	CHK	Wilson Property Services, Inc	\$ 1,782.00
Building Lease	4601	12/17/2020	CHK	Wilson Property Services, Inc	\$ 1,864.96
Building Lease Total					\$ 3,646.96
Computer Hardware	EFT03696	12/17/2020	CHK	Accent Computer Solutions Inc	\$ 2,527.23
Computer Hardware Total					\$ 2,527.23
Construction	4595	12/17/2020	CHK	WEKA Inc	\$ 919,147.50
Construction	EFT03680	12/3/2020	CHK	TRC Engineers, Inc.	\$ 22,037.35
Construction Total					\$ 941,184.85
Consulting	4603	12/17/2020	CHK	Blais & Associates	\$ 900.00
Consulting	EFT03674	12/3/2020	CHK	Santa Ana Watershed Association	\$ 344.23
Consulting	EFT03675	12/3/2020	CHK	CDM Smith, Inc.	\$ 34,802.02
Consulting	EFT03677	12/3/2020	CHK	Integrated Systems Solutions	\$ 129.50
Consulting	EFT03678	12/3/2020	CHK	Accent Computer Solutions Inc	\$ 2,350.50
Consulting	EFT03679	12/3/2020	CHK	Trussell Technologies, Inc.	\$ 1,743.10
Consulting	EFT03682	12/3/2020	CHK	JPW Communications	\$ 3,605.55
Consulting	EFT03689	12/17/2020	CHK	West Coast Advisors	\$ 9,750.00
Consulting	EFT03696	12/17/2020	CHK	Accent Computer Solutions Inc	\$ 2,948.00
Consulting	EFT03697	12/17/2020	CHK	Woodard & Curran Inc.	\$ 9,622.00
Consulting	EFT03702	12/17/2020	CHK	GEI Consultants	\$ 2,205.01
Consulting	EFT03704	12/17/2020	CHK	North American Weather Consultants	\$ 10,000.00
Consulting	EFT03705	12/17/2020	CHK	Kahn Soares & Conway	\$ 3,933.00
Consulting	EFT03706	12/17/2020	CHK	Gladwell Governmental Services	\$ 780.00
Consulting	EFT03707	12/17/2020	CHK	JPW Communications	\$ 3,605.55
Consulting	EFT03708	12/17/2020	CHK	Gillis & Panichapan Architects	\$ 4,053.76
Consulting Total					\$ 90,772.22
Credit Cards	P040383	12/9/2020	WDL	US Bank	\$ 9,806.80
Credit Cards Total					\$ 9,806.80
Debt Service	4588	12/17/2020	CHK	State Water Resources Control Board	\$ 1,044,273.23
Debt Service Total					\$ 1,044,273.23
Director Costs	EFT03685	12/17/2020	CHK	Eastern Municipal Water District	\$ 220.00
Director Costs	EFT03688	12/17/2020	CHK	Western Municipal Water District	\$ 220.00
Director Costs	EFT03701	12/17/2020	CHK	T. Milford Harrison	\$ 35.08
Director Costs Total					\$ 475.08
Dues	4578	12/3/2020	CHK	California Association of Sanitation Agencies	\$ 5,000.00
Dues Total					\$ 5,000.00
Employee Reimbursement	EFT03703	12/17/2020	CHK	Bonnie Gallagher	\$ 320.70
Employee Reimbursement Total					\$ 320.70
Engineering Costs	4570	12/3/2020	CHK	Stantec	\$ 4,834.87

Santa Ana Watershed Project Authority
Check Detail
Dec-20

Category	Check #	Check Date	Type	Vendor	Check Amount
Engineering Costs	4593	12/17/2020	CHK	Stantec	\$ 10,146.50
Engineering Costs Total					\$ 14,981.37
Equipment Rented	4563	12/3/2020	CHK	Konica Minolta Business Solutions	\$ 764.77
Equipment Rented	4585	12/17/2020	CHK	Pitney Bowes Global Financial	\$ 345.60
Equipment Rented Total					\$ 1,110.37
Facility Repair & Maintenance	4572	12/3/2020	CHK	TNT Elevator Inc	\$ 262.00
Facility Repair & Maintenance	4594	12/17/2020	CHK	Sancon Technologies, Inc.	\$ 16,170.00
Facility Repair & Maintenance	4602	12/17/2020	CHK	CR&R Incorporated	\$ 1,203.46
Facility Repair & Maintenance	4605	12/17/2020	CHK	Elite Powder Coat	\$ 975.00
Facility Repair & Maintenance	EFT03690	12/17/2020	CHK	Xylem Dewatering Solutions	\$ 271.53
Facility Repair & Maintenance	EFT03698	12/17/2020	CHK	Douglas Environmental Group	\$ 2,240.00
Facility Repair & Maintenance	EFT03700	12/17/2020	CHK	Riverside Cleaning	\$ 1,600.00
Facility Repair & Maintenance Total					\$ 22,721.99
Insurance Expense	4604	12/17/2020	CHK	Zenith Insurance Company	\$ 8,366.00
Insurance Expense Total					\$ 8,366.00
Lab Costs	EFT03673	12/3/2020	CHK	E. S. Babcock & Sons, Inc.	\$ 4,553.00
Lab Costs	EFT03691	12/17/2020	CHK	E. S. Babcock & Sons, Inc.	\$ 3,360.00
Lab Costs Total					\$ 7,913.00
Landscape Maintenance	EFT03692	12/17/2020	CHK	Green Meadows Landscape	\$ 690.00
Landscape Maintenance Total					\$ 690.00
Legal	4579	12/3/2020	CHK	Lagerlof, LLP	\$ 4,087.00
Legal Total					\$ 4,087.00
Office Expense	4569	12/3/2020	CHK	Staples Business Advantage	\$ 1,002.36
Office Expense	4571	12/3/2020	CHK	Printing Connection, Inc.	\$ 485.57
Office Expense	4584	12/17/2020	CHK	Konica Minolta Business Solutions	\$ 677.98
Office Expense	EFT03694	12/17/2020	CHK	Iron Mountain	\$ 216.25
Office Expense Total					\$ 2,382.16
Offsite Storage	EFT03696	12/17/2020	CHK	Accent Computer Solutions Inc	\$ 1,203.03
Offsite Storage Total					\$ 1,203.03
Payroll	WDL000005272	12/4/2020	WDL	Direct Deposit 12/4/2020	\$ 81,722.01
Payroll	WDL000005273	12/4/2020	WDL	Direct Deposit 12/4/2020	\$ 270.87
Payroll	WDL000005277	12/4/2020	WDL	PR Tax - Federal	\$ 26,173.10
Payroll	WDL000005278	12/4/2020	WDL	PR Tax - State	\$ 6,555.57
Payroll	WDL000005279	12/4/2020	WDL	PR Tax - State AZ	\$ 110.17
Payroll	WDL000005280	12/4/2020	WDL	PR Tax - Federal	\$ 65.08
Payroll	WDL000005281	12/4/2020	WDL	PR Tax - State	\$ 3.15
Payroll	WDL000005283	12/18/2020	WDL	Direct Deposit 12/18/2020	\$ 86,329.96
Payroll	WDL000005286	12/18/2020	WDL	PR Tax - Federal	\$ 27,196.52
Payroll	WDL000005287	12/18/2020	WDL	PR Tax - State	\$ 6,915.09
Payroll	WDL000005288	12/18/2020	WDL	PR Tax - State AZ	\$ 110.17
Payroll	WDL000005293	12/31/2020	WDL	Direct Deposit 12/31/2020	\$ 86,492.99
Payroll	WDL000005300	12/31/2020	WDL	PR Tax - Federal	\$ 30,562.29
Payroll	WDL000005302	12/31/2020	WDL	PR Tax - State AZ	\$ 110.17
Payroll	WDL000005308	12/31/2020	WDL	PR Tax - State	\$ 7,416.86
Payroll Total					\$ 360,034.00
Permit Fees	4589	12/17/2020	CHK	State Water Resources Control Board	\$ 2,848.00
Permit Fees Total					\$ 2,848.00
Permitting/Pre-Treatment	4573	12/3/2020	CHK	Project Partners	\$ 1,800.00
Permitting/Pre-Treatment	4598	12/17/2020	CHK	Project Partners	\$ 7,500.00
Permitting/Pre-Treatment Total					\$ 9,300.00
Prop84	4591	12/17/2020	CHK	City of Chino	\$ 28,102.50
Prop84	EFT03684	12/17/2020	CHK	Inland Empire Utilities Agency	\$ 113,613.88
Prop84	EFT03685	12/17/2020	CHK	Eastern Municipal Water District	\$ 100,000.00
Prop84	EFT03687	12/17/2020	CHK	Orange County Water District	\$ 322,507.96
Prop84	EFT03688	12/17/2020	CHK	Western Municipal Water District	\$ 6,507.00
Prop84	EFT03693	12/17/2020	CHK	Orange County Coastkeeper	\$ 21,556.69
Prop84	EFT03699	12/17/2020	CHK	City of Chino Hills	\$ 18,907.98
Prop84 Total					\$ 611,196.01
Safety	EFT03672	12/3/2020	CHK	Underground Service Alert	\$ 290.73
Safety Total					\$ 290.73
Security	4596	12/17/2020	CHK	SafeT	\$ 113.85
Security Total					\$ 113.85

Santa Ana Watershed Project Authority
Check Detail
Dec-20

Category	Check #	Check Date	Type	Vendor	Check Amount
Shipping/Postage	4583	12/17/2020	CHK	General Logistics Systems US	\$ 13.54
Shipping/Postage Total					\$ 13.54
Software	4574	12/3/2020	CHK	Sensaphone	\$ 299.40
Software	EFT03678	12/3/2020	CHK	Accent Computer Solutions Inc	\$ 1,525.92
Software	EFT03696	12/17/2020	CHK	Accent Computer Solutions Inc	\$ 1,492.84
Software Total					\$ 3,318.16
Utilities	4564	12/3/2020	CHK	AT&T	\$ 1,018.26
Utilities	4565	12/3/2020	CHK	AT&T	\$ 828.75
Utilities	4566	12/3/2020	CHK	AT&T	\$ 210.12
Utilities	4568	12/3/2020	CHK	Southern California Edison	\$ 16.46
Utilities	4575	12/3/2020	CHK	Verizon Wireless	\$ 271.30
Utilities	4576	12/3/2020	CHK	Verizon Wireless	\$ 130.61
Utilities	4577	12/3/2020	CHK	Verizon Wireless	\$ 1,251.70
Utilities	4581	12/17/2020	CHK	Riverside, City of	\$ 93.50
Utilities	4582	12/17/2020	CHK	Riverside, City of	\$ 1,679.79
Utilities	4587	12/17/2020	CHK	Southern California Edison	\$ 128.88
Utilities	4590	12/17/2020	CHK	Burrtec Waste Industries Inc	\$ 83.92
Utilities Total					\$ 5,713.29
Grand Total					<u>\$ 3,307,266.90</u>

	Accounts Payable
Checks	\$ 2,850,646.88
Wire Transfers	\$ 94,100.10
	<u>\$ 2,944,746.98</u>

Take Care	\$ 2,485.92
Other	\$ -
Payroll	\$ 360,034.00
	<u>\$ 3,307,266.90</u>

Total Disbursements for December 2020

Santa Ana Watershed Project Authority
Consulting
Dec-20

Check #	Check Date	Task #	Task Description	Vendor Name	Total Contract	Check Amount	Remaining Contract Amount	Notes/Comments
EFT03678	12/3/2020	ACS100-16	Enhanced Security Network	Accent Computer Solutions	\$ 9,936.00	\$ 2,350.50	\$ 4,140.00	
EFT03696	12/17/2020	ACS100-16	Enhanced Security Network	Accent Computer Solutions	\$ 9,936.00	\$ 828.00	\$ 4,140.00	
EFT03696	12/17/2020	ACS100-17	IT Support	Accent Computer Solutions	\$ 58,826.00	\$ 2,120.00	\$ 24,879.82	
4603	12/17/2020	BLAIS370-02	Grant Needs Assessment Monitoring	Blais & Associates	\$ 24,700.00	\$ 900.00	\$ 11,429.06	
EFT03675	12/3/2020	CDM386-15	Regional Bacteria Monitoring Program	CDM Smith	\$ 415,453.00	\$ 34,802.02	\$ 186,295.15	
EFT03702	12/17/2020	GEI374-01	CEQA Compliance Documentation	GEI Consultants	\$ 31,900.00	\$ 2,205.01	\$ 1,744.24	
EFT03708	12/17/2020	GPA100-01	SAWPA Building Renovations - Phase 3	Gillis & Panichapan Architects	\$ 45,200.00	\$ 4,053.76	\$ 35,554.24	
EFT03706	12/17/2020	GG5100-01	Records Retention Schedule Update	Gladwell Governmental Services	\$ 8,400.00	\$ 780.00	\$ 4,500.00	
EFT03677	12/3/2020	INSOL100-15	Great Plains and Journyx Tech Support	Integrated Systems Solutions	\$ 4,750.00	\$ 129.50	\$ 3,495.00	
EFT03682	12/3/2020	JPW392-01	Emerging Constituents Program Social Media Support	JPW Communications	\$ 105,000.00	\$ 3,605.55	\$ 93,208.35	
EFT03707	12/17/2020	JPW392-01	Emerging Constituents Program Social Media Support	JPW Communications	\$ 105,000.00	\$ 3,605.55	\$ 93,208.35	
EFT03705	12/17/2020	KSC374-01	Basin Monitoring Program TF Regulatory Support	Kahn, Soares, & Conway	\$ 93,293.60	\$ 2,760.00	\$ 74,560.10	
EFT03705	12/17/2020	KSC384-01	MSAR Pathogen TMDL TF Regulatory Support	Kahn, Soares, & Conway	\$ 108,156.50	\$ 276.00	\$ 90,699.50	
EFT03705	12/17/2020	KSC392-01	Emerging Constituents Program TF Regulatory Support	Kahn, Soares, & Conway	\$ 46,410.00	\$ 897.00	\$ 44,926.50	
EFT03704	12/17/2020	NAWC370-01	Weather Modification Feasibility Study	North American Weather Consultants	\$ 75,000.00	\$ 10,000.00	\$ -	
EFT03674	12/3/2020	SAWA381-01	Van Buren Bridge Sucker Restoration	Santa Ana Watershed Association	\$ 20,358.20	\$ 344.23	\$ 9,286.86	
EFT03679	12/3/2020	TRU240-24	BL Sampling Support	Trussell Technologies	\$ 23,590.00	\$ 1,743.10	\$ 690.79	
EFT03689	12/17/2020	WCA100-03-04	State Legislative Consulting Services	West Coast Advisors	\$ 240,000.00	\$ 9,750.00	\$ 230,250.00	
EFT03697	12/17/2020	RMC504-401-07	SARCCUP Program Mgmt. Services	Woodard & Curran	\$ 225,005.00	\$ 9,622.00	\$ 186,226.00	

\$ 90,772.22

COMMISSION MEMORANDUM NO. 2021.11

DATE: February 16, 2021
TO: SAWPA Commission
SUBJECT: Inter-Fund Borrowing – December 2020
PREPARED BY: Karen Williams, DGM/CFO

RECOMMENDATION

It is recommended that the Commission receive and file the informational report on short-term, cash-flow inter-fund borrowing.

DISCUSSION

On December 13, 2005, the Commission approved Resolution No. 452, Inter-Fund and Inter-Project Loan Policy. Staff was directed to bring back an accounting of the loans each month for review when the total exceeded \$250,000 in aggregate.

The following projects, with negative cash flow, are listed below with the amounts borrowed from SAWPA General Fund Reserves in December 2020. The total amount borrowed is over the aggregate \$250,000 amount recommended in Resolution No. 452, Inter-Fund and Inter-Project Loan Policy. The Commission has requested that this item be brought back each month as an informational item when the loan amount is over the \$250,000 aggregate amount.

Fund	Fund Name	11/30/2020 Balance	Loan Receipts	New Charges	12/31/2020 Balance
130	Proposition 84 Admin R1	\$45,134.26	(\$0.00)	\$0.00	\$45,134.26
135	Proposition 84 Admin R2	94,636.73	(18,352.55)	1,023.12	77,307.30
140	Proposition 84 Admin R3	60,779.56	(15,787.53)	0.00	44,992.03
145	Proposition 84 Admin R4	179,242.50	(23,411.54)	16,307.41	172,138.37
150	Proposition 1 – Admin	35,425.21	(0.00)	790.65	36,215.86
398	Proposition 1 – DACI Grant	109,127.61	(0.00)	6,332.90	115,460.51
477	LESJWA Administration	10,035.57	(11,366.66)	12,284.16	10,953.07
504	Prop 84 – Round I&II	(50,000.00)	(77,305.44)	0.00	(127,305.44)
504	Prop 84 - Drought Projects	122,979.41	(0.00)	0.00	122,979.41
	Total Funds Borrowed	\$607,360.85	(\$146,223.72)	\$36,738.24	\$497,875.37
	General Fund Reserves Balance			\$2,253,846.70	
	Less Amount Borrowed			<u>497,875.37</u>	
	Balance of General Fund Reserves			\$1,755,971.33	

The following table lists each fund that has a negative cash flow, the source of funding for the fund, how often the fund is billed, and the projected rate of payment for the fund.

NEGATIVE CASH-FLOW FUNDS

Fund No.	Source of Funding	Billing Frequency	Projected Payment Time
130,135,140, 145 – Proposition 84 Admin	DWR – Prop 84 Grant	Monthly/Quarterly	Up to 4 months
398 – Proposition 1 – DACI Grant	DWR – Prop 1 Grant	Monthly	Up to 4 months
477 – LESJWA Admin	Reimbursement from LESJWA	Monthly	2 to 4 weeks
504 - Proposition 84 Drought Projects	DWR – Prop 84 Grant	Monthly	Up to 4 months
504 – Proposition 84 SARCCUP Projects	DWR – Prop 84 Grant	Monthly/Quarterly	Up to 4 months

Fund 130

The outstanding balance of the funds due from DWR is the mandatory 10% retention from each invoice billed. Retention funds will not be released until the Proposition 84 Round I contract is completed. Retention should be released within the next few months.

Fund 135

This fund is for the administration of Proposition 84 Round II grant funds. These funds will be billed quarterly and 10% will be withheld for retention.

Fund 140

This fund is for the administration of Proposition 84 Drought Round grant funds. These funds will be billed monthly and 10% will be withheld for retention.

Fund 145

This fund is for the administration of Proposition 84 Round 2015 grant funds. These funds will be billed quarterly and 10% will be withheld for retention.

Fund 150

This fund is for the administration of Proposition 1 grant funds. Once the contract has been signed by DWR these funds will be billed quarterly and 10% will be withheld for retention.

Fund 398

This fund is for the Proposition 1 DACI grant project. These funds will be billed monthly and 10% will be withheld for retention.

Fund 477

Each month LESJWA is billed the cost for administering the JPA. Once the bill is received, LESJWA submits payment within two weeks.

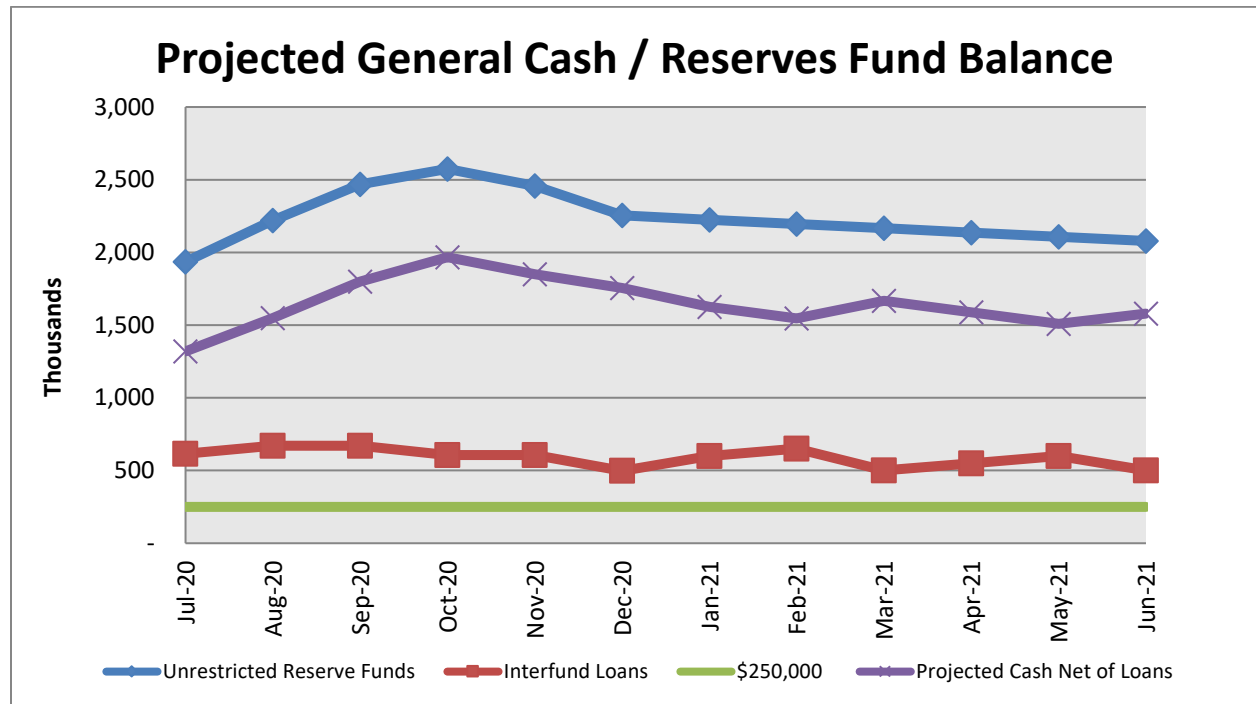
Fund 504

This fund is for the implementation of drought related projects and SARCCUP projects which are administered through PA22 and PA23.

The following graph shows the total budget, total project costs to date, and the amount remaining on each grant.

Fund	Fund Name	Total Budget	Costs Through 12/31/2020	Remaining Grant Budget
130	Proposition 84 Admin R1	\$660,004	(\$571,491)	\$88,513
135	Proposition 84 Admin R2	627,405	(595,268)	32,137
140	Proposition 84 Admin R3	887,860	(866,091)	21,769
145	Proposition 84 Admin R4	3,213,384	(874,072)	2,339,312
150	Proposition 1 Admin	TBD	(36,216)	TBD
398	Proposition 1 – DACI Grant	6,300,000	(5,325,548)	974,452
504	Prop 84 - Drought Projects	5,547,816	(3,482,114)	2,065,702
504	Prop 84 – 2015 Round (SARCCUP)	1,543,810	(624,151)	919,659
Totals		\$18,780,279	(\$12,374,951)	\$6,441,544

The following graph shows projected inter-fund loan balances, total unrestricted General Fund Reserves available for loans, and projected cash net of loans through June 2021. The projected loan balance is expected to remain over the \$250,000 aggregate limit through June 2021 because of Proposition 1 and 84 grants but can be covered by General Fund Reserves without a major impact on cash flow.



RESOURCE IMPACTS

The funds borrowed from the General Fund Reserves will be paid back with interest when the funding is received. Interfund loans for grants are not charged interest unless the grant contracts specifically states that interest is eligible for reimbursement. There is sufficient cash available to cover proposed borrowings and to pay budgeted expenditures for the General Fund.

Attachments:

1. Resolution No. 452 | Amending the Inter-Fund, Inter-Project and Inter-Agency Loan Policy

RESOLUTION NO. 452

A RESOLUTION OF THE COMMISSION OF THE SANTA ANA WATERSHED PROJECT AUTHORITY AMENDING THE INTER-FUND, INTER-PROJECT AND INTER-AGENCY LOAN POLICY

WHEREAS, the Commission of the Santa Ana Watershed Project Authority (hereafter "SAWPA") previously adopted, by minute action taken on August 3, 1996, an "Inter-Fund/Inter-Project Loan Policy" to regulate loans from one SAWPA Fund or Project to another SAWPA Fund or Project; and

WHEREAS, the Commission desires to amend the "Inter-Fund Fund/Project Loan Policy" by formally adopting such Policy, by way of this Resolution, regulating how and in what manner such inter-fund or inter-project loans are to take place and mandating that all such loans require Commission approval in advance as contemplated by the policy adopted on August 3, 1996.

NOW, THEREFORE, BE IT RESOLVED that the Commission of the Santa Ana Watershed Project Authority hereby amends the following Loan Policy for any loan from one SAWPA Fund or Project to another SAWPA Fund or Project:

1. Loans from any SAWPA Fund or Project to another SAWPA Fund, Project or another public agency shall be approved in advance by the SAWPA Commission. The approval shall be in written format and include documentation of the specifics of the transaction. The approval shall include a finding that the loan will not expose the lending SAWPA Fund or Project to significant financial or operational risk.
2. Unless otherwise provided for by the Commission, the borrowing Fund, Project or public agency shall be required to repay the loan within a specific period of time and at a rate of interest as determined by the Commission. For the purposes of this policy, SAWPA's calculated quarterly rate of return may be used as the basis for interest payable on the outstanding principal for any loan. The period for repayment of the loan shall be determined by the Commission, but shall be no longer than the life of the lending Fund or Project.
3. The borrowing Fund's, Project's or public agency's repayment source shall be identified and included in the approval action by the Commission and the "loan documentation". The "loan documentation" shall include a written agreement, resolution or other document approved by the Commission setting forth all of the foregoing terms and conditions.

4. Loans to reimbursable SAWPA grant contract projects and related efforts for short-term (i.e., current fiscal year) operating cash flow purposes may be borrowed from the SAWPA General Fund Reserve without prior Commission approval. But all such loans shall be reported to the Commission within 30 days of each such loan. Such loans shall be paid off on a continuous basis. The total funds loaned for all such grant contract projects and related efforts shall not exceed \$250,000.00 in the aggregate for each fiscal year, without prior written approval by the Commission. Payment of interest will be based on the actual interest that would have been earned by the SAWPA General Fund Reserve had those funds not been borrowed. Cash flow and receivables will be reported at least quarterly to forecast needs and demonstrate compliance.
5. Prior to June 30th of each year, staff shall provide to the Commission an annual written report of all such Inter-fund, Inter-project or Inter-agency loans, amounts repaid and any outstanding loan balances.

ADOPTED this 13th day of December 2005.

SANTA ANA WATERSHED PROJECT AUTHORITY

By: *Mark W. Bulot*
Mark Bulot, Chair



COMMISSION MEMORANDUM NO. 2021.12

DATE: February 16, 2021

TO: SAWPA Commission

SUBJECT: Performance Indicators and Financial Reporting – December 2020

PREPARED BY: Karen Williams, DGM/CFO

RECOMMENDATION

It is recommended that the Commission receive and file staff's report.

DISCUSSION

The attached reports have been developed to keep the Commission informed as to SAWPA's business and budget performance. These reports are categorized into the following groups: financial reporting, cash and investments, and performance indicators. They are explained in detail below. As new reports are developed, they will be added for the Commission's review.

Financial Reporting

Balance Sheet by Fund Type	Lists total assets, liabilities, and equity by fund type for a given period.
Revenue & Expense by Fund Type	Lists total revenue and expenses by fund type for a given period.
Receivables Management	Shows total outstanding accounts receivable by age.
Open Task Order Schedule	Shows SAWPA's total outstanding obligation for open task orders.
List of SAWPA Funds	Shows each SAWPA Fund with the fund description and fund group.
Debt Service Funding Analysis	Shows total annual income by source used to make debt service payments through debt maturity at FYE 2048.
Debt Service Payment Schedule	Shows total debt service interest and principal payments through debt maturity at FYE 2048.

Cash and Investments

Total Cash and Investments (chart)	Shows the changes in cash and investments balance for the last twelve months.
Cash Balance & Source of Funds	Shows total cash and investments for all SAWPA funds and the types of investments held for each fund.
Cash & Investments (pie chart)	Shows total cash and investments for all SAWPA funds and the percentage of each investment type.
Reserve Account Analysis	Shows changes to each reserve account for the year and projected ending balance for each.

Twelve Month Security Schedule (chart)	Shows the maturity dates for securities held and percentage of securities in each category.
Treasurer's Report	Shows book and market value for both Treasury strips and securities held by the Agency.

Performance Indicators

Average Daily Flow by Month	Shows total flow in the Brine Line System by month compared to total treatment capacity owned. This is an indicator of the available capacity in the line. As we add yearly flows, it will show trends in flow throughout the year.
Summary of Labor Multipliers	Summarizes the information generated from the following two reports and compares the actual benefit and Indirect Cost Allocation rates to the total budgeted rates.
General Fund Costs	Lists total Fund No. 100 costs to date and the amount of those costs recovered through the Indirect Cost Allocation and member contributions.
Benefit Summary	Lists total employee benefit costs actual to budget and projects them through the end of the year. This report compares how the actual benefit rate compares to the budgeted rate.
Labor Hours Budget vs. Actual	Shows total budgeted hours for each project and compares them to the actual hours charged to each.

RESOURCE IMPACTS

Staff expects minimal impacts to SAWPA or its member agencies related to this effort.

Attachments:

- | | |
|---------------------------------------|---|
| 1. Balance Sheet by Fund Type | 10. Reserve Account Analysis |
| 2. Revenue & Expense by Fund Type | 11. Twelve-Month Maturity Schedule - Securities |
| 3. Accounts Receivable Aging Report | 12. Treasurer's Report |
| 4. Open Task Order Schedule | 13. Average Daily Flow by Month |
| 5. List of SAWPA Funds | 14. Summary of Labor Multipliers |
| 6. Debt Service Funding Analysis | 15. General Fund Costs |
| 7. Debt Service Payment Schedule | 16. Benefits |
| 8. Total Cash and Investments (chart) | 17. Labor Hours Budgeted vs. Actual |
| 9. Cash Balance & Source of Funds | |

Santa Ana Watershed Project Authority
Balance Sheet by Fund Type
For the Five Months Ending Monday, November 30, 2020

	General Fund	Brine Line Enterprise	Capital Projects	OWOW Projects	Roundtable Projects	Fund Totals
Assets						
Current Assets						
Cash and Investments	\$3,127,258.19	\$52,718,624.75	(\$303,271.13)	\$1,599,118.56	\$2,818,988.25	\$59,960,718.62
Accounts Receivable	(50.00)	1,908,058.16	0.00	8,055,627.02	56,715.66	10,020,350.84
Prepays and Deposits	44,770.08	80,944.40	0.00	0.00	0.00	125,714.48
Total Current Assets	<u>3,171,978.27</u>	<u>54,707,627.31</u>	<u>(303,271.13)</u>	<u>9,654,745.58</u>	<u>2,875,703.91</u>	<u>70,106,783.94</u>
Fixed Assets						
Property, Plant & Equipment						
less accum depreciation	1,541,764.43	79,322,936.35	0.00	0.00	0.00	80,864,700.78
Work In Process	0.00	0.00	389,473.60	0.00	0.00	389,473.60
Total fixed assets	<u>1,541,764.43</u>	<u>79,322,936.35</u>	<u>389,473.60</u>	<u>0.00</u>	<u>0.00</u>	<u>81,254,174.38</u>
Other Assets						
Wastewater treatment/disposal						
rights, net of amortization	0.00	24,064,872.73	0.00	0.00	0.00	24,064,872.73
Inventory - Mitigation Credits	0.00	0.00	0.00	0.00	1,910,560.00	1,910,560.00
Total Other Assets	<u>0.00</u>	<u>24,064,872.73</u>	<u>0.00</u>	<u>0.00</u>	<u>1,910,560.00</u>	<u>25,975,432.73</u>
Total Assets	<u><u>\$4,713,742.70</u></u>	<u><u>\$158,095,436.39</u></u>	<u><u>\$86,202.47</u></u>	<u><u>\$9,654,745.58</u></u>	<u><u>\$4,786,263.91</u></u>	<u><u>\$177,336,391.05</u></u>
Liabilities and Fund Equity						
Current Liabilities						
Accounts Payable/Accrued Expenses	\$919,611.14	\$1,612,122.69	\$1,931,130.10	\$7,468,849.78	\$78,438.92	\$12,010,152.63
Accrued Interest Payable	0.00	256,047.75	0.00	0.00	0.00	256,047.75
Customer Deposits	0.00	20,354.22	0.00	0.00	466,361.33	486,715.55
Noncurrent Liabilities						
Long-term Debt	4,584,538.00	25,864,683.58	0.00	0.00	0.00	30,449,221.58
Deferred Revenue	0.00	65,188,825.50	0.00	0.00	0.00	65,188,825.50
Total Liabilities	<u>5,504,149.14</u>	<u>92,942,033.74</u>	<u>1,931,130.10</u>	<u>7,468,849.78</u>	<u>544,800.25</u>	<u>108,390,963.01</u>
Fund Equity						
Contributed Capital	0.00	20,920,507.03	0.00	0.00	0.00	20,920,507.03
Retained Earnings	2,505,211.67	44,631,429.36	389,473.60	1,337,714.59	3,540,774.67	52,404,603.89
Revenue Over/Under Expenditures	(3,295,618.11)	(398,533.74)	(2,234,401.23)	848,181.21	700,688.99	(4,379,682.88)
Total Fund Equity	<u>(790,406.44)</u>	<u>65,153,402.65</u>	<u>(1,844,927.63)</u>	<u>2,185,895.80</u>	<u>4,241,463.66</u>	<u>68,945,428.04</u>
Total Liabilities & Fund Equity	<u><u>\$4,713,742.70</u></u>	<u><u>\$158,095,436.39</u></u>	<u><u>\$86,202.47</u></u>	<u><u>\$9,654,745.58</u></u>	<u><u>\$4,786,263.91</u></u>	<u><u>\$177,336,391.05</u></u>

Santa Ana Watershed Project Authority
Revenue & Expenses by Fund Type
For the Five Months Ending Monday, November 30, 2020

	General Fund	Brine Line Enterprise	Capital Projects	OWOW Projects	Roundtable Projects	Fund Totals
Operating Revenue						
Discharge Fees	\$0.00	\$4,734,633.50	\$0.00	\$0.00	\$0.00	\$4,734,633.50
Grant Proceeds	0.00	0.00	0.00	(1,094,525.33)	0.00	(1,094,525.33)
Financing Proceeds	0.00	0.00	0.00	0.00	91,402.77	91,402.77
Total Operating Revenue	0.00	4,734,633.50	0.00	(1,094,525.33)	91,402.77	3,731,510.94
Operating Expenses						
Labor	649,522.33	497,542.26	33,558.27	202,045.10	69,282.75	1,451,950.71
Benefits	210,759.21	225,386.64	15,201.90	91,526.40	31,385.08	574,259.23
Indirect Costs	0.00	750,293.70	50,605.88	304,684.04	104,478.37	1,210,061.99
Education & Training	10,279.70	0.00	0.00	0.00	0.00	10,279.70
Consulting & Professional Services	145,849.62	78,949.86	71,171.30	309,942.20	285,842.91	891,755.89
Operating Costs	705.45	1,133,446.26	0.00	0.00	2,521.93	1,136,673.64
Repair & Maintenance	33,658.74	83,504.31	0.00	0.00	0.00	117,163.05
Phone & Utilities	33,121.48	4,911.39	0.00	0.00	0.00	38,032.87
Equipment & Computers	93,854.40	43,178.35	0.00	0.00	0.00	137,032.75
Meeting & Travel	478.75	0.00	0.00	(125.00)	0.00	353.75
Other Administrative Costs	77,287.06	37,624.07	356.80	325.00	11,024.95	126,617.88
Indirect Costs Applied	(1,210,061.99)	0.00	0.00	0.00	0.00	(1,210,061.99)
Other Expenses	26,666.63	74,581.35	2,063,507.08	0.00	6,533.76	2,171,288.82
Construction	0.00	0.00	0.00	(1,482,392.01)	0.00	(1,482,392.01)
Total Operating Expenses	72,121.38	2,929,418.19	2,234,401.23	(573,994.27)	511,069.75	5,173,016.28
Operating Income (Loss)	(72,121.38)	1,805,215.31	(2,234,401.23)	(520,531.06)	(419,666.98)	(1,441,505.34)
Nonoperating Income (Expense)						
Member Contributions	680,340.00	0.00	0.00	830,000.00	20,000.00	1,530,340.00
Other Agency Contributions	0.00	0.00	0.00	536,882.40	1,096,239.00	1,633,121.40
Interest Income	6,081.67	(114,804.53)	0.00	1,829.87	4,116.97	(102,776.02)
Interest Expense - Debt Service	0.00	(348,293.77)	0.00	0.00	0.00	(348,293.77)
Other Income	856.73	314,726.84	0.00	0.00	0.00	315,583.57
Retiree Medical Benefits	(43,827.84)	0.00	0.00	0.00	0.00	(43,827.84)
Total Nonoperating Income (Expense)	643,450.56	(148,371.46)	0.00	1,368,712.27	1,120,355.97	2,984,147.34
Excess Rev over (under) Exp	\$571,329.18	\$1,656,843.85	(\$2,234,401.23)	\$848,181.21	\$700,688.99	\$1,542,642.00

Aging Report
Santa Ana Watershed Project Authority
Receivables as of December 31, 2020

Customer Name	Project	Total	0-30 Days	31-60 Days	61 and Over
Chino Basin Desalter Authority	Brine Line	163,336.44	173,999.24	163,336.44	
Department of Water Resources	Prop 84, Prop 1	7,296,769.50			7,296,769.50
Eastern Municipal Water District	Brine Line	163,172.88	167,507.66	163,172.88	
Inland Empire Utilities Agency	Brine Line, EC	100,143.35	87,348.24	91,188.35	8,955.00
Jurupa Community Services District	EC	8,955.00			8,955.00
Orane County Water District	Santa Ana Fish Contribution	15,000.00	15,000.00		
Rialto Bioenergy Facility, LLC	Brine Line	17,793.76	8,896.88	8,896.88	
San Bernardino Valley Municipal Water District	Brine Line	254,787.10	129,933.37	124,853.73	
Western Municipal Water District	Brine Line	794,831.77	408,792.51	386,039.26	
Yosemite - Mariposa IRWM	Roundtable of Regions	516.46			516.46
Total Accounts Receivable		8,815,306.26	991,477.90	937,487.54	7,315,195.96

Santa Ana Watershed Project Authority
Open Task Orders Schedule
Dec-20
(Reflects Invoices Received as of 01/20/20)

Task Order No. Project Contracts	Fund No.	Vendor Name	Task Description	Begin Date	End Date	Original Contract	Change Orders	Total Contract	Billed To Date	Contract Balance	SAWPA Manager	Comments
ACS100-16	100-00	Accent Computer Solutions	Enhanced Security Network	07/01/2020	06/30/2021	\$ 9,936.00	\$ 2,350.50	\$ 12,286.50	\$ 8,146.50	\$ 4,140.00	Dean Unger	
ACS100-17	100-00	Accent Computer Solutions	IT Support	07/01/2020	06/30/2021	\$ 58,826.00	\$ -	\$ 58,826.00	\$ 33,946.18	\$ 24,879.82	Dean Unger	
BMA100-02	100-00	Bob Murray & Associates	GM Recruitment	10/01/2020	02/26/2021	\$ 24,900.00	\$ -	\$ 24,900.00	\$ -	\$ 24,900.00	Kelly Berry	
GG100-01	100-00	Gladwell Governmental Services	Records Retention Schedule Update	04/21/2020	06/30/2021	\$ 8,400.00	\$ -	\$ 8,400.00	\$ 3,900.00	\$ 4,500.00	Kelly Berry	
GPA100-01	100-00	Gillis & Panichapan Architects	SAWPA Building Renovations	10/13/2020	06/30/2021	\$ 45,200.00	\$ -	\$ 45,200.00	\$ 9,645.76	\$ 35,554.24	Carlos Quintero	
INSOL100-15	100-00	Integrated Systems Solutions	Great Plains and Journyx Tech Support	07/01/2020	06/30/2021	\$ 4,750.00	\$ -	\$ 4,750.00	\$ 1,255.00	\$ 3,495.00	Dean Unger	
LSGK100-08	100-00	Lagerlof, LLP	General Legal Services	07/01/2020	06/30/2021	\$ 52,327.00	\$ -	\$ 52,327.00	\$ 12,445.25	\$ 39,881.75	Rich Haller	
LSGK100-09	100-00	Lagerlof, LLP	General Legal Services	07/01/2020	06/30/2021	\$ 86,926.00	\$ -	\$ 86,926.00	\$ 42,600.75	\$ 44,325.25	Rich Haller	
TEAM100-07	100-00	Teaman, Ramirez, & Smith	Auditing Services	06/05/2018	06/30/2021	\$ 79,500.00	\$ -	\$ 79,500.00	\$ 56,888.00	\$ 22,612.00	Karen Williams	
JRE100-01	100-03	J. Richard Eichman	FPPC Reporting	01/01/2020	01/31/2021	\$ 1,200.00	\$ -	\$ 1,200.00	\$ 1,079.10	\$ 120.90	Karen Williams	
WCA100-03-04	100-03	West Coast Advisors	State Legislative Consulting	09/15/2020	12/21/2022	\$ 240,000.00	\$ -	\$ 240,000.00	\$ 9,750.00	\$ 230,250.00	Rich Haller	
CALV240-03	240	Calvada Surveying	BL On-Call Land Surveying Services	06/05/2018	06/30/2021	\$ 28,970.00	\$ -	\$ 28,970.00	\$ 1,500.00	\$ 27,470.00	Carlos Quintero	On-Call
WO2021-13	240	E S Babcock	Brine Line Sample Collection & Analysis	07/01/2020	06/30/2021	\$ 85,089.00	\$ -	\$ 85,089.00	\$ 28,090.00	\$ 56,999.00	Carlos Quintero	
DOW240-02	240	Downstream Services	Brine Line Pipe Cleaning Services	07/01/2020	06/30/2022	\$ 210,476.00	\$ -	\$ 210,476.00	\$ -	\$ 210,476.00	Carlos Quintero	
DOUG240-03	240	Douglas Environmental	Brine Line Flow Meter Calibration	11/02/2018	06/30/2021	\$ 25,620.00	\$ 9,000.00	\$ 34,620.00	\$ 32,686.06	\$ 1,933.94	Carlos Quintero	
DUDK240-05	240	Dudek	Brine Line Criticality Assessment	07/01/2020	02/28/2021	\$ 89,560.00	\$ -	\$ 89,560.00	\$ 60,070.00	\$ 29,490.00	David Ruhl	
HAZ240-09	240	Haz Mat Trans Inc	On-Call Draining & Emergency Clean Up	07/01/2018	06/30/2021	\$ 96,665.00	\$ -	\$ 96,665.00	\$ 4,379.01	\$ 92,285.99	Carlos Quintero	On-Call
HAZ240-10	240	Haz Mat Trans Inc	BL Debris Hauling & Disposal Services	07/01/2018	06/30/2021	\$ 34,800.00	\$ -	\$ 34,800.00	\$ 19,120.00	\$ 15,680.00	Carlos Quintero	On-Call
HOU240-04	240	Houston Harris PCS Inc	Brine Line On-Call Inspection Services	07/01/2018	06/30/2021	\$ 96,448.00	\$ -	\$ 96,448.00	\$ 37,806.02	\$ 58,641.98	Carlos Quintero	On-Call
WO2021-14	240	Inland Empire Utilities Agency	Reach 4A Upper - BL Maintenance	07/01/2020	06/30/2021	\$ 10,000.00	\$ -	\$ 10,000.00	\$ -	\$ 10,000.00	Carlos Quintero	
PRO240-02	240	Project Partners	Pretreatment Program Compliance Evaluation	08/24/2020	01/29/2021	\$ 28,500.00	\$ -	\$ 28,500.00	\$ 9,300.00	\$ 19,200.00	David Ruhl	
TRU240-24	240	Trussell Technologies	BL Sampling Support	09/12/2019	06/30/2021	\$ 23,590.00	\$ -	\$ 23,590.00	\$ 22,899.21	\$ 690.79	Carlos Quintero	
DUDK320-03-04	320	Dudek	Reach 4A & 4D MAS Rehabilitation Project	07/01/2020	06/30/2021	\$ 34,280.00	\$ -	\$ 34,280.00	\$ 19,080.00	\$ 15,200.00	David Ruhl	
STAN320-04	320	Stantec	Alcoa Dike - Brine Line Protection	07/01/2020	06/30/2021	\$ 31,844.00	\$ -	\$ 31,844.00	\$ 29,955.72	\$ 1,888.28	David Ruhl	
TRC320-01	320	TRC Engineers, Inc.	Brine Line Protection Above Prado Construction	08/10/2020	03/31/2021	\$ 162,118.00	\$ -	\$ 162,118.00	\$ 37,211.35	\$ 124,906.65	David Ruhl	32
BLAIS370-02	370-01	Blais & Associates	Grant Needs Assessment & Grant Monitoring	10/01/2018	12/31/2020	\$ 24,700.00	\$ -	\$ 24,700.00	\$ 13,270.94	\$ 11,429.06	Ian Achimore	

Santa Ana Watershed Project Authority
Open Task Orders Schedule
Dec-20
(Reflects Invoices Received as of 01/20/20)

Task Order No. Project Contracts	Fund No.	Vendor Name	Task Description	Begin Date	End Date	Original Contract	Change Orders	Total Contract	Billed To Date	Contract Balance	SAWPA Manager	Comments
NAWC370-01	370-01	North American Weather Consultants	Weather Modification Feasibility Study	01/01/2020	12/31/2020	\$ 75,000.00	\$ -	\$ 75,000.00	\$ 75,000.00	\$ -	Mark Norton	
JMC373-02	373	JM Consultants	Roundtable of Regions Network Coordinator	07/01/2020	06/30/2021	\$ 74,990.00	\$ -	\$ 74,990.00	\$ -	\$ 74,990.00	Ian Achimore	
GEI374-01	374	GEI Consultants	CEQA Compliance Documentation	12/01/2019	12/31/2020	\$ 31,900.00	\$ -	\$ 31,900.00	\$ 30,155.76	\$ 1,744.24	Mark Norton	
KSC374-01	374	Kahn, Soares, & Conway	Basin Monitoring TF Regulatory Support	04/01/2020	06/30/2021	\$ 93,293.60	\$ -	\$ 93,293.60	\$ 18,733.50	\$ 74,560.10	Mark Norton	
RISK374-08	374	Risk Sciences	Basin Monitoring TF Regulatory Support	02/15/2019	12/31/2020	\$ 74,400.00	\$ 10,000.00	\$ 84,400.00	\$ 80,857.39	\$ 3,542.61	Mark Norton	
SAWA381-01	381	Santa Ana Watershed Association	Van Buren Bridge Sucker Restoration	09/26/2018	05/31/2021	\$ 15,130.20	\$ 5,228.00	\$ 20,358.20	\$ 11,071.34	\$ 9,286.86	Ian Achimore	
GEI384-01	384-01	GEI Consultants	MSAR TMDL Synoptic Study	07/01/2019	12/31/2020	\$ 200,000.00	\$ -	\$ 200,000.00	\$ 199,211.25	\$ 788.75	Rick Whetsel	
KSC384-01	384-01	Kahn, Soares, & Conway	MSAR Pathogen TMDL TF Regulatory Support	04/01/2020	06/30/2021	\$ 108,156.50	\$ -	\$ 108,156.50	\$ 17,457.00	\$ 90,699.50	Mark Norton	
RISK384-09	384-01	Risk Sciences	MSAR TMDL Task Force	09/01/2018	12/31/2020	\$ 49,340.00	\$ -	\$ 49,340.00	\$ 47,565.45	\$ 1,774.55	Rick Whetsel	
CDM386-15	386	CDM Smith	Regional Bacteria Monitoring Program	04/01/2020	06/30/2021	\$ 412,633.00	\$ 2,820.00	\$ 415,453.00	\$ 229,157.85	\$ 186,295.15	Rick Whetsel	
CDM386-16	386	CDM Smith	Implementation of SAR Regional Bacteria Monitoring Program	01/01/2021	06/30/2024	\$ 1,070,535.00	\$ -	\$ 1,070,535.00	\$ 3,936.00	\$ 1,066,599.00	Rick Whetsel	
PRO387-01	387	Project Partners	Headwaters Project - Access to Parcels	12/01/2020	12/01/2021	\$ 23,800.00	\$ -	\$ 23,800.00	\$ -	\$ 23,800.00	Ian Achimore	
SAWA387-06	387	Santa Ana Watershed Association	Arundo Surveying	07/17/2018	08/31/2021	\$ 23,000.00	\$ -	\$ 23,000.00	\$ 1,498.57	\$ 21,501.43	Ian Achimore	
JPW392-01	392	JPW Communications	Emerging Constituents Program Social Media Support	07/01/2020	06/30/2023	\$ 105,000.00	\$ -	\$ 105,000.00	\$ 11,791.65	\$ 93,208.35	Mark Norton	
KSC392-01	392	Kahn, Soares, & Conway	Emerging Constituents Program TF Regulatory Support	04/01/2020	06/30/2021	\$ 46,410.00	\$ -	\$ 46,410.00	\$ 1,483.50	\$ 44,926.50	Mark Norton	
PO3466	398	California Rural Water Association	Disadvantaged Communities Grant	07/19/2017	07/31/2021	\$ 240,000.00	\$ 1,177,938.74	\$ 1,417,938.74	\$ 680,373.99	\$ 737,564.75	Rick Whetsel	
FOX398-01	398	Fox Translation Services	On-Call Translation Services	12/17/2019	12/31/2020	\$ 10,000.00	\$ 10,000.00	\$ 20,000.00	\$ 19,092.26	\$ 907.74	Rick Whetsel	
GEI398-01	398	GEI Consultants	Homelessness Impact on Water Quality	09/24/2019	12/31/2020	\$ 74,441.00	\$ -	\$ 74,441.00	\$ 73,985.86	\$ 455.14	Rick Whetsel	
PO3463	398	Local Government Commission	Disadvantaged Communities Grant	07/19/2017	07/31/2021	\$ 442,000.00	\$ 214,352.00	\$ 656,352.00	\$ 544,124.54	\$ 112,227.46	Rick Whetsel	
PRO398-01	398	Project Partners	Disadvantaged Communities Involvement Program Support	07/01/2020	02/28/2021	\$ 33,000.00	\$ -	\$ 33,000.00	\$ 13,145.00	\$ 19,855.00	Rick Whetsel	
PO3465	398	University Enterprises Corporation	Disadvantaged Communities Grant	07/19/2017	07/31/2021	\$ 1,290,500.00	\$ -	\$ 1,290,500.00	\$ 985,503.06	\$ 304,996.94	Rick Whetsel	
PO3464	398	Water Education Foundation	Disadvantaged Communities Grant	07/19/2017	07/31/2021	\$ 150,000.00	\$ -	\$ 150,000.00	\$ 149,987.89	\$ 12.11	Rick Whetsel	
WLC398-01	398	World Language Communications	On-Call Translation Services	12/16/2019	12/31/2020	\$ 10,000.00	\$ 20,000.00	\$ 30,000.00	\$ 14,874.80	\$ 15,125.20	Rick Whetsel	
RMC504-401-07	504-04	Woodard & Curran	SARCCUP Program Mgmt. Services	07/01/2020	06/30/2021	\$ 225,005.00	\$ -	\$ 225,005.00	\$ 38,779.00	\$ 186,226.00	Ian Achimore	

LIST OF SAWPA FUNDS

Fund No.	Fund Description	Fund Group
100-00	General Fund	General
100-03	State Lobbying	General
100-04	Federal Lobbying	General
100-05	Grant Applications	General
130	Proposition 84 – Program Management - Round 1	OWOW
135	Proposition 84 – Program Management – Round 2	OWOW
140	Proposition 84 – Program Management – Drought Round	OWOW
145	Proposition 84 – Program Management – 2015 Round	OWOW
150	Proposition 1 – Program Management	OWOW
240	Brine Line Enterprise	Brine Line
320-01	Brine Line Protection – Downstream Prado	Capital Projects
320-03	Brine Line Protection Above Prado	Capital Projects
320-04	Brine Line Protection D/S Prado in Riverside County	Capital Projects
327	Reach IV-D Corrosion Repair	Capital Projects
370-01	Basin Planning General	OWOW
370-02	USBR Partnership Studies	OWOW
372	Imported Water Recharge Work Group	Roundtable
373	Watershed Management (OWOW)	OWOW
374	Basin Monitoring Program Task Force	Roundtable
381	Santa Ana River Fish Conservation	Roundtable
384-01	MSAR TMDL Task Force	Roundtable
386	Regional Water Quality Monitoring Task Force	Roundtable
387	Arundo Management & Habitat Restoration	Roundtable
392	Emerging Constituents Task Force	Roundtable
397	Energy – Water DAC Grant Project	OWOW
398	Proposition 1 - DACI	OWOW
477	LESJWA Administration	Roundtable
504-01	Proposition 84 – Capital Projects Round 1 & 2	OWOW
504-00	Proposition 84 – Drought Capital Projects	OWOW
504-04	Proposition 84 – Final Round SARCCUP	OWOW

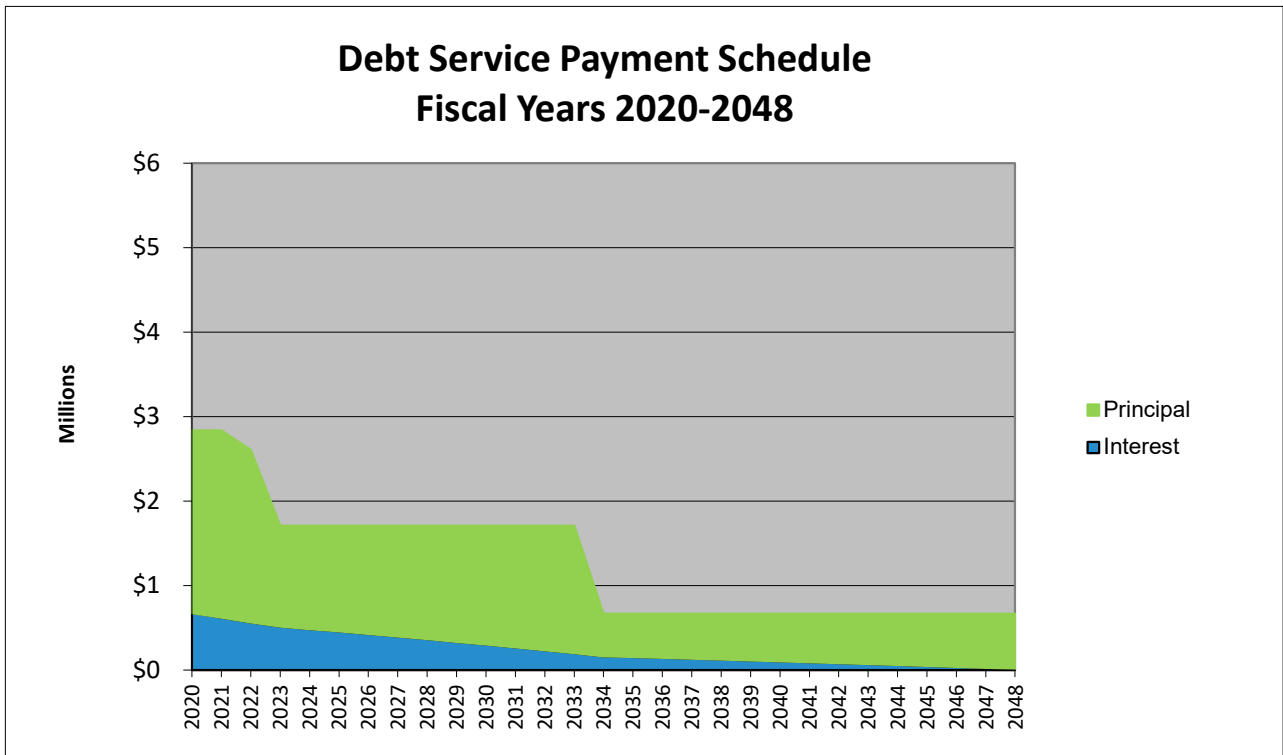
Santa Ana Watershed Project Authority
 Brine Line Debt Service Funding Analysis
 December 31, 2020

FYE	T-Strip Maturity	Capacity Loan Receipts	Rates	Loan Pymts	Interest Earned *	Excess Cash	Ending Cash Balance
	Beginning Balance						3,765,194
2021	-	-	1,709,476	(2,835,753)	83,461	(1,042,817)	1,679,560
2022	-	-	1,709,476	(2,608,439)	62,604	(836,359)	843,201
2023	-	-	1,709,476	(1,709,476)	45,877	45,877	889,079
2024	-	-	1,709,476	(1,709,476)	46,795	46,795	935,873
2025	-	-	1,709,476	(1,709,476)	47,731	47,731	983,604
2026	-	-	1,709,476	(1,709,476)	48,685	48,685	1,032,289
2027	-	-	1,709,476	(1,709,476)	49,659	49,659	1,081,948
2028	-	-	1,709,476	(1,709,476)	50,652	50,652	1,132,600
2029	-	-	1,709,476	(1,709,476)	51,665	51,665	1,184,266
2030	-	-	1,709,476	(1,709,476)	52,699	52,699	1,236,964
2031	-	-	1,709,476	(1,709,476)	53,752	53,752	1,290,717
2032	-	-	1,709,476	(1,709,476)	54,828	54,828	1,345,544
2033	-	-	1,709,476	(1,709,476)	55,924	55,924	1,401,468
2034	-	-	665,203	(665,203)	57,043	57,043	1,458,511
2035	-	-	665,203	(665,203)	58,183	58,183	1,516,695
2036	-	-	665,203	(665,203)	59,347	59,347	1,576,041
2037	-	-	665,203	(665,203)	60,534	60,534	1,636,576
2038	-	-	665,203	(665,203)	61,745	61,745	1,698,320
2039	-	-	665,203	(665,203)	62,980	62,980	1,761,300
2040	-	-	665,203	(665,203)	64,239	64,239	1,825,539
2041	-	-	665,203	(665,203)	65,524	65,524	1,891,063
2042	-	-	665,203	(665,203)	66,834	66,834	1,957,897
2043	-	-	665,203	(665,203)	68,171	68,171	2,026,068
2044	-	-	665,203	(665,203)	69,535	69,535	2,095,603
2045	-	-	665,203	(665,203)	70,925	70,925	2,166,528
2046	-	-	665,203	(665,203)	72,344	72,344	2,238,872
2047	-	-	665,203	(665,203)	73,791	73,791	2,312,663
2048	-	-	665,203	(665,203)	75,266	75,266	2,387,929
	-	-	32,201,222	(34,226,463)	1,690,793	(334,448)	-

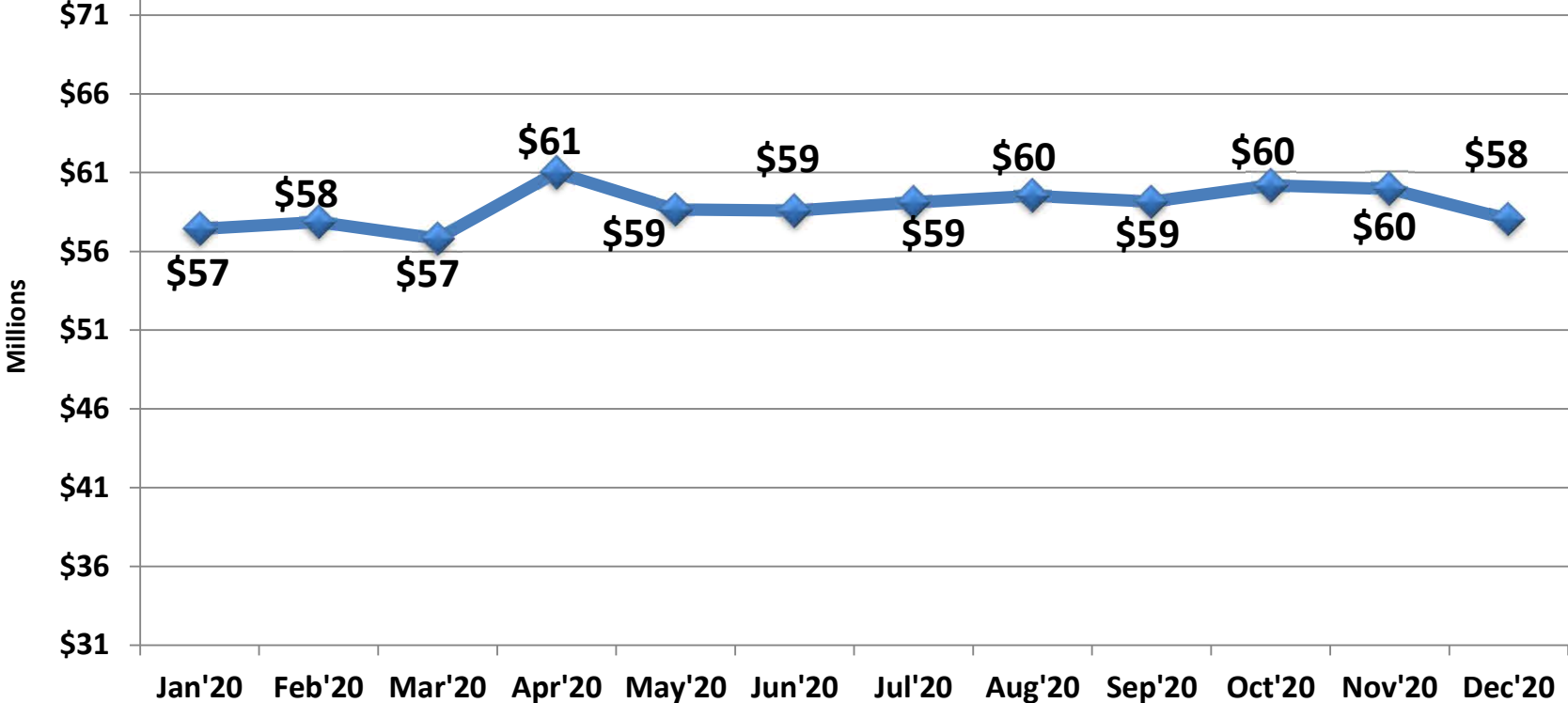
*Interest earned is based on a conservative 2.00% average return over the period

Santa Ana Watershed Project Authority
 Brine Line Debt Service Payment Schedule
 December 31, 2020

FYE	Interest	Principal	Total Payment	New SRF Loan	Remaining Principal
2020	675,214	2,160,539	2,835,753	-	27,686,941
2021	620,782	2,214,971	2,835,753	-	25,471,969
2022	564,959	2,043,480	2,608,439	-	23,428,489
2023	514,301	1,195,175	1,709,476	-	22,233,314
2024	486,080	1,223,395	1,709,476	-	21,009,919
2025	457,181	1,252,295	1,709,476	-	19,757,624
2026	427,585	1,281,891	1,709,476	-	18,475,734
2027	397,276	1,312,199	1,709,476	-	17,163,534
2028	366,237	1,343,239	1,709,476	-	15,820,295
2029	334,449	1,375,027	1,709,476	-	14,445,268
2030	301,894	1,407,582	1,709,476	-	13,037,686
2031	268,553	1,440,923	1,709,476	-	11,596,763
2032	234,407	1,475,068	1,709,476	-	10,121,695
2033	199,437	1,510,039	1,709,476	-	8,611,656
2034	163,621	501,581	665,203	-	8,110,075
2035	154,091	511,111	665,203	-	7,598,964
2036	144,380	520,822	665,203	-	7,078,142
2037	134,485	530,718	665,203	-	6,547,424
2038	124,401	540,801	665,203	-	6,006,622
2039	114,126	551,077	665,203	-	5,455,546
2040	103,655	561,547	665,203	-	4,893,999
2041	92,986	572,217	665,203	-	4,321,782
2042	82,114	583,089	665,203	-	3,738,693
2043	71,035	594,167	665,203	-	3,144,526
2044	59,746	605,457	665,203	-	2,539,069
2045	48,242	616,960	665,203	-	1,922,109
2046	36,520	628,682	665,203	-	1,293,427
2047	24,575	640,627	665,203	-	652,799
2048	12,403	652,799	665,203	-	0



Total Cash & Investments





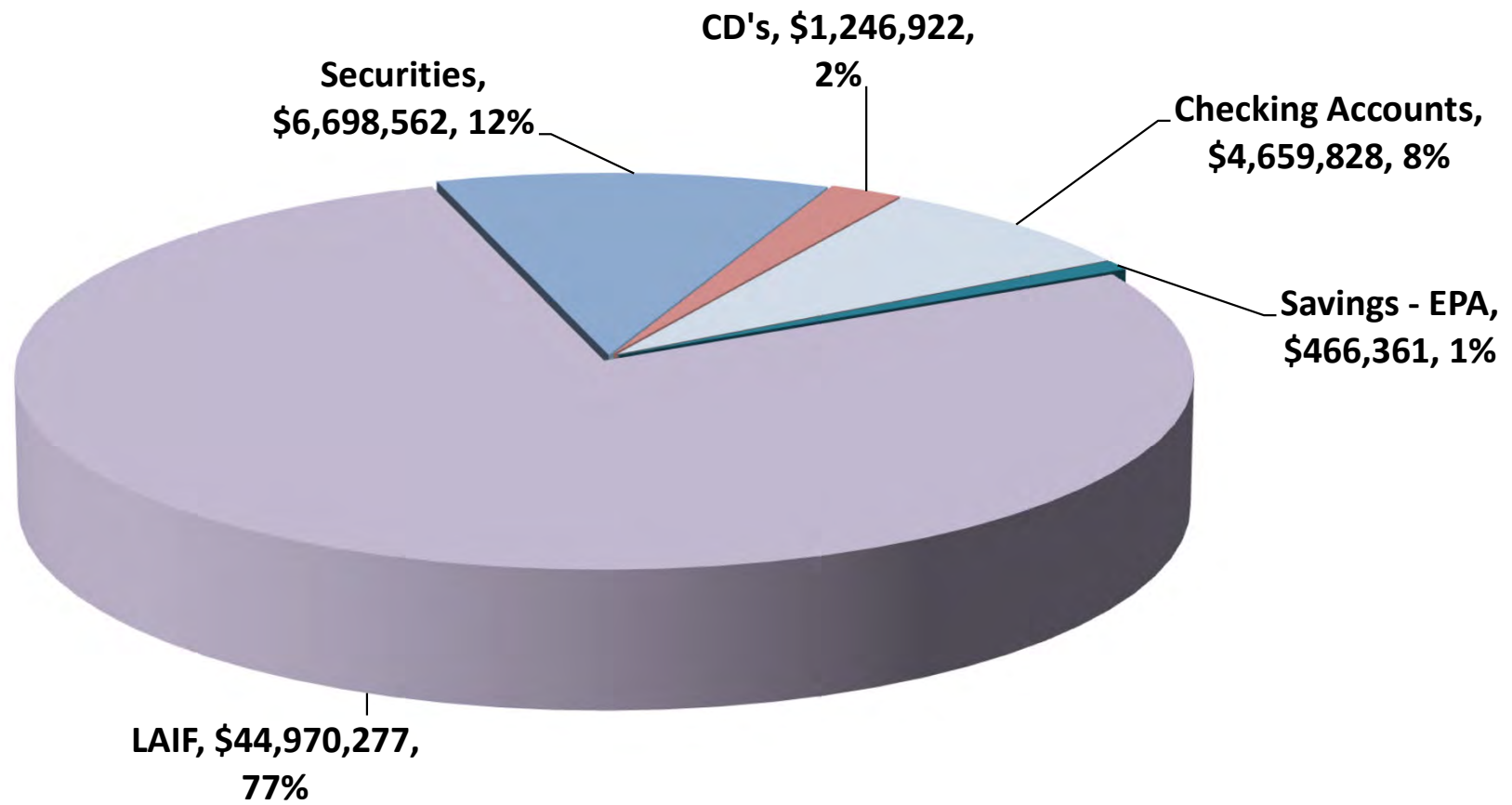
CASH BALANCE & SOURCE OF FUNDS

December 31, 2020

Reserve Accounts		Cash and Investments					
	Total	Checking (Cash)	LAIF Account	Savings EPA	Investment Securities	Certificates of Deposit	Total
100	General Fund	\$ 1,755,971	1,755,971	-	-	-	\$ 1,755,971
100	Building Reserve	\$ 670,798	-	670,798	-	-	\$ 670,798
370	Basin Planning General	\$ 297,603	-	297,603	-	-	\$ 297,603
370	USBR Partnership Studies	\$ 63,139	-	63,139	-	-	\$ 63,139
373	Watershed Management Plan	\$ 626,015	-	626,015	-	-	\$ 626,015
240	Self Insurance Reserve	\$ 4,429,783	-	4,429,783	-	-	\$ 4,429,783
240	Brine Line Debt Retirement	\$ 2,468,280	-	2,468,280	-	-	\$ 2,468,280
240	Brine Line - Pipeline Replacement	\$ 22,208,667	-	14,263,183	-	6,698,562	\$ 22,208,667
240	Brine Line - OCSD Rehabilitation	\$ 2,368,201	-	2,368,201	-	-	\$ 2,368,201
240	Brine Line - Capacity Management	\$ 11,934,754	-	11,934,754	-	-	\$ 11,934,754
240	Brine Line - OCSD Future Capacity	\$ 1,827,250	-	1,827,250	-	-	\$ 1,827,250
240	Brine Line - Flow Imbalance Reserve	\$ 83,407	-	83,407	-	-	\$ 83,407
240	Brine Line - Rate Stabilization Reserve	\$ 1,023,941	-	1,023,941	-	-	\$ 1,023,941
240	Brine Line - Operating Reserve	\$ 4,386,799	2,903,857	1,482,942	-	-	\$ 4,386,799
401	Legal Defense Fund	\$ 466,361	-	-	466,361	-	\$ 466,361
374	Basin Monitoring Program TF	\$ 363,875	-	363,875	-	-	\$ 363,875
381	SAR Fish Conservation	\$ 97,489	-	97,489	-	-	\$ 97,489
384	Middle SAR TMDL TF	\$ 317,503	-	317,503	-	-	\$ 317,503
386	RWQ Monitoring TF	\$ 499,986	-	499,986	-	-	\$ 499,986
387	Mitigation Bank Credits	\$ 935,920	-	935,920	-	-	\$ 935,920
392	Emerging Constituents TF	\$ 83,413	-	83,413	-	-	\$ 83,413
504	Prop 84 - SARCCUP Projects	\$ 1,132,795	-	1,132,795	-	-	\$ 1,132,795
		\$ 58,041,950	\$ 4,659,828	\$ 44,970,277	\$ 466,361	\$ 6,698,562	\$ 58,041,950

Cash & Investments - December 2020

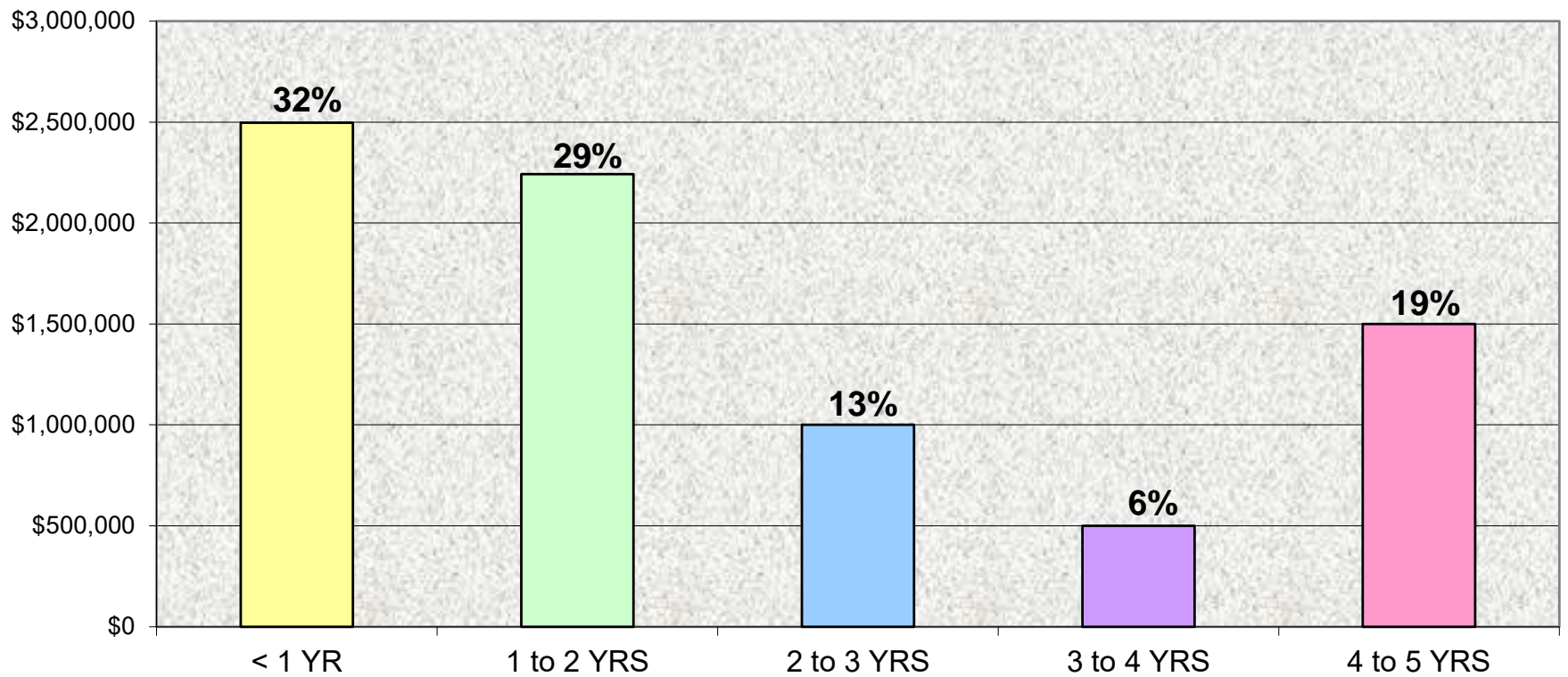
\$58,041,950



Santa Ana Watershed Project Authority
Reserve Account Analysis
December 31, 2020

Reserve Account	Balance @ 6/30/2020	Interest Earned	Fund Receipts/ Contributions	Debt Service Payments	Inter-Fund Loans	Fund Expenses	Balance @ 12/31/2020	Estimated Fund Changes	Balance @ 6/30/2021
Brine Line Operating Reserve	3,856,386	23,810	5,831,294			(5,324,691)	4,386,799	-	4,386,799
Flow Imbalance Reserve	83,913	473				(979)	83,407	-	83,407
OCSD Future Capacity	1,817,199	10,051					1,827,250	-	1,827,250
Capacity Management	11,869,104	65,650					11,934,754	-	11,934,754
Rate Stabilization Reserve	1,018,308	5,632					1,023,941	-	1,023,941
Pipeline Replacement	21,145,464	72,644	2,263,902			(1,273,343)	22,208,667	(729,097)	21,479,570
OCSD Rehabilitation	3,706,357	20,500				(1,358,656)	2,368,202	-	2,368,202
Debt Retirement	3,765,194	19,261	854,375	(2,170,551)			2,468,280	864,899	3,333,178
Self Insurance	4,355,708	24,075	50,000				4,429,784	50,000	4,479,784
General Fund	2,086,029	13,271	685,706		(497,875)	(531,159)	1,755,971	-	1,755,971
Building Reserve	591,187	3,388	100,000			(23,776)	670,798	-	670,798
	54,294,849	258,756	9,785,277	(2,170,551)	(497,875)	(8,512,605)	53,157,854	185,802	53,343,656

Twelve Month Maturity Schedule Securities

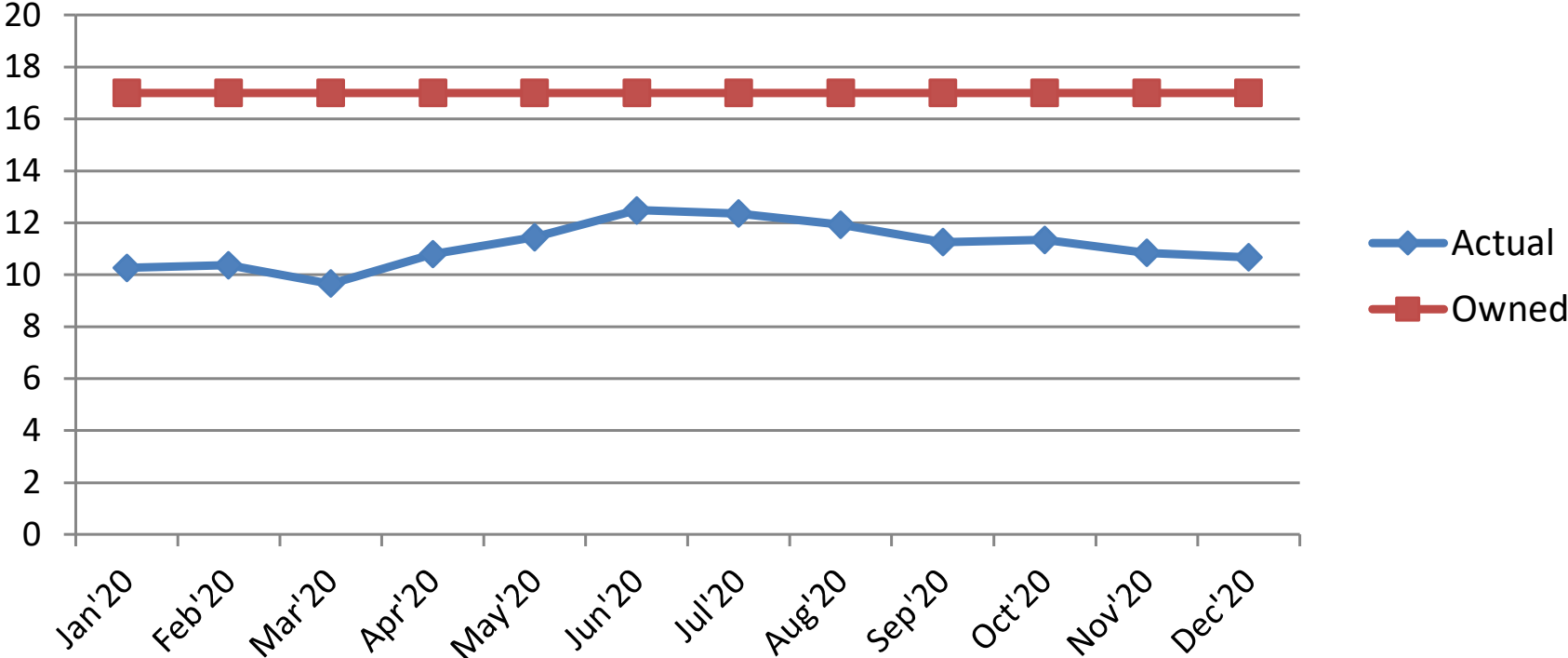


SAWPA
TREASURER'S REPORT
As of December 31, 2020

Investment Commercial
Safekeeping US Bank

Type	Security	Purchase Date	Maturity Date	Unit Cost	Cost	Principal	Current Value	Market Value	Interest Rate
Agency	FHLMC	9/16/2016	8/12/2021	100.00	\$ 990,060.00	\$ 1,000,000.00	\$ 1,000,000.00	1,006,207.00	1.125%
Agency	FHLMC	4/17/2017	1/13/2022	102.55	\$ 512,767.00	\$ 500,000.00	\$ 500,000.00	511,599.00	2.375%
Agency	FHLB	12/14/2017	6/10/2022	99.89	\$ 998,930.00	\$ 1,000,000.00	\$ 1,000,000.00	1,028,297.00	2.125%
Agency	FHLB	2/4/2020	12/13/2024	106.25	\$ 531,250.00	\$ 500,000.00	\$ 500,000.00	547,514.50	2.750%
Agency	FNMA	2/4/2020	1/7/2025	101.08	\$ 505,380.00	\$ 500,000.00	\$ 500,000.00	526,712.00	1.625%
Agency	FNMA	10/30/2020	8/25/2025	99.53	\$ 995,952.00	\$ 1,000,000.00	\$ 1,000,000.00	998,380.00	0.375%
Agency	USTN	12/14/2017	7/31/2021	96.91	\$ 969,062.50	\$ 1,000,000.00	\$ 1,000,000.00	1,005,859.00	1.125%
CORP	Apple Inc.	10/15/2018	5/3/2023	95.98	\$ 479,898.50	\$ 500,000.00	\$ 500,000.00	524,449.50	2.400%
CORP	Toyota Motor Credit Corp.	10/15/2018	9/20/2023	99.55	\$ 497,747.50	\$ 500,000.00	\$ 500,000.00	541,679.00	3.450%
CD	American Exp Centurion	4/19/2017	4/19/2021	100.00	\$ 248,000.00	\$ 248,000.00	\$ 248,000.00	248,000.00	2.250%
CD	American Express BK FSB	5/10/2017	5/10/2021	100.00	\$ 248,000.00	\$ 248,000.00	\$ 248,000.00	248,000.00	2.200%
CD	Sallie Mae BK SLT	7/1/2019	6/27/2022	100.00	\$ 247,000.00	\$ 247,000.00	\$ 247,000.00	247,000.00	2.250%
CD	Morgan Stanley Bank NA	7/5/2019	7/5/2022	100.00	\$ 247,000.00	\$ 247,000.00	\$ 247,000.00	247,000.00	2.200%
CD	Goldman Sachs Bank USA	12/20/2017	12/20/2022	100.00	\$ 248,000.00	\$ 248,000.00	\$ 248,000.00	248,000.00	2.500%
					\$ 7,719,047.50	\$ 7,738,000.00	\$ 7,738,000.00	7,928,697.00	2.054%

Average Daily Flow by Month





SUMMARY OF LABOR MULTIPLIERS

		Benefit Rate
Total Employee Benefits	712,602	0.390
Total Payroll	1,828,487	
Gross Indirect Costs	1,730,637	
Less: Member Contributions & Other Revenue	(225,000)	
Indirect Costs for Distribution	1,505,637	
		Indirect Rate
Direct Labor	1,026,943	1.466
Indirect Costs	1,505,637	
FY 2020-21 Labor multiplier - thru 12/31/20		1.856
FY 2020-21 Budgeted Labor multiplier		<u>1.961</u>
FY 2019-20 Labor multiplier		<u>2.309</u>
FY 2018-19 Labor multiplier		<u>2.059</u>
FY 2017-18 Labor multiplier		<u>1.990</u>
FY 2016-17 Labor multiplier		<u>1.901</u>



INDIRECT COSTS

(to be Distributed)

<u>G/L Acct.</u>	<u>Description</u>	<u>Actual thru</u> <u>12/31/20</u>
51000	Salaries - Regular	\$ 801,543
52000	Benefits	\$ 363,099
60111	Tuition Reimbursement	\$ -
60112	Training	\$ 8,184
60113	Education	\$ 505
60114	Other Training & Education	\$ 1,338
60120	Audit Fees	\$ 7,500
60121	Consulting	\$ 38,699
60126	Temporary Services	\$ -
60128	Other Professional Services	\$ 700
60129	Other Contract Services	\$ 400
60130	Legal Fees	\$ 41,998
60133	Employment Recruitment	\$ 164
60153	Materials & Supplies	\$ -
60154	Safety	\$ 705
60155	Security	\$ 1,098
60156	Custodial Contract Services	\$ 8,504
60157	Landscaping Maintenance	\$ 15,610
60158	HVAC	\$ 6,364
60159	Facility Repair & Maintenance	\$ 4,519
60160	Telephone	\$ 18,090
60161	Cellular Services	\$ 4,675
60163	Electricity	\$ 8,750
60164	Water Services	\$ 3,422
60170	Equipment Expensed	\$ 9,441
60171	Equipment Rented	\$ 8,704

(Continued - next column)

<u>G/L Acct.</u>	<u>Description</u>	<u>Actual thru</u> <u>12/31/20</u>
60172	Equipment Repair / Maintenance	\$ 1,003
60180	Computer Hardware	\$ 23,087
9/30/2020	Computer Software	\$ 73,529
60182	Internet Services	\$ 11,980
60183	Computer Supplies	\$ 3,534
60184	Computer Repair/Maint	\$ -
60190	Offsite Meeting/Travel Expense	\$ -
60191	In House Meetings	\$ -
60192	Conference Expense	\$ 350
60193	Car, Repair, Maintenance	\$ -
60200	Dues	\$ 26,483
60202	Subscriptions	\$ -
60203	Contributions	\$ 10,000
60210	Bank Charges	\$ 592
6211	Shipping/Postage	\$ 1,273
60212	Office Supplies	\$ 13,903
60213	Offsite Storage	\$ 8,762
48000	Commission Fees	\$ 15,620
60221	Commission Mileage Reimb.	\$ 35
60222	Other Commission Expense	\$ -
60230	Other Expense	\$ 758
60240	Building Lease	\$ 2,673
81010	Retiree Medical Expense	\$ 51,086
80001	Insurance Expense	\$ 31,956
80000	Building Repair/Replacement Reserve	\$ 100,000
80000	Fixed Assets	\$ -

Total Costs \$ 1,730,637

Direct Costs Paid by Projects \$ 1,009,721
 Member Contribution Offset \$ 225,000
 \$ 1,234,721

Over (Under) Allocation % -32.9%
 Over (Under) Allocation of General Fund Costs \$ (495,916)



BENEFITS SUMMARY

(Distributed based on Actual Labor)

<u>G/L Acct</u>	<u>Description</u>		<u>Budget</u>		<u>Actual @ 12/31/20</u>		<u>Projected FYE 2021</u>
70101	FICA Expense	\$	212,968	\$	68,157	\$	136,314
70102	Medicare Expense	\$	61,259	\$	24,467	\$	48,934
70103	State Unemployment Insurance	\$	5,145	\$	338	\$	4,950
70104	Worker's Compensation Insurance	\$	46,882	\$	21,812	\$	43,624
70105	State Disability Insurance	\$	30,129	\$	9,128	\$	18,256
70106	PERS Pension Plan	\$	766,791	\$	316,309	\$	632,617
70111	Medical Expense	\$	630,327	\$	218,133	\$	436,266
70112	Dental Expense	\$	37,907	\$	12,858	\$	25,717
70113	Vision Insurance	\$	9,015	\$	3,549	\$	7,098
70114	Life Insurance Expense	\$	16,462	\$	7,053	\$	14,106
70115	Long Term Disability	\$	19,243	\$	7,964	\$	15,928
70116	Wellness Program Expense	\$	3,500	\$	334	\$	3,500
70120	Car Allowance	\$	51,000	\$	22,500	\$	46,000
	Total Benefits	\$	1,890,627	\$	712,602	\$	1,433,309
	Total Payroll	\$	4,173,739	\$	1,828,487	\$	3,656,974
	Benefits Rate		45.3%		39.0%		39.2%

Santa Ana Watershed Project Authority
 Labor Hours Budget vs Actual
 Month Ending December 31, 2020

	Fund	Budget	Actual	%
100	General Fund	25,990	12,472	47.99%
135	Prop 84 - Round I Program Mgmt	305	145	47.62%
140	Prop 84 - Drought Program Mgmt	-	162	0.00%
145	Prop 84 - 2015 Program Mgmt	2,309	382	16.55%
150	Prop1 - Program Management	-	173	0.00%
240	Brine Line Enterprise	21,975	9,444	42.97%
320	Brine Line Protection	685	440	64.20%
327	Reach IV-D Corrosion Repairs	320		0.00%
370-01	Basin Planning General	1,545	1,049	67.90%
370-02	USBR Partnership Studies	81	14	16.67%
373	Watershed Management (OWOW)	1,790	675	37.70%
374	Basin Monitoring Program TF	410	210	51.28%
381	SAR Fish Conservation	210	216	102.98%
384-01	MSAR TMDL TF	160	73	45.78%
386MONIT	RWQ Monitoring TF	155	75	48.55%
387	Arundo Removal & Habitat Restoration	220	116	52.73%
392	Emerging Constituents TF	45	68	151.67%
398ADMIN	Prop 1 - DACI	4,140	630	15.21%
477-02	LESJWA - Administration	310	185	59.76%
477TMDL	LESJWA - TMDL Task Force	580	307	52.97%
504-401IMPLE	Prop 84 - Final Round Implementation	375	54	14.40%
504-401PA23	Prop 84 - Final Round PA23 Admin	740	101	13.61%
504-402PA22A	Prop84 - Final Round PA22 Admin	-	28	0.00%
504-402RATES	Prop 84 - Final Round Water Rates	305	13	4.26%
504-402SMART	Prop 84 - Final Round SmartScape	390		0.00%
		63,040	27,032	42.88%

Note: Should be at 50% of budget for 6 months

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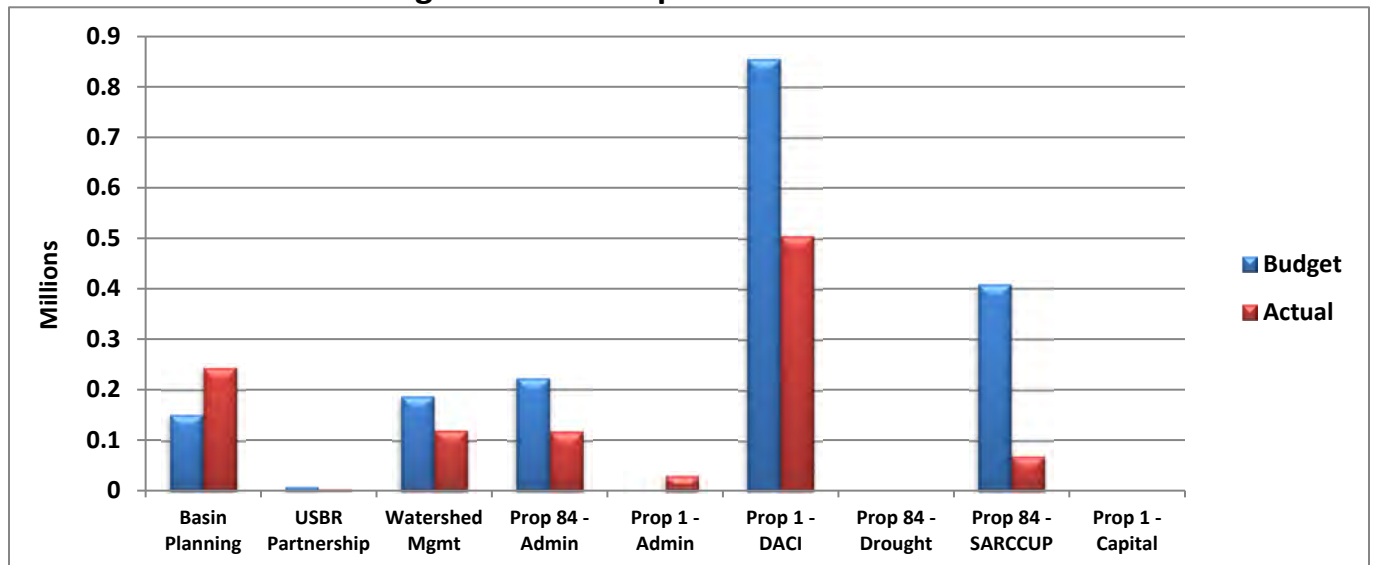
**Santa Ana Watershed Project Authority
PA25 - OWOW Fund - Financial Report
November 2020**

Staff comments provided on the last page are an integral part of this report.

Overview	This report highlights the agency's key financial indicators for the Fiscal Year-to-Date (FYTD) through November 2020 unless otherwise noted.
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Budget to Actual Expenses - OWOW	Favorable			
	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
Basin Planning General	\$460,814	\$150,339	\$243,454	(\$93,115)
USBR Partnership Studies	69,455	8,106	3,590	4,516
Watershed Mgmt. (OWOW)	449,871	187,446	120,622	66,824
Prop 84 - Administration	530,869	221,195	116,883	104,312
Prop 1 – Administration	-	-	29,386	(29,386)
Prop 1 – DACI	2,054,180	855,908	505,011	350,897
Prop 84 – Drought Projects	-	-	-	-
Prop 84 – SARCCUP & Other	979,142	407,976	69,394	338,582
Prop 1 – Capital Projects	580,266	-	-	-
Total	\$5,124,596	\$1,830,970	\$1,088,340	\$742,630

Budget to Actual Expenses - OWOW



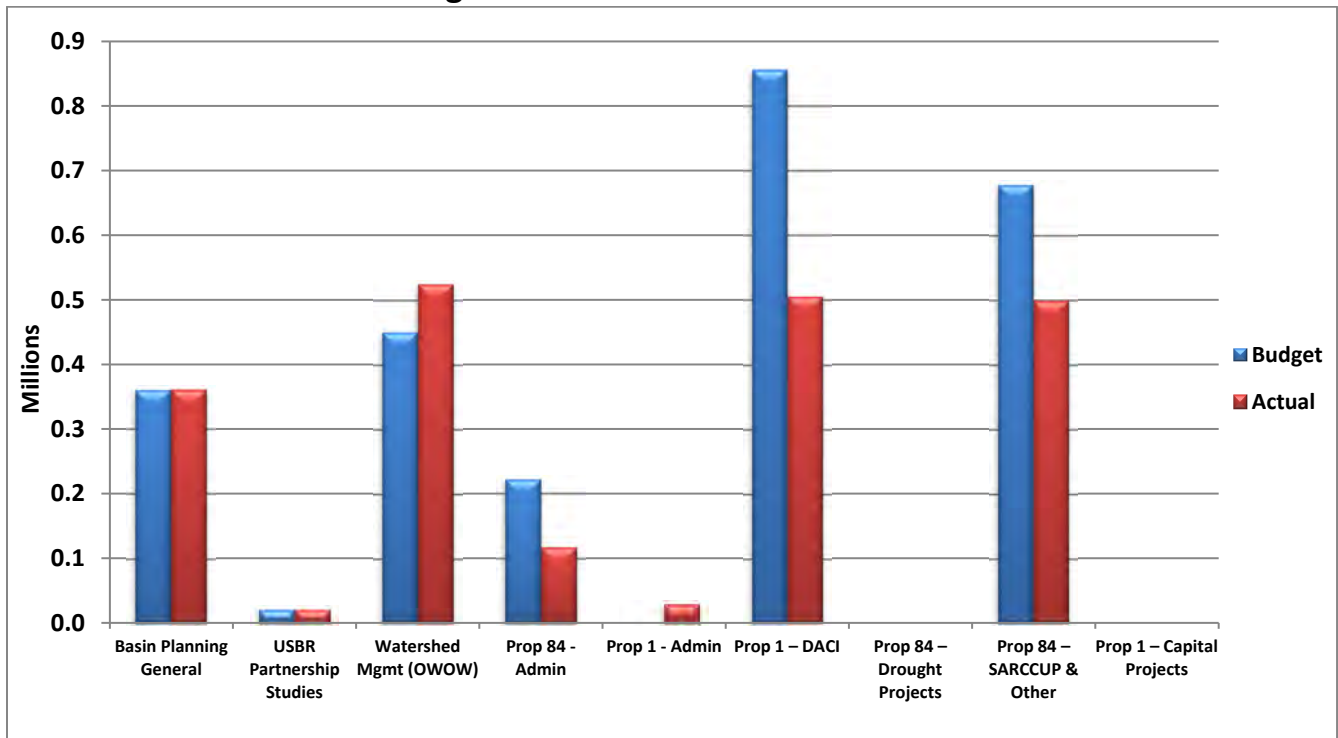
Budget to Actual Revenues - OWOW



Concern

	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
Basin Planning General	\$460,000	\$360,000	\$360,740	\$740
USBR Partnership Studies	70,000	20,000	20,117	117
Watershed Mgmt. (OWOW)	450,000	450,000	524,563	74,563
Prop 84 - Administration	530,869	221,195	116,883	(104,312)
Prop 1 – Administration	-	-	29,386	29,386
Prop 1 – DACI	2,054,180	855,908	505,011	(350,897)
Prop 84 – Drought Projects	-	-	-	-
Prop 84 – SARCCUP & Other	979,142	678,230	499,091	(179,139)
Prop 1 – Capital Projects	642,668	-	-	-
Total	\$5,186,858	\$2,585,333	\$2,055,791	(\$529,542)

Budget to Actual Revenues - OWOW







Reserve Fund Balance - November

	Amount
Basin Planning General	\$341,845
USBR Partnership Studies	63,427
Watershed Management (OWOW)	644,146
Proposition 84 – SARCCUP & Other	1,147,026
Total Reserves	\$2,196,444

Legend

Compared to Budget

	Ahead or Favorable	Above +5% Favorable Revenue or Expense Variance
	On Track	+5% to -2% Variance
	Behind	-3% to -5% Variance
	Concern	Below -5% Variance

Staff Comments

For this month's report, the item(s) explained below are either "behind", a "concern", or have changed significantly from the prior month.

- 1) Total revenues are 20% below budget. Both the Proposition 1 and Proposition 84 projects have started out slowly this year. It is anticipated that all projects will be on track with the budget at the end of the fiscal year.

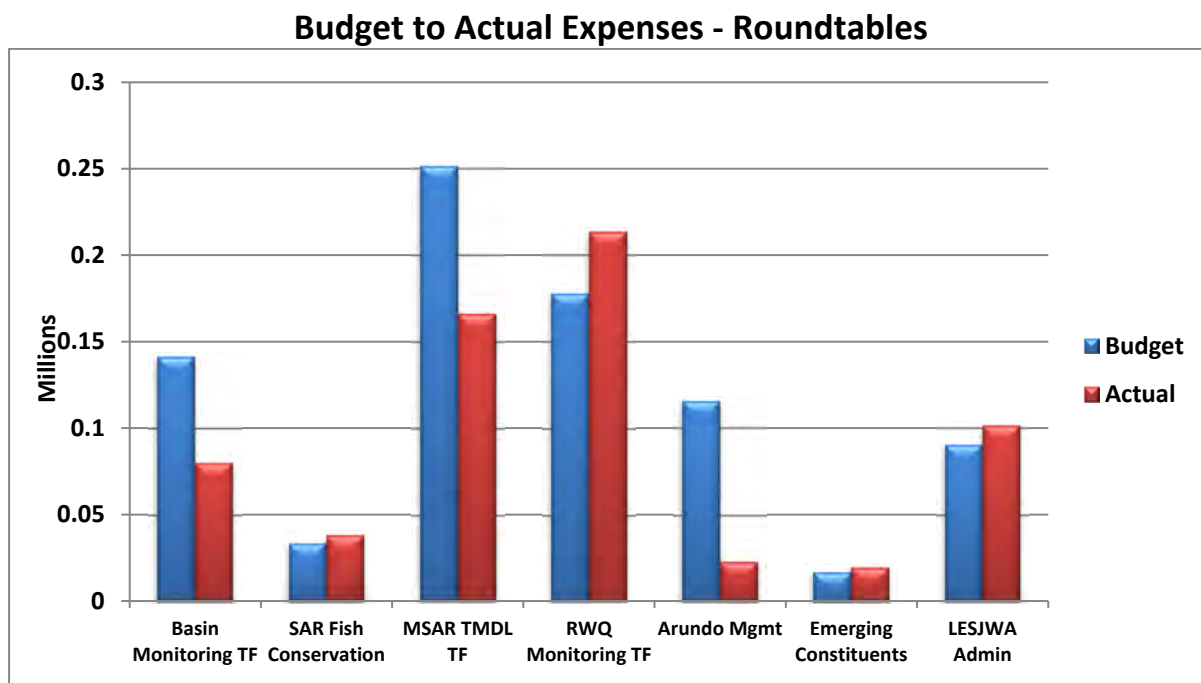
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**Santa Ana Watershed Project Authority
PA26 - Roundtable Fund - Financial Report
November 2020**

Staff comments provided on the last page are an integral part of this report.

Overview	This report highlights the agency's key financial indicators for the Fiscal Year-to-Date (FYTD) through November 2020 unless otherwise noted.
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Budget to Actual Expenses - Roundtables	✔ On Track			
	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
Basin Monitoring TF	\$339,272	\$141,363	\$80,061	\$61,302
SAR Fish Conservation	80,137	33,390	38,210	(4,820)
MSAR TMDL TF	417,267	251,445	166,118	85,327
RWQ Monitoring TF	426,489	177,704	213,365	(35,661)
Arundo Mgmt.	277,527	115,636	23,164	92,472
Emerging Constituents	40,297	16,790	19,561	(2,771)
LESJWA Admin	216,881	90,367	101,403	(11,036)
Total	\$1,797,871	\$826,695	\$641,882	\$184,813



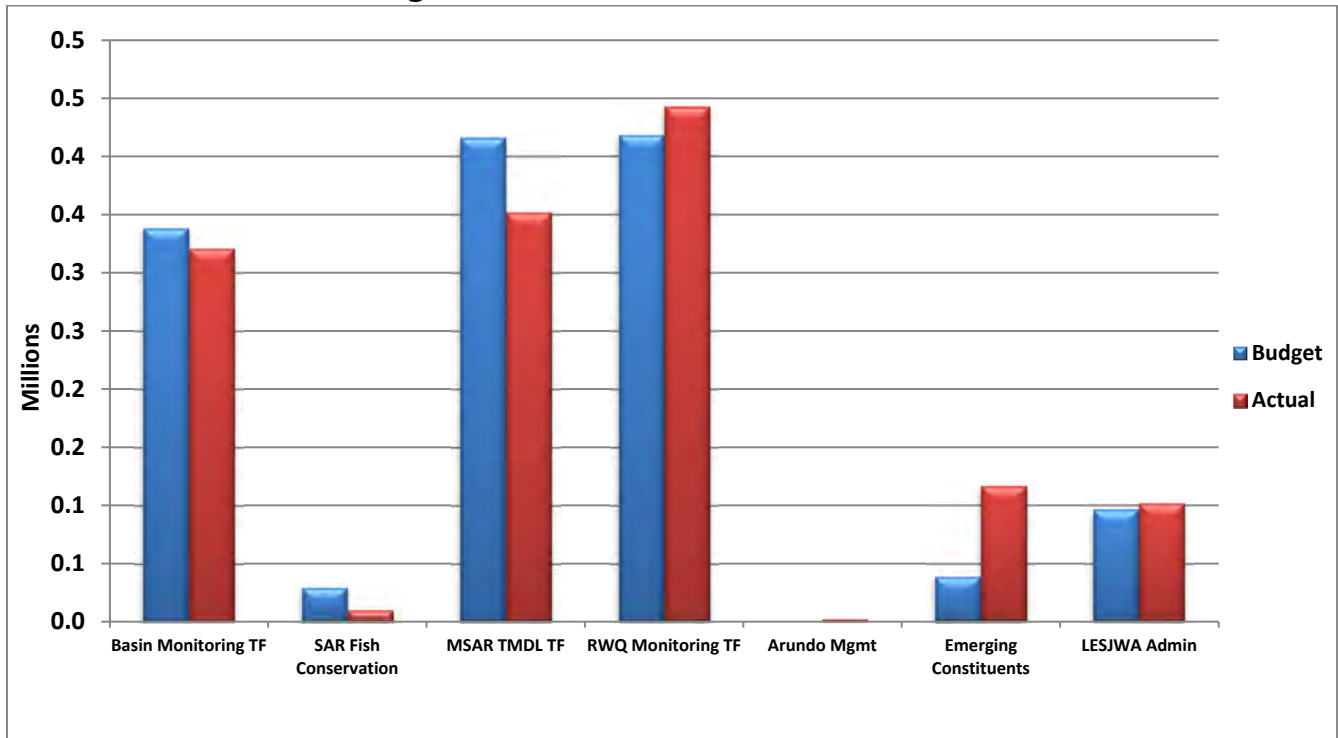
Budget to Actual Revenues - Roundtables



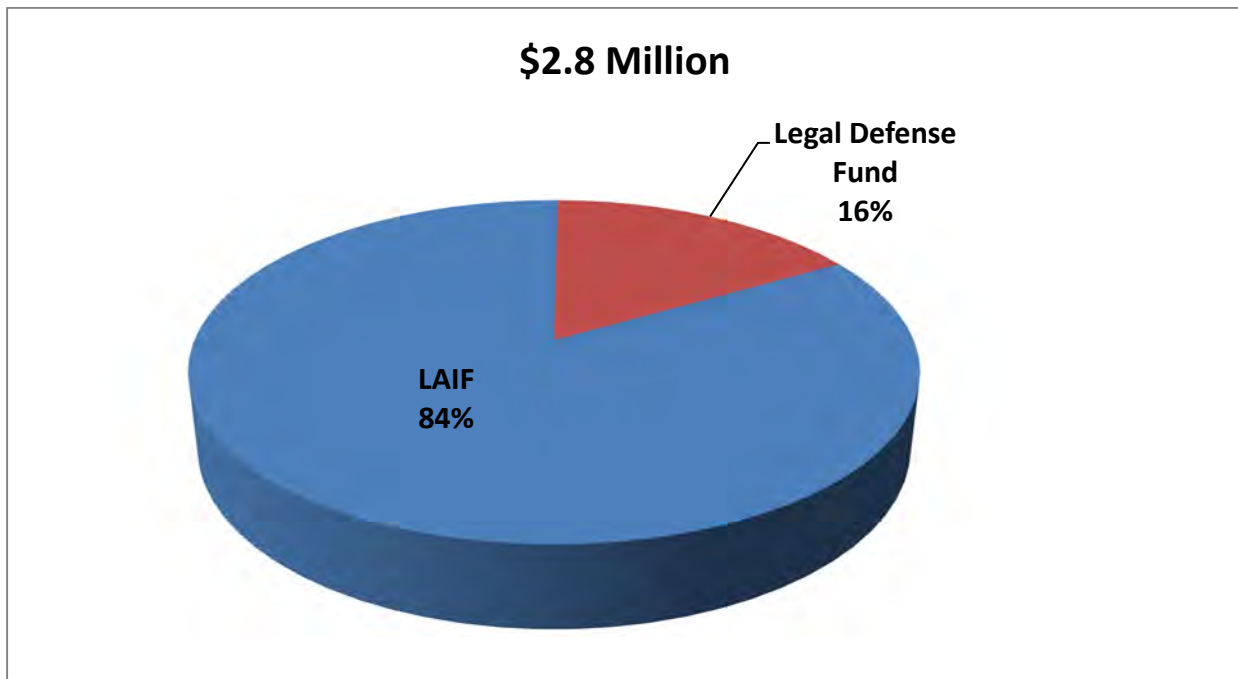
On Track

	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
Basin Monitoring TF	\$338,000	\$338,000	\$320,643	(\$17,357)
SAR Fish Conservation	29,000	29,000	10,264	(18,736)
MSAR TMDL TF	416,000	416,000	351,613	(64,387)
RWQ Monitoring TF	418,000	418,000	442,351	24,351
Arundo Mgmt.	-	-	1,974	1,974
Emerging Constituents	38,711	38,711	116,511	77,800
LESJWA Admin	216,881	96,200	101,403	5,203
Total	\$1,456,592	\$1,335,911	\$1,344,759	\$8,848

Budget to Actual Revenues - Roundtables



Total Cash & Investments - November







Reserve Fund Balance - November

	Amount
Basin Monitoring Task Force	\$372,227
SAR Fish Conservation	100,839
Middle SAR TMDL Task Force	318,591
Regional Water Quality Monitoring Task Force	538,314
Arundo Management & Habitat	940,705
Emerging Constituents Task Force	92,024
Legal Defense Fund	466,361
Total Reserves	\$2,829,061

Legend

Compared to Budget

	Ahead or Favorable	Above +5% Favorable Revenue or Expense Variance
	On Track	+5% to -2% Variance
	Behind	-3% to -5% Variance
	Concern	Below -5% Variance

Staff Comments

For this month's report, the item(s) explained below are either "behind", a "concern", or have changed significantly from the prior month.

- 1) Both expenses and revenues are on track with the budget.

COMMISSION MEMORANDUM NO. 2021.14

DATE: February 16, 2021

TO: SAWPA Commission

SUBJECT: Santa Ana Watershed Project Authority (SAWPA) | Commission and Committee Structure

PREPARED BY: Kelly Berry, Administrative Services Manager | Clerk of the Board

RECOMMENDATION

Receive and file.

DISCUSSION

Due to recent personnel changes within the SAWPA Member Agencies, we are providing detailed information regarding SAWPA's Commission and Committee structure. Utilizing the SAWPA Joint Policy Agreement, applicable resolutions, project agreement documents, and committee governing documents, a table outlining the Santa Ana Watershed Project Authority Commission and Committee structure has been compiled and is attached for your reference.

The table sets forth information for each governing body below, including a description, positions, and the appointment process for each body, as well as the process relating to officer elections.

- SAWPA Commission
- Project Agreement (PA) 23 Committee
- Project Agreement (PA) 24 Committee
- Santa Ana Riverside (SAR) Conservancy Advisory Group
- Lake Elsinore and San Jacinto Watersheds Authority (LESJWA)
- One Water One Watershed (OWOW) Steering Committee
- SAWPA/OCWD Joint Policy Committee (JPA)
- Project Agreement (PA) 22 Committee (staff-only appointment)

Past appointments included the DCI Technical Advisory Committee and the OWOW Pillar Chairs; however, neither group is active at this time.

We hope you find this information helpful and that it will be a useful resource into the future.

RESOURCE IMPACTS

None.

Attachments:

1. Commission and Committee Appointment Table
 - a. Resolution No. 355
 - b. Historical Rotation of Officers [1998-2021]
 - c. Resolution No. 2018-1

Santa Ana Watershed Project Authority (Commission and Committee Structure)

Governing Body	Position	Appointed By Officers	Description
Commission	<ul style="list-style-type: none"> • Commissioner • Alternate Commissioner 	<p>APPOINTED BY: Member Agency. <u>Process:</u> Designate and appoint, by resolution of its governing body, one member of its body to serve as Commissioner and one member of its body to serve as Alternate Commissioner.</p> <p>OFFICERS: SAWPA Resolution No. 355 ⁽¹⁾ calls for the rotation of officers in January of each odd-numbered year, a non-binding intention of the Commission is to rotate the positions of Chair, Vice Chair and Secretary-Treasurer among the member agencies based on the established, historical rotation ⁽²⁾.</p>	<p>The Santa Ana Watershed Project Authority (SAWPA) is a joint power authority under California law, composed of five member agencies; Eastern Municipal Water District, Inland Empire Utilities Agency, Orange County Water District, San Bernardino Valley Municipal Water District, and Western Municipal Water District. SAWPA focuses on a broad range of water resource issues including water supply reliability, water quality improvement, recycled water, wastewater treatment, groundwater management, brine disposal, and integrated regional planning. Its stated mission is to develop and maintain regional plans, programs, and projects that will protect the Santa Ana River basin water resources to maximize beneficial uses within the watershed in an economically and environmentally responsible manner.</p>
PA 23 Committee	<ul style="list-style-type: none"> • Committee Member • Alternate Committee Member 	<p>APPOINTED BY: Member Agency. <u>Process:</u> Member agency may directly appoint any member of its governing board or general manager as its representative and/or alternate to the Project Agreement (PA) 23 Committee.</p> <p>OFFICERS: Chair and Vice Chair elected by the PA 23 Committee from the current committee members.</p>	<p>Purpose includes implementing the Santa Ana River Conservation and Conjunctive Use Program (SARCCUP); improving utilization of groundwater basins for the benefit and improved resiliency of the entire Santa Ana River watershed through conjunctive use with available sources of supply.</p>

Governing Body	Position	Appointed By Officers	Description
PA 24 Committee	<ul style="list-style-type: none"> Committee Member Alternate Committee Member 	<p>APPOINTED BY: Member Agency. <u>Process:</u> Member agency may directly appoint any member of its governing board or general manager as its representative and/or alternate to the Project Agreement (PA) 24 Committee.</p> <p>OFFICERS: Chair and Vice Chair elected by the PA 24 Committee from the current committee members.</p>	<p>Purpose includes establishing policy and providing oversight of the Brine Line operations, maintenance, planning, administration, implementation, and improvement of the Brine Line, including but not limited to infrastructure and facilities improvements (finance, design, construction, operation, maintenance), rate-setting and revenue collection, permit issuance and enforcement and usage of the Brine Line Enterprise Fund.</p>
Santa Ana River (SAR) Conservancy Advisory Group	SAWPA Representative	<p>APPOINTED BY: SAWPA Commission. <u>Process:</u> This appointment is made by the SAWPA Commission; the SAWPA Commission nominates and appoints the SAWPA representative to this advisory group. This is a five-year appointment.</p> <p>OFFICERS: N/A</p>	<p>Through Senate Bill 1390, the California state legislature created the Santa Ana River Conservancy Program within the Coastal Conservancy. The program addresses the resource and recreational goals of the Santa Ana River region including open space, trails, wildlife habitat, agricultural land protection, water quality protection, educational use, and public access.</p>
Lake Elsinore and San Jacinto Watersheds Authority (LESJWA)	SAWPA Representative	<p>APPOINTED BY: SAWPA Commission. <u>Process:</u> This appointment is made by the SAWPA Commission; the SAWPA Commission nominates and appoints the SAWPA representative, by resolution of the Commission, to the LESJWA Board. This is a two-year appointment.</p> <p>OFFICERS: Elected by the LESJWA Board from the current board members.</p>	<p>Implement projects and programs to improve the water quality and habitat of Lake Elsinore and its back basin consistent with the Lake Elsinore Management Plan and to rehabilitate and improve the San Jacinto and Lake Elsinore Watersheds and the water quality of Lake Elsinore, in order to preserve agricultural land, protect wildlife habitat, protect and enhance recreational resources, and improve lake water quality, all for the benefit of the general public.</p>

Governing Body	Position	Appointed By Officers	Description
One Water One Watershed (OWOW) Steering Committee	SAWPA Representatives	<p>APPOINTED BY: SAWPA Commission. <u>Process:</u> These two appointments are made by the SAWPA Commission in accordance with Resolution No. 2018-1 ⁽³⁾. The SAWPA Commission acknowledges the SAWPA Vice Chair and SAWPA Secretary-Treasurer shall serve as the SAWPA representatives, with the Vice Chair serving as Convener. Vice Chair serves two years; Secretary-Treasurer serves four years.</p> <p>OFFICERS: Resolution No. 2018-1 states the Vice Chair of the SAWPA Commission serves as the Convener of the OWOW Steering Committee.</p>	The Committee makes decisions about the OWOW program in an advisory role to the SAWPA Commission, which is the state-approved regional water management group. The Committee includes elected, appointed, public, private and non-profit members from Orange, Riverside, and San Bernardino counties.
SAWPA/OCWD Joint Policy Committee (JPC)	SAWPA Representatives	<p>APPOINTED BY: PA 24 Committee <u>Process:</u> Two SAWPA Commissioners serve on the committee. These appointments are made by the PA 24 Committee. There is no designated term of appointment.</p> <p>OFFICERS: N/A</p>	SAWPA and OCSD formed a Joint Policy Committee in 2013 to provide a regular forum to discuss and coordinate policy positions and avoid or manage conflicts.

STAFF-ONLY APPOINTMENTS			
Governing Body	Position	Appointed By Officers	Description
PA 22 Committee	Member Agency Representative	<p>APPOINTED BY: Member Agency. <u>Process:</u> Each SAWPA member agency appoints the General Manager of that Agency as its representative to the PA 22 Committee.</p> <p>OFFICERS: Chair and Vice Chair elected by the PA 22 Committee from the current committee members.</p>	Established for purpose of developing and implementing demand reduction and water use efficiency measures in order both to effect an urgent response to the current drought and to transform the water use efficiency of the Santa Ana River watershed in the future.

Attachments:

- (1) SAWPA Resolution No. 355
- (2) Historical Rotation of SAWPA Officers (1998 to present)
- (3) SAWPA Resolution No. 2018-1

RESOLUTION NO. 355

A RESOLUTION OF THE COMMISSION OF THE
SANTA ANA WATERSHED PROJECT AUTHORITY
ESTABLISHING A PROCEDURE FOR THE
ELECTION OF COMMISSION OFFICERS

WHEREAS, pursuant to Paragraph 20 of the Joint Exercise of Powers Agreement, as modified by Amendment No. 3 to the Joint Exercise of Powers Agreement adopted in 1997, the Commission of the Santa Ana Watershed Project Authority ("SAWPA") shall elect from its membership a Chair, Vice-Chair, Secretary and Treasurer; and

WHEREAS, pursuant to Paragraph 17 of the SAWPA's Joint Exercise of Powers Agreement, the Commission may adopt, from time to time, such rules and regulations for the conduct of its affairs as may be required; and

WHEREAS, SAWPA's Commission desires to establish a procedure for the election of its officers including the establishment of a two-year term for such officers and further desires to maintain the consolidation of the offices of Secretary and Treasurer into one position as Secretary-Treasurer.

NOW, THEREFORE, the Commission hereby resolves as follows:

1. Consolidation Of The Offices Of Secretary and Treasurer: As permitted by Section 71342 of the Water Code, the Commission maintains the consolidation of the offices of Secretary and Treasurer into one position as Secretary-Treasurer.

2. Term Of Office: The term of office for the positions of Chair, Vice-Chair, and Secretary-Treasurer shall be two years commencing with the first regular or special Commission meeting in January.

3. Qualifications: Only those Commissioners' who are also directors on SAWPA's member agencies' governing boards shall serve as SAWPA Commission officers.

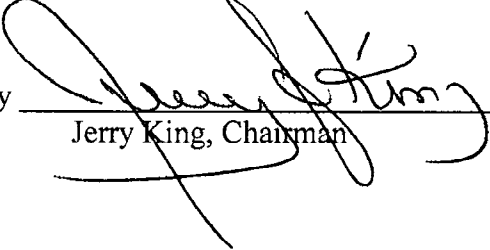
4. Elections: The election of officers (i.e., Chair, Vice- Chair and Secretary-Treasurer) shall be conducted every two years at the regular or special Commission meeting held in January and may be conducted by a single blanket motion for all three positions.

5. Rotation Of Officers: It is the non-binding intention of the Commission to rotate the positions of Chair, Vice-Chair and Secretary-Treasurer among the member agencies. The Chair will be a Commissioner from the Orange County Water District, the Vice-Chair will be a Commissioner from the Western Municipal Water District of Riverside County, and the Secretary-Treasurer will be a Commissioner from the Eastern Municipal Water District. Thereafter, the order of succession will be San Bernardino Valley Municipal Water District and Inland Empire Utilities Agency.

6. Retroactive Application Of This Resolution: This resolution shall be effective retroactively to January 2001, and shall apply to the existing officers. Resolution No. 297 adopted on August 12, 1997, is hereby rescinded and superseded by the adoption of this resolution.

Approved and adopted this 15th day of May 2001.

SANTA ANA WATERSHED PROJECT AUTHORITY

By  _____
Jerry King, Chairman

Rotation of Officers:

SAWPA Resolution No. 355 calls for the rotation of officers in January of each odd-numbered year, stating that it is the non-binding intention of the Commission to rotate the positions of Chair, Vice Chair and Secretary-Treasurer among the member agencies. The historical rotation of officers among the member agencies is as follows:

Historical Rotation of SAWPA Officers 1998 - 2021			
Year	Chair	Vice Chair	Secretary-Treasurer
1998	IEUA	OCWD	WMWD
2001	OCWD	WMWD	SBVMWD
2003	WMWD	SMVMWD	EMWD
2005	SBVMWD	EMWD	IEUA
2007	EMWD	IEUA	OCWD
2009	IEUA	OCWD	WMWD
2013	OCWD	WMWD	SBVMWD
2015	WMWD	SBVMWD	EMWD
2017	SBVMWD	EMWD	IEUA
2019	EMWD	IEUA	OCWD
2021	IEUA	OCWD	WMWD

RESOLUTION NO. 2018-1

**A RESOLUTION OF THE COMMISSIONERS OF THE
SANTA ANA WATERSHED PROJECT AUTHORITY ESTABLISHING
A ROTATION OF APPOINTMENTS TO THE ONE WATER, ONE WATERSHED
(OWOW) STEERING COMMITTEE
AND RESCINDING RESOLUTION NO. 2017-12**

WHEREAS, the SAWPA Commission established and convened the OWOW Steering Committee in 2008 to provide oversight for the development and implementation of the OWOW Plan through a regional stakeholder process;

WHEREAS, the SAWPA Commission approved an amended governance document defining the OWOW Steering Committee roles and membership in January 2013;

WHEREAS, the governance document provides OWOW Steering Committee members shall be appointed to serve terms of four (4) years with staggered end dates;

WHEREAS, the governance document provides for two members of the SAWPA Commission to be appointed to the OWOW Steering Committee by the SAWPA Commission;

WHEREAS, the SAWPA Commission has established a historical rotation of officers among the member agencies;

WHEREAS, the SAWPA Commission desires that SAWPA's participation in the OWOW Steering Committee be shared by Commissioners on the same rotational basis of member agencies as the historical rotation of officers; and

WHEREAS, the SAWPA Commission desires to provide direction should a Commissioner be unavailable to attend OWOW Steering Committee meetings.


NOW THEREFORE, the SAWPA Commission hereby resolves as follows:

1. The two SAWPA Commission representatives appointed to the OWOW Steering Committee will henceforth be the Commissioner from the member agencies whose board member has been appointed as Vice Chair and Secretary-Treasurer of the Commission.
3. The Vice Chair of the SAWPA Commission shall serve as the Convener of the OWOW Steering Committee.
4. Should either/both the Vice Chair and/or the Secretary-Treasurer of the Commission be unavailable to serve for all or a portion of said four (4) year term, the Commission shall appoint another SAWPA Commissioner to the OWOW Steering Committee in accordance with the established historical rotation of officers among the member agencies, specify the length of the term to be served or portion thereof, and when applicable designate who will serve as Convener.

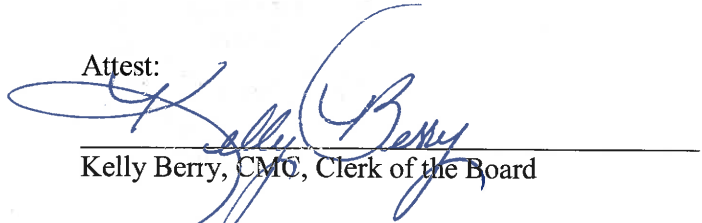
5. Resolution No. 2017-12 is hereby rescinded upon adoption of this Resolution No. 2018-1.

ADOPTED this 6th day of February, 2018.

SANTA ANA WATERSHED PROJECT AUTHORITY

By: 
Susan Lien Longville, Chair

Attest:


Kelly Berry, CMC, Clerk of the Board

COMMISSION MEMORANDUM NO. 2021.15

DATE: February 16, 2021
TO: SAWPA Commission
SUBJECT: Commissioner Compensation Policy Overview
PREPARED BY: Kelly Berry, Administrative Services Manager | Clerk of the Board

RECOMMENDATION

Receive and file.

DISCUSSION

In March of 2017, the SAWPA Commission adopted the following relative to Commissioner Compensation and days of service:

- Ordinance No. 2071-01
- Amendment No. 6 to the 1975 Joint Powers Agreement regarding Commissioner compensation and days of service
- Commissioner Compensation, Expense Reimbursement, and Ethics Training Policy (Compensation Policy)

The Ordinance and Amendment No. 6 changed the compensation rate and aligned the number of compensable days of service at six (6) days. Considering the responsibilities undertaken by SAWPA's Commissioners and the opportunities for Commissioners' personal involvement in activities to benefit the agency, especially with regard to the One Water, One Watershed process, the Compensation Policy allowed compensation for a greater range of Commissioner activities. The scope of activities that the Compensation Policy made compensable was generally consistent with the policies and practices of many other water agencies, including SAWPA's member agencies.

On November 19, 2019, the SAWPA Commission approved a revised Commissioner Compensation, Expense Reimbursement, and Ethics Training Policy (Revised Compensation Policy) to include additional recurring meetings as compensable under the policy.

The Revised Compensation Policy retains SAWPA's practice of allowing Commissioners and Alternate Commissioners to elect whether to be compensated directly by SAWPA or through the Member Agency, with SAWPA reimbursing the agency.

Both the Revised Compensation Policy and SAWPA Joint Powers Agreement state SAWPA can only compensate Commissioners and Alternates for attendance at meetings and events outlined in the Revised Compensation Policy. This does not preclude the member agency from compensating one of their Board members (who is not a SAWPA Commissioner or Alternate Commissioner) appointed to serve on a SAWPA committee for attendance at committee meetings.

RESOURCE IMPACTS

None.

Attachments:

1. Ordinance No. 2017-01
2. Amendment No. 6 to 1975 JPA
3. Commissioner Compensation, Expense Reimbursement, and Ethics Training Policy (adopted November 19, 2019)

ORDINANCE NO. 2017-01

**AN ORDINANCE OF THE
SANTA ANA WATERSHED PROJECT AUTHORITY
AUTHORIZING THE INCREASE IN THE AMOUNT OF
COMPENSATION PAID TO COMMISSIONERS**

Having conducted a public hearing on March 7, 2017 at 11615 Sterling Avenue, Riverside, California, in order for the public to have the opportunity to be heard to protest against, and to present their respective comments to the Commission of the Santa Ana Watershed Project Authority (SAWPA), the Commission being the governing board of the agency, regarding the proposed adoption of this Ordinance, notice of the public hearing having been published on February 14 and February 21, 2017, in the Riverside Press-Enterprise and the Orange County Register, newspapers printed, published and circulated within the service area of SAWPA, be it ordained by the Commission of SAWPA hereby ordains as follows:

Section 1. In accordance with Section 20202 of the Water Code, on the effective date of this Ordinance the compensation which may be received by the members and alternates of the Commission shall be \$200 per day of service, per Section 53232.1 of the Government Code and as defined by the Commission in a publicly adopted policy.

Section 2. Each calendar year following the adoption of this Ordinance, the compensation which may be received by Commissioners shall automatically be increased by 5% (\$10) per calendar year beginning in January, 2018, unless the Commission by resolution elects not to receive such an increase. Action by the Commission to resolve not to receive an increase in any one year will not affect the automatic increase the following calendar year.

Section 3. Each Commissioner or Alternate Commissioner shall also be entitled to be reimbursed for reasonable travel expenses, including mileage and meals, incurred in the performance of his or her duties required or authorized by the Commission, not to exceed expenses associated with six service days in any calendar month, and as further defined in a policy publicly adopted by the Commission.

Section 4. The compensation authorized by this Ordinance shall not exceed payment for a total of six days of service in any calendar month.

Section 5. On the effective date of this Ordinance 2017-01, it shall supersede Ordinance 1997-2.

Section 6. In accordance with Section 20204 of the Water Code, this Ordinance shall become effective 60 days from the date of its passage.

Adopted and enacted this 7th day of March, 2017.

SANTA ANA WATERSHED PROJECT AUTHORITY

By 
Susan Longville, Chair

**AMENDMENT NO. 6 TO THE 1975
JOINT EXERCISE OF POWERS AGREEMENT
CREATING THE SANTA ANA WATERSHED PROJECT AUTHORITY**

RECITALS

WHEREAS the SANTA ANA WATERSHED PROJECT AUTHORITY (“SAWPA”) was formed in 1975 pursuant to that certain “Joint Exercise of Powers Agreement Creating Santa Ana Watershed Project Authority,” as amended in 1984, 1991, 1997, 2002, and in 2007 (hereinafter “the Agreement”); and

WHEREAS the Member Agencies of SAWPA desire to amend the Agreement to provide for more effective administration of the governance of SAWPA; and

WHEREAS it is the desire of the Member Agencies to compensate SAWPA Commissioners and Alternate Commissioners for days spent in the service of SAWPA, and to reimburse reasonable travel and meal expenses incurred by the Commissioners and Alternate Commissioners associated with the activities undertaken for SAWPA during those days, within the authority provided by California law;

NOW THEREFOR BE IT RESOLVED that the Commission of SAWPA and the governing boards of each its Member Agencies hereby amend the Agreement as follows:

Section 15 entitled “Compensation” of the Agreement, as amended by Amendment No. 1 to the Agreement in 1984, is hereby amended to supersede the existing language with the following replacement language:

“15. Compensation. Each Commissioner and Alternate Commissioner shall receive compensation for each meeting of the Commission attended and for each additional day’s service as defined and approved by the Commission. The rate of compensation shall be fixed from time to time by the Commission, but shall not exceed the amount allowed by law for members of the board of a municipal water district pursuant to the Municipal Water District Law of 1911 or other applicable statutory provision. No Commissioner or Alternate Commissioner shall receive compensation for more than six (6) days of service, including Commission meetings, in any calendar month. If allowed by the Commission, a Commissioner or Alternate Commissioner shall also receive traveling and other expenses incurred on Agency business at the request or under the authority of the Commission.”

IN WITNESS WHEREOF, the Member Agencies have executed this Amendment No. 6 on the day and year hereinafter indicated.

EASTERN MUNICIPAL WATER DISTRICT

Dated: 5-3-2017, 2017

By: David Dawson
President

Dated: 05/03/17, 2017

By: Chick Ziegler
Secretary

INLAND EMPIRE UTILITIES AGENCY

Dated: April 19, 2017

By: _____
President

Dated: April 19, 2017

By: Jasmin A. Hall
Secretary

ORANGE COUNTY WATER DISTRICT

Dated: _____, 2017

By: _____
President

Dated: _____, 2017

By: _____
Secretary

SAN BERNARDINO VALLEY MUNICIPAL WATER DISTRICT

Dated: _____, 2017

By: _____
President

Dated: _____, 2017

By: _____
Secretary

WESTERN MUNICIPAL WATER DISTRICT

Dated: _____, 2017

By: _____
President

Dated: _____, 2017

By: _____
Secretary

INLAND EMPIRE UTILITIES AGENCY

Dated: _____, 2017

By: _____
President

Dated: _____, 2017

By: _____
Secretary

ORANGE COUNTY WATER DISTRICT

Dated: 4-5, 2017

By: Dennis Beldean
President

Dated: 4-5, 2017

By: [Signature]
Secretary General Manager

APPROVED AS TO FORM

By: [Signature]
General Counsel for
Orange County Water District

Dated: _____, 2017

**SAN BERNARDINO VALLEY MUNICIPAL
WATER DISTRICT**

By: _____
President

Dated: _____, 2017

By: _____
Secretary

WESTERN MUNICIPAL WATER DISTRICT

Dated: _____, 2017

By: _____
President

Dated: _____, 2017

By: _____
Secretary

INLAND EMPIRE UTILITIES AGENCY

Dated: _____, 2017

By: _____
President

Dated: _____, 2017

By: _____
Secretary

ORANGE COUNTY WATER DISTRICT

Dated: _____, 2017

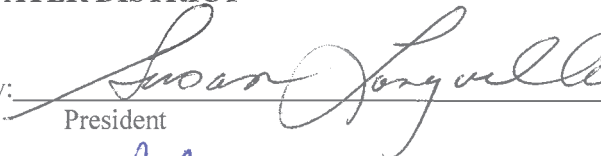
By: _____
President

Dated: _____, 2017

By: _____
Secretary

SAN BERNARDINO VALLEY MUNICIPAL WATER DISTRICT

Dated: 4/4 _____, 2017

By: 
President

Dated: 4/4 _____, 2017

By: 
Secretary

WESTERN MUNICIPAL WATER DISTRICT

Dated: _____, 2017

By: _____
President

Dated: _____, 2017

By: _____
Secretary

INLAND EMPIRE UTILITIES AGENCY

Dated: _____, 2017

By: _____
President

Dated: _____, 2017

By: _____
Secretary

ORANGE COUNTY WATER DISTRICT

Dated: _____, 2017

By: _____
President

Dated: _____, 2017

By: _____
Secretary

SAN BERNARDINO VALLEY MUNICIPAL WATER DISTRICT

Dated: _____, 2017

By: _____
President

Dated: _____, 2017

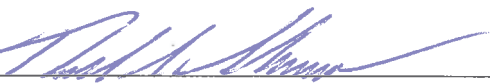
By: _____
Secretary

WESTERN MUNICIPAL WATER DISTRICT

Dated: April 19, 2017

By: 
President

Dated: April 19, 2017

By: 
Secretary

Policy Name	Commission Compensation, Expense Reimbursement, and Ethics Training Policy
Policy Number	18-001
Supersedes	Policy No. 17-001 Adopted 3/21/17; Comm Memo 2017.46
Date/Authorized	11/19/19; Commission Memo 2019.116 Effective: October 1, 2019



Policy Name: Commissioner Compensation, Expense Reimbursement, and Ethics Training Policy

History: Supersedes Policy No. 17-001 adopted March 21, 2017

Application: All SAWPA and Member Agencies Staff, Commissioners and Alternates

PURPOSE:

This Commission Compensation, Expense Reimbursement, and Training Policy provides guidelines required by Government Code, Sections 53232.1 and 53232.2 (effective January 1, 2006 per AB 1234) for the payment of compensation and expenses incurred for agency business and for the mandated ethics training.

BACKGROUND:

SAWPA's JPA provides for the compensation and reimbursement of expenses for Commissioners and Alternates hereinafter referred to as Commissioners). Ordinance No. 2017-01, adopted March 7, 2017 and attached hereto, sets the rate of compensation and the expenses to be reimbursed. AB 1234 (Chapter 700, Stats. of 2005) requires ethics training that is relevant to compensation and expense reimbursement.

COMPENSATION AND EXPENSES REIMBURSED:

SAWPA shall comply with the requirements and intent of the JPA, its amendments, SAWPA's Ordinance No. 2017-01, Water Code, Section 20202 and related provisions in a manner that is equitable to its member agencies.

Consistent with Ordinance No. 2017-01, each Commissioner shall receive compensation for each day's service to the Commission. The meetings and activities listed below are designated by this policy as "requested by the Commission" and do not require further authorization or ratification for a Commissioner to receive compensation or reimbursement of expenses. Other meetings or activities on SAWPA's behalf may be compensable under this policy if directed or ratified by the Commission at a regularly scheduled meeting.

1. Commission meetings and workshops.
2. SAWPA Committee meetings.
3. Designated representatives of SAWPA attending scheduled meetings of the Lake Elsinore & San Jacinto Watersheds Authority, the SAWPA-OCSD Joint Policy Committee, and the OWOW Steering Committee.
4. Designated representatives of SAWPA participating in membership organization meetings in which SAWPA holds an active membership, e.g., California Association of Sanitation Agencies (CASA) and California Special Districts Association (CSDA).
5. Representation of SAWPA in conjunction with SAWPA staff at meetings of other agencies in the watershed.
6. Participation on SAWPA's behalf in stakeholder meetings as part of OWOW.
7. Participation in meetings with SAWPA staff for the purposes of carrying out a directive from the SAWPA Commission.
8. Participation in meetings with consultants providing professional services such as legal services, business management services, etc., for the purposes of carrying out a directive from the SAWPA Commission.
9. Designated representatives to non-profit professional organizations or working coalitions in which SAWPA is an active participant.

Policy Name	Commission Compensation, Expense Reimbursement, and Ethics Training Policy
Policy Number	18-001
Supersedes	Policy No. 17-001 Adopted 3/21/17; Comm Memo 2017.46
Date/Authorized	11/19/19; Commission Memo 2019.116 Effective: October 1, 2019

Meetings or activities that are not eligible for SAWPA compensation or reimbursement include:

1. Social or ceremonial events not pre-approved by the Commission
2. Nonprofit organization events not pre-approved by the Commission
3. SAWPA-sponsored employee events
4. Parades, festivals, funerals, weddings, or holiday events
5. Meetings of partisan political organizations.

Consistent with Ordinance No. 2017-01, SAWPA shall reimburse its Commissioners for actual mileage expenses incurred in the performance of his or her duties required or authorized by the Commission, including mileage to and from Commission and Committee meetings not to exceed mileage for ten (10) days in any calendar month. The mileage paid shall be that which is recognized as a deductible mileage expense under the current rules and regulations of the Internal Revenue Service. Any other expenses incurred by any Commissioner shall be paid by that Commissioner's member agency based on the current and historical custom and practice.

SAWPA shall include these costs in its annual budget at a level commensurate with the expected costs for such compensation and expense reimbursement, and they shall be identified as Commission Expenses in the budget.

PROCESS:

Each January, the CFO shall document the compensation rate to be paid based on the 5% increase provided in Ordinance No. 2017-01, unless a resolution waives the increase. The CFO shall also indicate the current IRS rate for mileage to be paid. This rate will automatically change from time-to-time as the IRS mileage rate changes from time-to-time. As of 11/19/19, this amount is \$0.58 per mile traveled.

Each month by the 10th day of the month, each Commissioner will submit a request for compensation and expense reimbursement to the Clerk of the Board using the attached form or the most recent update of that form. The form may be submitted electronically.

Commissioners' compensation and reimbursement will be paid by SAWPA by check or direct deposit to each Commissioner with standard accounts payable documentation reflecting the meetings and costs paid. SAWPA will provide Commissioners a Form W-2 for each tax year.

Commissioners may elect not to receive compensation or reimbursement by simply not submitting those payments on the Compensation and Reimbursement Request.

ETHICS TRAINING:

Two hours of training every two years are mandated to meet the requirements of Section 53234 of the Government Code. In accordance with the SAWPA formation JPA and amendments, Commissioners are appointed directors or officials of the member agencies. The directors or officials are subject to the same requirements at their home agencies. Subsection 53235.1 (c) permits the training requirement to be met once without regard for the number of agencies on which the Commissioner serves.

Based on these circumstances, no Commissioners will require training as a SAWPA Commissioner, separate from the training provided by their appointing agencies. SAWPA shall rely on its member agencies to retain all records for required training. If a circumstance occurs where training by SAWPA is required, SAWPA's General Counsel will provide or arrange for training adequate to meet these requirements.

Policy Name	Commission Compensation, Expense Reimbursement, and Ethics Training Policy
Policy Number	18-001
Supersedes	Policy No. 17-001 Adopted 3/21/17; Comm Memo 2017.46
Date/Authorized	11/19/19; Commission Memo 2019.116 Effective: October 1, 2019



Authorized for Payment: _____
Date: _____

Commissioner Compensation and Reimbursement Request

- By submitting this compensation and reimbursement request, the Commissioner/Alternate affirms that the meetings listed below are compensable under the *Commission Compensation, Expense Reimbursement, and Ethics Training Policy* adopted 11/19/19.
- Complete and submit on or before the 10th day of the month following attendance at the meetings listed below.

COMMISSIONER | ALTERNATE NAME: _____

MEETINGS ATTENDED DURING THE MONTH OF: _____

SIGNATURE: _____

This Request is submitted and effective as of _____ 20 _____

	Meeting Date	Meeting Attended	Meeting Location	Mileage (round trip)
1				
2				
3				
4				
5				
6				

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General Manager's Report

February 2021

Santa Ana Watershed Project Authority | 11615 Sterling Avenue, Riverside, CA 92503 | www.sawpa.org

INSIDE THE FEBRUARY REPORT

- 1** Permitting and Pretreatment Program Quarterly Monitoring and Inspections
- 2** New Inland Brine Line Customers
- 3** SAWPA Internships
- 4** Reach 4A - Corrosion Protection Repairs

Permitting and Pretreatment Program Quarterly Monitoring and Inspections

SAWPA Permitting and Pretreatment staff completed twenty-one (21) monitoring (or sampling) events and twelve (12) inspections at SAWPA permitted discharger facilities for the 4th quarter (October through December).

New Inland Empire Brine Line Customers

The Inland Empire Brine Line has two (2) new dischargers that will begin discharge this quarter:

Indian Oaks Campground, LLC

Indian Oaks Campground, LLC (Indian Oaks) is a Recreational Vehicle/Campground that currently has 63 sites occupied by 25 fulltime residents and 22 campsites. The facility has an order with the Riverside County Environmental Health Department to remove nitrates from the drinking water served to the residents. The process Indian Oaks has chosen to remove nitrates, as ordered, produces a brine wastewater that is not permitted to be discharged to the Eastern Municipal Water District sanitary sewer and must be hauled off to a location that is permitted to receive high strength TDS wastewater.

Anticipated discharge volume to the Brine Line is approximately 5,000 gallons every 100 days. Indian Oaks is expected to commence discharge in February 2021, following selection of a permitted Brine Line Liquid Waste Hauler for hauling their permitted wastestream to a Brine Line Collection Station.

Southern California Edison (SCE) Mira Loma Peaker Plant

The SCE Mira Loma Peaker Plant (MLPP) is a combustion turbine generating station that utilizes a gas fired General Electric LM 6000 CT to drive an electrical generator which produces up to 49 megawatts of electricity. The MLPP averaged approximately 28,000 MWh annually from 2008 to 2014. The plant mainly serves to stabilize the bulk electrical grid during the periods of peak energy demand by starting and ramping up to full load within minutes. Anticipated discharge volume to the Brine Line is approximately 3,000 gallons per day. The MLPP is anticipated to commence discharge by the end of March 2021.

SAWPA Internships

SAWPA recently filled two budgeted, part-time student intern vacancies for the SAWPA Planning Department and the Engineering Department. SAWPA welcomes Ana Quintos, a 3rd year environmental engineering student from the University of California, Riverside for the Planning Department and Valeria Arteaga, a 3rd year civil engineering student from California State Polytechnic University, Pomona for the Engineering Department. Ana and Valeria were selected from a pool of over 200 resumes for the two student intern openings over a one month period. We are excited to have them on board to support our ongoing activities.



Reach 4A - Corrosion Protection Repairs

The Brine Line Operations staff is working with a specialty contractor, Ayala Engineering, to make repairs to the existing maintenance access structures and ensure that adequate corrosion protection is provided. The existing spray-on liner has been deteriorating and detaching from the ductile iron tee (a blind flange is bolted to the tee to seal the structure and prevent any water from infiltrating). The first phase of this program will repair 17 maintenance access structures, from Euclid Avenue to the downstream side of Chino Creek. Work consists of removing the damaged liner, re-mortar the structure, and then apply a new coat of epoxy liner.



Figure 1. Project Location



Figure 2 – Maintenance Access Structure. Note damage to existing spray-on liner.



Figure 3 – Removed section of spray-on liner.



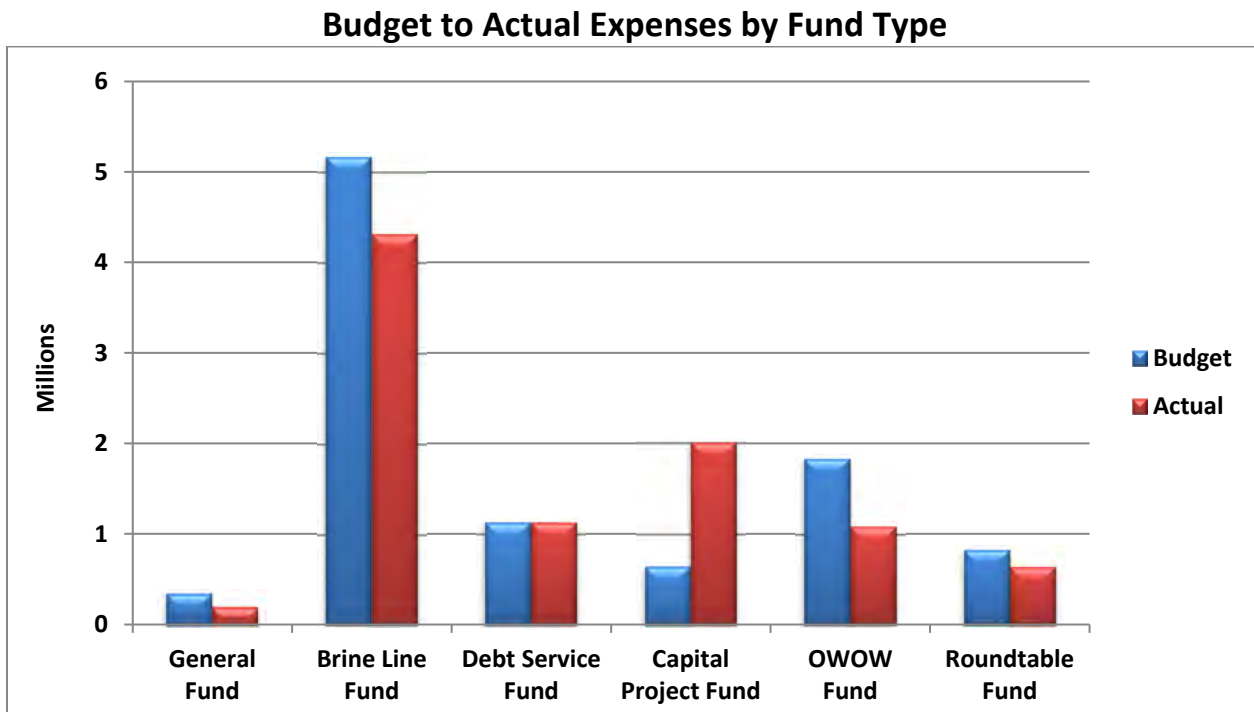
Figure 4 – Structure prepared with a layer of mortar prior to reapplying an epoxy-based liner.

**Santa Ana Watershed Project Authority
Executive Financial Information Report
November 2020**

Staff comments provided on the last page are an integral part of this report.

Overview	This report highlights the agency's key financial indicators for the Fiscal Year-to-Date (FYTD) November 2020 unless otherwise noted.
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Budget to Actual Expenses by Fund Type	Favorable			
	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
General Fund	\$680,339	\$341,808	\$199,197	\$142,611
Brine Line Enterprise	12,401,418	5,167,259	4,314,474	852,785
Debt Service Fund	2,835,027	1,126,577	1,126,278	299
Capital Project Fund	1,549,029	645,429	2,005,837	(1,360,408)
OWOW Fund	5,124,596	1,830,970	1,088,340	742,630
Roundtable Fund	1,797,871	826,695	641,882	184,813
Total	\$24,388,280	\$9,938,738	\$9,376,008	\$562,730



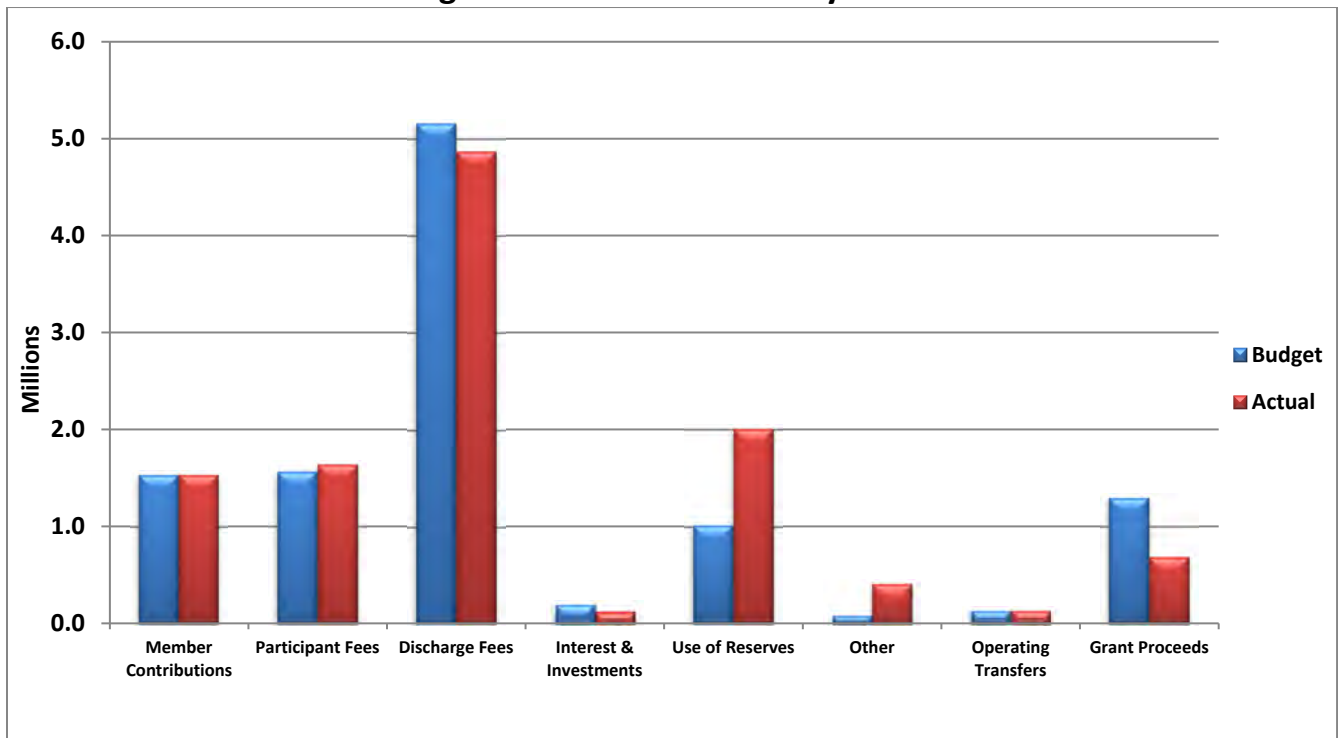
Budget to Actual Revenues by Source



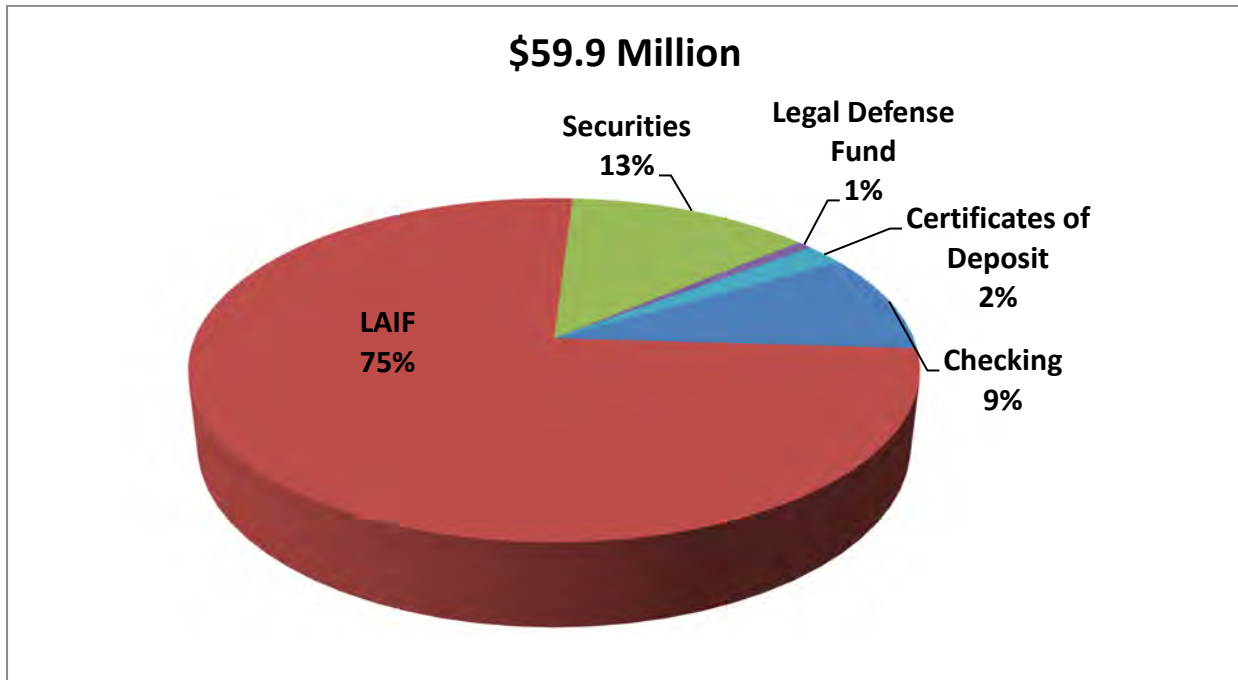
On Track

	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
Member Contributions	\$1,530,340	\$1,530,340	\$1,530,340	\$-
Participant Fees	1,881,337	1,560,003	1,633,121	73,118
Discharge Fees	12,401,418	5,159,388	4,871,462	(287,926)
Interest & Investments	675,000	193,750	124,304	(69,446)
Use of Reserves	3,709,056	1,015,429	2,005,837	990,408
Other	206,881	86,200	406,949	320,749
Operating Transfers	133,000	133,000	133,000	-
Grant Proceeds	3,572,232	1,292,041	687,079	(604,962)
Total	\$24,109,263	\$10,970,151	\$11,392,092	\$421,941

Budget to Actual Revenues by Source



Total Cash & Investments - November







Reserve Fund Balance – November

	Amount
General Fund	\$1,849,062
Building Fund	670,798
OWOW Fund	2,196,444
Roundtable Fund	2,829,061
Self Insurance	4,421,450
Debt Retirement	3,370,157
Pipeline Replacement	21,669,208
OCSD Rehabilitation	3,726,858
Capacity Management	11,934,754
Future Capacity	1,827,250
Rate Stabilization	1,023,941
Flow Imbalance	83,407
Brine Line Operating	4,358,329
Total Reserves	\$59,960,719

Legend

Compared to Budget

	Ahead or Favorable	Above +5% Favorable Revenue or Expense Variance
	On Track	+5% to -2% Variance
	Behind	-3% to -5% Variance
	Concern	Below -5% Variance

Staff Comments

For this month's report, the item(s) explained below are either "behind", a "concern", or have changed significantly from the prior month.

Both revenues and expenses are on track with the budget. The Capital Projects Fund is \$2,005,837 over budget. The variance is due mainly to OCSD having billed \$1,358,656 in construction costs for projects from the previous year.



February 8, 2021

To: Santa Ana Watershed Project Authority

From: Michael Boccadoro
Beth Olhasso

RE: January Report

Overview:

Dismal precipitation during the late fall and early winter has left water managers concerned about water supplies for 2021. Spirits are rising however after significant precipitation late in the week of January 25. Six to eight feet of snow fell in the sierras in the span of three days, increasing the sierra snowpack by 20 percent!! While the storms gave the snowpack a much needed boost, snowpack and reservoir storage levels are still below normal for this time of the year.

Californians have accumulated \$1 billion in unpaid water bills since the beginning of the COVID-19 pandemic, and about 1.6 million California households are behind on their water bills, according [to a new survey](#) from the State Water Resources Control Board.

The Voluntary Agreements remain as a possible alternative to the significant flow requirements proposed by the State Water Resources Control Board in 2018. While the agreements were mostly stalled because of significant disagreements between the state and federal government, with the Biden Administration in place, stakeholders are hopeful a solution is forthcoming.

The Legislature is back in Sacramento introducing bills ahead of the February 19 deadline. Introductions have been slow but are expected to pick up as the bill introduction deadline nears. With significant limitations for committees to hold hearings because of COVID precautions, it is likely members will have to again prioritize their most important bills. With leadership and the Governor trying to focus members on a few key issues; homelessness and affordable housing, wildfire and climate, and economic recovery and pandemic response, it remains to be seen which water bills will ultimately be introduced and rise to the top.

There have been several significant water bills introduced, most notably AB 377 (R. Rivas, D-Salinas). The bill would “require all California surface waters to be fishable, swimmable, and drinkable by January 1, 2050.” Water stakeholders have reacted strongly and swiftly to this new proposal. The author has indicated that he intends to make this a two year bill, which will give ample time to educate the author on the significant ramifications and work to minimize them.

Santa Ana Watershed Project Authority Status Report – January 2021

Water Supply Conditions

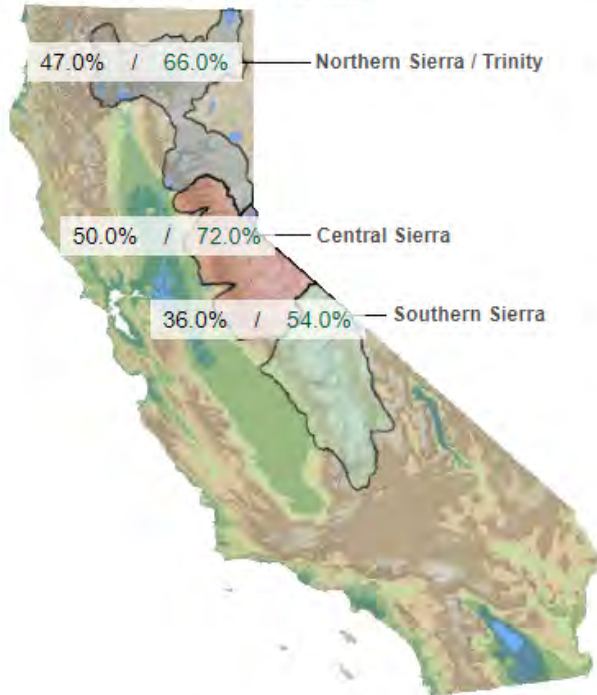
Dismal precipitation during the late fall and early winter has left water managers concerned about water supplies for 2021. With San Luis Reservoir, the main south-of-Delta storage facility for the State Water Project, at 67 percent of average for this time of the year and 54 percent capacity and Oroville at 54 percent of average and 36 percent capacity, there is cause to worry. Further worry has been associated with the snowpack numbers. A massive storm increased the snowpack by 20 percent in a matter of three days with the statewide snowpack going from 23 percent of April 1 average and 41 percent of normal to 46 percent of April 1 average and 66 percent of normal. While 66 percent of normal isn't a very promising number, the massive storm that left eight feet of snow was very helpful at helping the snowpack inch towards normal.

Snow Water Equivalents (inches)

Provided by the California Cooperative Snow Surveys

Data For: 08-Feb-2021

% Apr 1 Avg. / % Normal for this Date



Change Date :



08-Feb-2021

NORTH

Data For: 08-Feb-2021

Number of Stations Reporting	32
Average snow water equivalent	13.6"
Percent of April 1 Average	47%
Percent of normal for this date	66%

CENTRAL

Data For: 08-Feb-2021

Number of Stations Reporting	42
Average snow water equivalent	14.7"
Percent of April 1 Average	50%
Percent of normal for this date	72%

SOUTH

Data For: 08-Feb-2021

Number of Stations Reporting	25
Average snow water equivalent	9.0"
Percent of April 1 Average	36%
Percent of normal for this date	54%

STATEWIDE SUMMARY

Data For: 08-Feb-2021

Number of Stations Reporting	99
Average snow water equivalent	12.9"
Percent of April 1 Average	46%
Percent of normal for this date	66%

SWRCB Estimates \$1 Billion in Unpaid Water Bills Since March

Californians have accumulated \$1 billion in unpaid water bills since the beginning of the COVID-19 pandemic, and about 1.6 million California households are behind on their water bills, according [to a new survey](#) from the State Water Resources Control Board.

In April, the Governor issued a statewide water shutoff moratorium.

The survey found that 12 percent of all households in the state have accrued some amount of water debt. Most owe less than \$200, but over 155,000 households owe more than \$1,000.

Until this report, California had no idea how bad its water debt crisis was, while other states have been keeping track of water debt for months. Nationwide, estimates suggest that water utilities could face a financial impact of \$13.9 billion by the end of 2020.

The SWRCB decided to study California's water debt crisis last fall at the urging of advocates and community members who were concerned about how the state would respond to the crisis without a clear understanding of the extent of the problem. In November, the board began a survey of over 500 water systems across the state of California.

Congress included \$638 million for water debt relief nationwide in the December stimulus bill. California can expect to receive between \$60 and \$70 million of that, which is nowhere near enough to provide relief to households and water systems alike.

Senator Bill Dodd (D-Napa) introduced [two bills](#) to address the crisis. SB 222 establishes a water assistance fund for low-income rate payers experiencing economic hardship. SB 223 expands protections and protocols for customers who are faced with having their water shutoff because of an inability to pay their bills. Both measures are being evaluated by the water community and stakeholders will begin to raise issues with the author soon.

Voluntary Agreement Update

Shortly after taking office two years ago, Governor Newsom promised to deliver a massive compromise deal on the water rushing through California's major rivers and the critically-important Delta — and bring lasting peace to the incessant water war between farmers, cities, anglers and environmentalists.

Since then, the Governor appears to have made little progress. His top environmental advisors say negotiations continue, but acknowledge that talks have stalled over the past year, largely because of rancorous relations with the federal government — a crucial partner in any California water deal. With Trump gone and President Biden in the White House, Newsom's advisors believe conditions are ripe for an agreement.

In early 2020 Newsom's administration released a loose framework for his water compromise, including a plan to restore river habitats that would improve fish populations. But the talks almost completely stalled over the last year. In the meantime, conditions in California's water world continue to worsen. The Delta is still in crisis. Independent scientists are warning the critically endangered Delta smelt are likely to be extinct by next year, and other native fish species such as salmon and steelhead aren't too far behind.

Now, environmentalists and fisheries advocates are demanding that the state water board ignore Newsom's efforts at compromise. Instead, they want the board to finish the job it began in December 2018, shortly before Newsom became governor. That's when Felicia Marcus and the board began the

process of ordering farms and cities along the Sacramento and San Joaquin rivers to relinquish billions of gallons of water to save nearly-extinct fish species.

The water board's new chairman, Joaquin Esquivel, was noncommittal, saying the agency was "balancing the needs amongst all water users."

Newsom's team insists a settlement on the rivers is within reach. His top environmental officials say that though the negotiation process is taking years, when it's completed, it will have been a far quicker path to saving species than if the water board had unilaterally acted on its own, a move that would inevitably trigger an avalanche of lawsuits that would take close to a decade to resolve in court.

The state has taken some steps to advance the process. The Natural Resources Agency just asked the Legislature for \$125 million to jump-start habitat-restoration projects that could improve fish populations. The matter was heard in the Assembly Budget Sub Committee on Resources and received no opposition.

But negotiators on the Voluntary Agreements acknowledge that settlement talks have been bogged down, and each side blames the other for the last year of inaction.

Some farmers have said the negotiations stalled early last year, after Attorney General Xavier Becerra sued the Trump administration over the federal government's rewrite of the rules governing water pumping in the Delta.

The rule change symbolized an effort to deliver on Trump's promise to deliver more water to his farmers in the San Joaquin Valley, and was widely condemned by environmental groups. As much as he wanted to avoid plunging into another water fight, Newsom endorsed the Becerra lawsuit — and announced the litigation at the very moment that Trump began speaking on water issues to a cheering crowd of farmers last year in Bakersfield.

Newsom's team blamed Trump for the accord talks running into headwinds. Trump "did what he did best, which is sow division when we're working to bring people together," said Wade Crowfoot, the secretary of Newsom's Natural Resources Agency.

The federal government's cooperation is considered vital to any compromise plan. Newsom's proposal depends in part on \$700 million in federal dollars and another \$2 billion from local water districts, some of which are customers of the U.S. government's Central Valley Project. The federal and state networks of canals, dams and pumps operate in tandem.

Now, with Trump out and the Democrats in control of the White House and both houses of Congress, Newsom's team and other negotiators say the settlement process is again moving forward. An updated version of the settlement is likely to be released within weeks.

The Voluntary Agreement would offer a blend of water and habitat restoration to prop up the fish populations while sparing the farms and cities the level of wholesale cutbacks that could have crippled the Valley's rural economy. The settlement represents "collaboration over conflict," said Chuck Bonham, director of the Department of Fish and Wildlife.

The board said it was open to compromise but not sold on it.

Legislative Update

The Legislature is back in Sacramento working on 2021 legislative packages. The session remains constrained by COVID-19 precautions with very limited staff and public allowed in the Capitol and members urged to keep bill packages small again this year. Members have been encouraged to focus on legislation to address homeless and housing, wildfire and climate, and economic recovery and pandemic response.

Few bills have been introduced to date, however the bulk is expected right ahead of the February 19 bill introduction deadline. In addition to the two bills by Senator Dodd mentioned above and SB 45 (Portantino), the reintroduction of the Climate Resilience Bond from last year (as discussed in last month's report), there are only a couple of water bills that have been introduced thus far.

SB 230 (Portantino): MWD and California Municipal Utilities Agency reintroduction from last year regarding constituents of emerging concern (CEC). The bill would establish a science advisory panel to make recommendations on CECs to the SWRCB. The proponents of the measure want to create a more predictable process for establishing which chemicals are considered for MCLs, NLS, RLS, etc. The bill is nearly identical to the version introduced last year. The bill was tabled because of the abbreviated legislation, not for any policy or political reasons.

AB 377 (R. Rivas, D- Salinas): AB 377 is a bill that dropped into the water community with a splash. The measure would "require all California surface waters to be fishable, swimmable, and drinkable by January 1, 2050." The bill attempts to reach this goal through NPDES permits and prohibiting the state or regional boards from authorizing any discharge that causes or contributes to the exceedance of a water quality standard in receiving waters, among other provisions. The author has indicated this will be a two-year bill, so stakeholders will have time to work on the bill, but the initial language is very concerning.