



S A W P A

SANTA ANA WATERSHED PROJECT AUTHORITY

11615 Sterling Avenue, Riverside, California 92503 • (951) 354-4220

REGULAR COMMISSION MEETING TUESDAY, MARCH 19, 2019 – 9:30 A.M.

AGENDA

1. CALL TO ORDER/PLEDGE OF ALLEGIANCE (Ronald W. Sullivan, Chair)

2. ROLL CALL

3. PUBLIC COMMENTS

Members of the public may address the Commission on items within the jurisdiction of the Commission; however, no action may be taken on an item not appearing on the agenda unless the action is otherwise authorized by Government Code §54954.2(b).

4. CONSENT CALENDAR

All matters listed on the Consent Calendar are considered routine and non-controversial and will be acted upon by the Commission by one motion as listed below.

A. APPROVAL OF MEETING MINUTES: MARCH 5, 2019.....5
Recommendation: Approve as posted.

B. TREASURER’S REPORT – FEBRUARY 201911
Recommendation: Approve as posted.

5. NEW BUSINESS

A. CONSULTANT SUPPORT | NETWORK COORDINATOR FOR THE CALIFORNIA IRWM ROUNDTABLE OF REGIONS (CM#2019.32).....17

Presenter: Ian Achimore

Recommendation: Approve execution of an Agreement for Services and Task Order No. SWWG373-01 with Sierra Water Workgroup, Inc., in an amount not to exceed \$84,454, to provide Network Coordinator consultant services for the California IRWM Roundtable of Regions.

B. POSITIONS ON STATE BILLS (CM#2019.33).....79

Presenter: Larry McKenney

Recommendation: Receive information and provide direction to staff.

C. **OWOW CONFERENCE | MARCH 29, 2019**

Presenter: Rich Haller

Recommendation: Receive an update on the March 29, 2019, Santa Ana River Watershed Conference, *Moving Forward Together: From Planning to Action Across the Watershed*.

D. **UPDATE ON DISCUSSIONS WITH ORANGE COUNTY STAKEHOLDERS**

Presenter: Rich Haller

Recommendation: Receive and file an informational report on discussions with Orange County Stakeholders.

6. **INFORMATIONAL REPORTS**

Recommendation: Receive for information.

- A. **CASH TRANSACTIONS REPORT – JANUARY 2019**.....81
Presenter: Karen Williams
- B. **INTER-FUND BORROWING – JANUARY 2019 (CM#2019.30)**.....87
Presenter: Karen Williams
- C. **PERFORMANCE INDICATORS/FINANCIAL REPORTING – JANUARY 2019 (CM#2019.31)**93
Presenter: Karen Williams
- D. **GENERAL MANAGER REPORT**115
- E. **STATE LEGISLATIVE REPORT**121
Presenter: Rich Haller
- F. **SAWPA GENERAL MANAGERS MEETING NOTES**129
• March 12, 2019
- G. **CHAIR’S COMMENTS/REPORT**
- H. **COMMISSIONERS’ COMMENTS**
- I. **COMMISSIONERS’ REQUEST FOR FUTURE AGENDA ITEMS**

7. **CLOSED SESSION**

There were no Closed Session items anticipated at the time of the posting of this agenda.

8. **ADJOURNMENT**

Americans with Disabilities Act: If you require any special disability related accommodations to participate in this meeting, call (951) 354-4230 or email kberry@sawpa.org. 48-hour notification prior to the meeting will enable staff to make reasonable arrangements to ensure accessibility for this meeting. Requests should specify the nature of the disability and the type of accommodation requested.

Materials related to an item on this agenda submitted to the Commission after distribution of the agenda packet are available for public inspection during normal business hours at the SAWPA office, 11615 Sterling Avenue, Riverside, and available at www.sawpa.org, subject to staff’s ability to post documents prior to the meeting.

Declaration of Posting

I, Kelly Berry, Clerk of the Board of the Santa Ana Watershed Project Authority declare that on Wednesday, March 13, 2019, a copy of this agenda has been uploaded to the SAWPA website at www.sawpa.org and posted at the SAWPA office, 11615 Sterling Avenue, Riverside, California.

/s/

Kelly Berry, CMC

2019 SAWPA Commission Meetings/Events/Important Dates

First and Third Tuesday of the Month

(NOTE: Unless otherwise noticed, all Commission Workshops/Meetings begin at **9:30 a.m.**, and are held at SAWPA.)

January	1/1/19 Commission Workshop [cancelled] 1/15/19 Regular Commission Meeting	February	2/5/19 Commission Workshop 2/19/19 Regular Commission Meeting
March	3/5/19 Commission Workshop 3/19/19 Regular Commission Meeting 3/29/19 OWOW Conference 2019, Cal State Fullerton	April	4/2/19 Commission Workshop 4/16/19 Regular Commission Meeting
May	5/7/19 Commission Workshop 5/7 – 5/10/19 ACWA Spring Conference, Monterey 5/21/19 Regular Commission Meeting	June	6/4/19 Commission Workshop 6/18/19 Regular Commission Meeting
July	7/2/19 Commission Workshop 7/16/19 Regular Commission Meeting	August	8/6/19 Commission Workshop 8/20/19 Regular Commission Meeting
September	9/3/19 Commission Workshop 9/17/19 Regular Commission Meeting	October	10/1/19 Commission Workshop 10/15/19 Regular Commission Meeting
November	11/5/19 Commission Workshop 11/19/19 Regular Commission Meeting	December	12/3/19 Commission Workshop 12/17/19 Regular Commission Meeting 12/3 – 12/6/19 ACWA Fall Conference, San Diego

SAWPA COMPENSABLE MEETINGS

Commissioners and Alternate Commissioners will receive compensation for attending the meetings listed below, pursuant to the Commission Compensation, Expense Reimbursement, and Ethics Training Policy.

IMPORTANT NOTE: These meetings are subject to change. Prior to attending any meetings listed below, please confirm meeting details by viewing the website calendar using the following link:

<http://www.sawpa.org/sawpa-events/>

MONTH OF: MARCH 2019

DATE	TIME	MEETING DESCRIPTION	LOCATION
3/13/19	9:00 AM	Prop 1 OWOW Workshop - Rating and Ranking	SAWPA
3/19/19	1:00 PM	Lake Elsinore/Canyon Lake TMDL Task Force Mtg	SAWPA
3/20/19	1:30 PM	Basin Monitoring Program Task Force Mtg	SAWPA
3/28/19	8:00 AM	PA 22 Committee Mtg	SAWPA
3/28/19	11:00 AM	OWOW Steering Committee Mtg	SAWPA
3/29/19	11:00 AM	2019 Santa Ana River Watershed Conference	Cal State Fullerton Titan Student Union (Portola Pavilions) 800 N. State College Blvd. Fullerton, CA 92831

MONTH OF: APRIL 2019

DATE	TIME	MEETING DESCRIPTION	LOCATION
4/2/19	8:30 AM	PA 23 Committee Mtg	SAWPA
4/18/19	4:00 PM	LESJWA Board of Directors Mtg	Elsinore Valley MWD 31315 Chaney Street Lake Elsinore, CA 92530
4/23/19	9:00 AM	MSAR TMDL/Regional WQ Monitoring Task Force Mtg	SAWPA
4/24/19	9:00 AM	Basin Monitoring Program Task Force Mtg	SAWPA
4/25/19	8:00 AM	PA 22 Committee Mtg	SAWPA
4/25/19	9:30 AM	OWOW Pillar Integration Mtg	CANCELLED

Please Note : We strive to ensure the list of Compensable Meetings set forth above is accurate and up-to-date; the list is compiled based on input from SAWPA staff and Department Managers regarding meeting purpose and content.



**SAWPA COMMISSION
REGULAR MEETING MINUTES
MARCH 5, 2019**

COMMISSIONERS PRESENT

Ronald W. Sullivan, Chair, Eastern Municipal Water District
Kati Parker, Vice Chair, Inland Empire Utilities Agency
Denis R. Bilodeau, Alternate, Orange County Water District
Brenda Dennstedt, Western Municipal Water District
T. Milford Harrison, San Bernardino Valley Municipal Water District

COMMISSIONERS ABSENT

None

**ALTERNATE COMMISSIONERS
PRESENT; NON-VOTING**

June D. Hayes, Alternate, San Bernardino Valley Municipal Water District

STAFF PRESENT

Rich Haller, Larry McKenney, Karen Williams, Mark Norton, Dean Unger,
David Ruhl, Carlos Quintero, Kelly Berry

The Regular Commission Meeting of the Santa Ana Watershed Project Authority was called to order at 9:31 a.m. by Chair Sullivan at the Santa Ana Watershed Project Authority, 11615 Sterling Avenue, Riverside, California.

1. CALL TO ORDER/PLEDGE OF ALLEGIANCE

2. ROLL CALL

Roll call was duly noted and recorded by the Clerk of the Board.

3. PUBLIC COMMENTS

4. CONSENT CALENDAR

A. APPROVAL OF MEETING MINUTES: FEBRUARY 19, 2019

Recommendation: Approve as posted.

MOVED, approve the February 19, 2019 meeting minutes.

Result: **Adopted (Unanimously)**

Motion/Second: Harrison/Dennstedt

Ayes: Bilodeau, Dennstedt, Harrison, Parker, Sullivan

Nays: None

Abstentions: None

Absent: None

5. NEW BUSINESS

A. BRINE LINE RESERVE FUND HISTORY (CM#2019.25)

Karen Williams provided the PowerPoint presentation included in the agenda packet on pages 57 through 67.

Chair Sullivan noted he had requested this presentation for the benefit of newer members of the Commission; he wanted everyone to be aware of the fund history and the total amount expended (\$30,667,590) for the Reach V capital repairs and legal fees. Larry McKenney noted that amount also included other expenses, such as the Nichols Road repair work; budget approval for FYE June 2017 included total cost for Reach V of just over \$32,000,000. Karen Williams confirmed the total amount expended for Reach V referenced above includes SRF Loan proceeds of \$15,000,000 and \$15,000,000 in reserve funds. Given minimal CIP cost estimates over the next three years, the fund reserve balance should be within the normal range within that time period.

This item was for informational and discussion purposes; no action was taken on Agenda Item No. 5.A.

B. FYE 2020 AND 2021 OWOW AND ROUNDTABLES FUND DRAFT BUDGETS (CM#2019.26)

Karen Williams provided the PowerPoint presentation included in the agenda packet on pages 71 through 99. There was no discussion. This item was for informational and discussion purposes; no action was taken on Agenda Item No. 5.B.

C. PROPOSITION 1 IRWM ROUND 1 IMPLEMENTATION GRANT APPLICATION SUPPORT (CM#2019.28)

Mark Norton provided the PowerPoint presentation included in the agenda packet on pages 109 through 111. Commissioner Dennstedt asked why Dudek was selected. Norton advised Dudek submitted a mid-level cost proposal; based on their proposal and specific knowledge and understanding of the OWOW Plan and process, as well as IRWM experience in other regions, staff recommends approving execution of a Task Order with Dudek to provide grant writing support services.

MOVED, (1) Authorize transfer of \$7,683 from Fund 100-05 and \$17,143.92 from Fund 370-01, all designated for FYE 2019 to technical/grant writing consultant support, to Fund 373OWOW; and (2) Execute Task Order No. DUDK373-06 with Dudek in the amount of \$91,310 to provide grant writing support services for the Proposition 1 IRWM Round 1 Implementation Grant Application support.

Result:	Adopted (Unanimously)
Motion/Second:	Dennstedt/Harrison
Ayes:	Bilodeau, Dennstedt, Harrison, Parker, Sullivan
Nays:	None
Abstentions:	None
Absent:	None

D. BASIN MONITORING PROGRAM TASK FORCE REGULATORY SUPPORT (CM#2019.29)

Mark Norton provided the PowerPoint presentation included in the agenda packet on pages 127 through 129. Commissioner Harrison asked if Risk Sciences was located within the region; Norton stated the company is in Tennessee and the owner, Tim Moore, travels to California for various meetings apportioned among multiple task forces. Chair Sullivan noted SAWPA has utilized his services for over 20 years; this is something we may want to consider moving forward. Norton advised Tim Moore will be moving into semi-retirement about a year from now. This Task Order continues his work up to that point in time; staff will consider and implement future succession planning.

MOVED, execute Task Order No. RISK374-08 with Risk Sciences in the amount of \$74,400 to provide strategic and regulatory support for the Basin Monitoring Program Task Force.

Result: **Adopted (Unanimously)**
Motion/Second: Dennstedt/Harrison
Ayes: Bilodeau, Dennstedt, Harrison, Parker, Sullivan
Nays: None
Abstentions: None
Absent: None

E. OWOW STEERING COMMITTEE APPOINTMENTS (CM#2019.27)

The next meeting of the OWOW Steering Committee is March 28, 2019. The current SAWPA representatives on the Committee are Commission Vice Chair Kati Parker, who will be out of the country on that date, and Commission Secretary-Treasurer Bruce Whitaker, who was not reappointed to the OCWD Board and is therefore no longer serving on the SAWPA Commission. Staff is seeking two Commissioner appointments to serve on the OWOW Steering Committee for the March 28, 2019 meeting, with a designation of one to serve as meeting Convener. General Manager Haller recommended appointment of Commissioner Dennstedt, WMWD, and Alternate Commissioner Denis R. Bilodeau, OCWD. Vice Chair Kati Parker moved their appointment, designating Commissioner Dennstedt to serve as meeting Convener.

MOVED, appoint Commissioner Brenda Dennstedt and Alternate Commissioner Denis R. Bilodeau to serve on the OWOW Steering Committee for the March 28, 2019 meeting, and designate Brenda Dennstedt as meeting Convener.

Result: **Adopted (Unanimously)**
Motion/Second: Parker/Sullivan
Ayes: Bilodeau, Dennstedt, Harrison, Parker, Sullivan
Nays: None
Abstentions: None
Absent: None

F. UPDATE ON DISCUSSIONS WITH ORANGE COUNTY STAKEHOLDERS

The Ad Hoc Committee met on March 5, 2019, at 8:00 a.m.; in attendance were Commissioner Dennstedt and Alternate Commissioner Denis A. Bilodeau, Amanda Carr, Craig Miller, Mike Markus, Rich Haller, Karen Williams and Mark Norton. Commissioner Dennstedt advised the following agreement had been reached in concept:

Terms of Agreement Concept (not inclusive of state-mandated DAC funding; see below)

- 30% of grant funds pre-allocated to Orange County projects; rated and ranked under the OC Plan
- 60% of grant funds pre-allocated to the Upper Watershed; rated and ranked under the OWOW Plan
- 10% of grant funds reserved for regional projects, rated and ranked by OWOW
- Administration of all grant funding will be through SAWPA.

Further clarification will be reached prior to consideration by the OCWD Board (date TBD), OWOW Steering Committee (March 28 meeting), and SAWPA Commission (April 16 meeting). A conference call will be held with DWR on March 7 to discuss the administrative process for completing and implementing the concept agreement; an amendment to the agreement with DWR is anticipated.

Chair Sullivan voiced support but recommended the agreement cover the next funding round only. Commissioner Dennstedt noted this could be re-examined in the future. Chair Sullivan requested the agreement include language stating this would be reviewed at some time in the future. General Manager Markus noted this agreement will require approval of the OCWD Board; some directors have not been enthusiastic about reducing the Orange County funding from the initial 38% to 33.3%, which is now further reduced to 30%. He believes that if the OCWD Board were to approve this proposal, they would want the agreement in place beyond the Round 1 funding.

General Manager Jones asked for clarification that the set aside DAC funding would be available for the entire watershed on a project-by-project basis and based on criteria for that particular need. Commissioner Dennstedt confirmed that would be the case, and the funding would be available for projects throughout the watershed.

General Manager Markus confirmed once the agreement is formalized and approved by all parties, Orange County would withdraw their RAP application with DWR.

Commissioner Harrison noted concern since we have received project submittals prior to the successful conclusion of these negotiations. Mark Norton stated there is a list of projects from parties responding to the call for projects which have not yet been rated or ranked. The process moving forward is to conduct an open forum to review the projects and verify the stated benefits, followed by rating and ranking of the projects, then project funding will be considered. Regarding Orange County projects and how these negotiations would impact them, any projects received from Orange County would be returned and then proceed through the OC Plan rating and ranking (which will also be aligned with the state's requirements) and then would be funded from the 30% Orange County allocation.

Commissioner Dennstedt reiterated next steps include the conference call with DWR, consideration by the OWOW Steering Committee on March 28, then consideration by the SAWPA Commission on April 16. General Manager Markus noted the matter would be brought to committee next week and move forward for consideration by the OCWD Board in two weeks. Chair Sullivan thanked Commissioners Dennstedt and Bilodeau, General Managers Miller, Markus and Haller, Amanda Carr and staff for their efforts in reaching consensus.

This item was for informational and discussion purposes; no action was taken on Agenda Item No. 5.F.

6. INFORMATIONAL REPORTS

The following oral/written reports/updates were received and filed.

A. CHAIR'S COMMENTS/REPORT

Chair Sullivan commended Mark Norton and Carlos Quintero for their presentation on behalf of SAWPA at the Multi-State Salinity Coalition Conference recently held in Las Vegas.

B. COMMISSIONERS' COMMENTS

There were no Commissioners comments.

C. COMMISSIONERS' REQUEST FOR FUTURE AGENDA ITEMS

7. **CLOSED SESSION**

There was no Closed Session.

8. **ADJOURNMENT**

There being no further business for review, Chair Sullivan adjourned the meeting at 10:38 a.m.

Approved at a Regular Meeting of the Santa Ana Watershed Project Authority Commission on Tuesday, March 19, 2019.

Ronald W. Sullivan, Chair

Attest:

Kelly Berry, CMC
Clerk of the Board

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*Santa Ana Watershed
Project Authority*



Finance Department

Santa Ana Watershed Project Authority
TREASURER'S REPORT

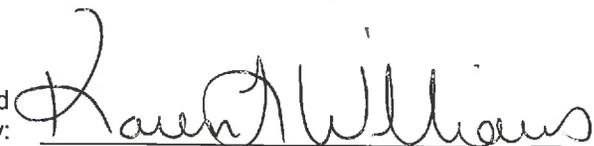
February 2019

During the month of February 2019, the Agency's actively managed temporary idle cash earned a return of 1.831%, representing interest earnings of \$17,592. Additionally, the Agency's position in overnight funds L.A.I.F. generated \$75,148 in interest, resulting in \$92,740 of interest income from all sources. Please note that this data represents monthly earnings only, and does not indicate actual interest received. There were zero (0) investment positions purchased, zero (0) positions sold, one (1) position matured, and zero (0) positions were called.

This Treasurer's Report is in compliance with SAWPA's Statement of Investment Policy. Based upon the liquidity of the Agency's investments, this report demonstrates the ability to meet customary expenditures during the next six months.

March 6, 2019

Prepared and
Submitted by:



Karen L. Williams, Chief Financial Officer

Santa Ana Watershed Project Authority
INVESTMENT PORTFOLIO - MARKED TO MARKET - UNREALIZED GAINS & LOSSES
February 28, 2019

SAWPA primarily maintains a "Buy and Hold" investment philosophy, with all investments held by US Bank via a third-party safekeeping contract.

Investment Type	Security Type	CUSIP	Dealer	Purchase Date	Maturity Date	Call Date (if appl)	Par Value	Yield To Maturity	Investment Cost	Market Value Current Month	Unrealized Gain / (Loss)	Coupon Rate	Interest Earned
Agency	FHLMC	3137EACA5	WMS	03-27-14	03-27-19	No Call	\$ 500,000.00	1.790%	\$ 546,650.00	\$ 500,549.50	\$ (46,101)	3.750%	\$ 686.58
Agency	FHLMC	3137EAEC9	WMS	09-16-16	08-12-21	No Call	\$ 1,000,000.00	1.335%	\$ 990,060.00	\$ 967,653.00	\$ (22,407)	1.125%	\$ 1,024.07
Agency	FHLMC	3137EADB2	WMS	04-17-17	01-13-22	No Call	\$ 500,000.00	2.375%	\$ 512,767.00	\$ 498,024.50	\$ (14,743)	2.375%	\$ 910.96
Agency	FHLB	313379EE5	WMS	05-26-15	06-14-19	No Call	\$ 500,000.00	1.420%	\$ 504,015.00	\$ 498,787.00	\$ (5,228)	1.625%	\$ 544.74
Agency	FHLB	313383HU8	WMS	06-16-16	06-12-20	No Call	\$ 1,000,000.00	1.080%	\$ 1,026,088.00	\$ 989,301.00	\$ (36,787)	1.750%	\$ 828.51
Agency	FHLB	313379Q69	WMS	12-14-17	06-10-22	No Call	\$ 1,000,000.00	2.150%	\$ 998,930.00	\$ 986,320.00	\$ (12,610)	2.125%	\$ 1,649.39
Agency	FNMA	3135GOZA4	WMS	03-27-14	02-19-19	No Call	\$ -	1.800%	\$ -	\$ -	\$ -	1.875%	\$ 221.92
Agency	FNMA	3135G0H55	WMS	12-28-15	12-28-20	No Call	\$ 1,000,000.00	1.830%	\$ 1,002,140.00	\$ 988,195.00	\$ (13,945)	1.875%	\$ 1,403.85
Agency	FNMA	3135G0F73	WMS	06-16-16	11-30-20	No Call	\$ 1,000,000.00	1.150%	\$ 1,015,157.00	\$ 981,805.00	\$ (33,352)	1.500%	\$ 882.20
Agency	USTN	912828WC	WMS	11-17-15	10-31-20	No Call	\$ 1,000,000.00	1.638%	\$ 1,005,312.50	\$ 986,875.00	\$ (18,438)	1.750%	\$ 1,256.42
Agency	USTN	912828G61	WMS	11-17-15	11-30-19	No Call	\$ 1,000,000.00	1.469%	\$ 1,001,210.94	\$ 992,148.00	\$ (9,063)	1.500%	\$ 1,126.86
Agency	USTN	912828L32	WMS	06-17-16	08-31-20	No Call	\$ 500,000.00	1.030%	\$ 507,070.31	\$ 491,406.00	\$ (15,664)	1.375%	\$ 395.19
Agency	USTN	912828L65	WMS	06-16-16	09-30-20	No Call	\$ 500,000.00	1.041%	\$ 506,992.19	\$ 490,879.00	\$ (16,113)	1.375%	\$ 399.18
Agency	USTN	912828L99	WMS	06-16-16	10-31-20	No Call	\$ 500,000.00	1.051%	\$ 506,914.06	\$ 490,410.00	\$ (16,504)	1.375%	\$ 402.93
Agency	USTN	912828S76	WMS	12-14-17	07-31-21	No Call	\$ 1,000,000.00	2.013%	\$ 969,062.50	\$ 967,773.00	\$ (1,290)	1.125%	\$ 1,544.07
CORP	Apple Inc	037833AK6	WMS	10-15-18	05-03-23	No Call	\$ 500,000.00	3.360%	\$ 479,898.50	\$ 490,988.00	\$ 11,090	2.400%	\$ 1,288.77
CORP	Toyota Motor Corp Credit	89236TFNO	WMS	10-15-18	09-20-23	No Call	\$ 500,000.00	3.550%	\$ 497,747.50	\$ 506,886.00	\$ 9,139	3.450%	\$ 1,361.64
CD	Ally Bank	02006L2F9	WMS	01-13-15	04-20-20	No Call	\$ 248,000.00	1.800%	\$ 248,000.00	\$ 248,000.00	\$ -	1.800%	\$ 342.44
CD	American Express	02587DP85	WMS	04-19-17	04-19-21	No Call	\$ 248,000.00	2.250%	\$ 248,000.00	\$ 248,000.00	\$ -	2.250%	\$ 428.05
CD	American Express BK FSB	AN4199708	WMS	05-10-17	05-10-21	No Call	\$ 248,000.00	2.200%	\$ 248,000.00	\$ 248,000.00	\$ -	2.200%	\$ 418.54
CD	Goldman Sachs Bank USA	38148PUV7	WMS	12-20-17	12-20-22	No Call	\$ 248,000.00	2.500%	\$ 248,000.00	\$ 248,000.00	\$ -	2.500%	\$ 475.62
Total Actively Invested Funds							\$ 12,992,000.00		\$ 13,062,015.50	\$ 12,820,000.00	\$ (242,016)	1.831%	\$ 17,591.94
Total Local Agency Investment Fund									\$ 40,953,713.83			2.392%	\$ 75,148.38
Total Invested Cash							\$ 12,992,000.00		\$ 54,015,729.33			2.257%	\$ 92,740.32

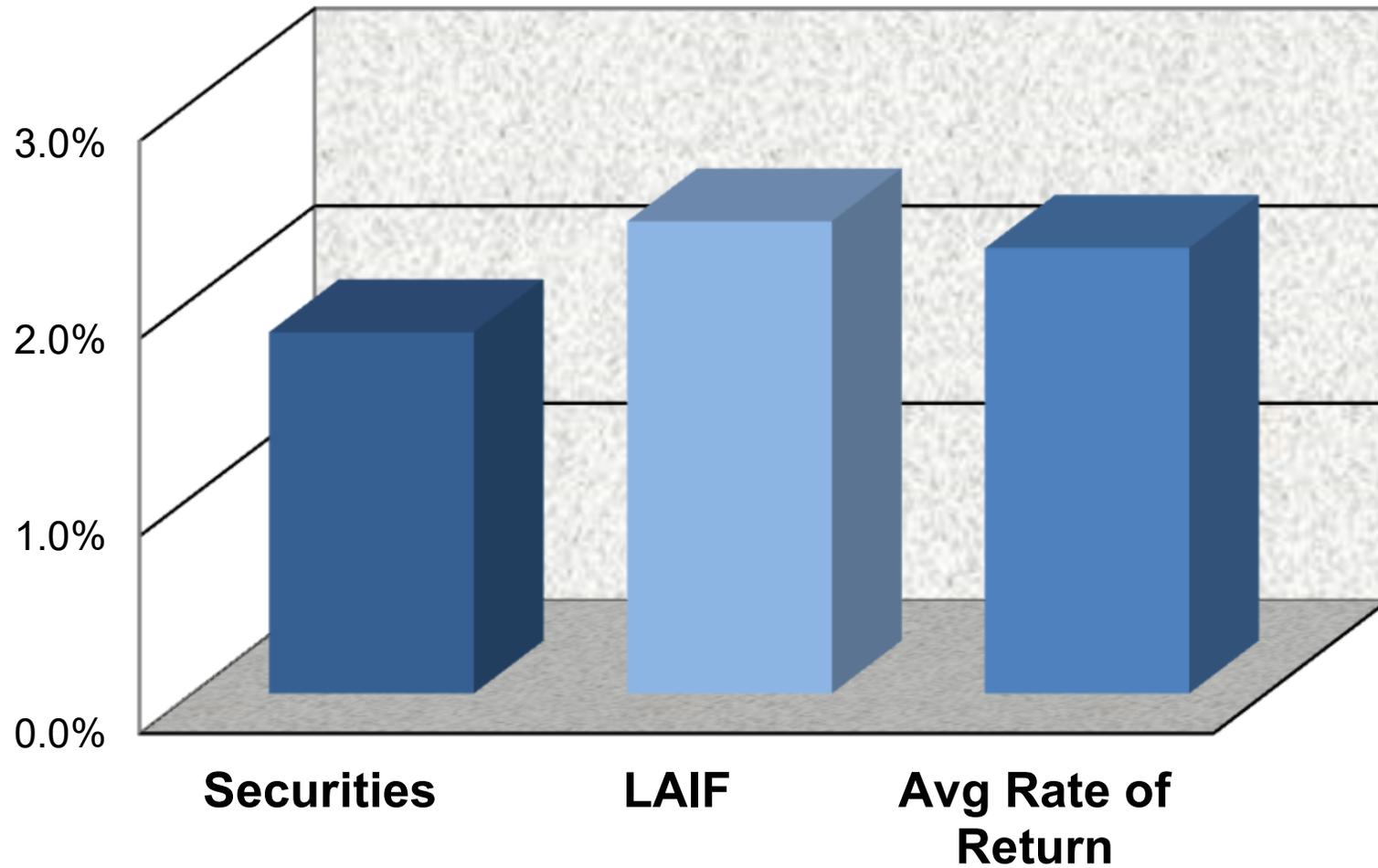
Key to Security Type:

FHLB	= Federal Home Loan Bank
FHLMC	= Federal Home Loan Mortgage Corporation
FNMA	= Federal National Mortgage Association
USTN	= US Treasury Note
CORP	= Corporate Note
CD	= Certificate of Deposit
GDB	= Goldman Sachs Bank
AEC	= American Express Centurion

Key to Dealers:

FCS	= FinaCorp Securities
MBS	= Multi-Bank Securities
MS	= Mutual Securities
RCB	= RBC Dain Rauscher
SA	= Securities America
TVI	= Time Value Investments
WMS	= Wedbush Morgan Securities

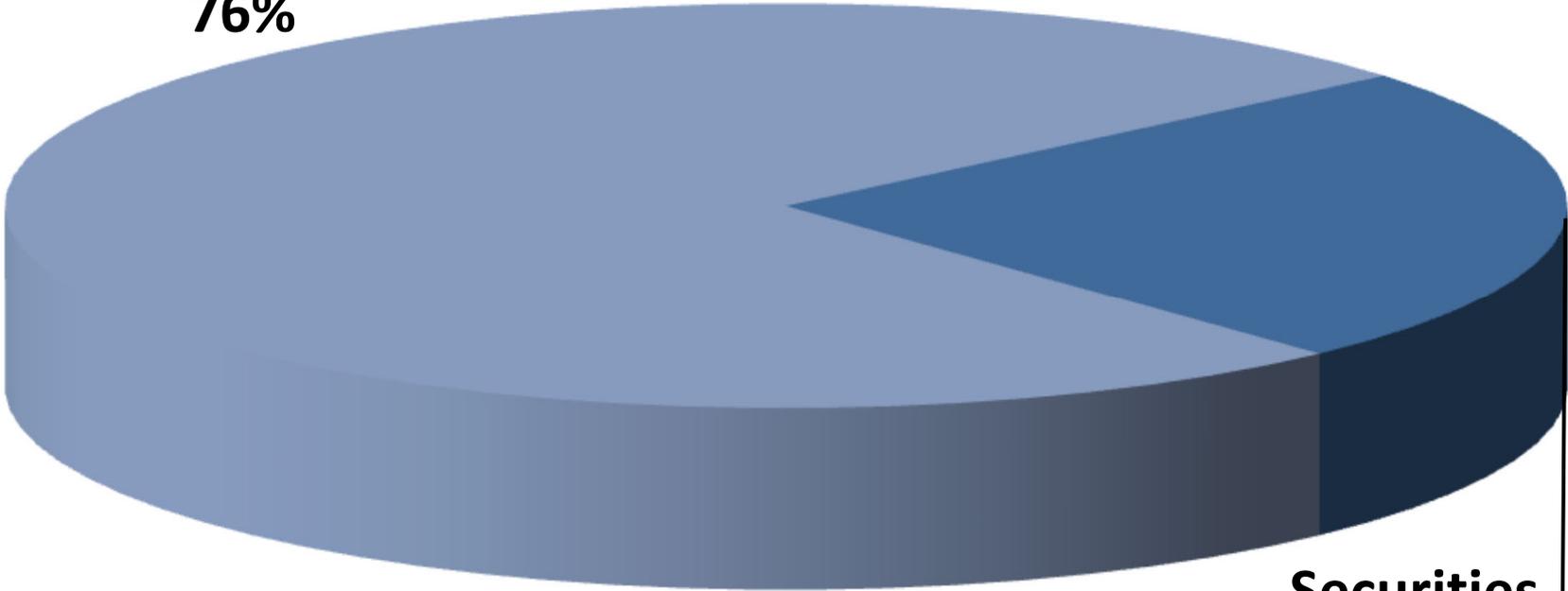
Interest Rate Analysis



Investments

\$54,015,729

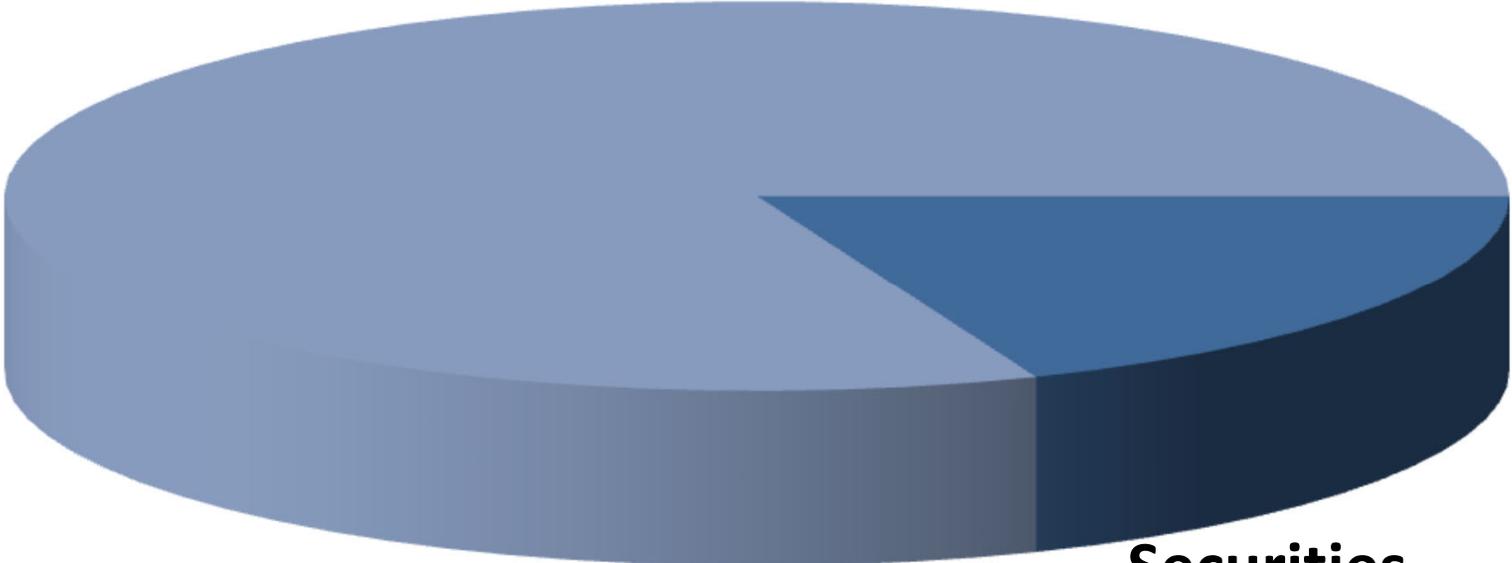
LAIF,
\$40,953,714,
76%



Securities,
\$13,062,016,
24%

Interest
\$92,740

LAIF,
\$75,148,
81%



Securities,
\$17,592,
19%

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COMMISSION MEMORANDUM NO. 2019.32

DATE: March 19, 2019

TO: SAWPA Commission

SUBJECT: Consultant Support | Network Coordinator for the California IRWM Roundtable of Regions

PREPARED BY: Ian Achimore, Senior Watershed Manager

RECOMMENDATION

It is recommended that the SAWPA Commission approve execution of an Agreement for Services and Task Order No. SWWG373-01 with Sierra Water Workgroup, Inc., in an amount not to exceed \$84,454, to provide Network Coordinator consultant services for the California IRWM Roundtable of Regions.

DISCUSSION

Per Commission approval on December 18, 2018, SAWPA released a Request for Proposals (RFP) for a California Integrated Regional Water Management (IRWM) Roundtable of Regions Network Coordinator. One proposal was received from the Sierra Water Workgroup, Inc., a consultant based in Sacramento with experience managing IRWM programs for several regions in California.

The RFP response was reviewed by SAWPA staff, the Roundtable co-chairs (which includes a member from the San Diego and Ventura IRWM regions) and two other Roundtable members. The \$125,870 budget for a 12 month contract proposed by Sierra Water Workgroup, Inc. was higher than the available funds so SAWPA negotiated down the scope to include a more administrative role for the coordinator. Their original budget included items that the existing Roundtable of Region chairs could accomplish such as facilitation of meetings and direct coordination with the Department of Water Resources.

The budget that SAWPA was able to negotiate totals to \$84,454 for a 15 months of work. This amount is within the funding available from the Roundtable of Region member agencies and covers the remainder of the current fiscal year and fiscal year ending 2020. This collaborative funding methodology by the Roundtable members is very similar to the current task forces that SAWPA coordinates. Like the SAWPA task forces, the Roundtable of Region members asked SAWPA to be the contracting entity for this shared effort. The attached draft Cost-Sharing Letter Agreement describes the terms of multi-agency funding of the consultant position. As of March 6, 2019, fourteen statewide agencies and organizations, including SAWPA, have together committed funding to the first year of this consultant position. At the December 18, 2018 Commission, SAWPA staff received approval to contribute \$5,000 toward the selected consultant's contract. Due to the increase in the contract time, from the initial 12 months contemplated in the RFP, to the 15 months, SAWPA's contribution would now be \$5,816.

BACKGROUND

Although SAWPA began developing integrated and regional (i.e. watershed-wide) plans in the 1990s, the State's IRWM program began in 2002 when the Regional Water Management Planning Act (SB 1672) was passed by the California Legislature. Since then, various bonds such as Proposition 1 have been approved by voters and have provided over \$1.5 billion in State funding to support and advance integrated, multi-benefit regional projects. The Roundtable of Regions was formed in 2006 as an informal partnership between IRWM regions across the State and is currently composed of 48. The Roundtable focuses on two functions: 1) promoting the philosophy of integrated regional water management, and 2) equipping those engaged in the work with the tools and partnerships necessary for success. The Roundtable exists to build and leverage trusted relationships among organizations engaged in the IRWM Program to extend our limited resources and amplify on-the-ground results.

Since 2006, two agency representatives have both co-chaired and managed the logistics of Roundtable meetings. The complexity of the work underway within the Roundtable now encourages the need for a dedicated professional who can coordinate the activity of the network. The work of the Roundtable will remain primarily the responsibility of the co-chairs and other members, and the network coordinator will assume the responsibility for coordinating internal and external communication, internal planning, and events convened or co-convened by the Roundtable.

CRITICAL SUCCESS FACTORS

OWOW CSF 5 A strong reputation and sufficient capacity within SAWPA staff for strategic facilitation, planning, communication, leadership and community engagement.

Roundtables CSF 1 SAWPA has a strong reputation as a watershed-wide, knowledgeable, neutral and trusted facilitator, leader, and administrator of contracted activities.

RESOURCE IMPACTS

The contract with Sierra Water Workgroup will be provided by fourteen contributing partners including SAWPA. SAWPA staff will contribute \$5,816 (or 7% of the total contract) toward the Sierra Water Workgroup's contract which is available within Fund 373 (OWOW). SAWPA in-kind contribution of staff time needed to manage the Sierra Water Workgroup for a year is estimated to be approximately \$5,000, which is also available within Fund 373 (OWOW).

Attachments:

1. Commission Meeting Presentation
2. General Services Agreement and Task Order No. SWWG373-01
3. Response to Request for Proposals (RFP) by the Sierra Water Workgroup, Inc.
4. Regions Network Coordinator Cost Sharing Letter Agreement, including:
 - a. Attachment A: Scope of Work for Sierra Water Workgroup
 - b. Attachment B: Funding Contributions from Roundtable of Regions



Task Order Approval for the Roundtable of Regions Network Coordinator

2019-3-5 Com Mtg Min Data

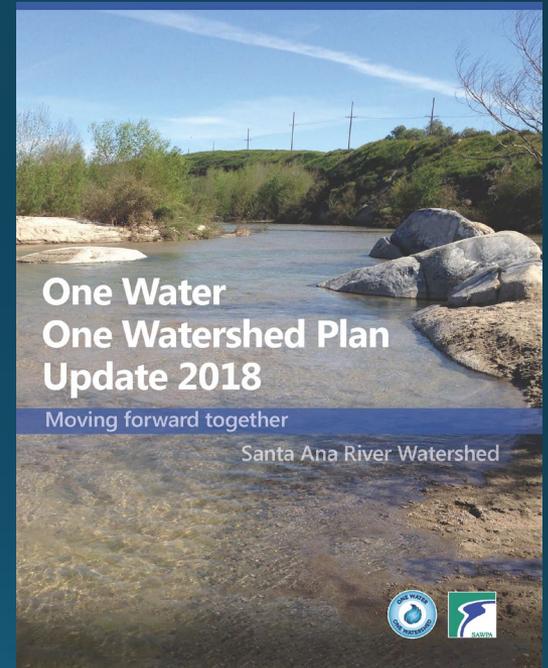


SAWPA Commission
March 19, 2019



Integrated Regional Water Management (IRWM)

- Formally began as a state-wide program in 2002 with passage of Senate Bill 1672
- Since then, various bond measures such as Prop 1, have provide \$1.5 Billion in IRWM funding to projects that are selected through local IRWM planning in 48 regions



The IRWM Plan in the Santa Ana River Watershed Region is the One Water One (OWOW) Watershed Plan Update 2018

IRWM Roundtable of Regions

- Began in 2006
- Informal partnerships, led by engaged staff and two co-chairs
- Network extends limited resources and amplifies results of all participants
- SAWPA represents OWOW on the Roundtable

Map of IRWM Regions



Network Coordinator

- Not a leadership position – a coordinating one
- Task Areas:
 - Maintenance of membership contact list
 - Schedule, coordinate, and note-take during, regular participant calls
 - Assist in development of summit for in-person Roundtable & DWR engagement
 - Develop and administer annual survey of membership to support IRWM Program



Timeline for Network Coordinator

- **Late 2018** - SAWPA worked with Roundtable of Regions (RoR) on developing cost share agreement and scope for the RoR Network Coordinator
- **December 2018** - SAWPA Commission approved:
 - Issuance of an RFP for the RoR Network Coordinator
 - Contributing \$5,000 from SAWPA's OWOW budget to the cost share agreement

Cost Share Agreement



- Similar to a Task Force / Roundtable
- Fourteen contributing Roundtable participants.
- Consultant will be managed closely by SAWPA, with two Roundtable participants

Cost Share Agreement

Roundtable Participant	4/1/19 - 6/30/20	7/1/20 - 6/30/21
Mojave Water Agency	\$11,633	\$10,329
San Diego County Water Authority	\$4,653	\$4,132
City of San Diego	\$2,908	\$2,582
Sonoma Water	\$11,633	\$10,329
Greater Los Angeles County IRWM	\$11,633	\$10,329
Environmental Justice Coalition for Water	\$116	\$103
Santa Clara Valley Water District	\$11,633	\$10,329
Yuba County IRWM	\$11,633	\$10,329
Upper Kings Basin IRWM	\$5,816	\$5,165
Westside Sacramento IRWM	\$1,745	\$1,549
Santa Ana Watershed Project Authority	\$5,816	\$5,165
Yosemite-Mariposa IRWM	\$582	\$516
Santa Barbara County IRWM Region	\$3,490	\$3,099
Burdick & Company	\$1,163	\$1,033
Total	\$84,454	\$74,990



Will bring second term back to Commission for approval

RFP Results

- 1 firm responded: Sierra Water Workgroup, Inc.
- SAWPA negotiated and honed the scope/budget to focus on network logistics
- Original budget: \$126K
- Negotiated budget: \$84K

Firm Profile



- Established in 2009
- Located in Sacramento, CA
- Purpose is to coordinate with IRWM stakeholders
- Experience in raising profile of the IRWM regions it represents

How SAWPA benefits

- Roundtable is a trusted partner to DWR & ACWA
 - Instrumental in changes to the IRWM Program that benefit the watershed and the SAWPA members.
 - Roundtable engaged with DWR in IRWM and the CA Water Plan
- Supporting the Network Coordinator is a statewide role for SAWPA
- Roundtable partners excited to take this step forward, thankful of SAWPA taking point



Recommendation

It is recommended that the SAWPA Commission approve execution of an Agreement for Services and Task Order No. SWWG373-01 with Sierra Water Workgroup, Inc., in an amount not to exceed \$84,454, to provide Network Coordinator consultant services for the California IRWM Roundtable of Regions.





SANTA ANA WATERSHED PROJECT AUTHORITY
AGREEMENT FOR SERVICES BY INDEPENDENT CONSULTANT

This Agreement is made this 19th day of March, 2019 by and between the Santa Ana Watershed Project Authority ("SAWPA") located at 11615 Sterling Avenue, Riverside, California, 92503 and **Sierra Water Workgroup, Inc.** ("Consultant") whose address is 3500 Valley View Road, Rescue, CA 95672.

RECITALS

This Agreement is entered into on the basis of the following facts, understandings, and intentions of the parties to this Agreement:

- SAWPA desires to engage the professional services of Consultant to perform such professional consulting services as may be assigned, from time to time, by SAWPA in writing;
- Consultant agrees to provide such services pursuant to, and in accordance with, the terms and conditions of this Agreement and has represented and warrants to SAWPA that Consultant possesses the necessary skills, qualifications, personnel, and equipment to provide such services; and
- The services to be performed by Consultant shall be specifically described in one or more written Task Orders issued by SAWPA to Consultant pursuant to this Agreement.

AGREEMENT

Now, therefore, in consideration of the foregoing Recitals and mutual covenants contained herein, SAWPA and Consultant agree to the following:

ARTICLE I

TERM OF AGREEMENT

1.01 This agreement shall become effective on the date first above written and shall continue until **December 31, 2022**, unless extended or sooner terminated as provided for herein.

ARTICLE II

SERVICES TO BE PERFORMED

2.01 Consultant agrees to provide such professional consulting services as may be assigned, from time to time, in writing by the Commission and the General Manager of SAWPA. Each assignment shall be made in the form of a written Task Order. Each such Task Order shall include, but shall not be limited to, a description of the nature and scope of the services to be performed by Consultant, the amount of compensation to be paid, and the expected time of completion.

2.02 Consultant may at Consultant's sole cost and expense, employ such competent and qualified independent professional associates, subcontractors, and consultants as Consultant deems necessary to perform each assignment; provided that Consultant shall not subcontract any work to be performed without the prior written consent of SAWPA.

ARTICLE III

COMPENSATION

3.01 In consideration for the services to be performed by Consultant, SAWPA agrees to pay Consultant as provided for in each Task Order.

3.02 Each Task Order shall specify a total not-to-exceed sum of money and shall be based upon the regular hourly rates customarily charged by Consultant to its clients.

3.03 Consultant shall not be compensated for any services rendered nor reimbursed for any expenses incurred in excess of those authorized in any Task Order unless approved in advance by the Commission and General Manager of SAWPA, in writing.

3.04 Work under this Agreement is being funded by a Proposition 1 Integrated Regional Water Management grant. Consultant shall provide to SAWPA an invoice within 15 days after the end of the month in which services were performed. Invoices shall be consistent with the State's invoice process and shall reflect the billable rates provided in Consultant's Work Plan, shown in Attachment A. SAWPA will invoice the State on a quarterly basis. SAWPA shall pay consultant within 15 days of receiving payment from the State. The State's payments may lag the Consultant's invoices by five months or more. The State will withhold 5% retention from each invoice, per the State Grant Conditions shown in Attachment B, and Consultant will only receive payment of the retained amounts after the State releases retention for the entire Disadvantaged Communities Involvement project, scheduled for completion in April 2020.

ARTICLE IV

CONSULTANT OBLIGATIONS

4.01 Consultant agrees to perform all assigned services in accordance with the terms and conditions of this Agreement including those specified in each Task Order. In performing the services required by this Agreement and any related Task Order Consultant shall comply with all local, state and federal laws, rules and regulations. Consultant shall also obtain and pay for any permits required for the services it performs under this Agreement and any related Task Order.

4.02 Except as otherwise provided for in each Task Order, Consultant will supply all personnel and equipment required to perform the assigned services.

4.03 Consultant shall be solely responsible for the health and safety of its employees, agents and subcontractors in performing the services assigned by SAWPA. Consultant hereby covenants and agrees to:

4.03a Obtain a Commercial General Liability and an Automobile Liability insurance policy, including contractual coverage, with limits for bodily injury and property damage in an amount of not less than \$2,000,000.00 per occurrence for each such policy. Such policy shall name SAWPA, its officers, employees, agents and volunteers, as an additional insured, with any right to subrogation waived as to SAWPA, its officers, employees, agents and volunteers.

If Commercial General Liability Insurance or other form with an aggregate limit is used, either the general aggregate limit shall apply separately to the work assigned by SAWPA under this Agreement or the general aggregate limit shall be at least twice the required occurrence limit. The coverage shall be at least as broad as Insurance Services Office Commercial General Liability Coverage (occurrence Form CG 00 01) and Insurance Services Office Form CA 00 01 covering Automobile Liability, Code 1 (any auto). The Commercial Liability Insurance shall include operations, products, and completed operations, as applicable;

4.03b Obtain a policy of Professional Liability (errors and omissions) insurance appropriate to the Consultant's profession in a minimum amount of \$2,000,000.00 per claim or occurrence to cover any negligent acts or omissions or willful misconduct committed by Consultant, its employees, agents and subcontractors in the performance of any services for SAWPA. Architects' and engineers' coverage shall include contractual liability;

4.03c Obtain a policy of Employer's Liability insurance in a minimum amount of \$1,000,000.00 per accident for bodily injury and property damage.

4.03d Provide worker's compensation insurance or a California Department of Insurance-approved self-insurance program in an amount and form required by the State of California and the Employer's Liability Insurance that meets all applicable Labor Code requirements, covering all persons or entities providing services on behalf of the Consultant and all risks to such persons or entities;

4.03e Consultant shall require any subcontractor that Consultant uses for work performed for SAWPA under this Agreement or related Task Order to obtain the insurance coverages specified above.

4.03f Consultant hereby agrees to waive subrogation which any insurer of Consultant may seek to require from Consultant by virtue of the payment of any loss. Consultant shall obtain an endorsement that may be necessary to give effect to this waiver of subrogation. In addition, the Workers Compensation policy shall be endorsed with a waiver of subrogation in favor of SAWPA for all work performed by Consultant, and its employees, agents and subcontractors.

All such insurance policy or policies shall be issued by a responsible insurance company with a minimum A. M. Best Rating of "A-" Financial Category "X", and authorized and admitted to do business in, and regulated by, the State of California. If the insurance company is not admitted in the State of California, it must be on the List of Eligible Surplus Line Insurers (LESLI), shall have a minimum A.M. Best Rating of "A", Financial Category "X", and shall be domiciled in the United States, unless otherwise approved by SAWPA in writing. Each such policy of insurance shall expressly provide that it shall be primary and noncontributory with any policies carried by SAWPA and, to the extent obtainable, such coverage shall be payable notwithstanding any act of negligence of SAWPA that might otherwise result in forfeiture of coverage. Evidence of all insurance coverage shall be provided to SAWPA prior to issuance of the first Task Order. Such policies shall provide that they shall not be canceled or amended without 30 day prior written notice to SAWPA. Consultant acknowledges and agrees that such insurance is in addition to Consultant's obligation to fully indemnify and hold SAWPA free and harmless from and against any and all claims arising out of an injury or damage to property or persons caused by the negligence, recklessness, or willful misconduct of Consultant in performing services assigned by SAWPA.

4.04 Consultant hereby covenants and agrees that SAWPA, its officers, employees, and agents shall not be liable for any claims, liabilities, penalties, fines or any damage to property, whether real or personal, nor for any personal injury or death caused by, or resulting from, or claimed to have been caused by or resulting from, any negligence, recklessness, or willful misconduct of Consultant. Consultant shall hold harmless, defend and indemnify SAWPA and its officers, employees, agents and volunteers from and against any and all liability, loss, damage, fines, penalties, expense and costs, including, without limitation, attorneys' fees and litigation expenses and costs, of every nature arising out of or related to Consultant's negligence, recklessness, or willful misconduct related to or arising from the performance of the work required under this Agreement and any related Task Order or Consultant's failure to comply with any of its obligations contained in this Agreement and any related Task Order, except as to such loss or damage which was caused by the active negligence or willful misconduct of SAWPA.

4.05 In the event that SAWPA requests that specific employees or agents of Consultant supervise or otherwise perform the services specified in each Task Order, Consultant shall ensure that such individual(s) shall be appointed and assigned the responsibility of performing the services.

4.06 In the event Consultant is required to prepare plans, drawings, specifications and/or estimates, the same shall be furnished with a registered professional engineer's number and shall conform to local, state and federal laws, rules and regulations. Consultant shall obtain all necessary permits and approvals in connection with this Agreement, any Task Order or Change Order. However, in the event SAWPA is required to obtain such an approval or permit from another governmental entity, Consultant shall provide all necessary supporting documents to be filed with such entity, and shall facilitate the acquisition of such approval or permit.

ARTICLE V

SAWPA OBLIGATIONS

5.01 SAWPA shall:

5.01a Furnish all existing studies, reports and other available data pertinent to each Task Order that are in SAWPA's possession;

5.01b Designate a person to act as liaison between Consultant and the General Manager and Commission of SAWPA.

ARTICLE VI

ADDITIONAL SERVICES, CHANGES AND DELETIONS

6.01 During the term of this Agreement, the Commission of SAWPA may, from time to time and without affecting the validity of this Agreement or any Task Order issued pursuant thereto, order changes, deletions, and additional services by the issuance of written Change Orders authorized and approved by the Commission of SAWPA.

6.02 In the event Consultant performs additional or different services than those described in any Task Order or authorized Change Order without the prior written approval of the Commission of SAWPA, Consultant shall not be compensated for such services.

6.03 Consultant shall promptly advise SAWPA as soon as reasonably practicable upon gaining knowledge of a condition, event, or accumulation of events, which may affect the scope and/or cost of services to be provided pursuant to this Agreement. All proposed changes, modifications, deletions, and/or requests for additional services shall be reduced to writing for review and approval or rejection by the Commission of SAWPA.

6.04 In the event that SAWPA orders services deleted or reduced, compensation shall be deleted or reduced by a comparable amount as determined by SAWPA and Consultant shall only be compensated for services actually performed. In the event additional services are properly authorized, payment for the same shall be made as provided in Article III above.

ARTICLE VII

CONSTRUCTION PROJECTS: CONSULTANT CHANGE ORDERS

7.01 In the event SAWPA authorizes Consultant to perform construction management services for SAWPA, Consultant may determine, in the course of providing such services, that a Change Order should be issued to the construction contractor, or Consultant may receive a request for a Change Order from the construction contractor. Consultant shall, upon receipt of any requested Change Order or upon gaining knowledge of any condition, event, or accumulation of events, which may necessitate issuing a Change Order to the construction contractor, promptly consult with the liaison, General Manager and Commission of SAWPA. No Change Order shall be issued or executed without the prior approval of the Commission of SAWPA.

ARTICLE VIII

TERMINATION OF AGREEMENT

8.01 In the event the time specified for completion of an assigned task in a Task Order exceeds the term of this Agreement, the term of this Agreement shall be automatically extended for such additional time as is necessary to complete such Task Order and thereupon this Agreement shall automatically terminate without further notice.

8.02 Notwithstanding any other provision of this Agreement, SAWPA, at its sole option, may terminate this Agreement at any time by giving 10 day written notice to Consultant, whether or not a Task Order has been issued to Consultant.

8.03 In the event of termination, the payment of monies due Consultant for work performed prior to the effective date of such termination shall be paid after receipt of an invoice as provided in this Agreement.

ARTICLE IX

CONSULTANT STATUS

9.01 Consultant shall perform the services assigned by SAWPA in Consultant's own way as an independent contractor, in pursuit of Consultant's independent calling and not as an employee of SAWPA. Consultant shall be under the control of SAWPA only as to the result to be accomplished and the personnel assigned to perform services. However, Consultant shall regularly confer with SAWPA's liaison, General Manager, and Commission as provided for in this Agreement.

9.02 Consultant hereby specifically represents and warrants to SAWPA that the services to be rendered pursuant to this Agreement shall be performed in accordance with the standards customarily applicable to an experienced and competent professional consulting organization rendering the same or similar services. Furthermore, Consultant represents and warrants that the individual signing this Agreement on behalf of Consultant has the full authority to bind Consultant to this Agreement.

ARTICLE X

AUDIT AND OWNERSHIP OF DOCUMENTS

10.01 All draft and final reports, plans, drawings, specifications, data, notes, and all other documents of any kind or nature prepared or developed by Consultant in connection with the performance of services assigned to it by SAWPA are the sole property of SAWPA, and Consultant shall promptly deliver all such materials to SAWPA. Consultant may retain copies of the original documents, at its option and expense. Use of such documents by SAWPA for project(s) not the subject of this Agreement shall be at SAWPA's sole risk without legal liability or exposure to Consultant. SAWPA agrees to not release any software "code" without prior written approval from the Consultant.

10.02 Consultant shall retain and maintain, for a period not less than four years following termination of this Agreement, all time records, accounting records, and vouchers and all other records with respect to all matters concerning services performed, compensation paid and expenses reimbursed. At any time during normal business hours and as often as SAWPA may deem necessary, Consultant shall make available to SAWPA's agents for examination of all such records and will permit SAWPA's agents to audit, examine and reproduce such records.

ARTICLE XI

MISCELLANEOUS PROVISIONS

11.01 This Agreement supersedes all previous agreements, either oral or written, between the parties hereto with respect to the rendering of services by Consultant for SAWPA and contains all of the covenants and agreements between the parties with respect to the rendering of such services in any manner whatsoever. Any modification of this Agreement will be effective only if it is in writing signed by both parties.

11.02 Consultant shall not assign or otherwise transfer any rights or interest in this Agreement without the prior written consent of SAWPA. Unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under this Agreement.

11.03 In the event Consultant is an individual person and dies prior to completion of this Agreement or any Task Order issued hereunder, any monies earned that may be due Consultant from SAWPA as of the date of death will be paid to Consultant's estate.

11.04 Time is of the essence in the performance of services required hereunder. Extensions of time within which to perform services may be granted by SAWPA if requested by Consultant and agreed to in writing by SAWPA. All such requests must be documented and substantiated and will only be granted as the result of unforeseeable and unavoidable delays not caused by the lack of foresight on the part of Consultant.

11.05 Consultant shall comply with all local, state and federal laws, rules and regulations including those regarding nondiscrimination and the payment of prevailing wages.

11.06 SAWPA expects that Consultant will devote its full energies, interest, abilities and productive time to the performance of its duties and obligations under this Agreement, and shall not engage in any other consulting activity that would interfere with the performance of Consultant's duties under this Agreement or create any conflicts of interest. If required by law, Consultant shall file a Conflict of Interest Statement with SAWPA.



**SANTA ANA WATERSHED PROJECT AUTHORITY
TASK ORDER NO. SWWG373-01**

CONSULTANT: Sierra Water Workgroup, Inc. **VENDOR NO.** 2218
3500 Valley View Road
Rescue, CA 95672

COST: \$84,454.00

PAYMENT: Upon Proper Invoice

REQUESTED BY: Ian Achimore, Sr. Watershed Manager **March 19, 2019**

FINANCE: _____
Karen Williams, CFO Date

FINANCING SOURCE: Acct. Coding 373-PA18-6113-01
Acct. Description General Consulting

COMMISSION AUTHORIZATION REQUIRED FOR THIS TASK: YES (X) NO ()
Authorization: March 19, 2019; CM#2019.32

This Task Order is issued upon approval and acceptance by the Santa Ana Watershed Project Authority (SAWPA) and Sierra Water Workgroup, Inc. (Consultant) pursuant to the Agreement for Services between SAWPA and Consultant, entered into on March 19, 2019, expiring December 31, 2022.

I. PROJECT NAME OR DESCRIPTION

Roundtable of Regions Network Coordinator

II. SCOPE OF WORK / TASKS TO BE PERFORMED

Consultant shall provide all labor, materials, and equipment for the Project of facilitating the ongoing work of the Roundtable of Regions as described in Attachment A.

III. PERFORMANCE TIME FRAME

Consultant shall begin work April 1, 2019 and shall complete performance of such services by **June 30, 2020.**

IV. SAWPA LIAISON

Ian Achimore and/or Mark Norton shall serve as liaison between SAWPA and Consultant

V. COMPENSATION

For the services rendered by Consultant pursuant to this Task Order, Consultant shall receive a total not-to-exceed sum of **\$84,454.00**. Payment for such services shall be made within 30 days upon receipt of timely and proper invoices from the Consultant, as required by the above-mentioned Agreement. Consultant shall provide to SAWPA each such invoice within 15 days after the end of the month in which the services were performed.

ATTACHMENT A

Scope of Work / Fee and Rate Schedule

Task	Objectives(s)	Task Description
AREA 1: Roundtable Administration		
Task 1 Membership List		
	Ensure accurate and up-to-date contact list that can be used as reference by members and for distribution	Update and maintain membership list. Periodically reach out to all IRWM regions to be sure all are represented.
	Support the creation or create an online portal for Roundtable members to share files and discuss relevant items (e.g., SharePoint)	Create and maintain a file sharing platform for members. A BaseCamp site previously was used, however is out-of-date and not the best of current technological options.
Task 2 Conference Calls		
	Keep IRWM community engaged and informed.	Schedule conference calls and meetings – set up and monitor Doodle Polls. Utilize a web hosting and/or conference call platform as needed.
	Give IRWM representatives information and questions to take back to their RWMG	Help develop and send out agendas and request for topics.
	Joint problem-solving	Send out 'hold the date'. Prepare for meetings. Prepare meeting and distribute meeting notes.
Task 3 Project Management		
	Manage contract with SAWPA effectively	Monitor contract budget and submit timely invoices to SAWPA
AREA 2: Special Projects		
Task 4 Network engagement		
	DWR on IRWM grant program	Schedule meetings with DWR and available ROR representatives (considerable email and calls to line-up date, location and participants)
	Provide input to DWR on PSP, Guidelines, etc. to broaden DWR perspective and thought process	Collect and compile comments and prepare letters/input documents Disseminate information to DWR/RoR
	To DWR regarding IRWM policy (Strategic Plan, CWP, etc.)	Schedule meetings; collect and compile comments and prepare letters; Disseminate information Participate in conference organizing.
	Coordinate with Other Organizations	Schedule meetings with and disseminate information to other organizations (i.e. Water Bond Coalition, ACWA, EJCW, CASQA, GRA, Floodplain Management Assoc.)
	Promote IRWM principles and funding	Includes providing information to other groups and participating in other groups' meetings, as requested or useful

Task	Objectives(s)	Task Description
		Participating in crafting communications and legislation. Developing principles for and providing input on bond measures.
	Liaison with subgroups of the Roundtable membership that form ad-hoc on particular issues	Share information on subgroups (i.e. DACI, grant administration, baseline funding); participate in some meetings.
	White Papers	Coordinate the preparation and distribution of white papers as needed, e.g., DAC, Baseline Funding. (intermittent activity historically)
	Engage in opportunities as they arise – to promote, extend and strengthen IRWM	e.g., Stanford University IRWM survey. Input on Water Education Foundation Layperson’s Guide to IRWM. Outcomes of the DACI work effort. Explore connectivity with Groundwater Sustainability Plan development .
Task 5 IRWM Promotion		
	Coordinate communication of the Roundtable “Story of IRWM” to build support	Coordinate RoR feedback/edits to develop talking points for Roundtable members. Coordinate RoR feedback/edits to develop testimonials and one-page success stories, “Impact of IRWM” in very short but carefully worded prose or bullets, 3x5 Elevator Speeches, Promotional videos/interviews, Blogs, handouts, graphic representations of successes/processes, Award applications.
	Provide website resource to inform and engage	Recruit a short-term subcommittee to determine the scope, level of effort and nature of the website. Could range from a simple explanatory site with links to all available IRWM sites (by Funding Area) to a full on (but likely higher maintenance) web site with articles, data, etc. Anticipate the outcomes of DACI and consider having a cataloged site with all successful grant applications to enable intentional cribbing/cut-and-paste of work plans, etc.
Task 6 Summits		
	Opportunities for ‘in person’ networking Develop and support relationship-building and deeper engagement and commitment Enable integration of new representatives Spark new ideas	Identify working subcommittee (3 – 5 people) to serve as Summit Planning Team and coordinate summit implementation with them. Help develop focus of summit (via email and phone conversations with subcommittee) Determine date (can be circular in early stages as location is identified and availability determines)

Task	Objectives(s)	Task Description
		<p>Identify location (talking with agencies, find a meeting room, develop location and parking info to share)</p> <p>Develop list of invitees (e.g., State agency staff, other organizations)</p> <p>Work with planning team to develop agenda</p> <p>Delegate logistics</p> <p>Distribute invite with Draft Agenda</p> <p>Collect RSVPs and respond to questions</p> <p>Attend summit</p> <p>Capture notes, finalize notes, share notes</p>
Task 7 Survey		
	<p>Develop materials to support interactions with DWR and other state agencies</p> <p>Inform the ROR as to the various attributes, opinions, functioning and xxx of its' members</p> <p>Support strategic action with documented supporting data</p>	<p>With feedback from RoR, develop survey form – coordinate review with subcommittee.</p> <p>Prepare final survey by coordinating any edits from Roundtable.</p> <p>Distribute survey using online electronic survey format (establish deadline, follow up with respondents to submit responses)</p> <p>Process input (compile feedback in spreadsheet and/or charts; compile comments)</p> <p>Analyze data – with subcommittee if required</p> <p>Prepare report and summary</p> <p>Distribute</p>

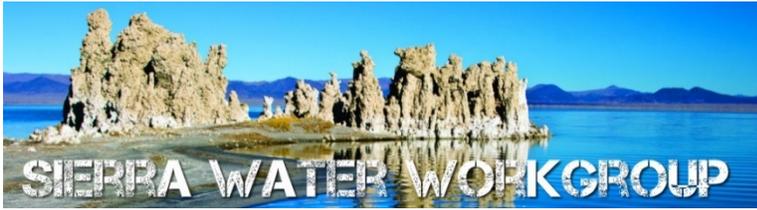
Fee and Rate Schedule

			4/1/19 – 6/30/19	7/1/19 – 6/30/20
AREA 1: Roundtable Administration				
Administration Labor Costs				
		Total Labor Hours	16 hours	96 hours
		Rate	\$125/hour	\$125/hour
		Total Labor Cost	\$2,000	\$12,000
Administration Direct Costs				
	File sharing Software		\$80	\$240
	Video Conference Calling Service		\$90	\$250
		Total Direct Costs	\$170	\$490
TOTAL ADMINISTRATION COSTS			\$2,170	\$12,490
AREA 2: Special Projects				
Special Projects Labor Costs				
		Total Labor Hours	80 hours	472 hours
		Rate	\$125/hour	\$125/hour
		Total Labor Cost	\$10,000	\$59,000
Special Projects Direct Costs				
	Webhosting		\$80	\$240
	Mileage @ IRS Rate		\$174	\$300
		Total Direct Costs	\$254	\$540
TOTAL SPECIAL PROJECTS COSTS			\$10,254	\$59,540
GRAND TOTAL DO NOT EXCEED AMOUNT			\$12,424	\$72,030

Total Contract Amount: \$84,454

General Assumptions

- Hours identified may be shifted between team members,
- Hours identified may be shifted between tasks with the approval of the ROR.
- Direct expenses for FY19-20 are estimates and subject to vendor price increases.
- Communication of meeting materials will be provided electronically.
- Hours and expenses will be invoiced monthly.
- Photocopying and printing will be coordinated by SWWG unless otherwise arranged in advance. The actual cost of any such services will be included in the monthly invoice to SAWPA.
- The SWWG will contract with technology vendors for file sharing, website and video conferencing. The actual costs will be included in the monthly invoice to SAWPA.
- Major Summit vendors such as venue rental and catering will be engaged by SWWG. However, it is expected that SAWPA will pay vendors directly for these expenses.
- Conditions causing assumptions described in this scope of work to be exceeded will be communicated to the client for their approval in advance if any work.



3500 Valley View Road
Rescue, CA 95672
(916) 273-0488
lmansfield@gmail.com
www.SierraWaterWorkgroup.org

January 29, 2019

Mike Antos
Senior Watershed Manager
Santa Ana Watershed Project Authority
11615 Sterling Ave
Riverside, CA 92503

Dear Mr. Antos,

The Sierra Water WG, Inc. (SWWG) appreciates the opportunity to submit our proposal in response to the Santa Ana Watershed Project Authority's Request for Proposal for consulting services to serve as Network Coordinator for the California Integrated Regional Water Management (IRWM) Roundtable of Regions (ROR).

The SWWG is uniquely qualified to fulfill this role. To achieve the goals of this proposal, the SWWG offers a team of Liz Mansfield and Jodie Monaghan. Both have extensive experience in IRWMs. Liz Mansfield is the Executive Director of the SWWG and has been actively involved with IRWMs since 2005 and intermittently with ROR since 2006. Jodie Monaghan, an accomplished facilitator and project manager, has been working with IRWMs since 2012 and with SWWG since 2017.

The SWWG is currently subcontracting to Sierra Institute to complete the water and wastewater service providers' assessment for the Mountain Counties Funding Area as required by the Prop 1 Disadvantaged Community Involvement (DACI) Grant. SWWG was the lead in planning and managing the DACI Lessons Learned Summit held in November 2018. Additionally, SWWG is contracted with the Lahontan Basin IRWM and the Inyo-Mono IRWM to coordinate regional data and implement a GIS mapping tool.

The SWWG proposes to coordinate, facilitate and promote IRWMs and the ROR. The following pages detail the team's qualifications, project approach and schedule, and fee proposal. Please don't hesitate to contact me at (916) 273-0488 with any questions or for further information.

Thank you,

Liz Mansfield

Liz Mansfield
Executive Director

INTRODUCTION

In a state as populous and diverse as California, a regional approach to managing natural resources is critical. Regional management is at the heart of the Integrated Regional Water Management (IRWM) program. The program is a collaborative effort to identify and implement water management solutions on a regional scale that increase regional self-reliance, reduce conflict, and manage water to concurrently achieve social, environmental, and economic objectives.

The IRWM program began in 2002 when the Regional Water Management Planning Act (SB 1672) was passed by the California Legislature. Since then, various bonds have been approved by voters and have provided over \$1.5 billion in State funding to support and advance integrated, multi-benefit regional projects. Cities, counties, water districts, community/environmental groups, Tribes and others across the State have worked collaboratively to organize and establish 48 Regional Water Management Groups (RWMG), covering over 87 percent of the State's area and 99 percent of its population.

Unfortunately the success of IRWMs has not been fully embraced by state or local government. While the CA Department of Water Resources (DWR) supports IRWMs, politics and funding have shifted to the Sustainable Groundwater Management Act (SGMA). DWR has posted on their website:

The practice of IRWM has not fully matured in California. While some IRWM regions are successfully implementing their IRWM plans, others have fallen behind. Based on extensive stakeholder input we compiled in recent years, the key needs of IRWM regions include greater recognition and support by federal, state and local agencies and Tribes, and better alignment of government policies, regulations and programs. IRWM practitioners hold that a comprehensive suite of actions must be taken now to ensure the future of IRWM; those recommended actions are documented in the 2017 report "Stakeholder Perspectives – Recommendations for Sustaining and Strengthening IRWM".

IRWMs are an integral part of water policy in California. As funding dissipates, it is imperative that the work and benefits of IRWMs be continued. The Roundtable of Regions (ROR) provides that forum and the voice to help shape legislation, provide input on the Water Plan and other water-related programs, promote the viability and benefits of IRWMs statewide, and advocate for funding for IRWMs. Sierra Water Workgroup recognizes the benefits that IRWMs provide and the significant role the ROR plays in ensuring a future for IRWMs.

SIERRA WATER WORKGROUP

Background

Elizabeth Mansfield formed the Sierra Water Workgroup (SWWG) in 2009 to assist and support the IRWM's in the Sierra Nevada. The Sierra Nevada region of California is famed for its extraordinary natural features, but less so for its central role in the states water system. The region is the source of 60% of the state's developed water supply, providing all or part of the drinking water for 23 million people.

The SWWG is a collaborative and voluntary workgroup of members functioning under a Memo of Understanding. Thirteen IRWM groups from across the Sierras came together under Ms. Mansfield's leadership to develop collaborative approaches to protecting and enhancing California's primary watershed. Formalized with the adoption of a charter in 2011, this multi-stakeholder forum became the first organization to represent and advocate for water interests in the 22 counties making up the Sierra Nevada region as defined by the State Legislature in its formation of the Sierra Nevada Conservancy.

The mission of the SWWG is to increase inter-regional cooperation and assist regional efforts in protecting and enhancing water quality, water supply, and watershed health; to develop cooperative regional responses; and to facilitate reinvestment in our watersheds and water resources for all beneficiaries. Currently there are 13 IRWM members, in addition to numerous public agencies and regional organizations.

The objectives of the SWWG include:

- Coordinating amongst IRWM groups in the region;
- Coordinating and collaborating with local and regional agencies, organizations and other stakeholders interested in Sierra water;
- Exchanging information and tools for water and watershed management amongst stakeholders in the region;
- Serving as an information source regarding state and federal water policy issues for local governments, non-profits, and other stakeholders;
- Raising the profile of the Sierra to increase private, state and federal funding opportunities; and
- Advocating for Sierra water issues in state and federal legislative and administrative forums.

The SWWG is an S-Corporation registered to do business in California. The SWWG is also a California certified small business.

EXPERIENCE AND QUALIFICATIONS

Project Staff

This project will be staffed by Liz Mansfield and Jodie Monaghan.

Jodie Monaghan will:

- Be the primary point of contact,
- Co-manage the project,
- Maintain the membership and contact list including creating and maintaining an online communication portal,
- Coordinate and facilitate all meetings including preparation of meeting notes,
- Coordinate shared engagement with the Roundtable and the CA Department of Water Resources (DWR) and other agencies and organizations including drafting of comment letters and white papers,
- Promote the success of IRWMs including development of content for the web,
- Coordinate the planning and implementation of an annual Summit, and
- Develop an annual survey to document the network's accomplishments and inform strategic planning.

Liz Mansfield will:

- Co-manage the project,
- Collaborate on comment letter, white papers, and other policy issues,
- Maintain contact with DWR, ROR representative, the State Legislature, and other relevant organizations,
- Assist with development of web content, and
- Assist with the planning of an annual Summit.

Experience

Liz Mansfield has 30 years of extensive experience in water management. Elizabeth Mansfield has extensive history in the formation and implementation of the IRWM program. As the Water Consultant to the Assembly's Water, Parks, and Wildlife Committee in 2000, she was involved in early legislation that defined IRWMs.

Currently she is the Executive Director of the Sierra Water Workgroup. Duties include:

- Serving as the primary coordinator amongst the thirteen Sierra Nevada IRWM Plan groups in the region;
- Collaborating with public agencies, non-governmental organizations, Tribes, regional agencies and interested stakeholders to engage and integrate them within IRWMs;

- Providing information and mapping tools for water and watershed management amongst stakeholders in the region; and serving as an information source regarding state and federal water policy issues for local governments, non-profits, and other stakeholders;
- Raising the profile of the Sierra IRWMs to increase private, state and federal funding opportunities; and
- Advocating for Sierra water issues in state and federal legislative and administrative forums.

Since its inception, the SWWG has held six Summits to coordinate, discuss, and educate multiple IRWM stakeholders on water issues specific to the Sierras, with the most recent SWWG Conference occurring in July 2017. Please see www.sierrawaterworkgroup.org for past agendas, conference summaries, IRWM white papers, and other workshop summaries.

In addition to directing the SWWG, Liz has been working with the Sierra Institute to implement the Prop1 Disadvantaged Communities and Tribal Involvement (DACTI) grant in the Mountain Counties Funding Area. Please see a following section for more details.

Prior to SWWG, Liz worked for El Dorado Irrigation District as the Water Resources Manager responsible for water conservation, water policy, watershed management and recreation. She served as the Executive Director of the Integrated Regional Water Management Planning group for the Cosumnes, American, Bear and Yuba River Region (CABY). Previously, Mrs. Mansfield held the position as Deputy Assistant for the California Department of Water Resources in addition to managing projects in the Flood Management Department. She is also familiar with water policy and legislation, serving as Water Consultant to the California Legislature Assembly Committee on Water, Parks and Wildlife. Mrs. Mansfield holds a B.S in Biology and Environmental Science, University of California Berkley.

Jodie Monaghan has over 25 years' experience as a Facilitator and Mediator - specializing in stakeholder engagement, public participation and conflict resolution. Ms. Monaghan has a comprehensive background facilitating consensus-based, multi-stakeholder public policy processes involving water management, natural resource management, land use planning, emergency preparedness and organizational development. She also has extensive project management experience, overseeing budgets, staffing, and client communications.

Prior to joining Sierra Water Workgroup, Ms. Monaghan was a Lead Mediator for 14 years with the Center for Collaborative Policy, a unit of California State University, Sacramento. Jodie worked with the Yosemite-Mariposa (Y-M) IRWM, the Madera IRWM and briefly with the Inyo-Mono IRWM. As the facilitator for the Y-M IRWM, she engaged interested parties in collaboratively developing their IRWM Plan to manage the water resources in Mariposa County. She assisted with meeting planning and project management, negotiated the governance

structure and decision making process, and provided facilitation to the Advisory Council and several of its committees. Ms. Monaghan was responsible for creating a public participation plan to engage interested parties and disadvantaged communities and assisted the Public Outreach Committee in developing a mailer sent to every County resident informing them of the benefits of the IRWM program. The IRWM Plan was completed in July, 2014, revised in June, 2016, and updated in 2018 to meet Prop 1 requirements.

Ms. Monaghan has facilitated numerous groups to achieve their objectives. They include, to name a few, the Climate Change Consortium sponsored by the CA Department of Food and Agriculture, The San Joaquin County Flood Control Technical Advisory Group, the California Commission on Disability Access, and the Suisun Marsh Charter Group – a multi-agency group tasked with addressing land and water management and regulatory issues in the Suisun Marsh - the largest brackish water marsh on west coast of the United States. Duties included:

- Public outreach and engagement of interested parties,
- Development of formal stakeholder groups,
- Data management including membership information, document sharing, and website maintenance,
- Managing the group to ensure continued full participation over time,
- Developing and maintaining communication protocols,
- Coordinating document development including creation of a wide variety of Plans, White Papers, reports, and outreach materials,
- Planning conferences and annual meetings, and
- Creating and conducting surveys and assessments.

Ms. Monaghan has planned and presented numerous conferences, recently for the Emergency Medical Services Authority's Medical Reserve Corps and the national Hospital Incident Command program. She has conducted many organizational assessments and has created and administered several surveys including a statewide survey of 1,500 people regarding Prop 65 signage. She also has helped several agencies and organization complete strategic plans to effectively guide their future. Some of those entities include the Solano County Water Agency, the State Wildlife Action Plan, several Forest Travel Management Plans, Cal/EPA's Unified Program, UC Davis Office of Research, and the American River Parkway Plan.

Ms. Monaghan holds a B.A. in Communications Studies from California State University, Sacramento. She has completed graduate classes in the Public Policy and Administration Program at California State University, Sacramento. Ms. Monaghan has additionally completed numerous courses in facilitation, mediation and conflict resolution. Please see her resume for further details.

Prop 1 Disadvantaged Community and Tribal Involvement Grant

In response to the Prop 1 IRWM Disadvantaged Community Involvement (DACI) grant opportunity, Jodie Monaghan joined Sierra Water Workgroup. Ms. Mansfield and Ms. Monaghan brought together 10 disparate IRWMs in the Mountain Counties Funding Area (MCFA) to create one cohesive group working together to leverage the limited funding to benefit the greatest number of communities. The group established the Disadvantaged Community (DAC) Coordinating Committee, collaboratively drafted a Request for Proposal (RFP), and selected the Sierra Institute for Community and Environment (Sierra Institute) as the grant applicant. DWR awarded the grant in September 2017. To recognize the inclusion of Tribes, the DAC Coordinating Committee renamed the grant to the Disadvantaged Community and Tribal Involvement (DACTI) grant.

SWWG partnered with the Sierra Institute to identify and engage disadvantaged communities in the MCFA. The scope of work for Ms. Mansfield and Ms. Monaghan include:

- Identification and outreach to water/wastewater service providers in each of the IRWMs, encouraging involvement in their IRWMs and helping build capacity to participate,
- Assessing the challenges and technical assistance needs of water and wastewater service providers,
- Leading workshops in each IRWM to further engage water and wastewater service providers,
- Working with the Technical Assistance subcommittee to create recommendations for using the technical assistance dollars,
- Assist the DAC Coordinating Committee engage DWR to address the needs of the largest Funding Area, and
- Support the DAC Coordinating Committee to apply for implementation funding.

In addition, Liz and Jodie planned, coordinated and effected a statewide DACTI Lessons Learned Summit in November 2018. The day-and-a-half Summit brought together more than 75 attendees representing all 12 Funding Areas in the state. The goals of the Summit were to:

- Share DACI program strategies, approaches and experiences,
- Showcase IRWM success stories, and
- Demonstrate the importance of comprehensive and collaborative approaches to sustain and strengthen IRWMs.

Panel and presentation topics ranged from approaches to tribal engagement, water/wastewater needs assessment, and determining community capacity to addressing IRWM hurdles and developing long-term strategies to build partnerships and leverage resources. A follow-up survey documented the success of the Summit. Attendees appreciated the open and honest conversations – and the opportunity to build and strengthen relationships.

RELEVANT PROJECTS AND REFERENCES

**PROJECT: Prop 1 Disadvantaged
Communities and Tribal
Involvement for Mountain
Counties Funding Area**

Client: Sierra Institute for
Community and
Environment
Consultant: Liz Mansfield and Jodie
Monaghan
Dates: May 2017 to present
Contact: Jonathan Kusel
Project Manager
P.O. Box 11
Taylorsville, CA 95983
(530) 284-1022
jkusel@sierrainstitute.us

PROJECT: SIERRA WATER WORKGROUP

Client: Sierra IRWMs
Consultant: Liz Mansfield
Dates: 2010 - present
Contact: John Shelton
Executive Director
San Joaquin River
Conservancy
5469 E. Olive Avenue
Fresno, CA 93727
(559) 253-7324
info@sjrc.ca.gov

PROJECT: CABY IRWM

Client: CABY RWMG
Consultant: Liz Mansfield
Dates: 2007 - 2010
Contact: Elizabeth Betancourt
Project Manager
State Water Resources
Control Board
1001 - I Street
Sacramento, CA 95814
(415) 378-6709
EBetancourt.resources@gmail.com

PROJECT: Yosemite-Mariposa IRWM

Client: Mariposa County Resource
Conservation District
Consultant: Jodie Monaghan
Dates: 2012 - 2017
Contact: Pat Garcia
Project Manager
5009 Fairgrounds Rd
Mariposa, CA 95338
(209) 742-7728
patgarcia444@gmail.com

PROJECT APPROACH

The SWWG has created the team of Ms. Mansfield and Monaghan. Both are well-versed in IRWMs. We are strategically located in the Sacramento region where we are able to interact with DWR and other agencies with water-related missions such as the State Water Resources Control Board (SWRCB). We are committed to assisting the Roundtable of Regions (ROR) engage collaboratively using sustainable strategies to address complex governmental issues.

The overall approach to this project is to:

- Capitalize on our knowledge of ROR members, IRWM operations, and state agencies,
- Work with the ROR Planning Team,
- Engage ROR members to support the ROR,
- Facilitate the ongoing work of the Roundtable,
- Execute the major tasks of:
 - Engagement,
 - Organizational management,
 - Coordination,
 - Facilitation,
 - Documentation, and
 - Communication.

Under the auspices of the ROR Planning Team, our team will address the following six areas:

Area 1: Membership and Communications

An up-to-date ROR membership list will be created and maintained by Jodie Monaghan. The list will be used to create an email distribution list to ensure all communications are sent to all members. A contact list of relevant agencies and organization will also be created and maintained. This list may include DWR, SWRCB and other state agency contacts, key water agencies, nonprofit organizations, academics, and other relevant contacts.

Accessible data is important. While Google Docs is an option, SharePoint offers a better information sharing platform. Files can be managed and the history tracked to keep everyone on the same page. The \$20 monthly charge for a SharePoint site is fairly minimal and offers file, data, news and resource sharing. SharePoint allows secure communication and collaboration with the ROR across multiple platforms including Windows, Macs and mobile devices.

Area 2: Support Regular Conference Calls

Productive conference calls ensure active participation and effective use of member's time. Nobody enjoys attending a meeting convened for the sake of meeting. There are numerous video and audio conferencing sites. Zoom is an easy-to-use video conferencing vendor that costs about \$20 per month.

Ms. Monaghan has facilitated hundreds of meetings, both in-person and via conference and/or video conference calls. To create effective meetings, it is imperative to:

- Track potential agenda items so nothing drops through the cracks.
- Meet with the Planning Team to develop an agenda well in advance of the proposed meeting. Each agenda item should have a purpose and a desired outcome.
- Poll ROR members if necessary to find a mutually acceptable meeting date and time.
- Contact presenters to ensure their participation, walk them through the purpose of their presentation, and emphasize time limitations for their agenda item. Request any PowerPoint presentations in advance of the meeting.
- Schedule any resources such as Zoom meetings or other conference calling services.
- Finalize the agenda.
- Invite ROR members and any other requested guests to the meeting. The invitation should be extended at least one week in advance and sent with the agenda. If possible, consider standing monthly, bi-monthly or quarterly meetings. Request and track RSVPs.
- Brief any new members to ensure their ability to fully participate.
- Follow-up with ROR members to encourage participation.
- Convene and facilitate the meeting, taking notes to capture action items, document discussions and decisions, and record next steps.
- Distribute notes within one week of the meeting.
- Track action items and follow up with the responsible parties.

Area 3: Network Engagement

Keeping up-to-date with DWR and other state agencies is a critical role for the ROR Coordinator. Ms. Mansfield has demonstrated expertise in utilizing relationships with DWR and other associated organizations to understand ever-changing water issues and policy. As former staff of DWR, she understands the functions (and dysfunctions) of the agency. Liz Mansfield and Jodie Monaghan will schedule regular meetings with DWR and available ROR representatives to keep up-to-date on IRWM issues and other related policy areas.

ROR is a recognized force helping to shape water policy in the state. When input is solicited on draft documents, guidelines and programs, Ms. Monaghan will inform the ROR and IRWMs and schedule meetings to discuss comments. She will provide links to documents and emphasize both internal due dates and agency deadlines. Additionally, she will coordinate the collection

and compilation of comments and prepare response letters and/or input documents. SharePoint will be used for collaboratively developing and editing documents. Ms. Monaghan will coordinate any communication between DWR and the ROR.

Being aware of the pending release of documents soliciting comments is a challenge. Subscribing to the Water Plan eNews and other program newsletters is a start. However, DWR, like many state agencies, sometimes has a fluid and ever-changing schedule. Ms. Monaghan will be responsible for periodically checking with agencies and communicating with agency staff to ensure that changing release and due dates are captured and communicated with the ROR.

Mses. Mansfield and Monaghan will share liaison responsibilities with organizations such as the Water Bond Coalition, ACWA, EJCW, CASQA, GRA, Floodplain Management Association and other relevant organizations. Each will coordinate information sharing with their assigned organizations and participate in their meetings as requested or useful.

Both Ms. Mansfield and Ms. Monaghan will promote IRWM principles and advocate for IRWM funding. Liz is experienced in drafting legislation and working with legislators; Jodie is skilled at public relations and communication. Both are proficient at developing principles for and providing input on bond measures. Both are also passionate about IRWMs and welcome opportunities as they arise to promote, extend and strengthen the IRWM program. Exploring the connectivity with Groundwater Sustainability Plan development is an obvious next step to support conjunctive water use at the regional level.

Area 4: IRWM Promotion

After investing in IRWMs for more than 15 years, it is regrettable that the State Legislature is deemphasizing the program. The DWR website no longer lists IRWMs as a program and instead lists only Proposition 1. IRWM information can only be accessed by searching for "IRWM." Yet the program has provided over \$1.5 billion in State funding to support and advance integrated, multi-benefit regional projects. IRWM implementation grants awarded to date have resulted in implementation of 800+ IRWM projects providing a wide range of benefits. Ms. Monaghan will prepare talking points and other promotional material to assist ROR members promote IRWMs.

Ms. Monaghan will work with a subcommittee to create a website dedicated to decimating information and promoting the success of IRWM programs. The website can also serve as a repository of successful grant applications that can be used as templates for future grant applications. The web domain name "RoundtableofRegions.com" is currently available for \$10 and an annual renewal fee of \$14.99. There are several web building companies. GoDaddy is an easy-to-use vendor that hosts and supports unlimited sized websites, faster memory loading and a SSL certificate to avoid being labeled as a "Not Secure" site. With daily malware scanning and continuous security monitoring for other threats, the website can be hosted for less than \$25 per month.

Area 5: Summits

Similar to the recent DACTI Lessons Learned Summit held in November 2018, Mses. Mansfield and Monaghan will work with a subcommittee to plan at least one “Summit” for the Roundtable. They will work with a planning team to:

- Determine the Summit theme
- Develop a list of invitees, sponsors and speakers
- Develop a budget
- Contact potential speakers and sponsors
- Research and secure a venue and catering
- Finalize a date
- Draft both a public agenda and a facilitation plan
- Invite participants
- Coordinate speakers and presentations
- Coordinate the participation of other industry associations
- Outreach to encourage participation
- Prepare all collateral material and arrange to have the material printed
- Manage all logistics including AV
- Handle all registration fees (if any) and seek sponsors for attendance scholarships
- Note taking during Summit
- Produce a convening report following a successful conference.
- Any other duties as required

To enable them to fully participate in the Summit as well as regularly scheduled meetings, new ROR representatives will be fully briefed on the work of the ROR. In addition, Liz and Jodie are available to participate in other industry association events and conferences as directed by the ROR Planning Team.

Area 6: Strategic Planning

Strategic planning is an important aspect of organizational development. It is the process of determining an organization’s direction, and then making decisions on resource allocation (time and money) to guide its forward trajectory. It is equally important to periodically review the strategy and make adjustments in response to changing conditions and priorities.

SWWG will develop a survey based on past Roundtable surveys. ROR members will evaluate the group’s accomplishments and the efficacy of communicating the successes of the IRWMs. The survey will also seek to identify trends and other factors that have the potential to impact IRWMs. SWWG will compile the results and work with the Planning Team to create recommendations to address any new and changing conditions. The final report will be distributed to all ROR representatives.

PROJECT SCHEDULE

Proposed Timeline (Assumes start date of February 25, 2019)

Area	Task	2019												2020			
		Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb			
	Work with ROR Planning Team	◆															
1	Create current membership list	◆	→														
1	Set up online portal for file sharing		◆														
2	Request topics and prepare agendas		◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆		
2	Schedule meetings		◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆	◆		
3	Schedule meetings with DWR		◆		◆		◆		◆		◆		◆				
3	Compile comments and prepare letters and other input documents	(as needed)															
3	Liaison with other organizations		◆ ongoing	→													
3	Promote IRWM principles and funding		◆ ongoing	→													
3	Liaison with ROR ad hoc subgroups	(as needed)															
3	Coordinate White Paper preparation	(as needed)															
4	Promote , extend and strengthen the IRWM program		◆ ongoing	→													
4	Create communication materials to tell the “Story of IRWM”			◆	→	◆											
	Create and maintain a website			◆ ongoing	→												
5	Plan and coordinate a Summit					◆	→	◆									
6	Develop and conduct a survey													◆			
6	Analyze data													◆			
6	Prepare and distribute survey results													◆			

Work will be completed on a time and materials basis. All work will be performed by Liz Mansfield and Jodie Monaghan. The rate for both Ms. Mansfield and Ms. Monaghan is \$125 per hour. Travel time outside the Sacramento area will be billed at 50% of the labor rate and mileage will be charged at the 2019 federal rate of \$0.58 per mile.

The proposed budget, including direct expenses, is presented as a not-to-exceed amount of \$125,870.00. If the actual work does not use all the hours estimated, the hours will not be billed. A detailed budget follows on the next page.

Increase for Follow-on Budgets

Should the SWWG be fortunate enough to be awarded this contact and then have this contact renewed, the labor rate would be increased as follows:

2020	\$ 130/hour
2021	\$ 135/hour
2022	\$ 145/hour
2023	\$ 150/hour

Direct expenses are more difficult to predict. Direct expenses such as printing, website hosting, file sharing software and video conferencing services will be billed at actual cost. Mileage reimbursement will be at the prevailing federal rate.

General Assumptions

- Hours identified may be shifted between team members and tasks with the approval of the ROR.
- Communication of meeting materials will be provided electronically.
- Hours and expenses will be invoiced monthly.
- Photocopying and printing will be coordinated by SWWG unless otherwise arranged in advance. The actual cost of any such services will be included in the monthly invoice to SAWPA.
- The SWWG will contract with technology vendors for file sharing, website and video conferencing. The actual costs will be included in the monthly invoice to SAWPA.
- Major Summit vendors such as venue rental and catering will be engaged by SWWG. However, it is expected that SAWPA will pay vendors directly for these expenses.
- Conditions causing assumptions described in this scope of work to be exceeded will be communicated to the client for their approval in advance if any work.

Proposed Budget

Area	Task	Monaghan	Mansfield
	LABOR Rate	\$125/hr	\$125/hr
1	Membership Maintenance and Data Sharing	48 hrs	-
2	Conference Calls	144 hrs	36 hrs
3	Network Engagement including: <ul style="list-style-type: none"> • Meetings with DWR and ROR representative • Coordination of comments • Liaison with other organizations • Promotion of IRWMS • Working with ROR Subgroups • Drafting White Papers 	288 hrs	144 hrs
4	IRWM Promotion including collateral materials and website	84 hrs	24 hrs
5	Summit	72 hrs	72 hrs
6	Survey	42 hrs	12 hrs
	Project Management	30 hrs	-
		708 hrs	288 hrs
		\$88,500.00	\$36,000.00
	LABOR SUBTOTAL		\$124,500.00
	DIRECT EXPENSES		
	File Sharing software		240.00
	Web hosting		300.00
	Video conference calling service		250.00
	Mileage @ .58/mile (estimate 1,000 miles)		580.00
	DIRECT EXPENSE SUBTOTAL		\$ 1370.00
	TOTAL DO NOT EXCEED AMOUNT		\$125,870.00



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RESUME

Liz Mansfield

Executive Director

Sierra Water Workgroup

www.sierrawaterworkgroup.org

Summary

Liz Mansfield is currently the Director of the Sierra Water Workgroup. The Sierra Water Workgroup mission is to increase inter-regional cooperation and assist regional efforts in protecting and enhancing water quality, water supply, and watershed health. Liz Mansfield has extensive experience in water management. She worked for El Dorado Irrigation District as the Water Resources Manager responsible for water conservation, water policy, watershed management and recreation. She served as the Executive Director of the Integrated Regional Water Management Planning group for the Cosumnes, American, Bear and Yuba River Region (CABY). Previously, Mrs. Mansfield held the position as Deputy Assistant for the California Department of Water Resources in addition to managing projects in the Flood Management Department. She is also familiar with water policy and legislation, serving as Water Consultant to the California Legislature, Assembly Committee on Water, Parks and Wildlife. Liz Mansfield also has experience in the field of biological sciences working as an aquatic biologist for the U.S. Bureau of Reclamation in the beginning of her career.

Mrs. Mansfield holds a B.S in Biology and Environmental Science, University of California Berkley.

Selected Experience

Sierra Water Workgroup

Year: 2010 - Present

Mrs. Mansfield formed the Sierra Water Workgroup to assist and support the Integrated Regional Water Management (IRWM's) in the Sierra Nevada. Currently there are 13 IRWM members, in addition to numerous public agencies and regional organizations. In addition to the following duties, Mrs. Mansfield has led and implemented SWWG Summits or workshops for the past six year, please see www.sierrawaterworkgroup.org.

Executive Director

- Serving as the primary coordinator amongst the thirteen Sierra Nevada Integrated Regional Water Management Plan groups in the region;
- Collaborating with public agencies, non-governmental organizations, Tribes, regional agencies and interested stakeholders to engage and integrate them within IRWMs;
- Providing information and mapping tools for water and watershed management amongst stakeholders in the region; and serving as an information source regarding state and federal water policy issues for local governments, non-profits, and other stakeholders;
- Raising the profile of the Sierra IRWMs to increase private, state and federal funding opportunities; and
- Advocating for Sierra water issues in state and federal legislative and administrative forums.

Sierra Water Workgroup - Prop 1 Disadvantaged Communities and Tribal Involvement Project

Year: 2017 - Present

Water and Wastewater Needs Assessment

Mrs. Mansfield is responsible for conducting the water and wastewater assessment for the nine IRWMs in the Mountain Counties Funding Area. This includes, coordination with the IRWMs, outreach, data collection, presentation and analysis.

Lessons Learned

Mrs. Mansfield assisted in organized and implemented the “Lessons Learned Summit” for all the Funding Areas state-wide to share experiences regarding disadvantaged community and Tribal involvement in IRWMs. This included a wide-range of key speakers, specific information to present, and coordinate efforts across IRWMs and Funding Areas.

El Dorado Irrigation District

Year: 2004 - 2010

Water Resources Division Manager (2007-4/22/2010) El Dorado Irrigation District

- Board member of the Cosumnes, American, Bear and Yuba (CABY) Integrated Regional Water Management Region non-governmental organization also serves as the Districts representative on the American River Basin Integrated Regional Water Management Region (IRWM).
- Representing the District and coordinating efforts among multiple regional organizations and IRWM (CABY, Regional Water Authority, Association of California Water Association, Northern California Water Authority, Sierra Nevada Alliance)
- Managed a large Division of staff responsible for recreation, climate change, urban and agricultural water conservation, drought, and watershed restoration and protection.
- Providing regional advocacy and for the District in the areas of IRWMP, conservation, recycled water, environmental protection, and water quality.
-

Watershed Manager (04/20/04 – 2007) El Dorado Irrigation District

- Managing the water use efficiency and watershed programs at El Dorado Irrigation District.
- Representing the District at local and state-wide watershed forums.
- Serving as the Executive Director of the Cosumnes, American, Bear and Yuba (CABY), Integrated Regional Water Management Planning effort.
- Providing regional advocacy for the District and the CABY region.

Department of Water Resources

Year: 2001 – 2004

Role: Process designer, facilitator and project management

Deputy Assistant (04/01/03– 04/15/04) California Department of Water Resources, Executive Division, Legislative Office, Sacramento California.

- Represents Department of Water Resources (DWR) before legislative committees and hearings; serves as a spokesperson on water legislation; provides written and verbal testimony on bills before legislative committees; presents DWR positions to individual legislators and their staff.
- Advises the Deputy Director for Legislation on water legislation impacting the programs and policies of DWR; makes day-to-day policy decisions on legislative issues; provides policy reviews and approval authority on behalf of the department on legislative issues, including bill analyses; provides training and guidance to departmental managers and staff.
- Prepares DWR legislative proposals; analyzes legislative proposals by other agencies; prepares analyses of bills, including Enrolled Bill Reports; and amendments relevant to State and federal legislation; works closely with sponsors and constituents to resolve issues and provide alternatives.
- Responds to inquires from the Administration and legislative staff on bills affecting DWR and its programs and policies; provides technical assistance to legislative staff in drafting legislation or amendments; prepares correspondence; prepares technical assistance to legislative staff in drafting legislation or amendments; prepare correspondence; prepares Governor's Action Requests; prepares weekly legislative report to Resources Agency; prepares DWR's annual legislative summary.
- Serves in the absence of the Deputy Director for Legislation regarding policy decisions; performs special projects related to legislative matters as assigned by the Deputy Director for Legislation.

Environmental Scientist (12/01/01– 04/01/03) California Department of Water Resources, Division of Flood Management, Sacramento California.

- Served as a water expert and advisor to the Governor's Floodplain Task Force, provided policy advice and technical expertise regarding legislation
- Researched issues and provided strategic planning, environmental integration, public outreach and impacts of related water issues in California.
- Represented DWR on the Sacramento and San Joaquin Rivers Basins Comprehensive Study, Federal and State agencies working together with local government and stakeholders to develop a comprehensive plan to reduce flood damage and integrate ecosystem restoration.
- Provided policy related documents for the Comprehensive Study to management.

- Working as the project manager on Proposition 13, Flood Protection Corridor Program.
- Managing and overseeing environmental compliance of locally based projects that provide multiple benefits such as flood protection, agricultural preservation, environmental restoration, and water quality.
- Assisted in the preparation and development of the next FPCP State solicitation process for \$32 Million.

Non-Governmental Organizations

Year: 2001

Water Consultant - Natural Resources Defense Council & Natural Heritage Institute, 2/01/01- 11/29/01

- Provided policy advice and technical expertise to the Natural Resources Defense Council (NRDC). Represented NRDC at meetings regarding the San Joaquin River Restoration Project and coordination activities.
- Provided project management services and technical expertise to the Natural Heritage Institute (NHI). Served as project manager for the Delta Subsidence Program, responsible for managing contracts and providing DWR with quarterly reports.
- Assisted in the data collection and evaluation of NHI's groundwater conjunctive use project.

California Legislature, Assembly Committee on Water Parks and Wildlife

Year: 2000-2001

Water Consultant 02/10/00 – 01/31/01 California Legislature, Assembly Committee on Water, Parks and Wildlife, Sacramento, California.

- Acquired extensive knowledge in California water law and water policy. Analyzed water bills for the Assembly Committee on Water, Parks and Wildlife.
- Organized and managed stakeholder meetings to review and provide input to legislation.
- Assisted in developing and organizing an informational water transfer hearing in Yuba City.
- Represented Assemblyman Mike Machado (Assemblyman) in water policy related meetings.
- Advised the Assemblyman on current water policy, upcoming legislation, and State agency activities involving water issues.
- Provided the Assemblyman guidance and advice regarding the CALFED Bay-Delta Program, a consortium of Federal and State agencies working with stakeholders to develop a comprehensive long-term solution to the problems of the Sacramento-San Joaquin Bay-Delta.
- Assisted in developing legislation on water transfers, flood control, and CALFED Governance.
- Researched and composed a speech for the Assemblyman titled "Can or should water be a commodity?"

United States Bureau of Reclamation

Year: 1991 – 2000

Natural Resource Specialist (Project Manager) 02/28/99-02/10/00. U.S. Bureau of Reclamation, Mid-Pacific Region, Division of Planning, California.

- Worked on the CVP Yield Feasibility Investigations and the CALFED Regional Strategy paper to identify linkages between surface storage, groundwater storage, conveyance, water transfers, reservoir operations, water use efficiency measures, ecosystem restoration, water quality and levee improvements.
- Managed a variety of projects to increase water supply for environmental purposes, agricultural and urban uses and to meet water quality objectives.
- Organized, directed, and technically supervised inter-disciplinary technical teams consisting of stakeholders and agency experts in hydrologic modeling, reservoir operations, hydroelectric power generation, riparian restoration, and water quality.
- Developed and implemented public involvement programs and workshops for various projects.
- Prepared statements of work, estimated costs, and evaluated contracts proposals for all assigned investigations.

Environmental Specialist (Environ Technical Coordinator) 09/13/98-02/28/99. U.S. Bureau of Reclamation, Division of Environmental Affairs, Sacramento, California.

- Worked with Federal agencies to identify, evaluate, and resolve conflicts and help ensure a unified position on water and environmental matters pertaining to the Bay-Delta.
- Assisted in the preparation, processing and reviewing of related environmental impact statements, environmental assessments, environmental planning reports, and other environmental documents.
- Presented a formal speech and one day roundtable session on CALFED at the University of Menedez Pelayo, Santander Spain (08/31/98).

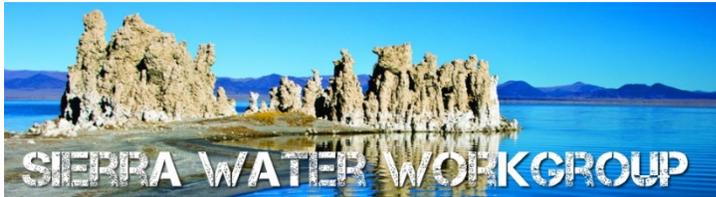
Environmental Specialist (CALFED Coordinator) 11/10/96-09/13/98 U.S. Bureau of Reclamation, Mid-Pacific Region, Regional Office, Division of Environmental Affairs, Sacramento, California.

- Served as Reclamations coordinator and liaison for CALFED to develop, analyze, and refine alternatives being evaluated for the Program's Programmatic EIS/EIR.
- Served as an expert in the area of environmental issues and provided guidance and recommendations in addressing environmental matters pertaining to water policies, operations of the Central Valley Project and environmental impacts.
- Assisted in allocating State Proposition 204 and \$85 Million of Federal matching funds to Federal agencies, State agencies, water districts and private entities.
- Assisted in the development of criteria and project selection and negotiation of CALFED language for Interagency Agreements and Cooperative Agreements.
- Tracked Federal contracting progress for internal Reclamation staff, Department of Interior staff, and stakeholders participating on the CALFED Ecosystem Roundtable.

- Oversaw implementation of specific activities and determined appropriate information to include in the Bay-Delta Enhancement Act quarterly reports to Congress.

Aquatic Biologist 09/04/91-11/10/96. U.S. Bureau of Reclamation, Mid-Pacific Region, Regional Office, Division of Environmental Affairs, Sacramento, California.

- Served as Reclamations representative in the San Francisco Bay Sacramento/San Joaquin (Bay-Delta) System Interagency Ecological Program (IEP), a consortium of Federal and State agencies responsible for collecting data and assessing environmental needs for fish and wildlife.
- Acquired extensive knowledge of the biological, physical, and chemical properties of the Sacramento San Joaquin Bay-Delta (Delta).
- Attained skills in computer programming for use in statistical analysis.
- Prepared biological and water quality portions of technical reports.
- Participated in hydrodynamic studies with USGS and DWR to assess Delta water quality standards.
- Conducted research and co-authored a report paper with a U.C. Davis researcher on the effects of climate change on the adult population of Striped Bass.



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Jodie Monaghan

Mediation • Facilitation • Training

Summary

Jodie Monaghan has over 25 years' experience as a Mediator and Facilitator - specializing in stakeholder engagement, public participation and conflict resolution. Ms. Monaghan has a comprehensive background facilitating consensus-based, multi-stakeholder public policy processes involving natural resource management, water management, land use planning, emergency preparedness and organizational development. She also has extensive project management experience, overseeing budgets, staffing, and client communications.

In 2017, Ms. Monaghan teamed with the Sierra Water Workgroup support the work of the IRWMs in the Sierra Nevadas. Concurrently, she is the founding Principal of JM Consultants. Prior to founding JM Consultants, Ms. Monaghan was a Lead Mediator with the Center for Collaborative Policy, a unit of California State University, Sacramento. Previously, she was employed by Zellerbach Paper Company, providing management consulting and, among other projects, implemented the Total Quality Management program in the Hawaii Branch.

Ms. Monaghan holds a B.A. in Communications Studies from California State University, Sacramento. She has completed graduate classes in the Public Policy and Administration Program at California State University, Sacramento. Ms. Monaghan has additionally completed numerous courses in facilitation, mediation and conflict resolution.

Selected Experience

Prop 1 Disadvantaged Communities and Tribal Involvement Project

Client: Sierra Water Workgroup / Sierra Institute for Community and Environment

Year: 2017 - Present

Role: Process designer, Facilitator and Outreach

Ms. Monaghan was instrumental in bringing the 10 Intergrated Regional Water Management (IRWM) programs in the Mountain Counties Funding Area (MCFA) together to submit a grant application for Prop 1 funding for Disadvantaged Communities and Tribal Outreach. The challenge was bringing together 10 IRWMs who had previously been competing for funding, and helping them to cooperatively write a Request for Qualifications to solicit and select a grant applicant, and develop

a Scope of Work for the grant application. The MCFA was allocated \$1.7M for this effort. Ultimately, Sierra Institute for Community and Environment was selected. Ms. Monaghan continues to work with SWWG and Sierra Institute providing planning and facilitation for water and waterwater needs assessment surveys and workshops.

Ms. Monaghan also assisted in planning and implementing the “Lessons Learned Summit” for the 12 Funding Areas in the state held in November 2018. The day-and-a-half Summit brought together more than 75 attendees representing all 12 funding areas as well as DWR staff. The goals of the Summit were to share experiences regarding disadvantaged community and Tribal involvement in IRWMs, showcase IRM success stories, and Demonstrate the importance of comprehensive and collaborative approaches to sustain and strengthen IRWMs. The Summit included a wide-range of key speakers, sharing of information, and coordination of efforts across IRWMs and Funding Areas. Attendees had many opportunities to build and strengthen relationships.

UC Davis California National Primate Research Center Strategic Plan

Client: UC Davis Office of Research

Year: September, 2017 - 2018

Role: Process designer, facilitator and project management

After conducting a Train-the-Trainers workshop on strategic planning for the eight research units of the UC Davis Office of Research, the Primate Center requested additional assistance in developing their strategic plan. Worked with the Director and Associate Directors to design an inclusive and collaborative process. Created a planning workbook for use by the 50 participants representing the various units and services of the organization. Conducted a two-day retreat that focused on reviewing the Center’s SWOT analysis, creating a mindmap graphically illustrating the points of intersection of the issues and opportunities to be considered by the Center, and developing goals and objectives. Following the retreat, worked with the various subcommittees to further refine the goals and objectives, and develop an action plan to implement the objectives.

Yosemite-Mariposa Integrated Regional Water Management Plan

Client: Mariposa County Resource Conservation District (MCRCD)

Year: 2012 – 2017

Role: Process designer, facilitator and project management

Ms. Monaghan assisted the Yosemite-Mariposa Integrated Water Management Group engage interested parties in collaboratively developing an Integrated Regional Water Management Plan (IRWM Plan) to plan for and manage the water resources in the County. The IRWM Plan was developed by stakeholders representing the Mariposa County RCD, Mariposa County, public and private water districts, local community and environmental non-profit groups, tribal interests, and state and federal resource agency partners. Assisted with meeting planning and project management, and negotiated the governance structure. Provided facilitation to the Advisory Council and several of its committees including the governance and public outreach committees. Created a public participation plan to engage interested parties and disadvantaged communities. The Plan was completed in July, 2014, revised in 2016 and updated in 2018 to meet the requirements of Prop 1.

Solano County Water Agency Strategic Plan

Client: Solano County Water Agency (SCWA)

Year: September, 2015 – December 2016

Role: Process designer, facilitator and project management

SCWA is a wholesale water agency who provides untreated water to cities and agricultural districts in Solano County from the Federal Solano Project and the North Bay Aqueduct of the State Water Project. The agency also has a flood control function.

Assisted the SCWA and its 15 Participating Agencies in developing a Strategic Plan to guide SCWA for the next 10 years. The Participating Agencies include the seven cities in Solano County, the five County Supervisors and three water districts. Conducted an assessment of key stakeholders to assess the current effectiveness of SCWA. Designed a stakeholder-driven strategic planning process that includes representatives from SCWA and the Participating Agencies. Assisted the stakeholder group in creating a robust Strategic Plan with goals, objectives and a short-term implementation plan that will allow SCWA to achieve their vision and mission.

Prop 65 Warning Sign Survey

Client: Office of Environmental Health Hazard Assessment (OEHHA)

Year: June, 2015 – December, 2015

Role: Process designer, investigator and project management

OEHHA is responsible for protecting California citizens and the State's drinking water sources from chemicals known to cause cancer, birth defects or other reproductive harm, and to informing citizens about exposures to such chemicals. Industry challenged OEHHA's assumptions in response to proposed regulatory changes to the language of current Prop 65 warning signs. OEHHA commissioned a study to evaluate the "helpfulness" of both current and proposed sign language. Assisted in designing the study, authoring the survey and leading a team of four to conduct the survey at 19 Department of Motor Vehicles throughout the state. Over 1,500 individuals responded to the survey administered on iPads. The results conclusively supported the new signage language.

State Wildlife Action Plan (SWAP)

Client: California Department of Fish and Wildlife (DFW)

Year: 2012 – June, 2014

Role: Lead Mediator and Project Manager

Assisted with public engagement and public outreach for this multi-year statewide planning process. Provided strategic management and planning consultation to assist DFW Project Management. Managed development of the SWAP website. Developed the project newsletter and managed its monthly publication. Designed and facilitated thirteen scoping meetings statewide – many of them highly contentious. Planning included meeting design to address contentious issues, announcements, press releases, newsletters, and other innovative approaches to disseminate scoping meeting information to encourage public participation and input.

Climate Change Consortium

Client: California Department of Food and Agriculture (CDFA)

Year: Nov. 2012 – June 2013

Role: Project Lead, process designer and facilitator

Understanding that climate change will affect the state's \$43 Billion agriculture business, CDFA convened a consortium of stakeholders representing the 23 specialty crops grown in the state. The purpose of the Consortium was to determine specific strategies that can be implemented on the ground to adapt to climate change and provide some direction to CDFA on needs and actions that can be taken to help agriculture adapt to climate change. The strategic solutions with specific short and long term recommendations to address climate change impacts will protect California's diverse specialty crop food supply into the next century. Ms. Monaghan designed the meetings to accommodate both subject matter experts and robust discussion among Consortium members who included growers, scientists, members from the California RCDs, members from the California Agricultural Commissioners and Sealers Association Certified Crop/Pesticide Advisors and other representatives from the largest specialty crops commodity groups in California.

Eldorado Forest Travel Management Plan

Client: US Forest Service

Year: 2013

Role: Lead Mediator and Project Manager

Mediated a long-standing dispute involving the US Forest Service, Off-Highway Vehicle community, county governments and environmental groups. Various stakeholders had challenged the Eldorado Forest Travel Management Plan. Eleven appeals were filed in response to the Final Supplemental Environmental Impact Statement and Record of Decision (ROD). Assessed the issues and stakeholder interests, identified areas of common goals, and facilitated a meeting about towards a potential negotiated agreement. Individualized negotiation communications after the meeting resulted in a mutually beneficial agreement and the withdrawal of all eleven appeals, allowing the ROD to be implemented.

California Fish and Wildlife Strategic Vision

Client: California Natural Resources Agency

Year: September 2011 through April 2012

Role: Process designer, interviewer, facilitator, findings author

Assisted the California Natural Resources Agency to collaboratively develop a strategic vision for the then-California Department of Fish and Game (DFG) and the California Fish and Game Commission (F&GC) using visioning processes, public participation and occasionally dispute resolution. At the request of the legislature, the Natural Resources Agency convened a 7-member Executive Committee, a 7-member Blue Ribbon Citizens Commission and a 49-member stakeholder advisory Group to develop a strategic vision. The purpose of the strategic vision was to improve and enhance the capacity and effectiveness of DFG and the F&GC in fulfilling their public trust responsibilities for protecting and managing the state's fish and wildlife. Assisted the Project Manager in developing the process design. Facilitated the Stakeholder Advisory Group meetings and topic-specific work groups.

Unified Program Assessment and Strategic Plan

Client: Cal/EPA

Year: February through June, 2012

Role: Process Designer, Interviewer, Facilitator, Strategic Plan Author

In recognition of the Unified Program's 20th year of operation, conducted an evaluation of the program in preparation for updating the Unified Program Administration and Advisory Group's (UPAAG) Strategic Plan. Reviewed current statutes and regulations to understand the intent of the Unified Program; conducted an assessment of key stakeholders to assess the current effectiveness of the Unified Program; made recommendations to align current operations with the goals of the Unified Program; and facilitated the update of the 2009 Strategic Plan. The strategic planning process included members of the UPAAG representing local Certified Unified Program Agencies, Cal/EPA, the State Water Board, California Emergency Management Agency and the Department of Toxic Substances Control. Provided the project design, developed the assessment questionnaire, conducted the assessment interviews and facilitated the 2-day strategic planning meeting. Presented the assessment results, strategic plan overview, and recommendations for further improving the Unified Program to the Cal/EPA Secretary and his management team.

Sacramento Water Conservation Advisory Group

Client: City of Sacramento

Year: 2010 – 2014

Role: Project Lead, process designer and facilitator

Water conservation is a priority and long-standing element of the City of Sacramento's water resource portfolio. With the passage of Senate Bill 7 of Special Extended Session 7 (SBX7-7), the City was required to meet specific water conservation savings targets by 2020. Ms. Monaghan facilitated the Advisory Group who serve in an ongoing advisory capacity to the Department of Utilities regarding the City's water conservation programs and policies. Assisted in the development of the Water Conservation Plan, outreach to key stakeholders and building collaborative partnerships between stakeholders as they advised on measures to achieve SX7-7's goals.

Hospital Incident Command System (HICS) National Summit

Client: California Emergency Medical Services Authority (MS Authority)

Year: February – December 2011

Role: Process Designer, Planner and Facilitator

EMS Authority is the owner of the Hospital Incident Command System. In preparation for updating the HICS Guidebook, EMS Authority convened key stakeholders throughout the United States to review the current HICS manual, discuss lessons learned from the use of HICS in acute care healthcare facilities, identify improvements that can be made to specific areas in the HICS Guidebook and review current regulatory compliance to ensure HICS appropriately addresses regulatory changes. Summit participants confirmed that the discussions and recommendations were timely and needed. At the conclusion of the Summit, authored Summit proceedings.

Medical Reserve Corps (MRC) Annual Conference

Client: Emergency Medical Services Authority

Year: 2009 - 2010

Role: Project Lead, Process Designer, Planner, and Facilitator

A key component of California's Citizen Corps Program, the Medical Reserve Corps (MRC) was successfully developed in California after the September 11, 2001 terrorist attacks. MRCs are a community-based network of healthcare volunteers who assist public health efforts in times of special need or disaster (e.g., during a major communicable disease outbreak, an earthquake, flood, fire, evacuation or an act of terrorism.) Assisted the EMS Authority with the design and facilitation of their annual California MRC Conferences in 2009 and 2010. The purpose of the Conferences was to enhance and assist MRC development statewide. CCP provided organizational and conference design, facilitation, and policy mediation services to the summit effort. The resulting event and documentation allowed for the determination of core values, strategic similarities, and consistent principles for the state's network of MRCs, and identified work planning action items to continue throughout the next year. The conference gathering was determined to be repeated annually or more often, due to the success of this effort.

San Joaquin County Flood Control Technical Advisory Group (TAC)

Client: San Joaquin County

Year: 2007 - 2011

Role: Project Lead

The purpose of this group of local flood control interests, regional and local government representatives, Reclamation Districts, developers and consultants was to enhance communication, share data on flood protection issues, and, where possible, achieve consensus on regional strategies related to flood protection. Facilitated meetings of the TAC. Also facilitated the Outreach Subcommittee of the TAC engaged in outreach to residents, real estate and insurance professionals, businesses, and elected officials regarding the National Flood Insurance Program required by recent changes to the FEMA flood maps. Conducted community educational meetings to inform residents of new requirements for flood insurance.

Suisun Marsh Charter Group

Client: CA Department of Fish and Game

Year: 2003 - 2009

Role: Project Lead

The Suisun Marsh Charter Group is a multi-agency resource management organizational effort to resolve long-standing regulatory and land/water management issues in the Suisun Marsh. The goal of the process was to develop a mutually beneficial implementation plan that meets the regulatory compliance requirements, increases opportunities for tidal wetland creation, protects state and federal water project interests, and enhances management of existing freshwater wetlands habitat. Assisted seven federal, state and local agencies who partnered in the development of an Environmental Impact Statement/Environmental Impact Report to comply with NEPA/CEQA. Developed a robust public outreach plan to engage local and state agencies, elected officials, local residents, the environmental community, agricultural interests, and the hunting and fishing communities.

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CALIFORNIA IRWM ROUNDTABLE OF REGIONS NETWORK COORDINATOR COST SHARING AGREEMENT

Letter Agreement - Cost Sharing for a Consultant Contract for a Network Coordinator for the California IRWM Roundtable of Regions (Roundtable)

This Cost Sharing Letter Agreement ("Agreement") sets forth the understanding between the undersigned parties for engaging a consultant to serve as Network Coordinator for the California IRWM Roundtable of Regions from April 1, 2019 to June 30, 2021. All subsequent periods of time will be funded through new agreements or the amending of this agreement.

Under the authority of the Santa Ana Watershed Project Authority (SAWPA) Commission, a consultant will be hired to perform as Network Coordinator for the Roundtable. A request for proposals (RFP) will be issued by SAWPA, and a volunteer group of Roundtable of Regions participants will serve as the selection committee. Their recommendation of which respondent should be contracted, if any, will inform the SAWPA Commission. The Scope of Services, summarized below and which is the subject of this Agreement, is included as Exhibit "A" to this Agreement. The proposed contributions by the signatories to this Agreement is included as Exhibit "B".

SAWPA and the other undersigned parties (collectively referred to as "Parties" and individually as "Party") hereby agree to share the cost of the support services pursuant to the provisions set forth below.

1. BACKGROUND:

The Roundtable of Regions has existed since 2006 informally and effectively coordinated by two agency representatives within the network. The Roundtable exists to build and leverage trusted relationships among organizations engaged in the IRWM Program to extend our limited resources and amplify on-the-ground results. As a network, the Roundtable is many different organizations working in concert as equal partners pursuing over time the common goal of more successful implementation of the IRWM Program in California.

The complexity of the work underway within the Roundtable now encourages the need for a dedicated professional who can coordinate the activity of the network. The work of the Roundtable will remain primarily the responsibility of the membership, and the network coordinator will assume the responsibility for coordinating internal and external communication, internal planning, and events convened or co-convened by the Roundtable.

The work of the Roundtable can be summarized into two primary efforts, 1) promoting the philosophy of integrated regional water management, and 2) equipping those engaged in the work with the tools and partnerships necessary for success.

2. SCOPE OF SERVICES OF THE NETWORK COORDINATOR:

A management team from within Roundtable of Regions, made up of two volunteer members and a SAWPA staff member, will oversee the activity of the Network Coordinator, who is responsible for facilitating the ongoing work of the Roundtable. The selected professional will have coordination responsibilities within two areas. Below is a brief explanation of each, with more information available in Exhibit A.

Area 1 - Roundtable Administration includes maintaining an up-to-date membership and contact list that can be referenced by members and used as a distribution list for Roundtable communications. It also includes supporting regular conference calls between Roundtable members by scheduling, announcing the calls, as well as ensuring notes are taken and distributed.

Area 2 – Special Projects includes coordinating with the Department of Water Resources and other organizations on grant funding and policy documents, helping develop Roundtable summits, and organizing the execution of surveys and related reports.

3. COST-SHARING

(a) Cost of Services

SAWPA will enter into an initial renewable contract (“Contract”) with the selected Consultant for performance of the Scope of Services. The Contract will begin on April 1, 2019 and cover the remainder of SAWPA’s fiscal year ending (FYE) 2019 as well as and the entirety of SAWPA’s FYE 2020. A subsequent Contract amendment covering the period of SAWPA’s FYE 2021 would be brought for approval to the SAWPA Commission pending feedback from the Roundtable.

(b) Cost Sharing Between the Undersigned Parties

Under this Agreement, the Parties, excluding SAWPA, shall each be responsible for upfront payment for a self-identified funding commitment by fiscal year as shown in Exhibit B. SAWPA will invoice each of the Parties following the execution of this Agreement in two invoices: 1) for the remainder of FYE 2019 and entirety FYE 2020, and, if a Contract amendment is approved, for 2) FYE 2021. The following payment conditions shall apply:

- (i) Parties will pay their funding share within 30 calendar days upon invoice by SAWPA.
- (ii) SAWPA shall be responsible for payment to Consultant for amounts due and owing.

(c) Total cost of the Consultant

SAWPA will not execute a contract in-excess of the proposed contributions provided by the signatories of this letter. The consultant contract is expected to not exceed \$75,000 per fiscal year. SAWPA will monitor the Contractor’s budget on a monthly basis and if there are insufficient funds to cover the Contractor’s projected costs, SAWPA will no longer direct the contractor to perform under the Contract and refer to the Roundtable for feedback.

(d) Additional Funding Available in Excess of Proposed Contributions

If the sum of commitments is in excess of need for the consultant contract, a fund contingency will be established for any other additional consultant services agreed to by the Roundtable of Regions. If the fund contingency escalates to more than 25% of

budgeted expenses, the Parties can choose to receive discounts during the next invoicing period, if any, or refunds at the end of the contract between SAWPA and the selected consultant.

(e) SAWPA Administrative Costs under this Agreement

One aspect of SAWPA's contribution to this effort will include the administrative costs associated with the management of this consultant and this fund including all financial and administrative costs of budgeting, invoicing and Consultant payment. No contributed funds by other parties will be used to support SAWPA administrative costs.

4. EFFECTIVE DATE AND TIME

This Agreement shall be effective once executed by Parties whose commitments sum to at least \$60,000 until June 31, 2021.

5. GENERAL PROVISIONS

(a) Amendment

This Agreement can be amended only in writing mutually agreed to and signed by all Parties signatory to this Agreement. During the term of the Agreement, additional signatories joining the Agreement is not an Amendment of the Agreement.

(b) Indemnification

Each Party hereby agrees to defend, indemnify and hold free and harmless the other Parties from and against any and all liability, expense, including defense costs and legal fees, and claims for damages of any nature whatsoever, arising from or connected that Party's activities under this Agreement.

(c) Notices

Correspondence to be given to any Party may be sent by first-class mail, addressed and delivered as set forth below in the signature blocks for each Party, or by email. Email communication will be considered to be written communication for all purposes of this Agreement.

(d) Counterparts

This Agreement may be executed in counterparts, each of which shall be deemed to be an original, and such counterparts shall constitute one and the same instrument. Two (2) duplicate originals of this Agreement shall be executed each of which shall be deemed to be an original.

(e) Representation of Authority

Each Party represents to the other that it has the authority to enter into this Agreement and that the individual signing this Agreement on behalf of the Party has the authority to execute this Agreement and to bind the Party to the terms and conditions of this Agreement

BY SIGNING BELOW, THE PARTIES AGREE TO BE BOUND BY THE PROVISIONS OF THIS AGREEMENT

CALIFORNIA IRWM ROUNDTABLE OF REGIONS NETWORK COORDINATOR
COST SHARING AGREEMENT

EXHIBIT A – SCOPE OF WORK

Task	Objectives(s)	Task Description
AREA 1: Roundtable Administration		
Task 1 Membership List		
	<p>Ensure accurate and up-to-date contact list that can be used as reference by members and for distribution</p> <p>Support the creation or create an online portal for Roundtable members to share files and discuss relevant items (e.g., SharePoint)</p>	<p>Update and maintain membership list.</p> <p>Periodically reach out to all IRWM regions to be sure all are represented.</p> <p>Create and maintain a file sharing platform for members. A BaseCamp site previously was used, however is out-of-date and not the best of current technological options.</p>
Task 2 Conference Calls		
	Keep IRWM community engaged and informed.	Schedule conference calls and meetings – set up and monitor Doodle Polls. Utilize a web hosting and/or conference call platform as needed.
	Give IRWM representatives information and questions to take back to their RWMG	Help develop and send out agendas and request for topics.
	Joint problem-solving	<p>Send out 'hold the date'.</p> <p>Prepare for meetings.</p> <p>Prepare meeting and distribute meeting notes.</p>
Task 3 Project Management		
	Manage contract with SAWPA effectively	Monitor contract budget and submit timely invoices to SAWPA
AREA 2: Special Projects		
Task 4 Network engagement		
	DWR on IRWM grant program	Schedule meetings with DWR and available ROR representatives (considerable email and calls to line-up date, location and participants)
	Provide input to DWR on PSP, Guidelines, etc. to broaden DWR perspective and thought process	<p>Collect and compile comments and prepare letters/input documents</p> <p>Disseminate information to DWR/RoR</p>
	To DWR regarding IRWM policy (Strategic Plan, CWP, etc.)	<p>Schedule meetings; collect and compile comments and prepare letters;</p> <p>Disseminate information</p> <p>Participate in conference organizing.</p>
	Coordinate with Other Organizations	Schedule meetings with and disseminate information to other organizations (i.e. Water Bond Coalition, ACWA, EJCW, CASQA, GRA, Floodplain Management Assoc.)

**CALIFORNIA IRWM ROUNDTABLE OF REGIONS NETWORK COORDINATOR
COST SHARING AGREEMENT**

Task	Objectives(s)	Task Description
	Promote IRWM principles and funding	Includes providing information to other groups and participating in other groups' meetings, as requested or useful Participating in crafting communications and legislation. Developing principles for and providing input on bond measures.
	Liaison with subgroups of the Roundtable membership that form ad-hoc on particular issues	Share information on subgroups (i.e. DACI, grant administration, baseline funding); participate in some meetings.
	White Papers	Coordinate the preparation and distribution of white papers as needed, e.g., DAC, Baseline Funding. (intermittent activity historically)
	Engage in opportunities as they arise – to promote, extend and strengthen IRWM	e.g., Stanford University IRWM survey. Input on Water Education Foundation Layperson's Guide to IRWM. Outcomes of the DACI work effort. Explore connectivity with Groundwater Sustainability Plan development .
Task 5 IRWM Promotion		
	Coordinate communication of the Roundtable "Story of IRWM" to build support	Coordinate RoR feedback/edits to develop talking points for Roundtable members. Coordinate RoR feedback/edits to develop testimonials and one-page success stories, "Impact of IRWM" in very short but carefully worded prose or bullets, 3x5 Elevator Speeches, Promotional videos/interviews, Blogs, handouts, graphic representations of successes/processes, Award applications.
	Provide website resource to inform and engage	Recruit a short-term subcommittee to determine the scope, level of effort and nature of the website. Could range from a simple explanatory site with links to all available IRWM sites (by Funding Area) to a full on (but likely higher maintenance) web site with articles, data, etc. Anticipate the outcomes of DACI and consider having a cataloged site with all successful grant applications to enable intentional cribbing/cut-and-paste of work plans, etc.
Task 6 Summits		
	Opportunities for 'in person' networking Develop and support relationship-building and deeper engagement and commitment	Identify working subcommittee (3 – 5 people) to serve as Summit Planning Team and coordinate summit implementation with them. Help develop focus of summit (via email and phone conversations with subcommittee)

**CALIFORNIA IRWM ROUNDTABLE OF REGIONS NETWORK COORDINATOR
COST SHARING AGREEMENT**

Task	Objectives(s)	Task Description
	<p>Enable integration of new representatives</p> <p>Spark new ideas</p>	<p>Determine date (can be circular in early stages as location is identified and availability determines)</p> <p>Identify location (talking with agencies, find a meeting room, develop location and parking info to share)</p> <p>Develop list of invitees (e.g., State agency staff, other organizations)</p> <p>Work with planning team to develop agenda</p> <p>Delegate logistics</p> <p>Distribute invite with Draft Agenda</p> <p>Collect RSVPs and respond to questions</p> <p>Attend summit</p> <p>Capture notes, finalize notes, share notes</p>
Task 7 Survey		
	<p>Develop materials to support interactions with DWR and other state agencies</p> <p>Inform the ROR as to the various attributes, opinions, functioning and xxx of its' members</p> <p>Support strategic action with documented supporting data</p>	<p>With feedback from RoR, develop survey form – coordinate review with subcommittee.</p> <p>Prepare final survey by coordinating any edits from Roundtable.</p> <p>Distribute survey using online electronic survey format (establish deadline, follow up with respondents to submit responses)</p> <p>Process input (compile feedback in spreadsheet and/or charts; compile comments)</p> <p>Analyze data – with subcommittee if required</p> <p>Prepare report and summary</p> <p>Distribute</p>

Exhibit B

Roundtable Participant	Contract Time Period			First Invoice from SAWPA 4/1/19 - 6/30/20	Second Invoice from SAWPA 7/1/20 - 6/30/21
	4/1/19 - 6/30/19	7/1/19 - 6/30/20	7/1/20 - 6/30/21		
1 Mojave Water Agency	\$ 1,711.29	\$ 9,921.49	\$ 10,329.20	\$ 11,632.78	\$ 10,329.20
2 San Diego County Water Authority	\$ 684.52	\$ 3,968.60	\$ 4,131.68	\$ 4,653.11	\$ 4,131.68
3 City of San Diego	\$ 427.82	\$ 2,480.37	\$ 2,582.30	\$ 2,908.20	\$ 2,582.30
4 Sonoma Water	\$ 1,711.29	\$ 9,921.49	\$ 10,329.20	\$ 11,632.78	\$ 10,329.20
5 Greater Los Angeles County IRWM	\$ 1,711.29	\$ 9,921.49	\$ 10,329.20	\$ 11,632.78	\$ 10,329.20
6 Environmental Justice Coalition for Water	\$ 17.11	\$ 99.21	\$ 103.29	\$ 116.33	\$ 103.29
7 Santa Clara Valley Water District	\$ 1,711.29	\$ 9,921.49	\$ 10,329.20	\$ 11,632.78	\$ 10,329.20
8 Yuba County IRWM/RWVG with support from Yuba Water Agency	\$ 1,711.29	\$ 9,921.49	\$ 10,329.20	\$ 11,632.78	\$ 10,329.20
9 Upper Kings Basin IRWM	\$ 855.65	\$ 4,960.74	\$ 5,164.60	\$ 5,816.39	\$ 5,164.60
10 Westside Sacramento IRWM	\$ 256.69	\$ 1,488.22	\$ 1,549.38	\$ 1,744.92	\$ 1,549.38
11 SAWPA	\$ 855.65	\$ 4,960.74	\$ 5,164.60	\$ 5,816.39	\$ 5,164.60
12 Yosemite-Mariposa IRWM	\$ 85.56	\$ 496.07	\$ 516.46	\$ 581.64	\$ 516.46
13 Santa Barbara County IRWM Region	\$ 513.39	\$ 2,976.45	\$ 3,098.76	\$ 3,489.83	\$ 3,098.76
14 Burdick & Company	\$ 171.13	\$ 992.15	\$ 1,032.92	\$ 1,163.28	\$ 1,032.92
Total Pledged	\$ 12,424	\$ 72,030	\$ 74,990	\$ 84,454	\$ 74,990

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COMMISSION MEMORANDUM NO. 2019.33

DATE: March 19, 2019
TO: SAWPA Commission
SUBJECT: Positions on State Bills
PREPARED BY: Larry McKenney

RECOMMENDATION

That the Commission receive information and provide direction to staff.

DISCUSSION

SUPPORT **AB 533** (Holden) would provide a State tax exclusion for any amount received as a rebate, voucher, or other financial incentive issued by a local water agency or supplier for any water conservation or efficiency program or water runoff management improvement program.

OPPOSE **AB 1194** (Frazier) would increase the size of the Delta Stewardship Council by creating four new positions to be filled by representatives of in-Delta interests. This measure is not consistent with the statewide perspective the Council is tasked with representing in achieving the co-equal goals described in the 2009 Delta legislation.

SUPPORT **AB 1204** (Rubio) is an ACWA-sponsored bill to ensure that agencies are given a reasonable time period to come into compliance with newly adopted drinking water regulations. It would require that new MCLs that exceed the strictness of federal standards not take effect for three to five years from adoption.

OPPOSE **SB 204** (Dodd) would require DWR to provide notice to the Joint Legislative Budget Committee and other relevant policy and fiscal committees of the Legislature before negotiating amendments to long-term supply contracts related to the State Water Project to be heard at a legislative hearing before becoming effective. As drafted, the bill would potentially also affect the operation of the Design and Construction Authority, and is unclear about the effect of a legislative committee not scheduling a hearing. Staff recommends that SAWPA join in a coalition letter in opposition. At least three SAWPA member agencies are signatories to the coalition letter.

SUPPORT **SB 669** (Caballero) is an ACWA-sponsored bill to create a safe drinking water trust as part of an alternative approach to the proposed water tax. This bill focuses on creating a trust into which State General Funds could be deposited in budget surplus years in order to generate interest revenue to support solutions for small, failing water systems.

SUPPORT **SB 414** (Caballero) The Small System Water Authority Act of 2019 is the new version of last year's EMWD-sponsored bill with CMUA, AB 2050, on creation of regional water authorities to consolidate services provided by small, failing water systems, representing part of an alternative strategy to the proposed water tax. The Commission previously adopted a "support" position on last year's AB 2050.

In addition to the bills recommended for position, staff is closely following SB 241 and SB 332. SB 241 (Moorlach) would require JPA member agencies to act individually to approve any contract by a JPA for “municipal services” as defined in the Government Code. The intent is likely not to address activities of the type undertaken at SAWPA, but the bill as introduced is not clear. Staff intends to seek clarification by working with the author’s staff. SB 332 (Hertzberg) reintroduces Senator Hertzberg’s idea of two years ago to require stark reductions in wastewater discharges to the ocean as a means to encourage recycling. The goal is laudable, but the bill would create serious challenges for SAWPA and the Inland Empire Brine Line, and it raises other important policy questions. WateReuse and CASA are very engaged and opposed. SAWPA is working on the idea of including a special exception for brine discharges.

CRITICAL SUCCESS FACTORS

None identified for this function.

RESOURCE IMPACTS

None.

Attachments:

None.

Santa Ana Watershed Project Authority
Cash Transaction Report
Month of January 2019

Below is a summary of cash transactions completed during the month in the Authority's checking account with US Bank. Attached are summaries by major revenue and expense classifications.

Cash Receipts and Deposits to Account	\$	1,807,640.51
Net Investment Transfers		11,562.50
Cash Disbursements		<u>(758,914.93)</u>
Net Change for Month	\$	1,060,288.08
Balance at Beginning of Month		<u>942,109.34</u>
Balance at End of Month per General Ledger	\$	<u><u>2,002,397.42</u></u>
Collected Balance per Bank Statement	\$	2,008,699.72

ACCOUNTS PAYABLE RECONCILIATION

Accounts Payable Balance @ 12/31/18	\$	3,202,958.89
Invoices Received for January 2019		1,460,735.83
Invoices Paid by check/wire during January 2019 (see attached register)		<u>(513,058.16)</u>
Accounts Payable Balance @ 01/31/19	\$	<u><u>4,150,636.56</u></u>

CASH RECEIPTS

Brine Line Operating Revenues	\$ 1,195,440.90
Participant Fees	242,051.00
LESJWA Admin Reimbursement	13,907.12
Grant Proceeds - Prop 84	72,561.58
Grant Proceeds - Prop 1 DCI	283,589.43
Other	<u>90.48</u>
Total Receipts and Deposits	\$ 1,807,640.51

INVESTMENT TRANSFERS

Transfer of Funds:	
From (to) LAIF	\$ -
From (to) Legal Defense Fund	-
From (to) LESJWA	-
From (to) Investments	<u>11,562.50</u>
Total Investment Transfers	\$ 11,562.50

CASH DISBURSEMENTS

By Check:	
Payroll	\$ -
Operations	<u>513,058.16</u>
Total Checks Drawn	\$ 513,058.16
By Cash Transfer:	
Payroll	\$ 160,754.98
Payroll Taxes	81,660.53
Take Care (AFLAC)	<u>3,441.26</u>
Total Cash Transfers	\$ 245,856.77
Total Cash Disbursements	<u><u>\$ 758,914.93</u></u>

Santa Ana Watershed Project Authority
Check Detail
Jan-19

Category	Check #	Check Date	Type	Vendor	Check Amount
Auto Expenses	3498	1/4/2019	CHK	County of Riverside/Transportation	\$ 597.89
Auto Expenses	3520	1/24/2019	CHK	County of Riverside/Transportation	\$ 533.85
Auto Expenses Total					\$ 1,131.74
Benefits	3490	1/4/2019	CHK	Cal PERS Long Term Care Program	\$ 102.04
Benefits	3505	1/18/2019	CHK	ACWA/JPIA	\$ 19,645.00
Benefits	3507	1/18/2019	CHK	AFLAC	\$ 612.79
Benefits	3511	1/18/2019	CHK	ACWA/JPIA	\$ 49,475.83
Benefits	3515	1/24/2019	CHK	Cal PERS Long Term Care Program	\$ 102.04
Benefits	3518	1/24/2019	CHK	WageWorks	\$ 134.00
Benefits	EFT02389	1/4/2019	CHK	Vantagepoint Transfer Agents	\$ 2,623.33
Benefits	EFT02395	1/4/2019	CHK	Vantagepoint Transfer Agents	\$ 388.59
Benefits	EFT02408	1/18/2019	CHK	Vantagepoint Transfer Agents	\$ 2,622.83
Benefits	EFT02415	1/18/2019	CHK	Vantagepoint Transfer Agents	\$ 361.17
Benefits	P035303	1/4/2019	WDL	Public Employees' Retirement	\$ 18,297.99
Benefits	P035304	1/4/2019	WDL	CalPERS Supplemental Income	\$ 6,018.50
Benefits	P035370	1/18/2019	WDL	CalPERS Supplemental Income	\$ 6,015.50
Benefits	P035371	1/18/2019	WDL	Public Employees' Retirement	\$ 19,710.04
Benefits	P035372	1/18/2019	WDL	Public Employees' Retirement	\$ 104.81
Benefits	WDL000004716	1/2/2019	WDL	Takecare	\$ 766.00
Benefits	WDL000004720	1/4/2019	WDL	Takecare	\$ 29.00
Benefits	WDL000004721	1/7/2019	WDL	Takecare	\$ 25.00
Benefits	WDL000004723	1/8/2019	WDL	Takecare	\$ 192.30
Benefits	WDL000004724	1/9/2019	WDL	Takecare	\$ 44.11
Benefits	WDL000004725	1/10/2019	WDL	Takecare	\$ 567.29
Benefits	WDL000004727	1/14/2019	WDL	Takecare	\$ 75.80
Benefits	WDL000004731	1/17/2019	WDL	Takecare	\$ 37.15
Benefits	WDL000004732	1/22/2019	WDL	Takecare	\$ 387.60
Benefits	WDL000004733	1/23/2019	WDL	Takecare	\$ 192.30
Benefits	WDL000004738	1/29/2019	WDL	Takecare	\$ 599.00
Benefits	WDL000004740	1/31/2019	WDL	Takecare	\$ 525.71
Benefits Total					\$ 129,655.72
Building Lease	3522	1/24/2019	CHK	Wilson Property Services, Inc	\$ 1,578.00
Building Lease Total					\$ 1,578.00
Consulting	3510	1/18/2019	CHK	The Technology Depot	\$ 101.25
Consulting	EFT02393	1/4/2019	CHK	Santa Ana Watershed Association	\$ 5,833.31
Consulting	EFT02396	1/4/2019	CHK	Integrated Systems Solutions	\$ 132.00
Consulting	EFT02398	1/4/2019	CHK	Accent Computer Solutions Inc	\$ 4,125.00
Consulting	EFT02399	1/4/2019	CHK	Woodard & Curran Inc.	\$ 1,555.25
Consulting	EFT02402	1/4/2019	CHK	GeoScience Support Services	\$ 16,337.50
Consulting	EFT02416	1/18/2019	CHK	Integrated Systems Solutions	\$ 250.00
Consulting	EFT02417	1/18/2019	CHK	Trussell Technologies, Inc.	\$ 8,242.05
Consulting	EFT02421	1/18/2019	CHK	DeGrave Communications	\$ 7,620.00
Consulting	EFT02430	1/24/2019	CHK	West Coast Advisors	\$ 9,750.00
Consulting	EFT02433	1/24/2019	CHK	Integrated Systems Solutions	\$ 3,901.00
Consulting	EFT02434	1/24/2019	CHK	Accent Computer Solutions Inc	\$ 5,485.26
Consulting	EFT02435	1/24/2019	CHK	Dudek	\$ 1,375.00
Consulting	EFT02436	1/24/2019	CHK	Woodard & Curran Inc.	\$ 4,256.66
Consulting	EFT02437	1/24/2019	CHK	DeGrave Communications	\$ 12,362.43
Consulting	EFT02440	1/24/2019	CHK	Blais & Associates	\$ 2,375.00
Consulting Total					\$ 83,701.71
Credit Cards	P035417	1/10/2019	WDL	US Bank	\$ 8,229.32
Credit Cards Total					\$ 8,229.32
Director Costs	EFT02406	1/18/2019	CHK	Eastern Municipal Water District	\$ 480.66
Director Costs	EFT02418	1/18/2019	CHK	Jasmin Hall	\$ 56.68
Director Costs	EFT02423	1/18/2019	CHK	Kati Parker	\$ 29.43
Director Costs	EFT02425	1/18/2019	CHK	Bruce Whitaker	\$ 65.40
Director Costs	EFT02427	1/18/2019	CHK	June Hayes	\$ 23.98
Director Costs	EFT02428	1/18/2019	CHK	Western Municipal Water District	\$ 441.97
Director Costs Total					\$ 1,098.12
Dues	3495	1/4/2019	CHK	The Climate Registry	\$ 750.00
Dues	3516	1/24/2019	CHK	East Valley Water District	\$ 400.00
Dues	3524	1/24/2019	CHK	California Association of Sanitation Agencies	\$ 4,456.00
Dues	EFT02397	1/4/2019	CHK	Southern Calif Salinity Coalition	\$ 10,000.00

Santa Ana Watershed Project Authority
Check Detail
Jan-19

Category	Check #	Check Date	Type	Vendor	Check Amount
Dues Total					\$ 15,606.00
Employee Reimbursement	EFT02400	1/4/2019	CHK	Mike Antos	\$ 105.30
Employee Reimbursement	EFT02411	1/18/2019	CHK	Marie Jauregui	\$ 55.00
Employee Reimbursement	EFT02420	1/18/2019	CHK	Kelly Berry	\$ 54.36
Employee Reimbursement	EFT02422	1/18/2019	CHK	Alison L Lewis	\$ 254.94
Employee Reimbursement	EFT02424	1/18/2019	CHK	Brian Collier	\$ 185.00
Employee Reimbursement	EFT02429	1/24/2019	CHK	Richard Whetsel	\$ 62.29
Employee Reimbursement	EFT02439	1/24/2019	CHK	Alison L Lewis	\$ 330.33
Employee Reimbursement Total					\$ 1,047.22
Equipment Rented	3489	1/4/2019	CHK	Pitney Bowes Global Financial	\$ 345.78
Equipment Rented	3496	1/4/2019	CHK	United Rentals	\$ 91.03
Equipment Rented	3497	1/4/2019	CHK	GreatAmerica Financial Services	\$ 1,452.56
Equipment Rented	3512	1/18/2019	CHK	Konica Minolta Business Solutions	\$ 764.77
Equipment Rented	3519	1/24/2019	CHK	GreatAmerica Financial Services	\$ 1,452.56
Equipment Rented Total					\$ 4,106.70
Facility Repair & Maintenance	3493	1/4/2019	CHK	O G Supply Inc.	\$ 47.84
Facility Repair & Maintenance	3494	1/4/2019	CHK	TNT Elevator Inc	\$ 240.00
Facility Repair & Maintenance	3521	1/24/2019	CHK	RivCo Mechanical Services	\$ 1,867.00
Facility Repair & Maintenance	3523	1/24/2019	CHK	Heating & Air Conditioning Service	\$ 909.90
Facility Repair & Maintenance	EFT02410	1/18/2019	CHK	Western Exterminator Co.	\$ 116.50
Facility Repair & Maintenance	EFT02413	1/18/2019	CHK	Green Meadows Landscape	\$ 625.00
Facility Repair & Maintenance	EFT02419	1/18/2019	CHK	Douglas Environmental Group	\$ 1,375.00
Facility Repair & Maintenance	EFT02426	1/18/2019	CHK	Riverside Cleaning	\$ 1,300.00
Facility Repair & Maintenance Total					\$ 6,481.24
Lab Costs	EFT02392	1/4/2019	CHK	E. S. Babcock & Sons, Inc.	\$ 3,187.00
Lab Costs	EFT02412	1/18/2019	CHK	E. S. Babcock & Sons, Inc.	\$ 2,035.00
Lab Costs	EFT02414	1/18/2019	CHK	Camet Research	\$ 1,125.00
Lab Costs	EFT02431	1/24/2019	CHK	E. S. Babcock & Sons, Inc.	\$ 1,424.00
Lab Costs Total					\$ 7,771.00
Legal Costs	EFT02438	1/24/2019	CHK	Hunt Ortmann Palffy Nieves Dahl	\$ 3,028.68
Legal Costs Total					\$ 3,028.68
Office Expense	3491	1/4/2019	CHK	Staples Business Advantage	\$ 1,010.77
Office Expense	3508	1/18/2019	CHK	Konica Minolta Business Solutions	\$ 716.99
Office Expense	3509	1/18/2019	CHK	Awards & Specialties	\$ 1,577.94
Office Expense	3513	1/24/2019	CHK	Aramark Corporation Refreshments	\$ 93.57
Office Expense	EFT02394	1/4/2019	CHK	Iron Mountain	\$ 121.66
Office Expense	EFT02432	1/24/2019	CHK	Iron Mountain	\$ 160.35
Office Expense Total					\$ 3,681.28
Other Contract Services	EFT02390	1/4/2019	CHK	Water Education Foundation	\$ 4,965.68
Other Contract Services	EFT02401	1/4/2019	CHK	Local Government Commission	\$ 81,284.46
Other Contract Services	EFT02403	1/4/2019	CHK	University Enterprises Corporation	\$ 27,575.49
Other Contract Services	EFT02404	1/4/2019	CHK	California Rural Water Association	\$ 52,299.89
Other Contract Services	EFT02405	1/4/2019	CHK	UC Irvine	\$ 49,827.83
Other Contract Services	EFT02409	1/18/2019	CHK	Western Municipal Water District	\$ 9,978.19
Other Contract Services Total					\$ 225,931.54
Payroll	WDL000004710	1/4/2019	WDL	Direct Deposit 1/4/2019	\$ 78,786.34
Payroll	WDL000004717	1/4/2019	WDL	PR Tax - Federal	\$ 33,628.11
Payroll	WDL000004718	1/4/2019	WDL	PR Tax - State	\$ 7,417.23
Payroll	WDL000004722	1/18/2019	WDL	Direct Deposit 1/18/2019	\$ 81,968.64
Payroll	WDL000004728	1/18/2019	WDL	PR Tax - Federal	\$ 33,296.26
Payroll	WDL000004729	1/18/2019	WDL	PR Tax - State	\$ 7,318.93
Payroll Total					\$ 242,415.51
Prop84	EFT02407	1/18/2019	CHK	ESRI Inc.	\$ 4,441.66
Prop84 Total					\$ 4,441.66
Safety	3517	1/24/2019	CHK	SafeT	\$ 350.55
Safety	EFT02391	1/4/2019	CHK	Underground Service Alert	\$ 168.40
Safety Total					\$ 518.95
Software	EFT02398	1/4/2019	CHK	Accent Computer Solutions	\$ 30.99
Software	EFT02396	1/4/2019	CHK	Integrated Systems Solutions	\$ 656.81

Santa Ana Watershed Project Authority
Check Detail
Jan-19

Category	Check #	Check Date	Type	Vendor	Check Amount
Software	EFT02416	1/18/2019	CHK	Integrated Systems Solutions	\$ 1,054.68
Software	EFT02434	1/24/2019	CHK	Accent Computer Solutions	\$ 528.00
Software Total					\$ 2,270.48
Temporary Services	3487	1/4/2019	CHK	AppleOne Employment Services	\$ 6,470.13
Temporary Services	3506	1/18/2019	CHK	AppleOne Employment Services	\$ 3,263.84
Temporary Services Total					\$ 9,733.97
Utilities	3488	1/4/2019	CHK	Riverside, City of	\$ 2,410.65
Utilities	3492	1/4/2019	CHK	Burrtec Waste Industries Inc	\$ 81.44
Utilities	3499	1/4/2019	CHK	Verizon Wireless	\$ 1,640.05
Utilities	3500	1/4/2019	CHK	DIRECTV	\$ 63.99
Utilities	3501	1/14/2019	CHK	AT&T	\$ 786.46
Utilities	3502	1/14/2019	CHK	AT&T	\$ 1,020.82
Utilities	3503	1/14/2019	CHK	Southern California Edison	\$ 32.07
Utilities	3504	1/14/2019	CHK	Southern California Edison	\$ 241.67
Utilities	3514	1/24/2019	CHK	AT&T	\$ 140.70
Utilities	3525	1/24/2019	CHK	DIRECTV	\$ 68.24
Utilities Total					\$ 6,486.09
Grand Total					\$ 758,914.93

Accounts Payable

Checks	\$ 454,682.00
Wire Transfers	\$ 58,376.16
	<u>\$ 513,058.16</u>

Bank Fees

Take Care	\$ 3,441.26
Other	
Payroll	\$ 242,415.51
	<u>\$ 758,914.93</u>

Total Disbursements for January 2019

Santa Ana Watershed Project Authority
 Consulting
 January 2019

Check #	Check Date	Task #	Task Description	Vendor Name	Total Contract	Check Amount	Remaining Contract Amount	Notes/Comments
EFT02398	1/4/2019	ACS100-11	IT Support	Accent Computer Solutions	\$ 186,800.00	\$ 4,125.00	\$ 143,653.42	
EFT02434	1/24/2019	ACS100-11	IT Support	Accent Computer Solutions	\$ 186,800.00	\$ 5,485.26	\$ 143,653.42	
EFT02440	1/24/2019	BLAIS370-02	Grant Needs Assessment and Grant Monitoring	Blais & Associates	\$ 24,700.00	\$ 2,375.00	\$ 17,825.00	
EFT02421	1/18/2019	DEGR398-01	Social Media Support	DeGrave Communicaitons	\$ 78,434.00	\$ 7,620.00	\$ 31,686.70	
EFT02437	1/24/2019	DEGR398-01	Social Media Support	DeGrave Communicaitons	\$ 78,434.00	\$ 9,612.81	\$ 31,686.70	
EFT02437	1/24/2019	DEGR392-04	Social Media Support	DeGrave Communicaitons	\$ 30,000.00	\$ 2,749.62	\$ 13,923.53	
EFT02435	1/24/2019	DUDK326-06	Reach V Rehab, Phase I, Tasks 3-4	Dudek	\$ 134,900.00	\$ 1,375.00	\$ 36,185.93	
EFT02402	1/4/2019	GEOS374-01	SAR WLA Model Update	GeoScience Support Services	\$ 314,956.00	\$ 16,337.50	\$ 8,384.75	
EFT02396	1/4/2019	INSOL-11	Great Plains Annual Service and Support	Integrated Systems Solutions	\$ 3,000.00	\$ 132.00	\$ 1,189.00	
EFT02416	1/18/2019	INSOL-11	Great Plains Annual Service and Support	Integrated Systems Solutions	\$ 3,000.00	\$ 250.00	\$ 1,189.00	
EFT02433	1/24/2019	INSOL-12	Great Plains & BI360 Upgrade	Integrated Systems Solutions	\$ 18,910.00	\$ 3,901.00	\$ 7,238.50	
EFT02393	1/4/2019	SAWA381-01	Van Buren Bridge Sucker Restoration Project	Santa Ana Watershed Association	\$ 15,130.20	\$ 5,833.31	\$ 9,296.89	
3510	1/18/2019	TTD100-04	Phone System Support	The Technology Depot	\$ 5,000.00	\$ 101.25	\$ 3,161.44	
EFT02417	1/18/2019	TRU240-21	BL Water Quality Monitoring Assessment	Trussell Technologies Inc	\$ 41,590.00	\$ 3,526.00	\$ 9,339.50	
EFT02417	1/18/2019	TRU240-22	Monthly County Line Solids Analysis	Trussell Technologies Inc	\$ 9,664.00	\$ 1,186.05	\$ 4,685.62	
EFT02417	1/18/2019	TRU240-23	2019 BL Annual Review	Trussell Technologies Inc	\$ 49,899.00	\$ 3,530.00	\$ 42,424.00	
EFT02430	1/24/2019	WCA100-03-03	State Legislative Consulting Services	West Coast Advisors	\$ 235,000.00	\$ 9,750.00	\$ 225,250.00	
EFT02399	1/4/2019	RMC504-401-01	SARCCUP Program Mgmt Services	Woodard & Curran Inc.	\$ 310,429.00	\$ 1,555.25	\$ 3,218.66	
EFT02436	1/24/2019	W&C327-01	4D Rehabilitation - Engineering Services	Woodard & Curran Inc.	\$ 226,649.00	\$ 4,256.66	\$ 21,404.64	
					\$ 83,701.71			

COMMISSION MEMORANDUM NO. 2019.30

DATE: March 19, 2019
TO: SAWPA Commission
SUBJECT: Inter-Fund Borrowing – January 2019
PREPARED BY: Karen Williams, Chief Financial Officer

RECOMMENDATION

It is recommended that the Commission receive and file the informational report on short-term, cash-flow inter-fund borrowing.

DISCUSSION

On December 13, 2005, the Commission approved Resolution No. 452, Inter-Fund and Inter-Project Loan Policy. Staff was directed to bring back an accounting of the loans each month for review when the total exceeded \$250,000 in aggregate.

The following projects, with negative cash flow, are listed below with the amounts borrowed from SAWPA General Fund Reserves in January 2019. The total amount borrowed is over the aggregate \$250,000 amount recommended in Resolution No. 452, Inter-Fund and Inter-Project Loan Policy. The Commission has requested that this item be brought back each month as an informational item when the loan amount is over the \$250,000 aggregate amount.

Fund	Fund Name	12/31/18 Balance	Loan Receipts	New Charges	01/31/19 Balance
130	Proposition 84 Admin	\$87,042.33	(\$0.00)	\$10,168.13	\$97,210.46
135	Proposition 84 Admin R2	86,438.88	(0.00)	12,153.15	98,592.03
140	Proposition 84 Admin R3	69,774.72	(0.00)	10,393.29	80,168.01
145	Proposition 84 Admin R4	129,703.85	(34,738.18)	10,466.83	105,432.50
398	Proposition 1 – DACI Grant	151,374.35	(283,589.43)	255,497.98	123,282.90
477	LESJWA Administration	12,545.18	(13,907.12)	17,423.86	16,061.92
504	Prop 84 - Drought Projects	275,848.22	(0.00)	23,867.50	299,715.72
	Total Funds Borrowed	\$812,727.53	(\$332,234.73)	\$339,970.74	\$820,463.54
	General Fund Reserves Balance		\$3,327,804.77		
	Less Amount Borrowed		<u>820,463.54</u>		
	Balance of General Fund Reserves		<u>\$2,507,341.23</u>		

The following table lists each fund that has a negative cash flow, the source of funding for the fund, how often the fund is billed, and the projected rate of payment for the fund.

NEGATIVE CASH-FLOW FUNDS

Fund No.	Source of Funding	Billing Frequency	Projected Payment Time
130,135,140, 145 – Proposition 84 Admin	DWR – Prop 84 Grant	Monthly/Quarterly	Up to 4 months
398 – Proposition 1 – DACI Grant	DWR – Prop 1 Grant	Monthly	Up to 4 months
477 – LESJWA Admin	Reimbursement from LESJWA	Monthly	2 to 4 weeks
504 - Proposition 84 Drought Projects	DWR – Prop 84 Grant	Monthly	Up to 4 months
504 – Proposition 84 SARCCUP Projects	DWR – Prop 84 Grant	Monthly/Quarterly	Up to 4 month

Fund 130

The outstanding balance of the funds due from DWR is the mandatory 10% retention from each invoice billed. Retention funds will not be released until the Proposition 84 Round I contract is completed in 2018.

Fund 135

This fund is for the administration of Proposition 84 Round II grant funds. These funds will be billed quarterly and 10% will be withheld for retention.

Fund 140

This fund is for the administration of Proposition 84 Drought Round grant funds. These funds will be billed monthly and 10% will be withheld for retention.

Fund 145

This fund is for the administration of Proposition 84 Round 2015 grant funds. These funds will be billed quarterly and 10% will be withheld for retention.

Fund 398

This fund is for the Proposition 1 DACI grant project. These funds will be billed monthly once the contracts with DWR have been signed.

Fund 477

Each month LESJWA is billed the cost for administering the JPA. Once the bill is received, LESJWA submits payment within two weeks.

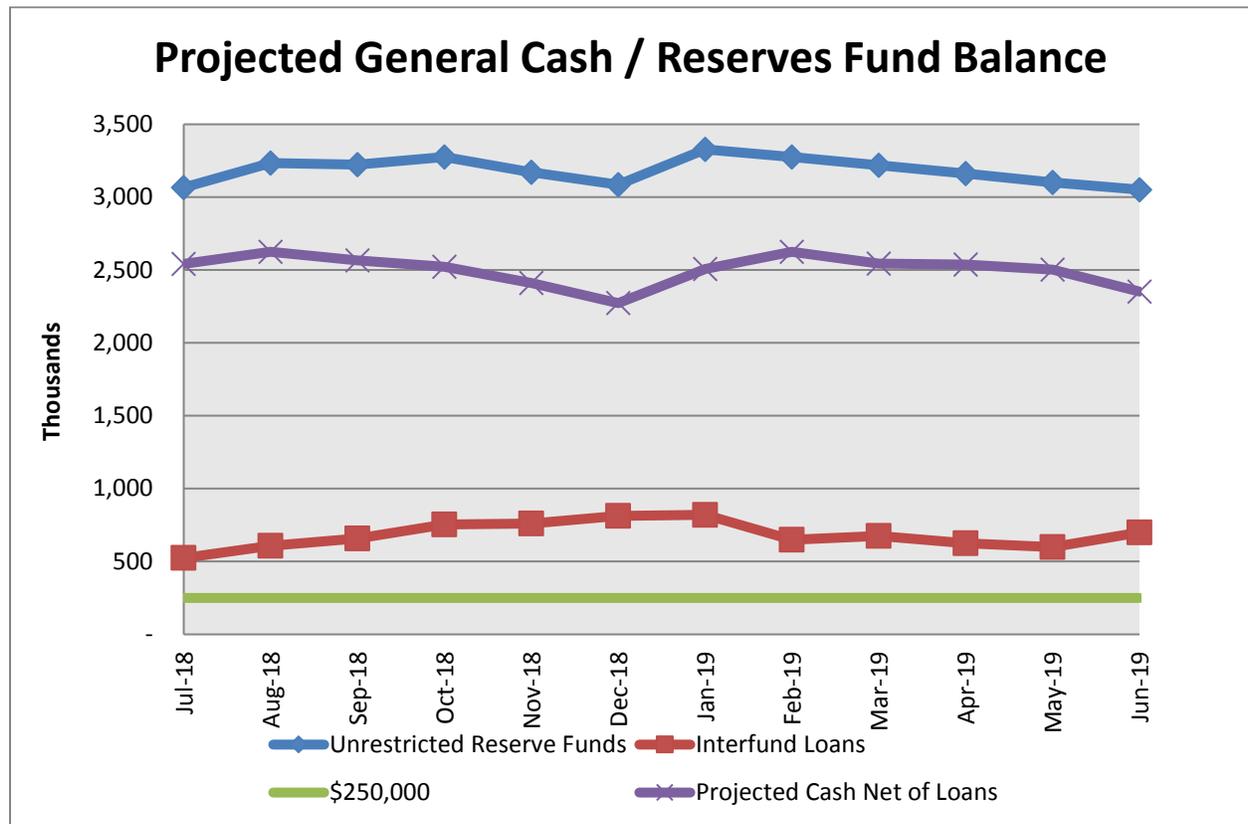
Fund 504

This fund is for the implementation of drought related projects and SARCCUP projects which are administered through PA22 and PA23.

The following graph shows the total budget, total project costs to date, and the amount remaining on each grant.

Fund	Fund Name	Total Budget	Project Costs Through 01/31/19	Remaining Grant Budget
130	Proposition 84 Admin R1	\$660,004	(\$528,499)	\$131,505
135	Proposition 84 Admin R2	627,405	(455,062)	172,343
140	Proposition 84 Admin R3	887,860	(610,670)	277,190
145	Proposition 84 Admin R4	3,213,384	(570,587)	2,642,797
373	OWOW Planning Grant	250,000	(242,230)	7,770
397	Energy Water DAC Grant (WECAN)	2,339,824	(2,245,396)	94,427
398	Proposition 1 – DACI Grant	6,300,000	(1,019,746)	5,280,254
504	Prop 84 - Drought Projects	5,547,816	(3,200,586)	2,347,230
504	Prop 84 – 2015 Round (SARCCUP)	1,543,810	(483,084)	1,060,726
Totals		\$21,370,103	(\$9,355,861)	\$12,014,242

The following graph shows projected inter-fund loan balances, total unrestricted General Fund Reserves available for loans, and projected cash net of loans through June 2019. The projected loan balance is expected to remain over the \$250,000 aggregate limit through June 2019 because of Proposition 1 and 84 grants, but can be covered by General Fund Reserves without a major impact on cash flow.



RESOURCE IMPACTS

The funds borrowed from the General Fund Reserves will be paid back with interest when the funding is received. Interfund loans for grants are not charged interest unless the grant contracts specifically states

interest is eligible for reimbursement. There is sufficient cash available to cover proposed borrowings and to pay budgeted expenditures for the General Fund.

Attachments:

1. Resolution No. 452 | Amending the Inter-Fund, Inter-Project and Inter-Agency Loan Policy

RESOLUTION NO. 452

A RESOLUTION OF THE COMMISSION OF THE SANTA ANA WATERSHED PROJECT AUTHORITY AMENDING THE INTER-FUND, INTER-PROJECT AND INTER-AGENCY LOAN POLICY

WHEREAS, the Commission of the Santa Ana Watershed Project Authority (hereafter "SAWPA") previously adopted, by minute action taken on August 3, 1996, an "Inter-Fund/Inter-Project Loan Policy" to regulate loans from one SAWPA Fund or Project to another SAWPA Fund or Project; and

WHEREAS, the Commission desires to amend the "Inter-Fund Fund/Project Loan Policy" by formally adopting such Policy, by way of this Resolution, regulating how and in what manner such inter-fund or inter-project loans are to take place and mandating that all such loans require Commission approval in advance as contemplated by the policy adopted on August 3, 1996.

NOW, THEREFORE, BE IT RESOLVED that the Commission of the Santa Ana Watershed Project Authority hereby amends the following Loan Policy for any loan from one SAWPA Fund or Project to another SAWPA Fund or Project:

1. Loans from any SAWPA Fund or Project to another SAWPA Fund, Project or another public agency shall be approved in advance by the SAWPA Commission. The approval shall be in written format and include documentation of the specifics of the transaction. The approval shall include a finding that the loan will not expose the lending SAWPA Fund or Project to significant financial or operational risk.
2. Unless otherwise provided for by the Commission, the borrowing Fund, Project or public agency shall be required to repay the loan within a specific period of time and at a rate of interest as determined by the Commission. For the purposes of this policy, SAWPA's calculated quarterly rate of return may be used as the basis for interest payable on the outstanding principal for any loan. The period for repayment of the loan shall be determined by the Commission, but shall be no longer than the life of the lending Fund or Project.
3. The borrowing Fund's, Project's or public agency's repayment source shall be identified and included in the approval action by the Commission and the "loan documentation". The "loan documentation" shall include a written agreement, resolution or other document approved by the Commission setting forth all of the foregoing terms and conditions.

4. Loans to reimbursable SAWPA grant contract projects and related efforts for short-term (i.e., current fiscal year) operating cash flow purposes may be borrowed from the SAWPA General Fund Reserve without prior Commission approval. But all such loans shall be reported to the Commission within 30 days of each such loan. Such loans shall be paid off on a continuous basis. The total funds loaned for all such grant contract projects and related efforts shall not exceed \$250,000.00 in the aggregate for each fiscal year, without prior written approval by the Commission. Payment of interest will be based on the actual interest that would have been earned by the SAWPA General Fund Reserve had those funds not been borrowed. Cash flow and receivables will be reported at least quarterly to forecast needs and demonstrate compliance.
5. Prior to June 30th of each year, staff shall provide to the Commission an annual written report of all such Inter-fund, Inter-project or Inter-agency loans, amounts repaid and any outstanding loan balances.

ADOPTED this 13th day of December 2005.

SANTA ANA WATERSHED PROJECT AUTHORITY

By: *Mark W. Bulot*
Mark Bulot, Chair



COMMISSION MEMORANDUM NO. 2019.31

DATE: March 19, 2019
TO: SAWPA Commission
SUBJECT: Performance Indicators and Financial Reporting – January 2019
PREPARED BY: Karen Williams, Chief Financial Officer

RECOMMENDATION

It is recommended that the Commission receive and file staff's report.

DISCUSSION

The attached reports have been developed to keep the Commission informed as to SAWPA's business and budget performance. These reports are categorized into the following groups: financial reporting, cash and investments, and performance indicators. They are explained in detail below. As new reports are developed, they will be added for the Commission's review.

Financial Reporting

Balance Sheet by Fund Type	Lists total assets, liabilities, and equity by fund type for a given period.
Revenue & Expense by Fund Type	Lists total revenue and expenses by fund type for a given period.
Receivables Management	Shows total outstanding accounts receivable by age.
Open Task Order Schedule	Shows SAWPA's total outstanding obligation for open task orders.
List of SAWPA Funds	Shows each SAWPA Fund with the fund description and fund group.
Debt Service Funding Analysis	Shows total annual income by source used to make debt service payments through debt maturity at FYE 2048.
Debt Service Payment Schedule	Shows total debt service interest and principal payments through debt maturity at FYE 2048.

Cash and Investments

Total Cash and Investments (chart)	Shows the changes in cash and investments balance for the last twelve months.
Cash Balance & Source of Funds	Shows total cash and investments for all SAWPA funds and the types of investments held for each fund.
Cash & Investments (pie chart)	Shows total cash and investments for all SAWPA funds and the percentage of each investment type.
Reserve Account Analysis	Shows changes to each reserve account for the year and projected ending balance for each.
Twelve Month Security Schedule (chart)	Shows the maturity dates for securities held and percentage of securities in each category.

Treasurer's Report	Shows book and market value for both Treasury strips and securities held by the Agency.
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Performance Indicators

Average Daily Flow by Month	Shows total flow in the Brine Line System by month compared to total treatment capacity owned. This is an indicator of the available capacity in the line. As we add yearly flows, it will show trends in flow throughout the year.
Summary of Labor Multipliers	Summarizes the information generated from the following two reports and compares the actual benefit and Indirect Cost Allocation rates to the total budgeted rates.
General Fund Costs	Lists total Fund No. 100 costs to date and the amount of those costs recovered through the Indirect Cost Allocation and member contributions.
Benefit Summary	Lists total employee benefit costs actual to budget and projects them through the end of the year. This report compares how the actual benefit rate compares to the budgeted rate.
Labor Hours Budget vs. Actual	Shows total budgeted hours for each project and compares them to the actual hours charged to each.

RESOURCE IMPACTS

Staff expects minimal impacts to SAWPA or its member agencies related to this effort.

Attachments:

- | | |
|---------------------------------------|-------------------------------------------------|
| 1. Balance Sheet by Fund Type | 10. Reserve Account Analysis |
| 2. Revenue & Expense by Fund Type | 11. Twelve-Month Maturity Schedule - Securities |
| 3. Accounts Receivable Aging Report | 12. Treasurer's Report |
| 4. Open Task Order Schedule | 13. Average Daily Flow by Month |
| 5. List of SAWPA Funds | 14. Summary of Labor Multipliers |
| 6. Debt Service Funding Analysis | 15. General Fund Costs |
| 7. Debt Service Payment Schedule | 16. Benefits |
| 8. Total Cash and Investments (chart) | 17. Labor Hours Budgeted vs. Actual |
| 9. Cash Balance & Source of Funds | |

Santa Ana Watershed Project Authority
Balance Sheet by Fund Type
For the Six Months Ending Monday, December 31, 2018

	General Fund	Brine Line Enterprise	Capital Projects	OWOW Projects	Roundtable Projects	Fund Totals
Assets						
Current Assets						
Cash and Investments	\$4,107,345.83	\$49,043,033.31	(\$345,324.45)	\$789,096.28	\$2,398,677.58	\$55,992,828.55
Accounts Receivable	0.00	2,219,870.40	0.00	3,889,231.52	273,670.12	6,382,772.04
Interest Receivable	0.00	5,042.00	0.00	0.00	0.00	5,042.00
Prepays and Deposits	19,096.36	56,637.24	0.00	0.00	0.00	75,733.60
Total Current Assets	4,126,442.19	51,324,582.95	(345,324.45)	4,678,327.80	2,672,347.70	62,456,376.19
Fixed Assets						
Property, Plant & Equipment less accum depreciation	1,165,525.42	86,235,328.67	0.00	0.00	0.00	87,400,854.09
Work In Process	0.00	0.00	318,375.65	0.00	0.00	318,375.65
Total fixed assets	1,165,525.42	86,235,328.67	318,375.65	0.00	0.00	87,719,229.74
Other Assets						
Wastewater treatment/disposal rights, net of amortization	0.00	26,833,457.29	0.00	0.00	0.00	26,833,457.29
Inventory - Mitigation Credits	0.00	0.00	0.00	0.00	1,910,560.00	1,910,560.00
Total Other Assets	0.00	26,833,457.29	0.00	0.00	1,910,560.00	28,744,017.29
Total Assets	\$5,291,967.61	\$164,393,368.91	(\$26,948.80)	\$4,678,327.80	\$4,582,907.70	\$178,919,623.22
Liabilities and Fund Equity						
Current Liabilities						
Accounts Payable/Accrued Expenses	\$719,353.34	\$648,863.75	\$23,478.96	\$3,201,232.79	\$66,503.44	\$4,659,432.28
Accrued Interest Payable	0.00	247,533.06	0.00	0.00	0.00	247,533.06
Customer Deposits	10,000.00	10,000.00	0.00	0.00	451,275.71	471,275.71
Noncurrent Liabilities						
Long-term Debt	4,327,604.00	30,280,499.01	0.00	0.00	0.00	34,608,103.01
Deferred Revenue	0.00	70,209,133.50	0.00	0.00	0.00	70,209,133.50
Total Liabilities	5,056,957.34	101,396,029.32	23,478.96	3,201,232.79	517,779.15	110,195,477.56
Fund Equity						
Contributed Capital	0.00	20,920,507.03	0.00	0.00	0.00	20,920,507.03
Retained Earnings	1,330,766.05	41,330,123.79	360,590.67	985,966.44	3,576,972.00	47,584,418.95
Revenue Over/Under Expenditures	(1,095,755.78)	746,708.77	(411,018.43)	491,128.57	488,156.55	219,219.68
Total Fund Equity	235,010.27	62,997,339.59	(50,427.76)	1,477,095.01	4,065,128.55	68,724,145.66
Total Liabilities & Fund Equity	\$5,291,967.61	\$164,393,368.91	(\$26,948.80)	\$4,678,327.80	\$4,582,907.70	\$178,919,623.22

Santa Ana Watershed Project Authority
Revenue & Expenses by Fund Type
For the Six Months Ending Monday, December 31, 2018

	General Fund	Brine Line Enterprise	Capital Projects	OWOW Projects	Roundtable Projects	Fund Totals
Operating Revenue						
Discharge Fees	\$0.00	\$5,369,632.86	\$0.00	\$0.00	\$0.00	\$5,369,632.86
Grant Proceeds	0.00	0.00	0.00	1,996,237.35	0.00	1,996,237.35
Financing Proceeds	0.00	0.00	0.00	0.00	95,239.35	95,239.35
Total Operating Revenue	0.00	5,369,632.86	0.00	1,996,237.35	95,239.35	7,461,109.56
Operating Expenses						
Labor	851,965.37	487,334.01	13,545.58	274,389.02	81,630.08	1,708,864.06
Benefits	285,215.50	212,964.99	5,919.41	119,908.00	35,672.35	659,680.25
Indirect Costs	0.00	687,628.29	19,112.80	387,162.89	115,180.05	1,209,084.03
Education & Training	4,812.43	185.00	0.00	0.00	0.00	4,997.43
Consulting & Professional Services	176,251.02	66,814.53	372,433.59	937,435.79	257,831.72	1,810,766.65
Operating Costs	1,059.86	1,332,780.40	0.00	0.00	218.55	1,334,058.81
Repair & Maintenance	25,565.98	113,463.69	0.00	0.00	0.00	139,029.67
Phone & Utilities	28,967.19	4,246.07	0.00	0.00	0.00	33,213.26
Equipment & Computers	145,018.49	35,310.72	0.00	0.00	0.00	180,329.21
Meeting & Travel	9,785.98	691.90	7.05	10,256.19	490.62	21,231.74
Other Administrative Costs	73,255.03	24,506.45	0.00	10,514.20	10,607.08	118,882.76
Benefits Applied	98,206.66	0.00	0.00	0.00	0.00	98,206.66
Indirect Costs Applied	(1,216,346.20)	0.00	0.00	0.00	0.00	(1,216,346.20)
Other Expenses	29,420.66	55,908.18	0.00	0.00	10,647.06	95,975.90
Construction	0.00	0.00	0.00	1,007,958.42	0.00	1,007,958.42
Total Operating Expenses	513,177.97	3,021,834.23	411,018.43	2,747,624.51	512,277.51	7,205,932.65
Operating Income (Loss)	(513,177.97)	2,347,798.63	(411,018.43)	(751,387.16)	(417,038.16)	255,176.91
Nonoperating Income (Expense)						
Member Contributions	604,695.00	0.00	0.00	801,000.00	66,000.00	1,471,695.00
Other Agency Contributions	0.00	0.00	0.00	437,720.00	830,596.00	1,268,316.00
Interest Income	17,980.53	282,618.74	0.00	3,795.73	8,598.71	312,993.71
Interest Expense - Debt Service	0.00	(436,252.51)	0.00	0.00	0.00	(436,252.51)
Other Income	791.44	1,086,171.75	0.00	0.00	0.00	1,086,963.19
Use of Reserves	(36,107.37)	0.00	0.00	0.00	0.00	(36,107.37)
Total Nonoperating Income (Expense)	587,359.60	932,537.98	0.00	1,242,515.73	905,194.71	3,667,608.02
Excess Rev over (under) Exp	\$74,181.63	\$3,280,336.61	(\$411,018.43)	\$491,128.57	\$488,156.55	\$3,922,784.93

Aging Report
Santa Ana Watershed Project Authority
Receivables as of January 31, 2019

Customer Name	Project	Total	0-30 Days	31-60 Days	61 and Over
Beaumont Cherry Valley Water District	Basin Monitoring TF	13,712.00			13,712.00
Chino Basin Desalter Authority	Brine Line	329,094.16	165,500.35	163,953.01	165,141.15
Department of Water Resources	Prop 84, WECAN	2,659,138.46	101,565.44	535,807.63	2,021,765.39
Eastern Municipal Water District	Brine Line	174,164.41	169,016.71	174,164.41	
Inland Empire Utilities Agency	Brine Line, Emerging Constituents	101,794.18	106,339.59	101,794.18	
Lake Elsinore & San Jacinto Watersheds Authority	LESJWA Administration	17,423.86		17,423.86	
San Bernardino Valley Municipal Water District	Brine Line	91,363.41	95,726.63	91,363.41	
Western Municipal Water District	Brine Line	322,254.83	375,085.42	322,254.83	
Total Accounts Receivable		3,708,945.31	1,013,234.14	1,406,761.33	2,200,618.54

Santa Ana Watershed Project Authority
Open Task Orders Schedule
Jan-19
(Reflects Invoices Received as of 2/14/19)

Task Order No. Project Contracts	Fund No.	Vendor Name	Task Description	Begin Date	End Date	Original Contract	Change Orders	Total Contract	Billed To Date	Contract Balance	SAWPA Manager	Comments
ACS100-11	100-00	Accent Computer Solutions	IT Support	08/08/2018	06/30/2020	\$ 186,800.00	\$ -	\$ 186,800.00	\$ 43,146.58	\$ 143,653.42	Dean Unger	
BLAIS100-01	100-00	Blais & Associates	Grant Writing Support	10/09/2018	11/30/2018	\$ 13,590.00	\$ -	\$ 13,590.00	\$ 7,375.00	\$ 6,215.00	Mark Norton	
HAMM100-240-01	100/240	Hammons Strategies	Technical Writing - SAWPA/BL	07/01/2018	06/30/2019	\$ 10,000.00	\$ -	\$ 10,000.00	\$ 2,530.00	\$ 7,470.00	Rich Haller	
INSOL100-11	100-00	Integrated Systems Solutions	Management of Info Systems & Technology	08/01/2018	06/30/2019	\$ 3,000.00	\$ -	\$ 3,000.00	\$ 1,811.00	\$ 1,189.00	Dean Unger	
INSOL100-12	100-00	Integrated Systems Solutions	GP Upgrade 2013 to 2018	11/28/2018	01/31/2019	\$ 18,910.00	\$ -	\$ 18,910.00	\$ 11,671.50	\$ 7,238.50	Dean Unger	
NICO100-05	100-00	Nicolay Consulting Group	GASB 45/75 Transisiton Plan	08/22/2017	06/30/2019	\$ 7,200.00	\$ 900.00	\$ 8,100.00	\$ 5,700.00	\$ 2,400.00	Karen Williams	
SOL100-01	100-00	Sol Media	Website Support and Hosting	10/29/2018	10/31/2019	\$ 6,680.00	\$ -	\$ 6,680.00	\$ 5,790.00	\$ 890.00	Dean Unger	
TEAM100-07	100-00	Teaman, Ramirez, & Smith	Auditing Services	06/05/2018	06/30/2021	\$ 79,500.00	\$ -	\$ 79,500.00	\$ 22,819.00	\$ 56,681.00	Karen Williams	
TTD100-04	100-00	The Technology Depot	Phone Support	08/17/2018	06/30/2019	\$ 5,000.00	\$ -	\$ 5,000.00	\$ 1,838.56	\$ 3,161.44	Dean Unger	
WCA100-03-03	100-03	West Coast Advisors	State Legislative Consulting FY19-20	01/01/2019	12/31/2020	\$ 235,000.00	\$ -	\$ 235,000.00	\$ 19,500.00	\$ 215,500.00	Larry McKenney	
CALV240-03	240	Calvada Surveying	BL On Call Land Surveying Services	06/05/2018	06/30/2020	\$ 28,970.00	\$ -	\$ 28,970.00	\$ -	\$ 28,970.00	Carlos Quintero	On Call
DOUG240-03	240	Douglas Environmental	Brine Line Meter Calibration	07/01/2018	06/30/2020	\$ 25,620.00		\$ 25,620.00	\$ 14,946.06	\$ 10,673.94	Carlos Quintero	On Call
WO2019-04	240	E S Babcock	Brine Line Sample Collection & Analysis	07/01/2018	06/30/2019	\$ 86,454.00	\$ -	\$ 86,454.00	\$ 37,896.00	\$ 48,558.00	Carols Quintero	
HAZ240-09	240	Haz Mat Trans Inc	On Call Draining & Emergency Clean Up	07/01/2018	06/30/2020	\$ 96,665.00	\$ -	\$ 96,665.00	\$ 833.75	\$ 95,831.25	Carlos Quintero	On Call
HAZ240-10	240	Haz Mat Trans Inc	BL Debris Hauling & Disposal services	07/01/2018	06/30/2020	\$ 34,800.00		\$ 34,800.00		\$ 34,800.00	Carlos Quintero	On Call
HOU240-04	240	Houston Harris PCS Inc	Brine Line On Call Inspection Services	07/01/2018	06/30/2020	\$ 96,448.00	\$ -	\$ 96,448.00		\$ 96,448.00	Carlos Quintero	On Call
WO2019-03	240	Inland Empire Utilities Agency	Reach 4A Upper - BL Maintenance	07/01/2018	06/30/2019	\$ 10,000.00	\$ -	\$ 10,000.00	\$ -	\$ 10,000.00	Carlos Quintero	
INN240-03	240	Innerline Engineering Inc	Brine Line On-Call Line Cleaning	07/01/2018	06/30/2020	\$ 151,020.00		\$ 151,020.00	\$ 6,993.00	\$ 144,027.00	Carlos Quintero	On Call
TRU240-21	240	Trussell Technologies	BL Monitoring Assesment	01/04/2018	06/30/2019	\$ 41,590.00	\$ -	\$ 41,590.00	\$ 34,613.50	\$ 6,976.50	Carlos Quintero	
TRU240-22	240	Trussell Technologies	Monthly County Line Solids Analysis	10/16/2018	06/30/2019	\$ 9,664.00	\$ -	\$ 9,664.00	\$ 4,978.38	\$ 4,685.62	Carlos Quintero	
TRU240-23	240	Trussell Technologies	2019 BL Annual Review	10/15/2018	10/31/2019	\$ 49,899.00	\$ -	\$ 49,899.00	\$ 8,842.50	\$ 41,056.50	Carlos Quintero	
WO2019-01	240	WMWD	Sample Collection & Analysis	07/01/2018	06/30/2019	\$ 80,000.00	\$ -	\$ 80,000.00	45,822.37	\$ 34,177.63	David Ruhl	
WO2019-02	240	WMWD	Brine Line Operations & Maintenance	07/01/2018	06/30/2019	\$ 25,000.00	\$ -	\$ 25,000.00	6,897.88	\$ 18,102.12	Carlos Quintero	
STAN320-01	320	Stantec	Alcoa Dike - BL Protection	05/30/2018	06/30/2019	\$ 13,584.00	\$ 3,695.00	\$ 17,279.00	7,040.57	\$ 10,238.43	David Ruhl	98

Santa Ana Watershed Project Authority
Open Task Orders Schedule
Jan-19
(Reflects Invoices Received as of 2/14/19)

Task Order No. Project Contracts	Fund No.	Vendor Name	Task Description	Begin Date	End Date	Original Contract	Change Orders	Total Contract	Billed To Date	Contract Balance	SAWPA Manager	Comments
W&C327-01	327	Woodard & Curran	4D Rehabilitation - Engineering Services	04/11/2018	12/31/2018	\$ 226,649.00	\$ -	\$ 226,649.00	\$ 206,103.36	\$ 20,545.64	David Ruhl	
BLAIS370-02	370-01	Blais & Associates	Grant Needs Assessment & Grant Monitoring	10/01/2018	12/31/2019	\$ 24,700.00	\$ -	\$ 24,700.00	\$ 6,875.00	\$ 17,825.00	Ian Achimore	
DUDK373-05	373	Dudek	OWOW Plan Update 2018	10/24/2018	02/28/2019	\$ 27,000.00	\$ -	\$ 27,000.00	\$ 17,591.25	\$ 9,408.75	Mike Antos	
GEOS374-01	374	GeoScience Support Service	SAR WLA Model Update	02/01/2017	06/30/2019	\$ 249,800.00	\$ 65,156.00	\$ 314,956.00	\$ 306,571.25	\$ 8,384.75	Mark Norton	
RISK374-07	374	Risk Sciences	Basin Monitoring TF	11/06/2017	06/30/2019	\$ 73,150.00	\$ -	\$ 73,150.00	\$ 59,227.97	\$ 13,922.03	Mark Norton	
SAWA381-01	381	Santa Ana Watershed Association	Van Buren Bridge Suker Restoration	09/26/2018	12/31/2019	\$ 15,130.20	\$ -	\$ 15,130.20	\$ 5,833.31	\$ 9,296.89	Ian Achimore	
SCH381-01	381	Scheevel Engineering	S.A. Sucker - Beneficial Use Project	04/18/2017	06/30/2020	\$ 96,725.00	\$ 7,275.00	\$ 104,000.00	\$ 93,604.00	\$ 10,396.00	Ian Achimore	
SCH381-02	381	Scheevel Engineering	S.A. Sucker - Habitat Construction Management	09/17/2018	12/31/2019	\$ 12,300.00	\$ -	\$ 12,300.00	\$ 5,025.00	\$ 7,275.00	Ian Achimore	
CDM384-15	384-01	CDM Smith	CBRP Implementation Support (Cucamonga)	02/08/2017	12/31/2018	\$ 12,135.00	\$ -	\$ 12,135.00	\$ 5,399.64	\$ 6,735.36	Mark Norton	
RISK384-09	384-01	Risk Sciences	MSAR TMDL Task Force	07/01/2018	06/30/2019	\$ 49,340.00	\$ -	\$ 49,340.00	\$ 11,010.97	\$ 38,329.03	Rick Whetsel	
CDM386-13	386	CDM Smith	Regional Bacteria Monitoring Program	07/01/2018	06/30/2019	\$ 324,914.00	\$ 28,834.00	\$ 353,748.00	\$ 113,010.01	\$ 240,737.99	Rick Whetsel	
RISK386-10	386	Risk Sciences	Compliance Expert - RWQM TF	07/01/2018	06/30/2019	\$ 46,820.00	\$ -	\$ 46,820.00	\$ 6,148.54	\$ 40,671.46	Rick Whetsel	
SAWA387-06	387	Santa Ana Watershed Association	Arundo Surveying	07/17/2018	08/31/2019	\$ 23,000.00	\$ -	\$ 23,000.00	\$ -	\$ 23,000.00	Ian Achimore	
DEGR392-04	392	DeGrave Communications	Social Media Support - EC TF	07/01/2018	06/30/2019	\$ 30,000.00	\$ -	\$ 30,000.00	\$ 16,076.47	\$ 13,923.53	Mark Norton	
ECOT397-01	397	Ecotech	WECAN Landscaping Project	05/26/2016	12/31/2018	\$ 1,300,000.00	\$ 82,000.00	\$ 1,382,000.00	\$ 1,321,457.50	\$ 60,542.50	Ian Achimore	
ECOT397-02	397	Ecotech	WECAN Landscaping Project	04/04/2017	09/30/2019	\$ 612,000.00	\$ -	\$ 612,000.00	\$ 582,125.00	\$ 29,875.00	Ian Achimore	
GMC397-01	397	Green Media Creations	WECAN Outreach and Management	05/26/2016	12/31/2018	\$ 170,000.00	\$ 20,000.00	\$ 190,000.00	\$ 177,847.80	\$ 12,152.20	Ian Achimore	
PO3466	398	California Rural Water Association	Disadvantaged Communities Grant	07/19/2017	04/30/2020	\$ 240,000.00	\$ -	\$ 240,000.00	\$ 104,701.10	\$ 135,298.90	Rick Whetsel	
DEGR398-01	398	Degrave Communications	Social Meida Support	03/20/2018	06/30/2019	\$ 78,434.00	\$ -	\$ 78,434.00	\$ 46,747.30	\$ 31,686.70	Rick Whetsel	
IEW398-03	398	Inland Empire Waterkeeper	Disadvanged Communities Grant	09/03/2018	04/30/2021	\$ 7,200.00	\$ -	\$ 7,200.00	\$ -	\$ 7,200.00	Rick Whetsel	
PO3463	398	Local Government Commission	Disadvantaged Communities Grant	07/19/2017	04/30/2020	\$ 442,000.00	\$ -	\$ 442,000.00	\$ 213,582.12	\$ 228,417.88	Rick Whetsel	
PO3551	398	UC Irvine	Disadvantaged Communities Grant	11/06/2017	04/30/2020	\$ 105,000.00	\$ 277,000.00	\$ 382,000.00	\$ 165,132.31	\$ 216,867.69	Rick Whetsel	
PO3465	398	University Enterprises Corporation	Disadvantaged Communities Grant	07/19/2017	04/30/2020	\$ 1,290,500.00	\$ -	\$ 1,290,500.00	\$ 121,246.60	\$ 1,169,253.40	Rick Whetsel	
PO3464	398	Water Education Foundation	Disadvantaged Communities Grant	07/19/2017	04/30/2020	\$ 150,000.00	\$ -	\$ 150,000.00	\$ 34,970.31	\$ 115,029.69	Rick Whetsel	99

Santa Ana Watershed Project Authority
Open Task Orders Schedule
Jan-19
(Reflects Invoices Received as of 2/14/19)

Task Order No. Project Contracts	Fund No.	Vendor Name	Task Description	Begin Date	End Date	Original Contract	Change Orders	Total Contract	Billed To Date	Contract Balance	SAWPA Manager	Comments
CVS504-301-01	504-00	CV Strategies	FAQ on Conservation Based Rates	11/29/2016	03/31/2019	\$ 25,000.00	\$ 15,750.00	\$ 40,750.00	\$ 29,955.00	\$ 10,795.00	Ian Achimore	
MSS504-301-01	504-00	Miller Spatial Services	Geocoding & Business Type Classification	08/24/2017	06/30/2019	\$ 300,000.00	\$ -	\$ 300,000.00	\$ 170,322.30	\$ 129,677.70	Rick Whetsel	
RMC504-401-04	504-04	Woodard & Curran	SARCCUP Program Mgmt Services	07/01/2018	06/30/2019	\$ 224,485.00	\$ -	\$ 224,485.00	\$ 73,453.93	\$ 151,031.07	Ian Achimore	
RMC504-401-05	504-04	Woodard & Curran	Technical Writing & Outreach Support	10/24/2018	12/31/2018	\$ 37,411.00	\$ -	\$ 37,411.00	\$ 12,509.25	\$ 24,901.75	Mark Norton	
										<u>\$ 3,812,124.26</u>		

LIST OF SAWPA FUNDS

Fund No.	Fund Description	Fund Group
100-00	General Fund	General
100-03	State Lobbying	General
100-04	Federal Lobbying	General
100-05	Grant Applications	General
130	Proposition 84 – Program Management - Round 1	OWOW
135	Proposition 84 – Program Management – Round 2	OWOW
140	Proposition 84 – Program Management – Drought Round	OWOW
145	Proposition 84 – Program Management – 2015 Round	OWOW
240	Brine Line Enterprise	Brine Line
320-01	Brine Line Protection – Downstream Prado	Capital Projects
320-03	Brine Line Protection Above Prado	Capital Projects
320-04	Brine Line Protection D/S Prado in Riverside County	Capital Projects
326	Reach V Capital Repairs	Capital Projects
327	Reach IV-D Corrosion Repair	Capital Projects
370-01	Basin Planning General	OWOW
370-02	USBR Partnership Studies	OWOW
372	Imported Water Recharge Work Group	Roundtable
373	Watershed Management (OWOW)	OWOW
374	Basin Monitoring Program Task Force	Roundtable
381	Santa Ana River Fish Conservation	Roundtable
384-01	MSAR TMDL Task Force	Roundtable
386	Regional Water Quality Monitoring Task Force	Roundtable
387	Arundo Management & Habitat Restoration	Roundtable
392	Emerging Constituents Task Force	Roundtable
396	Forest First	Roundtable
397	Energy – Water DAC Grant Project	OWOW
398	Proposition 1 - DACI	OWOW
477	LESJWA Administration	Roundtable
504-01	Proposition 84 – Capital Projects Round 1 & 2	OWOW
504-00	Proposition 84 – Drought Capital Projects	OWOW
504-04	Proposition 84 – Final Round SARCCUP	OWOW

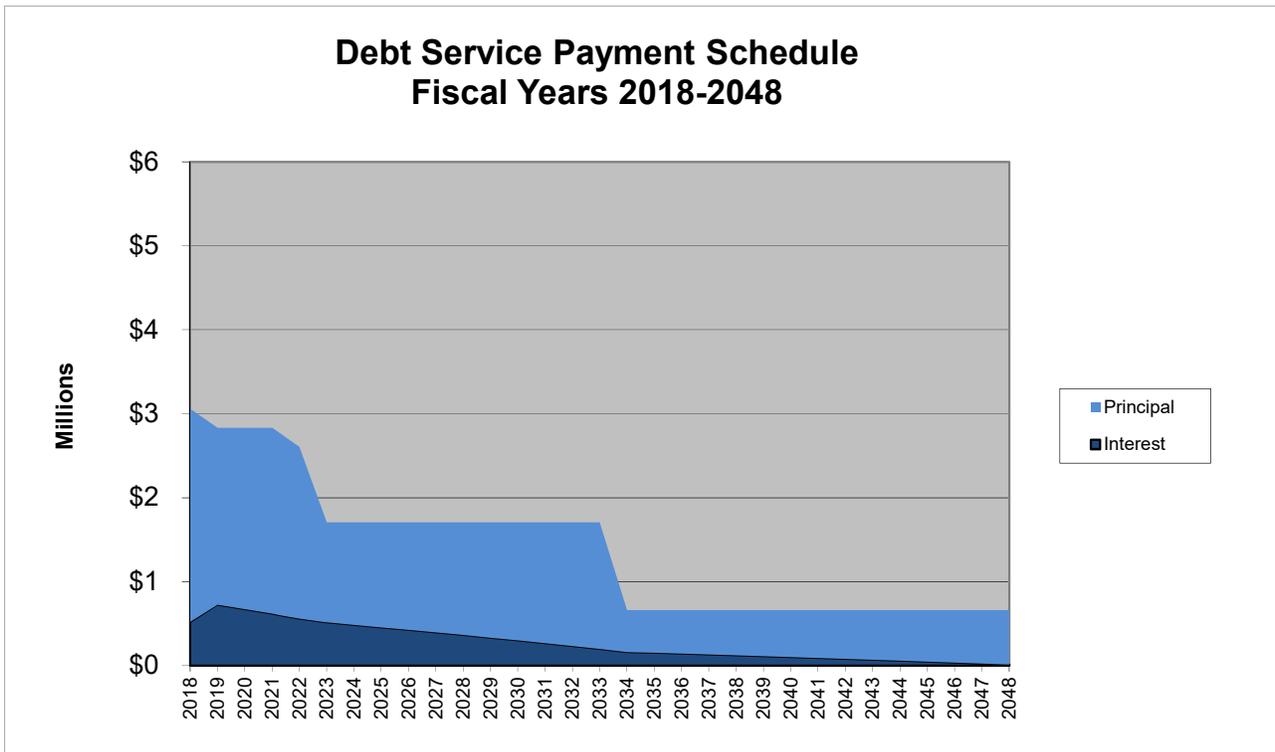
Santa Ana Watershed Project Authority
 Brine Line Debt Service Funding Analysis
 January 31, 2019

FYE	T-Strip Maturity	Capacity Loan Receipts	Rates	Loan Pymts	Interest Earned *	Excess Cash	Ending Cash Balance
	Beginning Balance						5,335,350
2019	395,000	89,053	1,708,750	(3,191,277)	26,392	(972,082)	4,363,268
2020	395,000	-	1,708,750	(2,835,027)	56,175	(675,102)	3,688,166
2021	-	-	1,708,750	(2,835,027)	42,673	(1,083,604)	2,604,562
2022	-	-	1,708,750	(2,607,713)	21,001	(877,961)	1,726,601
2023	-	-	1,708,750	(1,708,750)	3,442	3,442	1,730,043
2024	-	-	1,708,750	(1,708,750)	3,511	3,511	1,733,554
2025	-	-	1,708,750	(1,708,750)	3,581	3,581	1,737,135
2026	-	-	1,708,750	(1,708,750)	3,653	3,653	1,740,787
2027	-	-	1,708,750	(1,708,750)	3,726	3,726	1,744,513
2028	-	-	1,708,750	(1,708,750)	3,800	3,800	1,748,313
2029	-	-	1,708,750	(1,708,750)	3,876	3,876	1,752,189
2030	-	-	1,708,750	(1,708,750)	3,954	3,954	1,756,143
2031	-	-	1,708,750	(1,708,750)	4,033	4,033	1,760,175
2032	-	-	1,708,750	(1,708,750)	4,113	4,113	1,764,289
2033	-	-	1,708,749	(1,708,749)	4,196	4,196	1,768,484
2034	-	-	664,476	(664,476)	4,280	4,280	1,772,764
2035	-	-	664,476	(664,476)	4,365	4,365	1,777,130
2036	-	-	664,476	(664,476)	4,452	4,452	1,781,581
2037	-	-	664,476	(664,476)	4,541	4,541	1,786,124
2038	-	-	664,476	(664,476)	4,632	4,632	1,790,755
2039	-	-	664,476	(664,476)	4,725	4,725	1,795,480
2040	-	-	664,476	(664,476)	4,819	4,819	1,800,299
2041	-	-	664,476	(664,476)	4,916	4,916	1,805,215
2042	-	-	664,476	(664,476)	5,014	5,014	1,810,229
2043	-	-	664,476	(664,476)	5,114	5,114	1,815,344
2044	-	-	664,476	(664,476)	5,217	5,217	1,820,560
2045	-	-	664,476	(664,476)	5,321	5,321	1,825,881
2046	-	-	664,476	(664,476)	5,427	5,427	1,831,309
2047	-	-	664,476	(664,476)	5,536	5,536	1,836,844
2048	-	-	664,476	(664,476)	5,647	5,647	1,842,492
	790,000	89,053	35,598,389	(40,232,434)	262,132	(3,492,859)	-

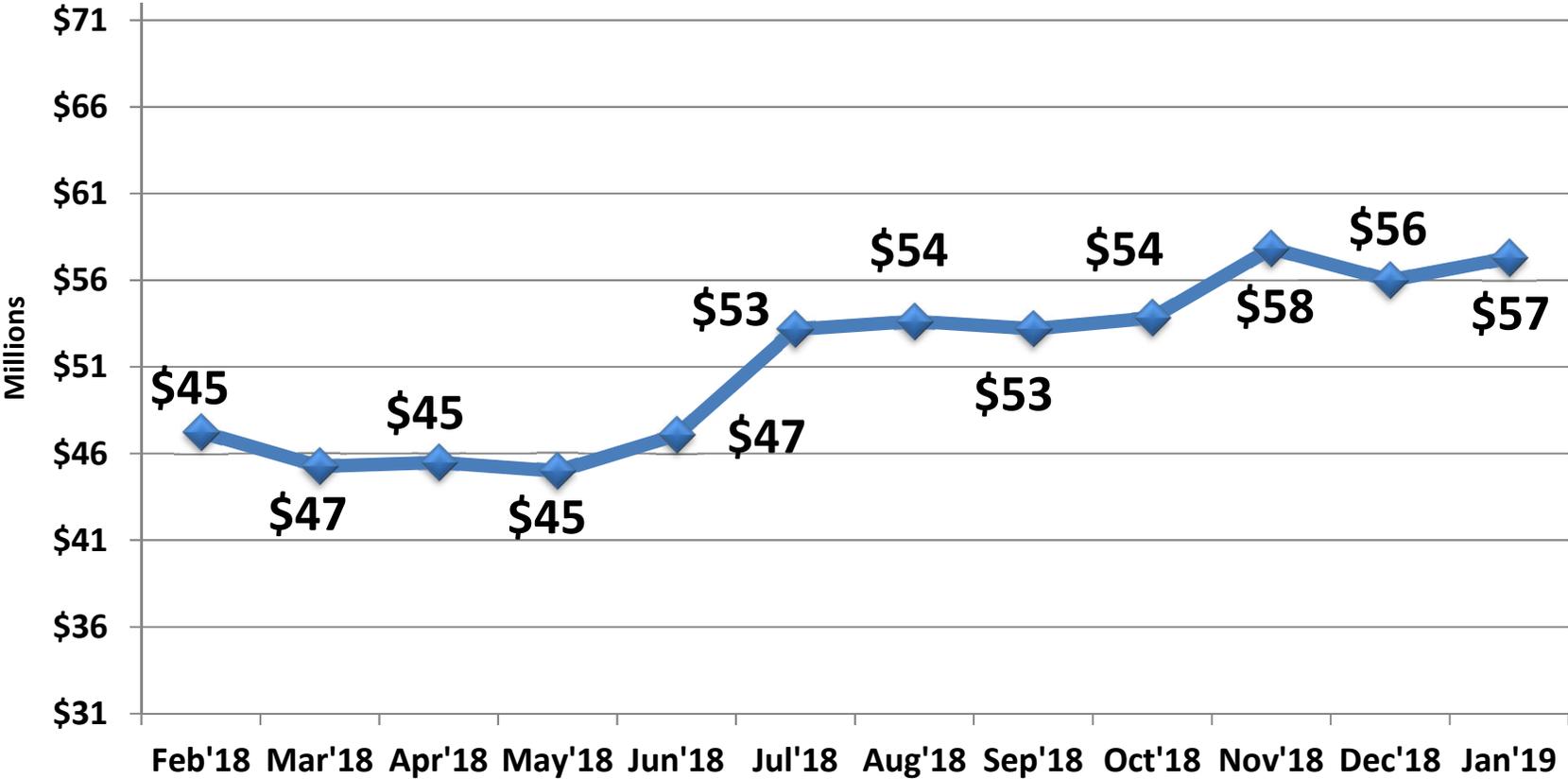
*Interest earned is based on a conservative 2.00% average return over the period

Santa Ana Watershed Project Authority
 Brine Line Debt Service Payment Schedule
 January 31, 2019

FYE	Interest	Principal	Total Payment	New SRF Loan	Remaining Principal
2018	520,699	2,539,821	3,060,520	15,088,592	31,938,455
2019	727,977	2,107,050	2,835,027	-	29,831,405
2020	674,909	2,160,119	2,835,027	-	27,671,286
2021	620,485	2,214,543	2,835,027	-	25,456,743
2022	564,670	2,043,043	2,607,713	-	23,413,700
2023	514,020	1,194,730	1,708,750	-	22,218,970
2024	485,808	1,222,942	1,708,750	-	20,996,029
2025	456,917	1,251,833	1,708,750	-	19,744,196
2026	427,330	1,281,420	1,708,750	-	18,462,777
2027	397,030	1,311,719	1,708,750	-	17,151,057
2028	366,000	1,342,750	1,708,750	-	15,808,307
2029	334,221	1,374,529	1,708,750	-	14,433,779
2030	301,675	1,407,074	1,708,750	-	13,026,704
2031	268,344	1,440,405	1,708,750	-	11,586,299
2032	234,208	1,474,541	1,708,750	-	10,111,758
2033	199,248	1,509,501	1,708,749	-	8,602,257
2034	163,443	501,033	664,476	-	8,101,223
2035	153,923	510,553	664,476	-	7,590,670
2036	144,223	520,254	664,476	-	7,070,416
2037	134,338	530,138	664,476	-	6,540,278
2038	124,265	540,211	664,476	-	6,000,067
2039	114,001	550,475	664,476	-	5,449,592
2040	103,542	560,934	664,476	-	4,888,658
2041	92,884	571,592	664,476	-	4,317,066
2042	82,024	582,452	664,476	-	3,734,614
2043	70,958	593,519	664,476	-	3,141,095
2044	59,681	604,796	664,476	-	2,536,299
2045	48,190	616,287	664,476	-	1,920,013
2046	36,480	627,996	664,476	-	1,292,017
2047	24,548	639,928	664,476	-	652,087
2048	12,390	652,087	664,477	-	-



Total Cash & Investments





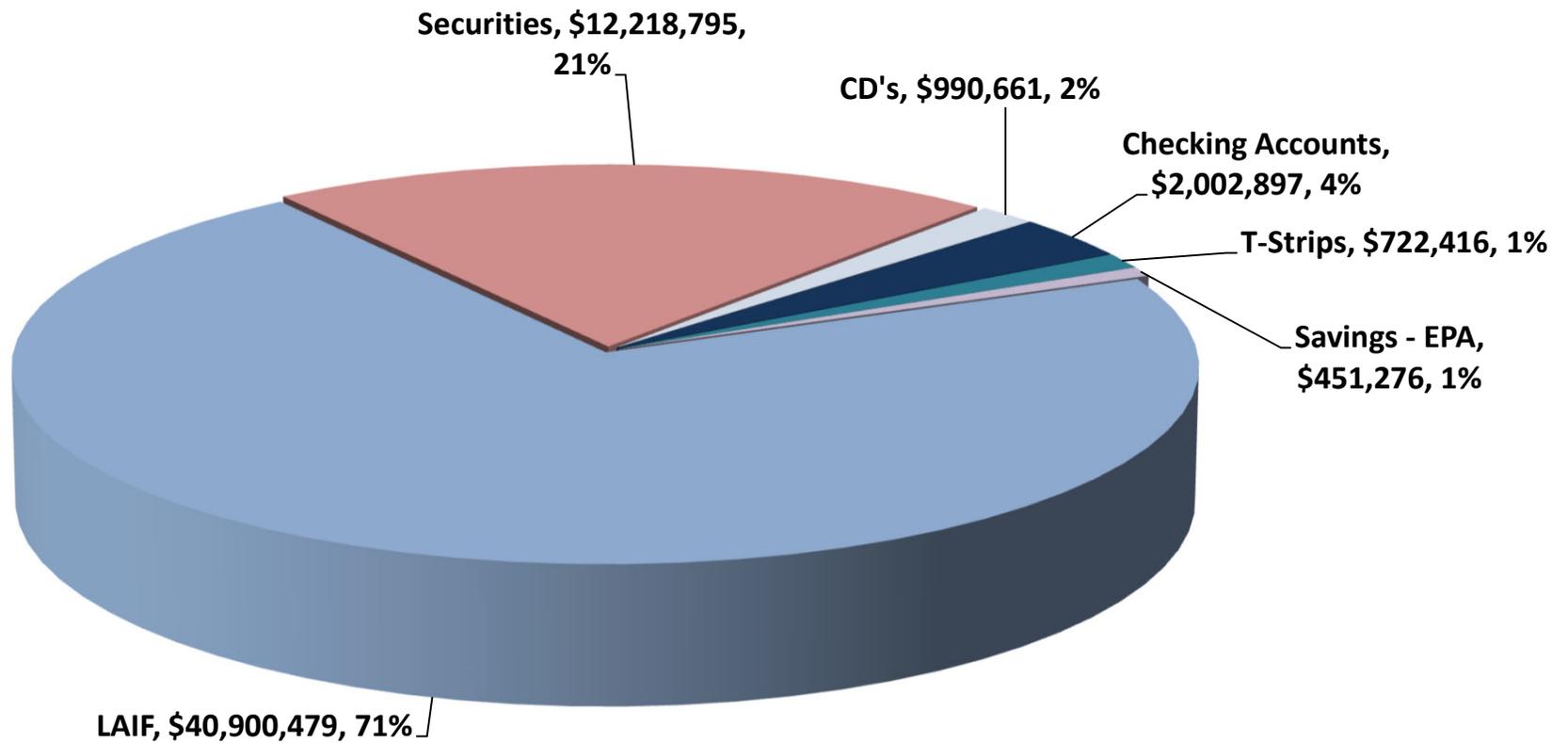
CASH BALANCE & SOURCE OF FUNDS

January 31, 2019

Reserve Accounts		Cash and Investments							
	Total	Checking (Cash)	LAIF Account	Savings EPA	Investment Securities	Certificates of Deposit	Treasury Strips	Total	
100	General Fund	\$ 2,507,341	2,002,897	504,444	-	-	-	\$ 2,507,341	
100	Building Reserve	\$ 877,104	-	877,104	-	-	-	\$ 877,104	
370	Basin Planning General	\$ 283,865	-	283,865	-	-	-	\$ 283,865	
370	USBR Partnership Studies	\$ 53,009	-	53,009	-	-	-	\$ 53,009	
373	Watershed Management Plan	\$ 259,740	-	259,740	-	-	-	\$ 259,740	
240	Self Insurance Reserve	\$ 4,106,399	-	4,106,399	-	-	-	\$ 4,106,399	
240	Brine Line Debt Retirement	\$ 4,606,157	-	3,883,741	-	-	722,416	\$ 4,606,157	
240	Brine Line - Pipeline Replacement	\$ 20,346,964	-	7,137,508	12,218,795	990,661	-	\$ 20,346,964	
240	Brine Line - OCSD Rehabilitation	\$ 3,612,870	-	3,612,870	-	-	-	\$ 3,612,870	
240	Brine Line - Capacity Management	\$ 11,569,724	-	11,569,724	-	-	-	\$ 11,569,724	
240	Brine Line - OCSD Future Capacity	\$ 1,771,363	-	1,771,363	-	-	-	\$ 1,771,363	
240	Brine Line - Flow Imbalance Reserve	\$ 85,613	-	85,613	-	-	-	\$ 85,613	
240	Brine Line - Operating Reserve	\$ 3,644,264	-	3,644,264	-	-	-	\$ 3,644,264	
401	Legal Defense Fund	\$ 451,276	-	-	451,276	-	-	\$ 451,276	
372	Imported Water Recharge	\$ (792)	-	(792)	-	-	-	\$ (792)	
374	Basin Monitoring Program TF	\$ 433,031	-	433,031	-	-	-	\$ 433,031	
381	SAR Fish Conservation	\$ 127,602	-	127,602	-	-	-	\$ 127,602	
384	Middle SAR TMDL TF	\$ 161,392	-	161,392	-	-	-	\$ 161,392	
386	RWQ Monitoring TF	\$ 397,551	-	397,551	-	-	-	\$ 397,551	
387	Mitigation Bank Credits	\$ 947,990	-	947,990	-	-	-	\$ 947,990	
392	Emerging Constituents TF	\$ 101,969	-	101,969	-	-	-	\$ 101,969	
397	Energy - Water DAC Grant	\$ 170,003	-	170,003	-	-	-	\$ 170,003	
504	Prop 84 - SARCCUP Projects	\$ 772,087	-	772,087	-	-	-	\$ 772,087	
		\$ 57,286,524	\$ 2,002,897	\$ 40,900,479	\$ 451,276	\$ 12,218,795	\$ 990,661	\$ 722,416	\$ 57,286,524

Cash & Investments - January 2019

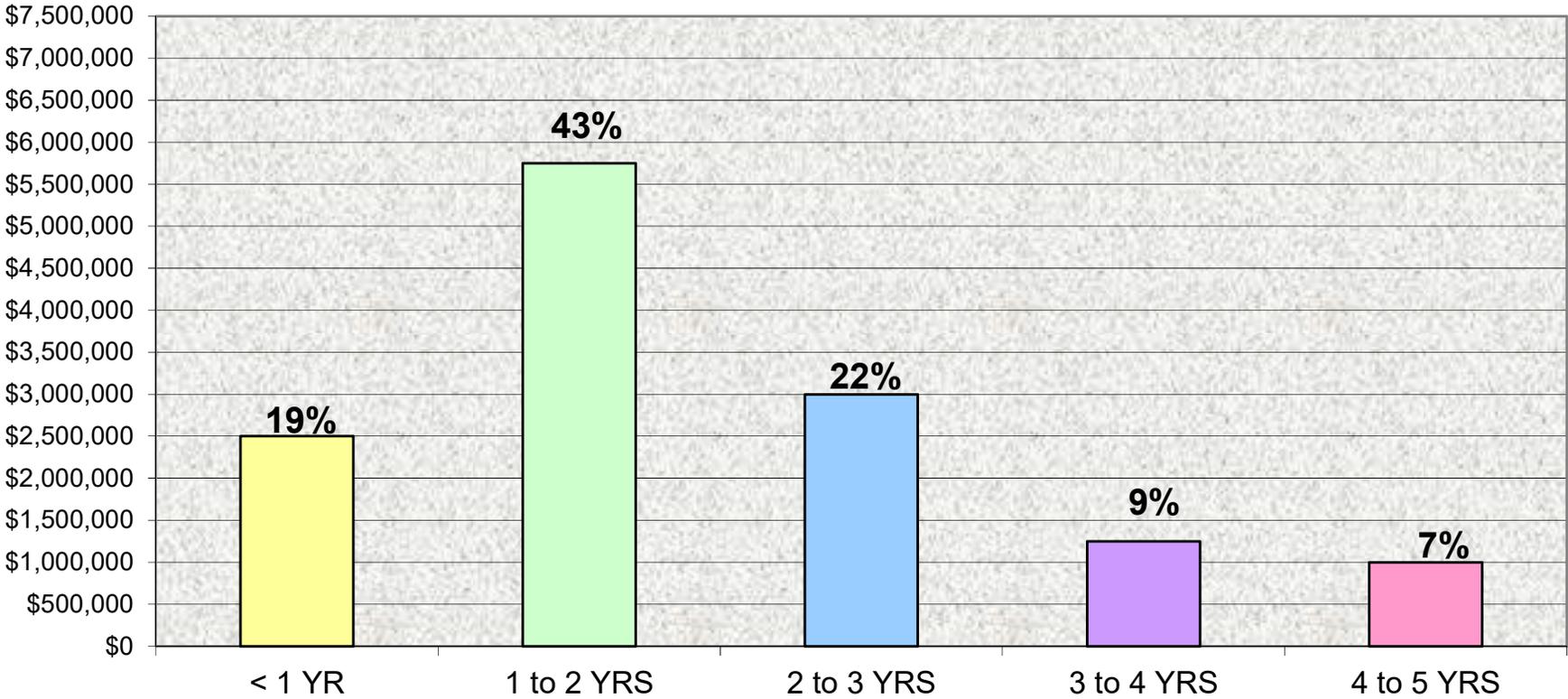
\$57,286,524



Santa Ana Watershed Project Authority
Reserve Account Analysis
January 31, 2019

Reserve Account	Balance @ 6/30/2018	Interest Earned	Fund Contributions	Loan/T-Strip Receipts	Debt Service Payments	Inter-Fund Loans	Fund Expenses	Balance @ 1/31/2019	Estimated Fund Changes	Balance @ 6/30/2019
Brine Line Operating Reserve	2,585,699	49,802	6,996,886				(5,988,124)	3,644,264	-	3,644,264
Flow Imbalance Reserve	93,670	1,358					(9,415)	85,613	-	85,613
OCSD Future Capacity	1,745,247	26,116						1,771,363	-	1,771,363
Capacity Management	11,352,490	217,234						11,569,724	-	11,569,724
Pipeline Replacement	11,309,285	224,500	9,167,347				(354,168)	20,346,964	(1,091,958)	19,255,006
OCSD Rehabilitation	3,559,605	53,265						3,612,870	-	3,612,870
Debt Retirement	5,157,252	68,070	1,096,167	89,053	(2,526,801)			3,883,741	185,844	4,069,585
Self Insurance	3,988,170	59,896	58,333					4,106,399	41,667	4,148,066
General Fund	2,636,095	44,653	654,431			(820,464)	(7,374)	2,507,341	-	2,507,341
Building Reserve	910,526	14,577	100,000				(148,000)	877,104	-	877,104
	43,338,039	759,471	18,073,165	89,053	(2,526,801)	(820,464)	(6,507,081)	52,405,383	(864,447)	51,540,936

Twelve Month Maturity Schedule Securities



SAWPA
TREASURER'S REPORT
As of January 31, 2019

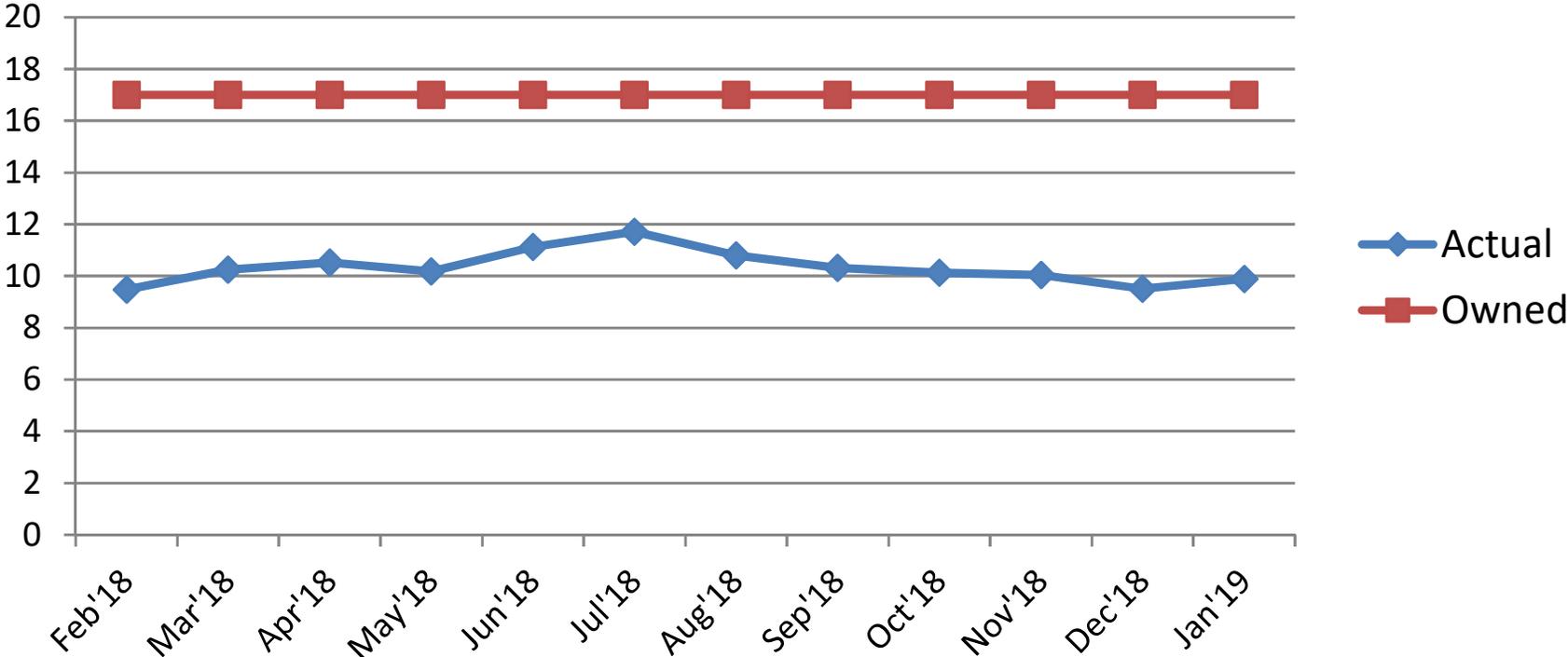
Investment T-Strips
Safekeeping US Bank

T-STRIPS	Debt	Purchase Date	Maturity Date	PAR	Cost	Initial Discount	Current Discount	Book Value	Market Value	Interest Rate
USB	TVRI	02/11/2000	05/15/2020	790,000.00	228,677.35	561,322.65	67,583.86	722,416.14	772,526.39	6.38%
				\$ 790,000.00	\$ 228,677.35	\$ 561,322.65	\$ 67,583.86	722,416.14	\$ 772,526.39	6.38%

Investment Commercial
Safekeeping US Bank

Type	Security	Purchase Date	Maturity Date	Unit Cost	Cost	Principal	Current Value	Market Value	Interest Rate
Agency	FHLMC	3/27/2014	3/27/2019	109.33	\$ 546,650.00	\$ 500,000.00	\$ 500,000.00	501,022.00	3.750%
Agency	FHLMC	9/16/2016	8/12/2021	100.00	\$ 990,060.00	\$ 1,000,000.00	\$ 1,000,000.00	966,235.00	1.125%
Agency	FHLMC	4/17/2017	1/13/2022	102.55	\$ 512,767.00	\$ 500,000.00	\$ 500,000.00	497,790.50	2.375%
Agency	FHLB	5/26/2015	6/14/2019	100.80	\$ 504,015.00	\$ 500,000.00	\$ 500,000.00	498,420.50	1.625%
Agency	FHLB	6/16/2016	6/12/2020	102.61	\$ 1,026,088.00	\$ 1,000,000.00	\$ 1,000,000.00	988,831.00	1.750%
Agency	FHLB	12/14/2017	6/10/2022	99.89	\$ 998,930.00	\$ 1,000,000.00	\$ 1,000,000.00	984,718.00	2.125%
Agency	FNMA	3/27/2014	2/19/2019	100.40	\$ 501,975.00	\$ 500,000.00	\$ 500,000.00	499,840.00	1.875%
Agency	FNMA	12/28/2015	12/28/2020	100.21	\$ 1,002,140.00	\$ 1,000,000.00	\$ 1,000,000.00	987,565.00	1.875%
Agency	FNMA	6/16/2016	11/30/2020	101.52	\$ 1,015,157.00	\$ 1,000,000.00	\$ 1,000,000.00	980,631.00	1.500%
Agency	USTN	11/17/2015	10/31/2020	100.00	\$ 1,005,312.50	\$ 1,000,000.00	\$ 1,000,000.00	987,266.00	1.750%
Agency	USTN	11/17/2015	11/30/2019	100.00	\$ 1,001,210.94	\$ 1,000,000.00	\$ 1,000,000.00	991,055.00	1.500%
Agency	USTN	6/17/2016	8/31/2020	101.13	\$ 507,070.31	\$ 500,000.00	\$ 500,000.00	491,347.50	1.375%
Agency	USTN	6/16/2016	9/30/2020	101.12	\$ 506,992.19	\$ 500,000.00	\$ 500,000.00	490,898.50	1.375%
Agency	USTN	6/16/2016	10/31/2020	101.12	\$ 506,914.06	\$ 500,000.00	\$ 500,000.00	490,469.00	1.375%
Agency	USTN	12/14/2017	7/31/2021	96.91	\$ 969,062.50	\$ 1,000,000.00	\$ 1,000,000.00	967,852.00	1.125%
CORP	Apple Inc.	10/15/2018	5/3/2023	95.98	\$ 479,898.50	\$ 500,000.00	\$ 500,000.00	490,452.00	2.400%
CORP	Toyota Motor Credit Corp.	10/15/2018	9/20/2023	99.55	\$ 497,747.50	\$ 500,000.00	\$ 500,000.00	507,077.00	3.450%
CD	Ally Bank	4/20/2017	4/20/2020	100.00	\$ 248,000.00	\$ 248,000.00	\$ 248,000.00	248,000.00	1.800%
CD	American Exp Centurion	4/19/2017	4/19/2021	100.00	\$ 248,000.00	\$ 248,000.00	\$ 248,000.00	248,000.00	2.250%
CD	American Express BK FSB	5/10/2017	5/10/2021	100.00	\$ 248,000.00	\$ 248,000.00	\$ 248,000.00	248,000.00	2.200%
CD	Goldman Sachs Bank USA	12/20/2017	12/20/2022	100.00	\$ 248,000.00	\$ 248,000.00	\$ 248,000.00	248,000.00	2.500%
					\$ 13,563,990.50	\$ 13,492,000.00	\$ 13,492,000.00	13,313,470.00	1.957%

Average Daily Flow by Month





SUMMARY OF LABOR MULTIPLIERS

		Benefit Rate
Total Employee Benefits	781,432	0.393
Total Payroll	1,986,939	
Gross Indirect Costs	1,999,461	
Less: Member Contributions & Other Revenue	(262,500)	
Indirect Costs for Distribution	1,736,961	
		Indirect Rate
Direct Labor	1,006,510	1.726
Indirect Costs	1,736,961	

FY 2018-19 Labor multiplier - thru 01/31/19	2.119
FY 2017-18 Labor multiplier	<u>1.990</u>
FY 2016-17 Labor multiplier	<u>1.901</u>
FY 2015-16 Labor multiplier	<u>2.073</u>
FY 2014-15 Labor multiplier	<u>1.850</u>
FY 2013-14 Labor multiplier	<u>2.105</u>



INDIRECT COSTS

(to be Distributed)

<u>G/L Acct.</u>	<u>Description</u>	<u>Actual thru</u> <u>1/31/19</u>
51000	Salaries - Regular	\$ 980,429
52000	Benefits	\$ 327,767
60111	Tuition Reimbursement	\$ -
60112	Training	\$ (370)
60113	Education	\$ 710
60114	Other Training & Education	\$ 4,497
60120	Audit Fees	\$ 22,819
60121	Consulting	\$ 134,171
60126	Temporary Services	\$ 34,480
60128	Other Professional Services	\$ 700
60129	Other Contract Services	\$ -
60130	Legal Fees	\$ 413
60133	Employment Recruitment	\$ -
60153	Materials & Supplies	\$ 39
60154	Safety	\$ 1,021
60155	Security	\$ 1,273
60156	Custodial Contract Services	\$ 8,322
60157	Landscaping Maintenance	\$ 7,830
60158	HVAC	\$ 3,988
60159	Facility Repair & Maintenance	\$ 7,967
60160	Telephone	\$ 8,589
60161	Cellular / Paging Services	\$ 6,573
60163	Electricity	\$ 12,746
60164	Water Services	\$ 5,080
60170	Equipment Expensed	\$ 3,910

(Continued - next column)

<u>G/L Acct.</u>	<u>Description</u>	<u>Actual thru</u> <u>1/31/19</u>
60171	Equipment Rented	\$ 22,182
60172	Equipment Repair / Maintenance	\$ 1,463
60180	Computer Hardware	\$ 25,322
60181	Software / Updates / Licensing	\$ 95,543
60182	Internet Services	\$ 8,015
60183	Computer Supplies	\$ 2,698
60184	Computer Repair / Maintenance	\$ -
60190	Offsite Meeting / Travel Expense	\$ 869
60191	In House Meetings	\$ 452
60192	Conference Expense	\$ 8,825
60193	Car, Repair, Maint	\$ -
60200	Dues	\$ 21,109
60202	Subscriptions	\$ 886
60203	Contributions	\$ 14,500
60210	Bank Charges	\$ -
60211	Shipping / Postage	\$ 1,540
60212	Office Supplies	\$ 6,868
60213	Offsite Storage	\$ 3,833
60220	Commission Fees	\$ 15,321
60221	Commission Mileage Reimb.	\$ 1,982
60222	Other Commission Expense	\$ 763
60230	Other Expense	\$ 1,848
80000	Retiree Medical Expense	\$ 65,917
80001	Insurance Expense	\$ 31,466
80000	Building Repair/Replacement Reserve	\$ 58,333
13005	Fixed Assets	\$ 36,774

Total Costs \$ 1,999,461

Direct Costs Paid by Projects \$ 1,466,777
 Member Contribution Offset \$ 262,500
 \$ 1,729,277

Over allocation % -15.6%
 Over (Under) Allocation of General Fund Costs \$ (270,184)



BENEFITS SUMMARY

(Distributed based on Actual Labor)

<u>G/L Acct</u>	<u>Description</u>	<u>Budget</u>	<u>Actual @ 1/31/19</u>	<u>Projected FYE 2019</u>
70101	FICA Expense	191,217 \$	84,771 \$	145,322
70102	Medicare Expense	56,479 \$	26,970 \$	46,234
70103	State Unemployment Insurance	5,824 \$	392 \$	6,300
70104	Worker's Compensation Insurance	102,821 \$	29,206 \$	68,288
70105	State Disability Insurance	25,551 \$	11,879 \$	20,364
70106	PERS Pension Plan	730,279 \$	301,427 \$	516,732
70111	Medical Expense	459,036 \$	259,659 \$	445,130
70112	Dental Expense	32,630 \$	15,886 \$	27,233
70113	Vision Insurance	8,255 \$	4,211 \$	7,219
70114	Life Insurance Expense	16,014 \$	8,484 \$	14,544
70115	Long Term Disability	18,657 \$	9,682 \$	16,598
70116	Wellness Program Expense	3,500 \$	865 \$	1,483
70117	401a Profit Sharing - Employers Contribution	- \$	- \$	-
70120	Car Allowance	36,000 \$	28,000 \$	48,000
	Total Benefits	1,686,263	781,432	1,363,447
	Total Payroll	3,859,112 \$	1,986,939 \$	3,406,181
	Benefits Rate	43.7%	39.3%	40.0%

Santa Ana Watershed Project Authority
 Labor Hours Budget vs Actual
 Month Ending January 31, 2019

	Fund	Budget	Actual	%
100	General & Administrative	24,625	16,644	67.59%
130	Prop 84 Round 1 Administration	815	291	35.67%
135	Prop 84 Round 2 Administration	830	356	42.83%
140	Prop 84 2014 Drought Administration	600	512	85.25%
145	Prop 84 Final Round Administration	1,735	365	21.05%
240	Brine Line Enterprise	18,250	10,442	57.22%
320	Brine Line Protection	245	79	32.24%
326	Reach V Capital Repairs	795	93	11.67%
327	Reach IV-D Corrosion Repairs	1,475	63	4.24%
370-01	General Basin Planning	2,365	921	38.95%
370-02	USBR Partnership Studies	110	7	6.36%
372	Imported Water Recharge	60	0	0.42%
373	Watershed Management Plan	2,775	1,171	42.19%
374	Basin Monitoring Program	419	223	53.28%
381	SAR Fish Conservation	190	416	218.82%
384-01	Chino TMDL Facilitation	165	88	53.48%
386MONIT	Storm Water Quality Standards TF	130	87	66.92%
387	Arundo Removal & Habitat Restoration	173	64	37.14%
392	Emerging Constituents	54	67	123.15%
396	Forest First	20	-	0.00%
397	Water-Energy Grant Administration	464	198	42.67%
397EXPAN	Water-Energy WWWD Administration	-	62	100.00%
398ADMIN	DACI Grant	2,435	442	18.14%
477-02	LESJWA - Administration	330	188	56.97%
477TMDL	LESJWA - TMDL Task Force	720	411	57.08%
504-301A	Prop 84 2014 Drought Implementation	765	114	14.93%
504-301C	Prop 84 2014 Drought Implementation	485	628	129.48%
504-401I	Prop 84 Final Round Implementation	90	70	77.78%
504-401PA23	Prop 84 Final Round Implementation	475	59	12.32%
504-401WUEAMDIN	Prop 84 Final Round Implementation	195	-	0.00%
504-402SMART	Prop 84 Final Round Implementation	290	55	18.97%
		62,080	34,114	54.95%

Note: Should be at 58.33% of budget for 7 months



General Manager's Report

March 2019

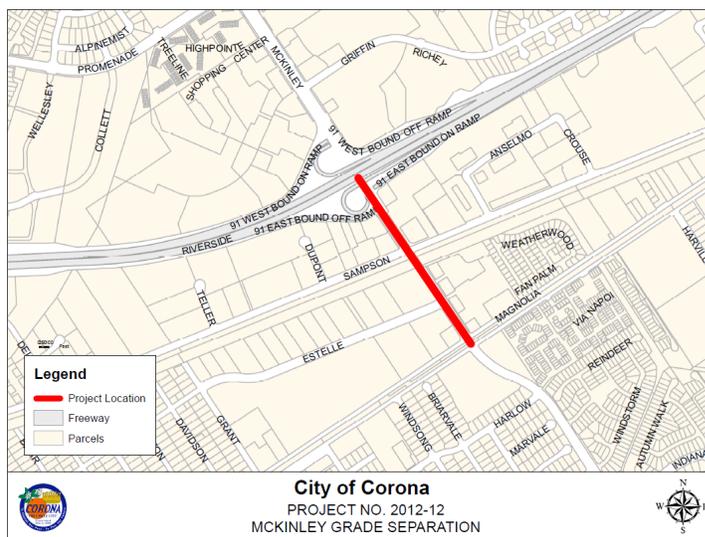
Santa Ana Watershed Project Authority | 11615 Sterling Avenue, Riverside, CA 92503 | www.sawpa.org

INSIDE THE MARCH REPORT

- 1 McKinley Street Grade Separation Project
- 2 Translation Services Funded by Prop 1 DCI Grant Program
- 3 OWOW Plan Update 2018 Project Submission Form
- 4 Emerging Constituents Program Task Force
- 5 2019 Santa Ana River Watershed Conference

McKinley Street Grade Separation Project

The City of Corona has begun design for the McKinley Street Grade Separation Project. The preliminary design (35%) shows that there is a conflict between the existing Brine Line (Reach 4B Upper) along Sampson Avenue and a proposed storm drain. SAWPA will continue coordination with the City of Corona and the project designer to minimize or avoid impacts to the Brine Line. Reach 4B Upper of the Brine Line receives brine generated from the Arlington Desalter.



Translation Services Funded by Proposition 1 Disadvantaged Community Involvement Grant Program

On-call translation services are now available for public sector and nonprofit partners in the Santa Ana River Watershed. Services may cover translations of water-related public meetings and documents. Languages in the watershed include, but are not limited to: Spanish, Vietnamese, Korean, Chinese, Tagalog, and American Sign Language. This service is funded by the California Department of Water Resources Integrated Regional Water Management Proposition 1 Disadvantaged Community Involvement grant program administered through SAWPA.

Apply at www.sawpa.net/translation/main.htm, or contact Marisa Perez-Reyes or Brian Keener at 951-354-4236 for more information.

OWOW Plan Update 2018 Project Submission Form

Although the OWOW Proposition 1 Integrated Regional Water Management Implementation Grant call for projects closed on January 31, 2019, you can still submit your projects for inclusion into the OWOW Plan Update 2018. You are also able to submit comments to the 30 projects submitted for Proposition 1 funding by mid-March 2019.

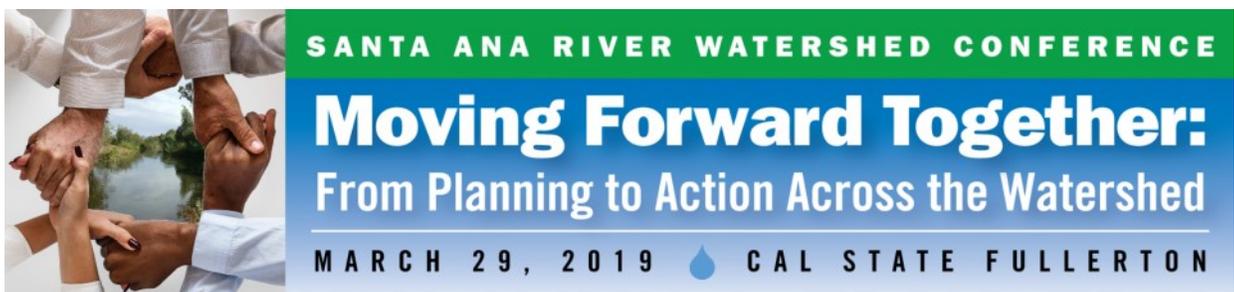
Visit: <http://www.sawpa.net/OWOW2018/main.htm>

Emerging Constituents Program Task Force

SAWPA's Emerging Constituents Program Task Force, consisting of 20 agencies across the watershed, will be implementing a voluntary sampling program update late this summer to determine the concentrations and locations of PFOA, PFOS, and several past emerging constituents sampled during the 2010-2013 Emerging Constituents Program sampling period. The focus of this effort is determining the presence and extent of emerging or soon-to-be-regulated constituents that may have impacts on drinking water sources. The sampling will occur at all recycled water plant discharge locations along the Santa Ana River, its tributaries, as well as Metropolitan Water District sources to the watershed. In August 2019, sampling results will be compiled and finalized as a deliverable to the Santa Ana Regional Water Quality Control Board. Such sampling reflects proactive measures taken by agencies in the watershed to ensure good science and data are compiled and shared with regulators as water quality regulations on various emerging constituents are developed statewide.



2019 Santa Ana River Watershed Conference

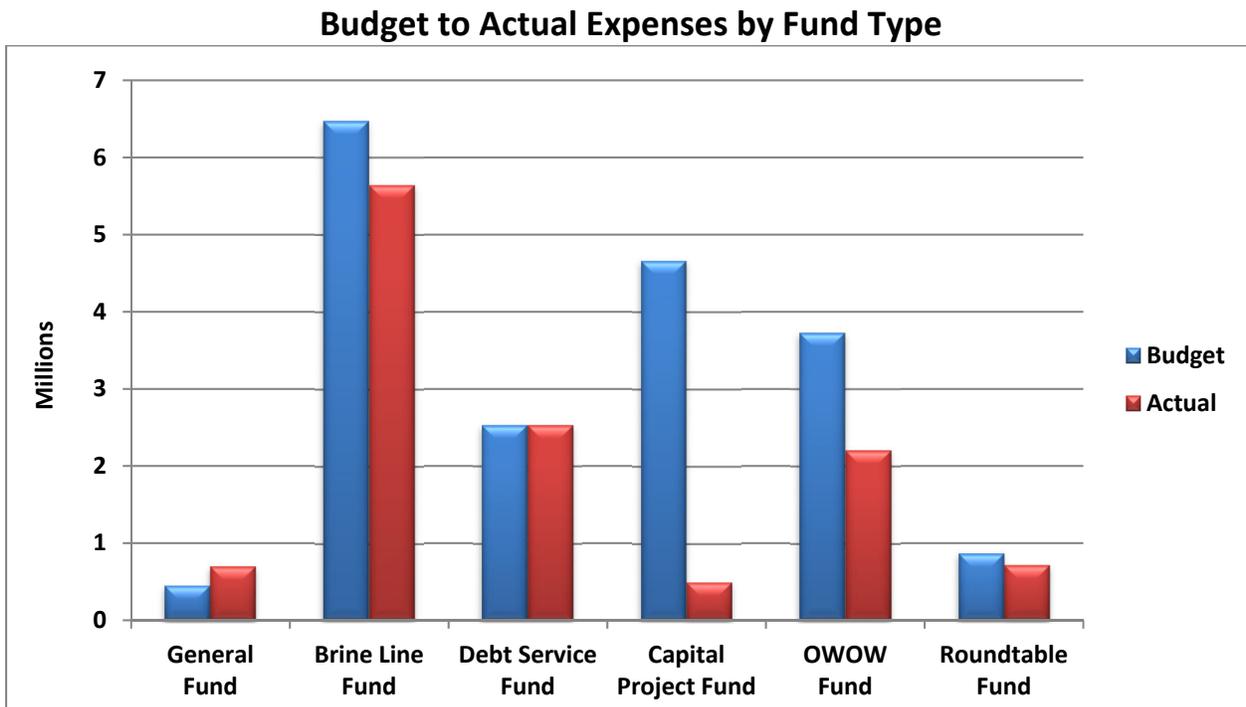


**Santa Ana Watershed Project Authority
Executive Financial Information Report
January 2019**

Staff comments provided on the last page are an integral part of this report.

Overview	This report highlights the agency's key financial indicators for the Fiscal Year-to-Date (FYTD) through January 2019 unless otherwise noted.
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Budget to Actual Expenses by Fund Type	✔ Favorable			
	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
General Fund	\$650,695	\$451,957	\$695,966	(\$244,009)
Brine Line Enterprise	11,090,587	6,469,509	5,640,318	829,191
Debt Service Fund	3,183,451	2,527,100	2,526,801	299
Capital Project Fund	7,986,032	4,658,519	499,340	4,159,179
OWOW Fund	6,380,106	3,721,729	2,204,488	1,517,241
Roundtable Fund	1,475,981	860,989	713,657	147,332
Total	\$30,766,852	\$18,689,803	\$12,280,570	\$6,409,233



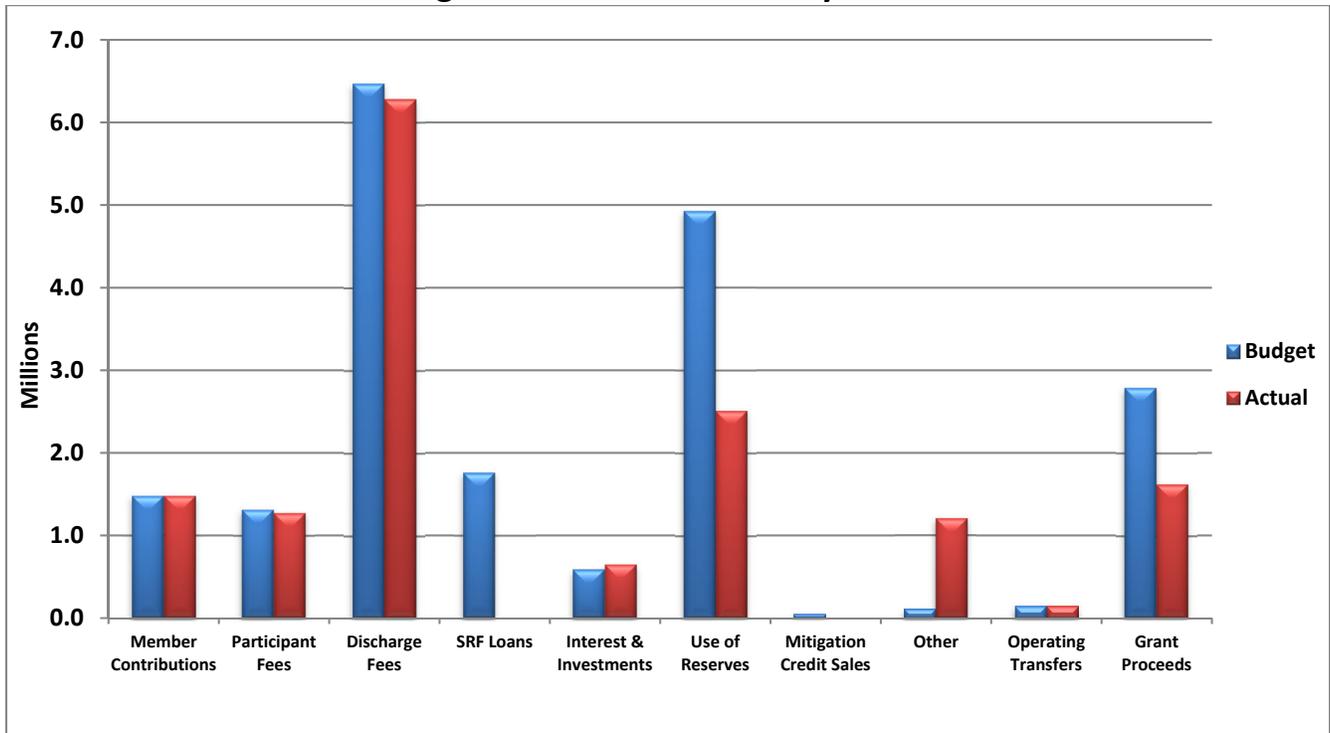
Budget to Actual Revenues by Source



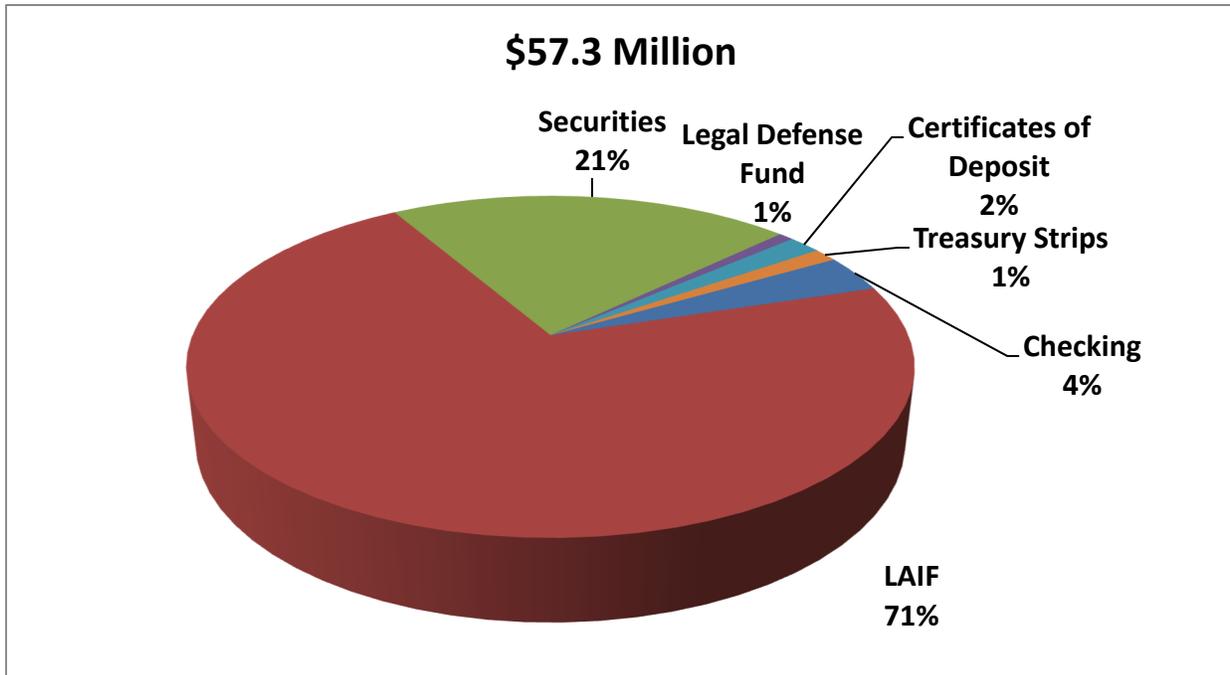
Concern

	Annual Budget	FYTD Budget	FYTD Actual	Favorable (Unfavorable) Variance
Member Contributions	\$1,471,695	\$1,471,695	\$1,471,695	\$-
Participant Fees	1,309,273	1,309,273	1,268,316	(40,957)
Discharge Fees	11,090,587	6,469,509	6,281,302	(188,208)
SRF Loans	3,000,000	1,750,000	-	(1,750,000)
Interest & Investments	1,173,582	586,791	647,180	60,389
Use of Reserves	6,995,901	4,918,388	2,509,209	(2,409,179)
Mitigation Credit Sales	88,980	51,905	-	(51,905)
Other	206,674	120,560	1,199,631	1,079,071
Operating Transfers	144,252	144,252	144,252	-
Grant Proceeds	4,777,256	2,786,733	1,622,148	(1,164,585)
Total	\$30,258,200	\$19,609,106	\$15,143,733	(\$4,465,373)

Budget to Actual Revenues by Source



Total Cash & Investments - December



Reserve Fund Balance - December

	Amount
General Fund	\$2,507,341
Building Fund	877,104
Legal Defense Fund	451,276
OWOW Fund	1,538,704
Roundtable Fund	2,168,743
Self Insurance	4,106,399
Debt Retirement	4,606,157
Pipeline Replacement	20,346,964
OCSD Rehabilitation	3,612,870
Capacity Management	11,569,724
Future Capacity	1,771,363
Flow Imbalance	85,613
Brine Line Operating	3,644,264
Total Reserves	\$57,286,524

Legend

Compared to Budget

	Ahead or Favorable	Above +5% Favorable Revenue or Expense Variance
	On Track	+5% to -2% Variance
	Behind	-3% to -5% Variance
	Concern	Below -5% Variance

Staff Comments

For this month's report, the item(s) explained below are either "behind", a "concern", or have changed significantly from the prior month.

- 1) Total revenues are 10% below budget. The large variances are explained below.
 - a. Use of Reserves are 49% below budget. This variance is due to the Rock Removal project and the Reach IV-D Corrosion Repair project. The Rock Removal project which is being done by Orange County Sanitation District will not begin construction until early next year. After a condition assessment performed on Reach IV-D, the consultant has recommended that an additional field investigation be done in five years to characterize the rate of deterioration of the pipeline. Once the additional field work is performed the consultant will recommend any needed rehabilitation.
 - b. SRF Loans are 100% below budget. This variance is due to the delay of the Reach IV-D Corrosion Repair project. With the delay, SRF Loans will not be needed for at least another five years.
 - c. Grant Proceeds are 42% below budget. This variance of is due to slower implementation of the Proposition 84 Drought and Proposition 1 DACI projects. Although the Drought program's components such as budget-based rates and turf removal are on track for an end date of June 2019, we have not received invoices from the agencies. The agencies are compiling their invoices into one final package in order to streamline the DWR review process. We should receive those invoices within the next few months. For the Proposition 1 DACI project, the work of identifying technical assistance needs has taken longer than anticipated.
 - d. Other Revenues are 895% above budget. This variance is due to receipt of \$1,075,000 from the Reach V Settlement Agreement.



March 11, 2019

To: Santa Ana Watershed Project Authority

From: Michael Boccadoro
Beth Olhasso
Maddie Munson

RE: February Report

Overview:

California's water supply picture has dramatically changed over the last few weeks with several storms. The statewide snowpack is over 150 percent of normal and almost all major reservoirs are at or above historic levels for this time of year. State Water Project Allocations were recently increased to just a paltry 35 percent despite the significant precipitation and snowpack levels.

The water community has also been closely watching potential regulatory changes with the operation of the Central Valley Project and the State Water Project as the Bureau of Reclamation made the first step in a lengthy update of Endangered Species Act regulations for the projects. The changes could bring increased operational flexibility but will face challenges from the environmental community.

In his inaugural State of the State address Governor Newsom proved yet again that his style couldn't be more different than former Governor Jerry Brown. The dynamic Governor outlined the most ambitious agenda since World War II including significant detail on priorities and key personnel who would help him implement his vision. The most significant change comes from the Governor replacing Felicia Marcus with Joaquin Esquivel as the chair of the State Water Resources Control Board, and Laurel Firestone, an environmental justice advocate, taking Felicia's seat on the Board. The departure of Marcus leaves a significant vacuum on the Board as Firestone has nowhere near the depth of knowledge or experience with the wide range of issues that come before the Board.

Members have introduced over 2,500 bills for the first year of the 2019-2020 legislative session. It is clear that it will be a very busy year for water. Clean, safe and affordable drinking water is the hot-topic right out of the gate with a trailer bill proposal from the Governor, a joint alternative proposal from the Association of California Water Agencies and the California Municipal Utilities Association, and a complementary proposal from Eastern Municipal Water District. Senator Bob Hertzberg (D-Van Nuys) has revived his old "ocean discharge" bill and is proposing to eliminate 95 percent of ocean discharge from POTWs by 2040. Protecting property tax passthrough payments will again be a priority issue in 2019, as well as ensuring special district reserves are protected and not capped. Proposals to reduce emissions from special district vehicle and truck fleets are expected and energy will again be a hot topic with major proposals including a centralized electricity procurement entity and ongoing wildfire concerns. Finally, there is already at least one attempt to disrupt WaterFix through burdensome procedural delays, and more are expected.

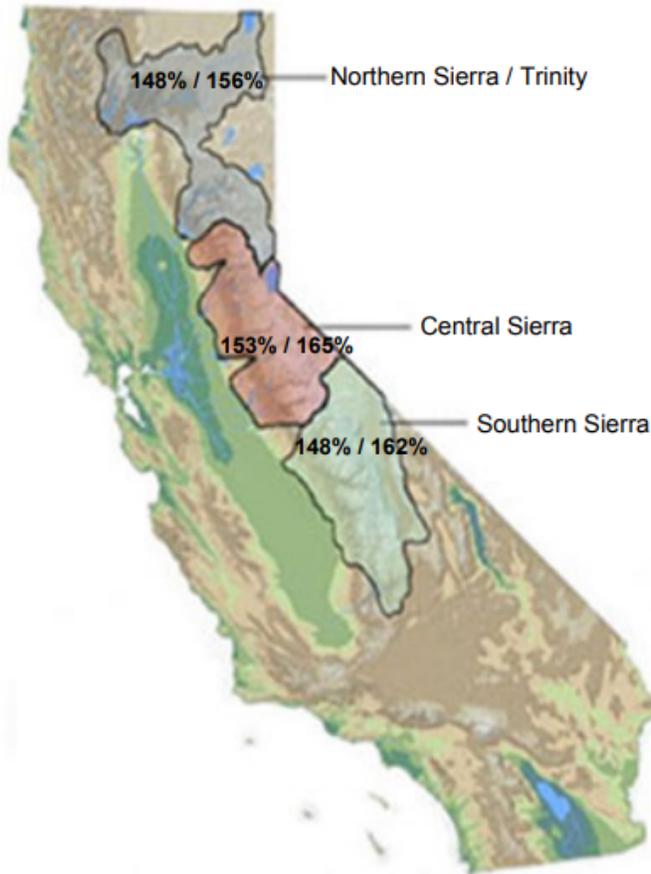
Santa Ana Watershed Project Authority Status Report – February 2019

Water Supply Conditions

February is typically the wettest month of the year in California, and this year has definitely not deviated from that pattern. A barrage of storms coming from an atmospheric river have pushed state snowpack and precipitation levels well over annual averages. While the rain and snow are certainly welcomed, the relentless storms are now causing flooding, landslides and road closures up and down the state.

The State Water Project allocation was increased to 35 percent, which still seems remarkably low giving the abundant levels of snow and reservoir storage.

% of April 1 Average / % of Normal for This Date



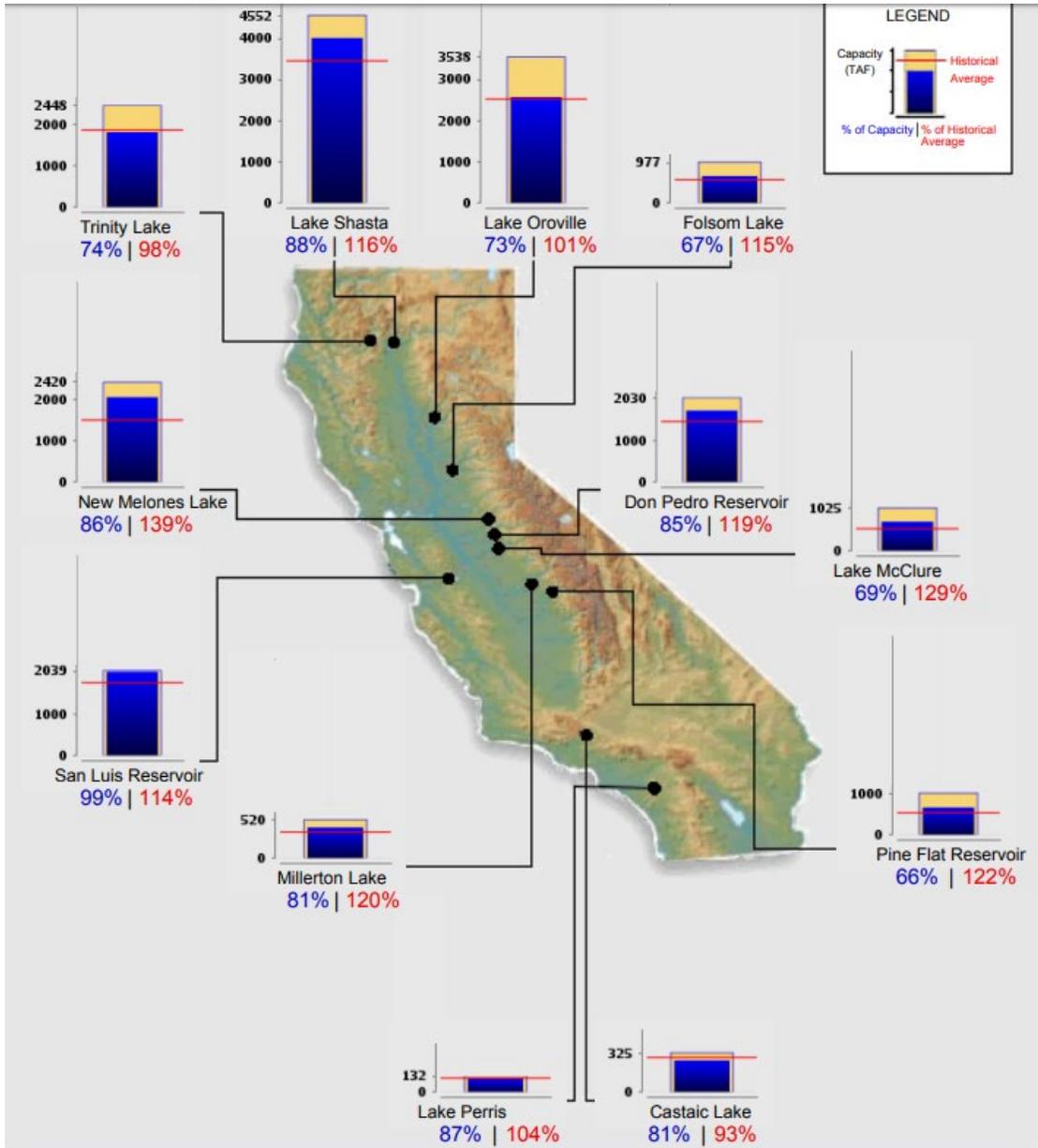
NORTH	
Data as of March 8, 2019	
Number of Stations Reporting	30
Average snow water equivalent (Inches)	43.3
Percent of April 1 Average (%)	148
Percent of normal for this date (%)	156

CENTRAL	
Data as of March 8, 2019	
Number of Stations Reporting	41
Average snow water equivalent (Inches)	44.0
Percent of April 1 Average (%)	153
Percent of normal for this date (%)	165

SOUTH	
Data as of March 8, 2019	
Number of Stations Reporting	28
Average snow water equivalent (Inches)	37.3
Percent of April 1 Average (%)	148
Percent of normal for this date (%)	162

STATE	
Data as of March 8, 2019	
Number of Stations Reporting	99
Average snow water equivalent (Inches)	41.9
Percent of April 1 Average (%)	150
Percent of normal for this date (%)	161

Statewide Average: 150% / 161%



Bureau of Reclamation Issues New Biological Assessments for CVP & SWP Operations

The U.S. Bureau issued a new biological assessment (BA) on January 31, 2019. This is the first official step in complying with the federal Endangered Species Act to implement new pumping rules for the Central Valley Project and State Water Project. Reclamation issued the BA following the President’s October 2018 memorandum, which directed the Fisheries Agencies to complete new Biological Opinions (BiOps) for Delta operations by the middle of 2019.

The new BiOps come a decade after the existing operation rules. Despite the substantial environmental requirements in the existing BiOps, Delta smelt and winter-run Chinook salmon have continued to decline. Over the last ten years there has been significant development of new scientific insights as to the behavior of the estuary and the many stressors facing native endangered fish.

Based on the proposed action, Reclamation anticipates an average water supply benefit over current operations of 500,000 acre-feet annually. This is a function of the more flexible operational criteria described above.

In the BA's analysis comparing the environmental baseline to the proposed action, Reclamation concludes that there will be net benefits for winter-run Chinook salmon, spring-run Chinook salmon, Central Valley steelhead, coho salmon, and green sturgeon. Reclamation also concludes that there will be effects on delta smelt, with some benefits. The next step is for the federal Fisheries Agencies to issue its BiOps based on the just released BA.

The Department of Water Resources has committed to follow a similar process under the state Endangered Species Act for all state-listed species. This permitting process is expected to proceed roughly parallel with the federal effort. It is possible, but uncertain, whether the California Department of Fish and Wildlife will impose additional restrictions on the State Water Project beyond those imposed on both projects under the federal ESA.

Overall, users south of the Delta are cautiously optimistic of the new BAs, although there will almost certainly be legal challenges to more flexible operational rules for Delta.

Governor's Office Update

About six weeks into office, Governor Newsom continues to make steady progress building his team and laying out his new agenda. Healthcare, housing and education continue to be among his top priorities, but water and energy issues continue to remain priorities with PG&E's bankruptcy and a lack of clean drinking water for some of the poorest Californians.

In Newsom's inaugural State of the State address, he confirmed his commitment to solving the clean drinking water deficiencies as well as the issues plaguing the Delta. Newsom committed to finding a solution to the current Delta reliability issues but announced that he does not support the current iteration of the WaterFix and instead will pursue a one-tunnel option. Before that, he said he wants to "cross the finish line on real agreements to save the Sacramento-San Joaquin Bay Delta," a clear nod to the Voluntary Settlement Agreement process.

In the address, the Governor also reiterated his commitment to navigating the PG&E bankruptcy in a way that will prioritize energy reliability, wildfire victims, rate payers and utility employees. He announced a strike team that will develop a strategy within the next 60 days. He wrapped up his comments on energy issues by highlighting the need to take a larger look at the energy sector in light of climate change and an ever-changing energy market.

Water Appointments

During a budget press conference his first week on the job, Newsom hinted that there would be changes at the State Water Resources Control Board. The new Governor confirmed those changes during his first State of the State address, announcing the Joaquin Esquivel would be the new chair of the board. Esquivel was a Brown appointee, but the departure of the prior chair, Felicia Marcus, left an opening on the board. Newsom appointed Laurel Firestone to fill the open seat.

Firestone is known in the water community for her efforts with the Center for Race, Poverty and the Environment and the Community Water Center. Most recently, CWC has been heavily engaged in securing funding to address the lack of clean drinking water in portions of California. Firestone, is expected to be extremely tough on water quality issues.

Energy Appointments

Newsom also announced new leadership for the California Energy Commission. As anticipated by many, David Hoschild was designated as chair of the California Energy Commission. Hoschild has been a member of the CEC since 2013 and took over the chair role from Dr. Robert Weisenmiller. The Governor also designated Janea Scott as the Vice Chair of the Commission.

Legislative Update

The February 22 bill introduction deadline has left us with about 1800 Assembly bills and close to 800 Senate bills. While many are in “spot bill” format, it is clear that this will be a significant year for water legislation.

Clean, Safe and Affordable Drinking Water:

As expected, there are a significant number of bills aimed at ensuring all Californian’s have access to clean, safe and affordable drinking water. As noted above, the Governor made it very clear that this is a top priority for him this year. While the Democrats have a significant super majority in the Assembly, there is a lot of buzz around the Capitol that there are a number of Democrats that are uncomfortable with voting for a tax. The recall of Senator Josh Newman remains fresh in the minds of those members who are in very close districts. Many will be wary to cast a vote for a tax, so some of the alternative proposals discussed below will have the opportunity to be a significant part of the discussion.

Administration’s Budget Trailer Bill: The Governor has released language for a budget trailer bill that closely mirrors the language from 2018’s SB 623 (Monning) that would institute a \$.95 per month fee on residential water users and impose other fees on agricultural operations. ACWA and many water agencies strongly oppose this proposal as well as the use of a budget trailer bill to accomplish the fee.

SB 669 (Caballero): Safe Drinking Water Trust: Sponsored by ACWA and the California Municipal Utilities Association (CMUA), SB 669 would use a one-time infusion of general fund cash to establish a trust which revenue would be transferred to the Safe and Affordable Drinking Water Fund for administration by the State Water Resources Control Board.

ACA 3 (Mathis, E. Garcia): Minimum Funding Guarantee for Water: This Constitutional Amendment would require two percent of state revenues to be set aside for water. The named uses are not just for clean drinking water, but for all types of water conveyance, cleanup, recycling, groundwater cleanup, storage and others. As a Constitutional Amendment it requires a two-thirds vote.

Other Bills: There are a number of other bills that have been introduced, mostly spot bills, that WCA will include in reports if they get substantive language and start moving forward. Senator Monning’s vehicle is SB 200, which only currently establishes the trust account at the state board, but does not include any fees that were in SB 623.

SB 414 (Caballero): Small System Water Authority Act of 2019: SB 414 is Eastern Municipal Water District’s re-introduction of AB 2050 (Caballero) from 2018. The bill addresses the drinking water issue from a different angle than the funding bills do. SB 414 looks at how to manage districts with failing water systems and establish a new category of public water agency by way of merging formerly non-compliant drinking water systems in order to sustainably provide the technical, managerial, and financial capabilities necessary to ensure the consistent delivery of safe drinking water. In 2018 the bill made it all the way to the Governor’s desk before getting vetoed.

Ocean Discharge:

Senator Bob Hertzberg (D-Los Angeles) has introduced SB 322 which seeks to eliminate 95 percent of ocean discharges by 2040. This bill is similar to a “gut-and-amend” the Senator attempted in 2017, SB 163, which ultimately failed. Inland agencies aren’t directly targeted in this legislation. However, there are significant concerns because the bill has no considerations for brine. With brine being a byproduct of recycling water, and a crucial part of achieving a salt balance in the watershed, the bill is at odds with itself. WCA staff have met with the Senator’s staff and they are aware of the issue and claim they will work with stakeholders to address it. They did make it very clear they intentionally introduced this bill early to allow for a long stakeholder process.

The Senator and the sponsor of the bill, the Natural Resources Defense Council (NRDC), do have a little more regulatory backing on their side this year. As reported late last year, the State Water Resources Control Board updated its Recycled Water Policy which includes language to promote POTWs to reuse as much water as possible. While the goal is aspirational and not a mandate, it helps to further the Senator’s argument that eliminating ocean discharge is of significant importance to the state.

Recycled Water:

AB 292 (Quirk): AB 292 builds on previous legislation, AB 574 (Quirk, 2017), and recent work by the SWRCB to remove the terms “direct potable reuse” and “indirect potable reuse”, in order to align the terms with how the water agencies are using recycled water. AB 574 (Quirk) created four distinct types of potable reuse projects – “Indirect Potable Reuse for Groundwater Recharge”, “Reservoir Water Augmentation”, and Direct Potable Reuse, which includes two subcategories, “Raw Water Augmentation” and “Treated Drinking Water Augmentation.” With the more precise definitions added by AB 574, the terms “indirect” and “direct” only add to confusion about potable reuse and proposed projects. The term “Direct Potable Reuse” also implies that purified recycled water is going directly into the drinking water supply, which is not the case with Raw Water Augmentation projects. For example, opponents of a groundwater recharge project in the Central Coast incorrectly labeled the project “Direct Potable Reuse” and the confusion in the statute made this difficult to correct. This bill is sponsored by WateReuse California.

AB 1180 (Friedman): AB 1180 will require the SWRCB to update the state’s non-potable recycled water regulations by 2023. These regulations have not been revised since 2000. An update to these regulations, incorporating the knowledge and lessons learned from nearly two decades of non-potable water recycling, will help the state to achieve its ambitious goals for recycled water use. The bill also promotes recycled water use for dual plumbed building and for commercial, industrial and institutional (CII) uses by requiring the Water Board, through its update of Title 17 backflow regulations, to include the use of a change over device, such as a swivel ell. This bill is also sponsored by WateReuse.

State Water Project:

SB 204 (Dodd): SB 204 seeks to insert additional transparency and the sharing of information regarding future State Water Project Contract Amendments. If successful, this bill would significantly delay action on WaterFix and would be detrimental to any future SWP contract amendments. MWD and the State Water Contractors are seeking amendments to limit the timelines set in the bill so there isn’t an open-ended window in which the contracts could be delayed. At a 3/12 hearing, members of the Senate Natural Resources and Water Committee expressed significant concern with the bill as written. Even though the author committed to taking a few amendments proposed by the State Water Contractors, the bill is still very problematic. The oppose unless amended coalition has offered an

alternative solution. They proposed to submit the SWC proposed, adopted and actual budgets in lieu of contract approval.

The bill passed out of the Senate committee, but with many members expressing serious reservations. Several members put Senator Dodd on notice that if the bill isn't improved, they will vote no on the floor. The coalition will continue to work with Senator Dodd and other members as the bill moves forward.

Wastewater Treatment:

AB 1672 (Bloom) is a spot bill intending to address flushable wipes. While the language isn't yet available for this bill, this will be an important bill for the POTW community, as flushable wipes have been a significant issue for POTWs. WCA will work with CASA and others on this legislation.

There are two other wastewater treatment-related spot bills worth noting. *AB 129 (Bloom)* relates to microfibers and *AB 223 (Stone)* relates to microplastics. It will be important to watch these bills to see if they attempt to make POTWs the "catch point" for these micro-wastes.

Energy/Emissions

There aren't any specific energy bills to highlight at this moment. WCA is closely following a number of big issues and will report on how they might affect SAWPA and its member agencies. The big energy topics of the year include:

- Western Regional Grid
- Statewide central electric procurement entity
- Changes to the Renewable Portfolio Standard
- Wildfire impacts on energy rates and de-energization
- Restrictions on emissions from light, medium and heavy-duty trucks/public agency fleets

Other Bills

AB 533 (Holden) would exclude from gross income, under personal income and corporation tax laws, amounts received as a rebate, voucher, or other financial incentive issued by a local water agency for participation in water efficiency or storm water runoff improvement programs.

This bill is jointly sponsored by MWD, the Water Now Alliance and the California Water Efficiency Partnership. MWD is still collecting signatures for their joint letter, but so far, SAWPA members EMWD, WMWD and IEUA have all signed on to support the measure. The bill has its first and only Assembly hearing March 18 in the Revenue and Taxation Committee.

AB 1194 (Frazier) would increase the size of the Delta Stewardship Council by creating four new positions to be filled by representatives of in-Delta interests. This measure is not consistent with the statewide perspective the Council is tasked with representing in achieving the co-equal goals described in the 2009 Delta legislation. Asm. Frazier has introduced similar bills in the past and they have all been defeated. The bill has been referred to the Assembly Water, Parks and Wildlife Committee, but has not been scheduled for a hearing.

AB 1204 (Rubio) is an ACWA-sponsored bill to ensure that agencies are given a reasonable time period to come into compliance with newly adopted drinking water regulations. It would require that new MCLs that exceed the strictness of federal standards not take effect for three to five years from adoption. ACWA has been very involved with the MCL establishment process at the State Water Resources Control Board. SWRCB staff have stated they do not believe they have the authority to include a compliance period in any new regulations, thus ACWA's position that it is unfair for systems to be deemed in violation of an MCL very soon after its effective date. With new MCLs for perchlorate and chrom 6 expected soon, ACWA wants a statutory fix to the compliance period issue.

WCA will keep staff and the Commission apprised as these issues as they emerge throughout the session.

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GENERAL MANAGERS MEETING NOTES

TUESDAY, MARCH 12, 2019

PARTICIPANTS PRESENT

Paul Jones [7:38 a.m.]
Kirby Brill
Michael Markus
Doug Headrick (Via-Conference Call)
Craig Miller [7:52 a.m.]
Rich Haller
Karen Williams
Larry McKenney (Via-Conference Call)
Mark Norton
David Ruhl
Carlos Quintero
Sara Villa

REPRESENTING

Eastern Municipal Water District
Inland Empire Utilities Agency
Orange County Water District
San Bernardino Valley Municipal Water District
Western Municipal Water District
Santa Ana Watershed Project Authority
Santa Ana Watershed Project Authority

CALL TO ORDER

Rich Haller called the meeting to order at 7:32 a.m. at SAWPA, 11615 Sterling Avenue, Riverside, California.

FUTURE SAWPA COMMISSION AGENDA ITEMS

Rich Haller reviewed the handout provided of the Agenda Planning Matrix (SAWPA Commission, OWOW Steering Committee, PA22, and PA23).

OWOW CONFERENCE 2019

Rich Haller reviewed the handout provided of the OWOW Conference Draft Agenda. Haller noted that he is looking forward to the General Managers questions to be submitted by Friday for use by the moderator. Attendance by Karla Nameth, DWR is questionable, and T. Milford Harrison, Commissioner will not be attending. Haller noted that the sponsors will receive additional tickets and he encourages sponsors to invite their staff to attend. Phil Anthony will be honored during the lunch timeframe and a plaque will be presented in his honor and displayed in the SAWPA Boardroom.

OWOW UPDATE

Mark Norton informed the General Managers that DWR will release the Proposition (Prop) 1 Integrated Regional Water Management (IRWM) Round 1 Final Project Solicitation Package (PSP) on March 18. A “Call for Projects” was conducted and a “Let’s Connect Workshop” was facilitated. A few projects decided to integrate and combine and resubmit. The next workshop is scheduled for tomorrow (March 13) providing an opportunity to comment on the projects that were submitted. Norton noted that there’s 28 projects in total. A status report will be shared about the negotiations with Orange County Stakeholders on pre-allocation of 30% of grant funds to Orange County and they can use their own rating and ranking system. The OWOW Steering Committee is scheduled for March 28 and a briefing will also be given about the negotiations with OC Stakeholders and the MOU that is being prepared. A suggested list of projects will be presented to the OWOW Steering Committee in May.

Paul Jones arrived at 7:38 a.m., during the discussion of Agenda Item “OWOW Update”.

ROUNDTABLES UPDATE

Mark Norton provided a verbal update on the Basin Monitoring Program Task Force. Consultant interviews were conducted with three (3) firms to do the next Ambien Water Quality Update. It is a required deliverable every three (3) years to be submitted to the Regional Board. It was a unanimous decision for Water Systems Consulting (WSC) to be selected and they are located in Irvine. The Wasteload Allocation continues to move along and is expected to be completed in the next three (3) months. The Emerging Constituents Program Task Force is a voluntary sampling program and a list has been finalized of the constituents that will be analyzed.

Agenda Item “PA24” was deferred to the end of the meeting awaiting Craig Miller’s arrival.

PA24

Craig Miller noted there is nothing new to report. A meeting took place with Commissioner Dennstedt. Paul Jones suggested he set up a meeting with Commissioner Dennstedt, Craig Miller, Rich Haller, and himself. Miller will meet with Commissioner Dennstedt first.

BRINE LINE UPDATE

David Ruhl provided updates on the following:

- a. Pretreatment Program Update – A meeting took place with Covanta, a potential new customer. It's a nationwide packaging company located in Long Beach. Covanta is looking into finding a location in the Inland Empire. Inland Bioenergy was issued a Cease and Desist Order due to a slug discharge bypassing the pretreatment system. SAWPA is working with Inland Bioenergy to receive payment of their penalty and fees.
- b. OCSD Rock Removal – Nothing new to report due to the rain.
- c. Beaumont Connection to Brine Line – All member agencies have approved the Beaumont Agreement. We are awaiting the final approval from Orange County Sanitation District (OCSD). OCSD provided a conditional approval last year with four (4) conditions, and we are working with Beaumont to meet all the conditions.
- d. Lease Capacity Pool Agreement – WMWD, Valley, and IEUA will be taking the Lease Capacity Pool Agreement for Board approval this month.

Craig Miller arrived at 7:52 a.m., during the discussion of Agenda Item “Brine Line Update”.

- e. Joint Policy Committee Meeting – The Joint Policy Committee meeting was originally scheduled this week, though due to scheduling conflicts, it is anticipated to be scheduled in April.
- f. Rates – It is proposed to increase the Brine Line Rates by 3% for 2020 and 4% for 2021.

OPERATIONS UPDATE

Carlos Quintero provided updates on the following:

- a. Brine Line O&M Activities – The cleaning of the syphons is continuing; they are about 50% completed. The air release vacuum valve program is about 85% complete. All 54 air vacs are being taken apart due to corrosion and are being rebuilt. A lot of utility location requests have been received; a lot work/activity near the Brine Line is expected.
- b. Building Improvements – It is anticipated to reserve the Boardroom for improvements during a three (3) week window, possibly in the Summer. New carpet, IT equipment, removal of wallpaper, and table refurbishment are included.
- c. Parking Lot Status – WEBB submitted a preliminary proposal of the restoration of the parking lot. The cost estimate is about \$80,000, and the allocation is 70% WMWD and 30% SAWPA. There's an additional \$30,000 for SAWPA for adding three (3) parking spots, switching the handicap areas to the north side of the building, and building a path to the main door from the electric charging stations to meet ADA compliance.

OTHER BUSINESS

Craig Miller questioned the outcome on the conference call with OCWD and DWR. Richard Haller noted that the call went well and there were no particular requirements on the OWOW Plan Update 2018. DWR didn't have any issues in submitting Orange County projects and OWOW projects together with two (2) separate goals and criteria under one (1) submittal. The next steps is the OWOW Steering Committee meeting on March 28 to brief the Committee on the Agreement and terms and receive their input and recommendation for Commission approval

April 16. Michael Markus noted that he sent an email to the group and suggested that they forward any comments to him and he will consult with their legal counsel to help streamline the process.

SCHEDULING – NEXT GM MEETING

The next General Managers meeting is scheduled for April 9, 2019, at 7:30 a.m. at SAWPA. The meeting adjourned at 8:06 a.m.

COMMISSION REVIEW: March 19, 2019
2019-3-12 GM Mtg Notes